

# ***ANNUAL REVIEW***

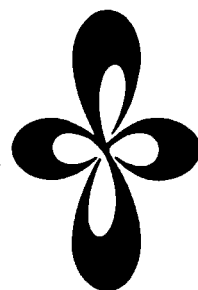
***INSTITUTE  
FOR  
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***1994***

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# IMS 1994

We present here the Annual Review for 1994 reporting the research activities of IMS during the past year.

Almost two decades have passed since the establishment of IMS in 1975, and I think now is the time for change and renewal. To do this, first of all we have to ask ourselves about the quality of the research work being carried out in IMS. Last year, the activities of all the research groups in IMS were critically reviewed by 18 distinguished molecular scientists, including 6 from overseas, who have served as external reviewers. Evaluations and comments by the individual external reviewers have been opened to the public in a report entitled —IMS Report, 93— published in March, 1994. The general level of the research groups was evaluated to be very high when measured by international standards. On the other hand, some severe and critical comments were expressed by several reviewers and these were also included in the report. The open publications of such critical comments prove the health of IMS, I believe. Through the critical review, many subjects and problems to be solved were clearly revealed. We are now making every effort to make a future plan for IMS based on thorough discussions of these subjects and problems by all the staff in IMS. The future plan will be included in IMS Report 94 appearing next year. The future of IMS depends on our ability to attract young molecular scientists full of vitality and creative power. As long as they feel that they can do something big in IMS, even under severe conditions such as a prohibition of internal promotion, IMS will continue as a center of excellence. Our future plan should be one to meet their expectations.



The turnover of personnel has been very high in the last year. Professor K. Nakasuji in the Department of Applied Molecular Science moved to Osaka University. There has been a high turnover especially among associate professors. Four associate professors, Drs. M. Watanabe, G. Isoyama, K. Shobatake and I. Ohmine moved as full professors to Tohoku University, Osaka University, Nagoya University (Faculty of Engineering) and Nagoya University (Faculty of Science), respectively. Professor S. Iwata of Keio University was appointed as Professor of the Department of Theoretical Studies to succeed Professor K. Morokuma who moved to Emory University, USA, last year. Professor Iwata also serves as the Director of the Computer Center. We have appointed Dr. Y. Tanimura and Mr. M. Watanabe as Associate Professors of the Department of Theoretical Studies and the Instrument Center, respectively. Professor Y. Yoshikawa and Associate Professor H. Nakazawa took positions in the Coordination Chemistry Laboratories by transferring from their positions at Okayama University and Hiroshima University, respectively. We also had a high turnover of Research Associates and Technical Associates. Overall replacement during the past year reached about one fifth of all the research positions in IMS.

Professor M. A. El-Sayed of Georgia Institute of Technology was assigned as a foreign Councillor to succeed Professor J. C. Polanyi. We are pleased that Professor H. Baba, Professor Emeritus of Hokkaido University, has agreed to serve as a Distinguished Research Consultant.

Finally, I would also like to congratulate Professor A. Nakamura for his Chemical Society of Japan Award, Professor K. Yoshihara for his DAIWA Award, Associate Professor T. Suzuki for his Chemical Society of Japan Award for a Young Scientist and Associated Professor N. Nemoto for his Progressive Award in Synthetic Organic Chemistry, Japan.

September, 1994

A handwritten signature in cursive script, reading "Mitsuo Ito".

Mitsuo Ito  
Director-General



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# ORGANIZATION AND STAFF

## Organization

The Institute for Molecular Science comprises twenty two research laboratories-each staffed by a professor, an associate professor, two research associates and several technical associates-, two research laboratories with foreign visiting professors, and six research facilities. The laboratories are grouped into six departments and one facility for coordination chemistry:

Department of Theoretical Sciences	Theoretical Studies I Theoretical Studies II Theoretical Studies III <sup>1)</sup>
Department of Molecular Structure	Molecular Structure I Molecular Structure II <sup>1)</sup> Molecular Dynamics
Department of Electronic Structure	Excited State Chemistry Excited State Dynamics Electronic Structure <sup>1)</sup> Molecular Energy Conversion <sup>2)</sup>
Department of Molecular Assemblies	Solid State Chemistry Molecular Dynamics Assemblies Molecular Assemblies <sup>1)</sup>
Department of Applied Molecular Science	Applied Molecular Science I Applied Molecular Science II <sup>1)</sup> Physical Organic Chemistry <sup>3)</sup>
Department of Vacuum UV Photoscience	Photochemistry Chemical Dynamics Interface Molecular Science <sup>3)</sup> Synchrotron Radiation Research <sup>2)</sup>
Coordination Chemistry Laboratories	Synthetic Coordination Chemistry <sup>3)</sup> Complex Catalysis Fundamental Coordination Chemistry Coordination Bond <sup>1)</sup>
Research Facilities are:	Computer Center Chemical Materials Center Instrument Center Low-Temperature Center Equipment Development Center Ultraviolet Synchrotron Orbital Radiation (UVSOR) Facility

1) Professors and associate professors are adjunct professors from other universities.

2) Research Laboratories with foreign visiting professors.

3) Professors, associate professors, and research associates, along with their positions, are transferred from other universities.

# Scientific Staff

Mitsuo ITO

Professor, Director-General

## Emeritus Professors

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Eiji HIROTA  
Katsumi KIMURA  
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## Department of Theoretical Studies

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Associate Professor (-March '94)<sup>1)</sup>  
Research Associate (August '94-)  
Research Associate  
Technical Associate (-March '94)<sup>1)</sup>  
JSPS Post-Doctoral Fellow (-December '93)<sup>2)</sup>  
Research Fellow (-December '93)<sup>3)</sup>  
Research Fellow (-October '93)<sup>4)</sup>  
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Graduate Student (April '94-)  
Graduate Student (-March '94)<sup>5)</sup>  
Graduate Student  
Graduate Student  
Graduate Student (-March '94)<sup>1)</sup>  
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Professor  
Associate Professor (April '94-)  
Graduate Student (-September '93), Research Associate (October '93-)  
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IMS Fellow (August '94-)  
Graduate Student  
Graduate Student  
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Adjunct Associate Professor from Kyushu University (-March '94)  
Adjunct Associate Professor from Chiba University (April '94-)  
Research Associate (June '94-)  
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## Department of Molecular Structure

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Professor  
Associate Professor  
Research Associate  
Research Associate (April '94-)  
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Axel H. SALECK  
 Andrej M. SOBOLEV  
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 Tsuyoshi HIRAO  
 Naomi INADA  
 Keiichi NAMIKI  
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 Takeshi OKUBO  
 Sang K. LEE

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JSPS Post-Doctoral Fellow (June '94-)  
 Research Student (-March '94)<sup>8)</sup>  
 Graduate Student  
 Graduate Student (April '94-)  
 Graduate Student (April '94-)  
 Graduate Student (April '94-)  
 Graduate Student from Nagoya Univ.\*  
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 Visiting Professor from Pusan National University (December '93-February '94)  
 Visiting Professor from Arizona State University (June '94-July '94)

#### *Molecular Structure II*

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 Adjunct Associate Professor from National Astronomy Observatory (-March '94)  
 Adjunct Associate Professor from Kyoto University (April '94-)  
 Research Associate  
 Research Associate

#### *Molecular Dynamics*

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 Michio MATSUSHITA  
 Yasuhisa MIZUTANI

Masahiro MUKAI  
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 Naoki HAYASHI  
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Professor  
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 Research Associate (April '94-)  
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 Technical Associate (April '94-)  
 IMS Fellow (-March '94)<sup>10)</sup>  
 JSPS Post-Doctoral Fellow (-February '94)<sup>11)</sup>  
 Graduate Student  
 Graduate Student  
 Graduate Student  
 Visiting Professor from Indian Association for the Cultivation and Science (October '93-November '93)  
 Visiting Professor from North-Eastern Hill University (February '94-March '94)  
 Visiting Associate Professor from Seoul Woman's University (June '94-August '94)  
 Visiting Scientist from The Queen's University of Belfast (June '94-September '94)

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#### *Excited State Chemistry*

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 Yoshiyasu MATSUMOTO  
 Kyoichi SAWABE  
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Hiroyuki KATO  
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 Research Associate  
 Technical Associate  
 Technical Associate  
 JSPS Post-Doctoral Fellow (-February '94),<sup>12)</sup>  
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 JSPS Post-Doctoral Fellow  
 JSPS Post-Doctoral Fellow (February '94-)  
 Graduate Student (-March '94),  
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JSPS Visiting Scientist from Bhabha Atomic Research Centre, India (April '94-)  
JSPS Visiting Scientist from Indian Institute of Science, India (May-June '94)  
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*Excited State Dynamics*

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Professor  
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Visiting Research Fellow (September '93-March '94), JSPS Post-Doctoral Fellow (April '94-)  
IMS Fellow (April '94-)  
JSPS Fellow (July '94-)  
Graduate Student (-September '93)<sup>14)</sup>  
Graduate Student (-March '94)<sup>15)</sup>  
Graduate Student (-March '94),  
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Graduate Student  
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*Molecular Energy Conversion*

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*Department of Molecular Assemblies*

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IMS Fellow (-March '94)<sup>17)</sup>  
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 Visiting Scientist (September '93-June '94)<sup>19)</sup>  
 Visiting Scientist (August-October '93)

*Molecular Assemblies Dynamics*

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 Hironori OGATA  
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 Noriko YAMAMURO  
 Roger J. WHITEHEAD  
 Takeshi ARAI  
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Professor  
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 IMS Fellow  
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 Graduate Student  
 Graduate Student  
 Visiting Research Fellow (-September '93)<sup>20)</sup>  
 Visiting Research Fellow  
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 Visiting Scientist (January '94-April '94)  
 Visiting Scientist (November-December '93)  
 Visiting Scientist (September-December '93)  
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 Md. Badruz ZAMAN  
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Professor (-March '94)<sup>23)</sup>  
 Associate Professor  
 Research Associate (-September '93)<sup>23)</sup>  
 Research Associate  
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 IMS Fellow  
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 Graduate Student  
 Graduate Student  
 Graduate Student  
 Graduate Student  
 Graduate Student  
 Graduate Student  
 Graduate Student  
 Visiting Scientist from Hankuk Univ. of Foreign Studies (June '94-August '94)

*Applied Molecular Science II*

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Research Associate

*Physical Organic Chemistry*

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Graduate Student from Kyoto Univ.\* (-March '94)  
Graduate Student from Kyoto Univ.\* (-March '94)  
Graduate Student from Kyoto Univ.\* (October '93-)  
Graduate Student from Kyoto Univ.\* (October '93-)  
Graduate Student from Kyoto Univ.\* (October '93-)  
Graduate Student from Tohoku Univ.\* (-August '93)<sup>26)</sup>  
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*Department of Vacuum UV Photoscience*

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Research Associate (-March '94)<sup>28)</sup>  
Research Associate (November '93-)  
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Technical Associate (April '94-)  
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Graduate Student  
Visiting Research Fellow (-March '94)

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Research Associate  
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Technical Associate  
Technical Associate  
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Graduate Student  
Graduate Student (April '94-)  
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Graduate Student from Shinshu Univ.\* (-March '94)

*Interface Molecular Science*

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Graduate Student from Saga Univ.\*  
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*Synchrotron Radiation Research*

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Daisuke KATAOKA  
Motoyuki TASAKA  
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Fumio KAWAIZUMI  
Yutaka FUKUDA  
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Thomas DANIEL  
Kenji WAIZUMI  
Rainer HAHN  
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*Functional Coordination Chemistry*

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Tetsunori MIZUKAWA  
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Graduate Student from Saga Univ.\* (April '94-)

Visiting Professor from Bilkent Univ. Ankara, Turkey (March '94-)  
Visiting Associate Professor from N.N.Semenov Institute of Chemical  
Physics of the Russian Academy of Sciences, Moscow, Russia  
(November '93-August '94)

Director

Professor (-March '94)<sup>31)</sup>  
Professor (April '94-)  
Associate Professor (-March '94)<sup>32)</sup>  
Associate Professor (April '94-)  
Research Associate (-March '94)<sup>33)</sup>  
Research Associate (-March '94)<sup>32)</sup>  
Research Associate (April '94-)  
Research Associate (April '94-)  
Graduate Student from Okayama Univ.\* (April '94-)  
Graduate Student from Okayama Univ.\* (April '94-)  
Graduate Student from Hiroshima Univ.\* (April '94-)  
Graduate Student from Okayama Univ.\* (April '94-)  
Graduate Student from Nara Women's Univ.\* (April '94-)  
Graduate Student from Niigata Univ.\* (-March '94)  
Graduate Student from Ochanomizu Univ.\* (-March '94)  
Graduate Student from Okayama Univ.\* (April '94-)  
Graduate Student from Hiroshima Univ.\* (April '94-)  
Graduate Student from Hiroshima Univ.\* (April '94-)  
Graduate Student from Hiroshima Univ.\* (April '94-)  
Graduate Student from Okayama Univ.\* (April '94-)  
Graduate Student from Okayama Univ.\* (April '94-)  
Graduate Student from Okayama Univ.\* (April '94-)  
Graduate Student from Okayama Univ.\* (April '94-)  
Graduate Student from Hiroshima Univ.\* (April '94-)  
Visiting Professor from Royal Inst. of Technology, Sweden (October  
'93-April '94)  
Visiting Research Fellow (October '93-March '94)

Professor (April '93-)  
Adjunct Professor from Kyoto Univ. (-March '94)<sup>34)</sup>  
Adjunct Associate Professor from Nagoya Univ. (-March '94)<sup>35)</sup>  
Adjunct Professor from Ochanomizu Women's Univ. (April '94-)  
Adjunct Associate Professor from Kobe Univ. (April '94-)  
Research Associate  
Technical Associate (-September '93)<sup>36)</sup>  
Visiting Research Fellow (April '94-)  
Visiting Research Fellow (April '94-)

Professor  
Associate Professor  
Research Associate  
Research Associate  
Technical Associate  
Technical Associate (April '94-)  
IMS Fellow (January '94-)  
JSPS Post-doctoral Fellow (-April '94), Visiting Research Fellow (May  
'94-)

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 Nobutoshi KOMEDA  
 Koichiro AOYAGI  
 Yoshinori KUSHI  
 Hiroshi NAKAJIMA  
 Kiyotsuna TOYOHARA  
 Kayoko KASAI  
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 Yasushi NISHIHARA  
 Fernando RULL-PEREZ  
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 Graduate Student  
 Graduate Student  
 Graduate Student  
 Graduate Student  
 Graduate Student  
 Graduate Student  
 Visiting Professor from Valladolid Univ., Spain (March '94-)  
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 Visiting Research Fellow (-December '93)  
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 Research Associate (February '94-)  
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 Shoji TANAKA  
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 Katsuhiko ONO  
 Chitoshi KITAMURA  
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 Associate Professor  
 Research Associate  
 Technical Associate  
 Graduate Student (-March '94)  
 Graduate Student  
 Graduate Student  
 Graduate Student

*Instrument Center*

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 Shunji BANDOW  
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 Daisuke KUWAHARA  
 Masaomi SANEKATA  
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 Associate Professor  
 Research Associate  
 Research Associate  
 Research Associate (January '94-)  
 Graduate Student  
 Graduate Student (April '94-)

*Low-Temperature Center*

Yusei MARUYAMA

Director

*Equipment Development Center*

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 Michio WATANABE  
 Shuji ASAKA  
 Hiroshi KITAGAWA  
 Jeung Sun AHN  
 Taro SEKIKAWA

Director  
 Associate Professor (April '94-)  
 Research Associate  
 Research Associate (-March '94)<sup>37)</sup>  
 Research Associate  
 Graduate Student from University of Tokyo\* (-March '94)

*Ultraviolet Synchrotron Orbital Radiation Facility*

Kyuya YAKUSHI  
 Nobuhiro KOSUGI  
 Makoto WATANABE

Director (-March '94)  
 Director (April '94-)  
 Associate Professor (-September '93)<sup>38)</sup>

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Hiroyuki HAMA	Research Associate
Shin-ichi KIMURA	Research Associate (October '93-)
Shigeo OHARA	IMS Fellow (-November '93) <sup>40)</sup>
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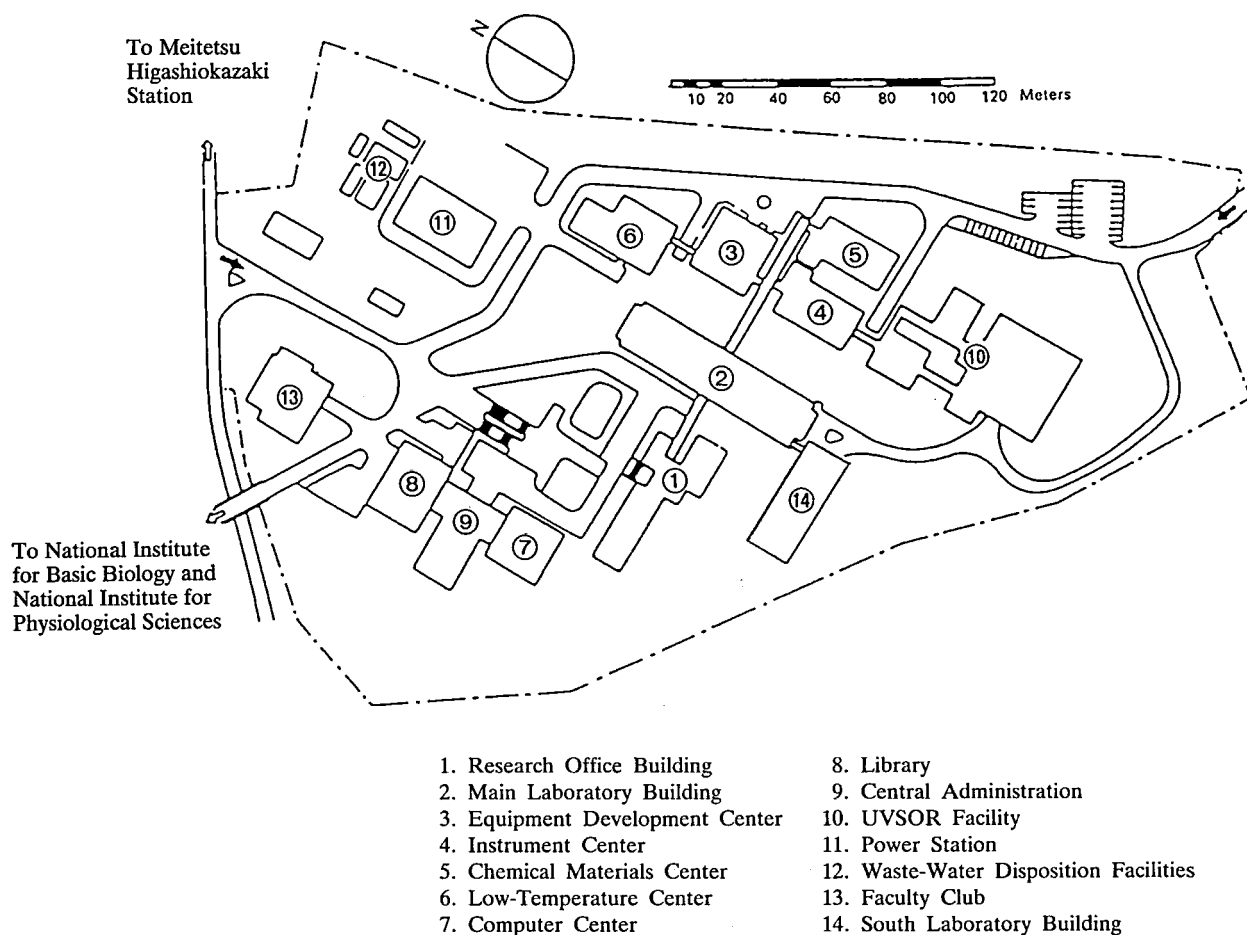
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# BUILDINGS AND CAMPUS

The IMS campus covering 62,343 m<sup>2</sup> is located on a low hill in the middle of Okazaki City. The inequality in the surface of the hill and growing trees are preserved as much as possible, and low-storied buildings are adopted for conservation of the environment. The buildings of IMS are separated according to their functions as shown in the map. The Research Office Building and all Research Facilities except for the Computer Center are linked organically to the Main Laboratory Building by corridors. Computer Center, Library and Administration Buildings are situated between IMS and the neighboring National Institute for Basic Biology and National Institute for Physiological Sciences, because the latter two facilities are common to these three institutes.

The lodging facility of IMS called Yamate Lodge, located within ten minutes' walk, has sleeping accommodations for 19 guests and two families. Mishima Lodge, located within four minutes' walk east of IMS can accommodate 68 guests and ten families. Scientists who visit IMS as well as the two other institutes can make use of these facilities. Foreign visiting scientists can also live at these lodgings with their families during their stays.

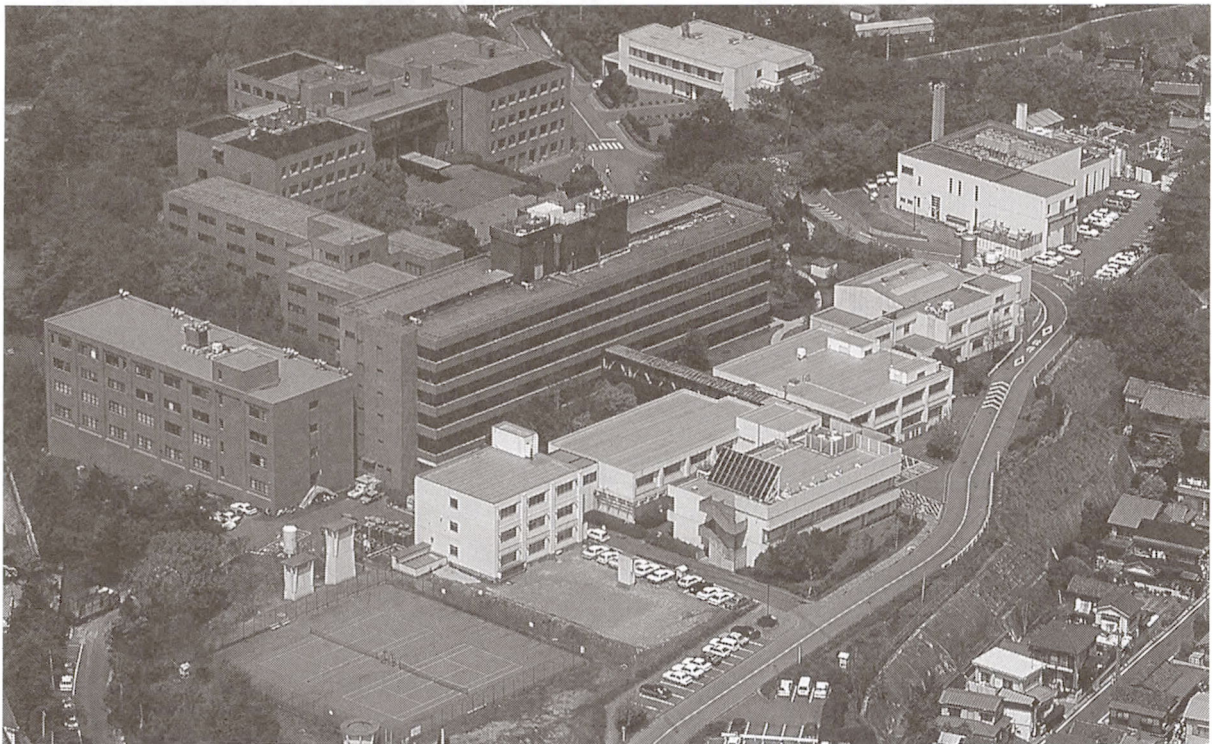
The Institute for Molecular Science





Okazaki (population 300,000) is 260 km southwest of Tokyo, and can be reached by train in about 3 hours from Tokyo via New Tokaido Line (Shinkansen) and Meitetsu Line.

The nearest large city is Nagoya, about 40 km west of Okazaki.



# RESEARCH ACTIVITIES I

## Department of Theoretical Studies

### I—A Structures and Reactions of Group 2 and 3 Atoms and their Ions with Water Clusters

#### I-A-1 Reactions of Singly Charged Alkaline-Earth Metal Ions with Water Clusters: Characteristic Size Distribution of Product Ions

Masaomi SANEKATA (*The Graduate University for Advanced Studies*), Fuminori MISAIZU, Kiyokazu FUKU, Suehiro IWATA (*Keio Univ. and IMS*), and Kenro HASHIMOTO (*Tokyo Metropolitan Univ.*)

[*J. Am. Chem. Soc.*, in press]

The reactions of  $Mg^+$  and  $Ca^+$  ions with water clusters are examined using a reflectron time-of-flight mass spectrometer combined with a laser vaporization technique. Both the  $M^+(H_2O)_n$  and  $MOH^+(H_2O)_{n-1}$  ( $M=Mg$  and  $Ca$ ) ions are found to form as the reaction products with characteristic size distributions: the latter ions are produced via an H-atom elimination reaction (oxidation of  $M^+$ ). As for the  $Mg^+$  ion, the  $Mg^+(H_2O)_n$  ions are predominantly produced for  $1 \leq n \leq 5$  and  $n \geq 15$ , while  $MgOH^+(H_2O)_{n-1}$  are exclusively observed for  $6 \leq n \leq 14$  in the mass spectrum. The similar product distributions are also observed for  $Mg^+-D_2O$ ,  $Ca^+-H_2O$ , and  $Ca^+-D_2O$  systems, though they are found to be affected by deuterium and metal substitutions. On the basis of these results as well as those on the photoinduced reaction of  $Mg^+(H_2O)_n$  reported previously, the first product switching at  $n=5$  for  $Mg^+$  ( $n=4$  for  $Ca^+$ ) is ascribed to the difference in the successive hydration energies of the  $M^+$  and  $MOH^+$  ions. As for the second product switching, two possible mechanisms are proposed such as the stabilization of a Rydberg-type ion-pair state and the involvement of a new product.

#### I-A-2 Molecular Orbital Studies of the Structures and Reactions of Singly Charged Magnesium Ion with Water Clusters, $Mg^+(H_2O)_n$

Hidekazu WATANABE (*Keio Univ. and The Graduate University for Advanced Studies*), Suehiro IWATA (*Keio Univ. and IMS*), Kenro HASHIMOTO (*Tokyo Metropolitan Univ.*), Fuminori MISAIZU, and Kiyokazu FUKU

[*J. Am. Chem. Soc.*, in press]

With the *ab initio* molecular orbital calculations, the structures of the cation clusters  $Mg^+(H_2O)_n$  and their hydrogen elimination products  $(MgOH)^+(H_2O)_{n-1}$  are optimized. In  $Mg^+(H_2O)_n$ , the hydration number of the most stable isomers is three. In  $(MgOH)^+(H_2O)_n$ , all water molecules are directly bonded to  $Mg^+$  for  $n \leq 6$ . The hydration energies of  $(MgOH)^+$  is larger than that of  $Mg^+$ , because of strongly polarized  $(MgOH)^+$  molecular ion. The internal energy change of the hydrogen elimination of  $Mg^+(H_2O)_n$  is positive for  $n=1-5$ , but become negative for  $n=6$ , which is in good agreement with the product switching in the TOF spectrum reported by Sanekata *et al.* The isotope effect and

the  $n$  dependence of the equilibrium constants of hydrogen (deuterium) elimination reaction observed in their experiment can be explained qualitatively.

#### I-A-3 The Theoretical Studies of the Boron-Water Cluster $B(H_2O)_n$ and their Ions $B^+(H_2O)_n$ with Comparing the Aluminum-Water Clusters

Hidekazu WATANABE (*Keio Univ. and The Graduate University for Advanced Studies*), Hiroyuki NAKAMURA (*Keio Univ.*), and Suehiro IWATA (*Keio Univ. and IMS*)

With the *ab initio* molecular orbital calculations, the structures of the  $B^+(H_2O)_n$  and  $B(H_2O)_n$  are optimized. The most stable neutral  $B(H_2O)_n$  are  $[B(H_2O)](H_2O)_{n-1}$  type, which are similar to the neutral  $[Al(H_2O)](H_2O)_{n-1}$ , in which only one water molecule is directly bonded to the central metal and forms the chain structures. On the other hand, in the singly charged  $B^+(H_2O)_n$ , two kinds of the isomers are found. The one is  $[B^+(H_2O)_2](H_2O)_{n-2}$  type of isomers, and is similar to  $Al^+(H_2O)_n$ . The other is  $[B^+(H_2O)](H_2O)_{n-1}$  type, which has chain structures. But in the latter type of isomers, the clusters isomerize to  $[BOH(H_3O^+)](H_2O)_{n-2}$  spontaneously. For  $n \geq 3$ , the structure  $[BOH(H_3O^+)](H_2O)_{n-2}$  further isomerizes to  $HBOH^+(H_2O)_{n-1}$ ; the boron atom extracts a hydrogen atom of the water molecule. Such isomerization reactions are not found in  $[Al^+(H_2O)](H_2O)_{n-1}$ . The isomers  $HBOH^+(H_2O)_{n-1}$  or  $[BOH(H_3O^+)](H_2O)_{n-2}$  are more stable than  $[B^+(H_2O)_2](H_2O)_{n-2}$ . On the other hand, in the Al-water clusters, the most stable isomers are  $[Al^+(H_2O)_2](H_2O)_{n-2}$ .

#### I-A-4 Ab Initio Studies on the Structures and Vertical Electron Detachment Energies of Copper-Water Negative Ion Clusters $Cu^-(H_2O)_n$ and $CuOH^-(H_2O)_{n-1}$

Chang-Guo ZHAN (*Central China Normal Univ. and IMS*) and Suehiro IWATA

[*Chem. Phys. Letters*, in press]

Equilibrium geometries of  $Cu^-(H_2O)_n$  and  $CuOH^-(H_2O)_{n-1}$  ( $n=1$  and  $2$ ) negative ion clusters have been optimized by performing the *ab initio* calculations at the second-order Møller-Plesset (MP2) level with extended basis sets. To understand the nature of the interaction between  $Cu^-$  ion and water molecules, we have also optimized the geometries of  $X^-(H_2O)_n$  ( $X=F, Cl, Br$  and  $I$ ) at the same level. The calculated results show that all hydrogen atoms in the equilibrium geometries of  $Cu^-(H_2O)_n$  ( $n=1$  and  $2$ ) are equivalent to each other, which is contrasted to the geometries of  $X^-(H_2O)_n$ , where one of hydrogen atoms of  $H_2O$  is more strongly bonded to  $X^-$ . The detailed com-

parative study leads to understand easily the optimized geometries, and allows us to estimate the ionic radius of  $\text{Cu}^-$  ion as 1.86 Å. The MP4SDTQ procedure has been performed at the geometries optimized at the MP2 level to

evaluate the vertical electron detachment energies as well as hydration energies, and all the calculated values are very close to the corresponding experimental data by Misaizu et al.

## I—B Geometrical and Electronic Structures of Binary Clusters

### I-B-1 Theoretical Study of Silicon-Sodium Binary Clusters, Geometrical and Electronic Structures of $\text{Si}_n\text{Na}$ ( $n=1-7$ )

Reiko KISHI (*Keio Univ.*), Atsushi NAKAJIMA (*Keio Univ.*), Suehiro IWATA (*Keio Univ. and IMS*), and Koji KAYA (*Keio Univ.*)

[*Chem. Phys. Letters*, **224**, 200–206 (1994)]

The geometries, ionization energies and adsorption energies of silicon-sodium binary clusters ( $\text{Si}_n\text{Na}$ ,  $n=1-7$ ) were studied with *ab initio* MO calculations. All the calculations were carried out at the restricted Hartree-Fock level of approximation for both closed and open shell system. The structure of the most stable isomer of  $\text{Si}_n\text{Na}$  keeps the frame of the corresponding Si cluster unchanged. The electronic structure of  $\text{Si}_n\text{Na}$  is similar to that of the corresponding negative ions  $\text{Si}_n^-$ . The ionization energies of  $\text{Si}_n\text{Na}$  were evaluated with the  $\Delta\text{SCF}$  method, and they become smaller than that of the corresponding  $\text{Si}_n$  as was reported experimentally.

### I-B-2 Photoelectron Spectroscopy of $\text{AlS}^-$ Diatomic Anion

Atsushi NAKAJIMA (*Keio Univ.*), Tetsuya TAGUWA (*Keio Univ.*), Kojiro NAKAO (*Keio Univ.*), Kuniyoshi HOSHINO (*Keio Univ.*), Suehiro IWATA (*Keio Univ. and IMS*) and Koji KAYA (*Keio Univ.*)

[*Chem. Letters*, 1525-1528 (1994)]

Photoelectron spectra of  $\text{AlS}^-$  radical were measured using a magnetic bottle electron spectrometer. Electron affinity of  $\text{AlS}$  was determined to be 2.63 eV. Besides, a new electronic excited state,  $A^2\Pi$ , was found 0.4 eV above the ground state. The *ab initio* calculations were carried out for both anion and neutral molecules. The calculated energy difference between the anion ground state and the neutral states is in very good agreement with the experimental one.

## I—C Oxonium and Ammonium Radicals in Water and Ammonia Clusters

### I-C-1 *Ab initio* MO Study of Ammonium and Oxonium Radicals in Ammonia and Water Clusters

Seiichiro TEN-NO and Suehiro IWATA

The geometries and the electronic structures of a series of oxonium and ammonium radicals in ammonia and water clusters are investigated with *ab initio* molecular orbital methods. The structures of radical clusters and their cations are optimized with HF/6-31G\* augmented with rydberg

sp functions on N and O. In  $\text{NH}_4(\text{NH}_3)_n$  ( $n=1-5$ ), if the cationic structures, which qualitatively reproduce the experimental complexation energies, are assumed, the ionization energy (IP) monotonically decreases with the size of the clusters. For  $n > 3$ , the rate of IP decrease in radical structures is much smaller than that in cationic structures. Rydberg spin densities at nucleus are strongly correlated with these quantities. Similar analysis is also implemented for ammonium and oxonium radicals in water clusters.

## I—D Structures and Reaction Dynamics in the Ground and Excited States of Argon Cluster ions $\text{Ar}_n^+$

### I-D-1 Theoretical Study of the Intra Cluster Reactions in $\text{Ar}_4^+$

Tsutomu IKEGAMI (*Keio Univ. and IMS*), Wataru SAKURAI (*Keio Univ.*), and Suehiro IWATA (*Keio Univ. and IMS*)

The intra-cluster reaction is an important field in the cluster study, as it is expected to connect the gas phase chemistry and the liquid phase chemistry. Probably, the argon cluster ion,  $\text{Ar}_n^+$ , is one of the simplest systems to display an intra-cluster reaction. It consists of a linear  $\text{Ar}_3^+$  ion core and neutral Ar atoms. The ion core has two covalent bonds in it and acts as a solute, while the other Ar atoms behave like solvents. During the molecular dynamics calcu-

lation, a core switching reaction,  $[\text{Ar}_3^+ + \text{Ar}]\text{Ar}_{n-4} \leftrightarrow [\text{Ar} + \text{Ar}_3^+]\text{Ar}_{n-4}$ , was observed occasionally, in which one chemical bond is broken and a new chemical bond is formed. To study the basis of the reaction, a full-dimensional potential energy surface of  $\text{Ar}_4^+$  was carefully surveyed.

The potential energy was calculated by using the diatomics-in-molecules (DIM) method. Two isomers were found: one is a distorted T-shape (T), and the other is a linear shape (L). The isomer T is more stable than L by 3.2 meV. Two reaction paths for the core switching reaction were obtained. One goes from T to T, whose transition state is located 116.2 meV higher in energy than T. Another goes from L to L, whose transition state is 3.5 meV higher than L. Since the transition state connecting T and L

is 22.0 meV higher than T, the direct path from T to T is negligible. Instead, the reaction is expected to proceed as T

→ L → L' → T'. Isomerization reaction from T to T without core switching is also studied.

## I—E Excited States and Ionic States of Inner-valence and Inner Shell Regions of Simple Molecules

### I-E-1 The Theoretical Analysis of the 2D Photoelectron Spectrum of HCl

Suehiro IWATA (*Keio Univ. and IMS*)

The 2D photoelectron spectrum of HCl, which was recently reported by Comer's group in Manchester, is analyzed with the configuration interaction (CI) calculations. The observed spectrum above 22 eV shows three types of patterns; (a) parallel lines with a constant slope, (b) vertical lines, and (c) horizontal lines. Type (a) is the normal photoelectron bands. In this energy region, the bands are

assigned to the simultaneous ionization and excitation. Some of the final states are the Rydberg states converging to the doubly charged ionic states. These bands become observable through the breakdown of the single determinant description either of the neutral ground state or of the final ionic states. The detailed analysis based on the CI potential energy curves reveals that some of the bands are assigned to the ionic states involving the excitation to 3d orbitals of Cl. This implies that the 3d orbitals of Cl contribute to the bonding of the neutral ground state HCl.

## I—F Potential Energy Surfaces of Excited States and Dynamics of some Diatomic and Triatomic Molecules

### I-F-1 Potential Energy Surfaces of Some Low-Lying States of Fluoroformyl radical FCO

Shinkoh NANBU (*Keio Univ. and IMS*), Masahiro GOMYO (*Keio Univ.*), and Suehiro IWATA (*Keio Univ. and IMS*)

[*Chem. Phys.*, **184**, 97-106 (1994)]

Potential Energy Surfaces for electronic ground and four excited states of the fluoroformyl radical, FCO, were calculated with the *ab initio* molecular orbital configuration interaction method. The electronic transition related with the progressions I and II in the photoabsorption spectra by Jacox [*J. Mol. Spectry*, **80**, 257 (1980)] was found to be the  $1^2A'(1^2\Pi) \leftarrow 1^2A'$  transition. The pathway of the photodissociation,  $\text{FCO}(1^2A') + h\nu \rightarrow \text{CO}(1^2\Sigma^+) + \text{F}(2P)$ , with a threshold near 280nm, was clarified.

## I—G Electronic Structure of Electron-Donor-Acceptor Complexes

### I-G-1 Theoretical Studies of Ammonia-Halogen and Methylamine-Halogen Complexes: Geometries, Harmonic Vibrational Frequencies and their Infra-Red Intensities, and Excited States of Ammonia-Chlorine Monofluoride Complex

Takao KOBAYASHI (*Keio Univ.*), Hidenori MATSUZAWA (*Keio Univ. and Chiba Inst. Tech.*), and Suehiro IWATA (*Keio Univ. and IMS*)

[*Bull. Chem. Soc. Jpn.*, in press]

The electronic correlation effects for the geometry and stability of the ammonia-halogen ( $\text{NH}_3\text{-Cl}_2$  and  $\text{NH}_3\text{-ClF}$ ) and the methylamine-halogen ( $\text{CH}_3\text{NH}_2\text{-Cl}_2$  and  $\text{CH}_3\text{NH}_2\text{-}$

$\text{ClF}$ ) are examined with *ab initio* molecular orbital calculations. The intermolecular distance,  $r(\text{N-Cl})$ , with the second order Møller-Plesset (MP2) method are substantially shorter from the corresponding values with the SCF method. With the MP2 method the stabilization energies become large from those of the SCF calculations. Large high-frequency shifts for two intra  $\text{CH}_3\text{-NH}_2$  coupled motions are found. The frequency shift and IR intensity change in the complex formation are very mode-specific. Besides, the potential energy surfaces of the ground and low-lying excited states of  $\text{NH}_3\text{-ClF}$  complex are calculated with the CI method. The first excited state is directly dissociated to  $\text{NH}_3\text{Cl} + \text{F}$ .

## I—H Theoretical Studies of Molecular Conformations and Barriers to Internal Rotation and Inversion

### I-H-1 Theoretical Studies of the Internal Rotation of the Methyl Group in o-, m-, and p-Fluorotoluenes and their Cations.

Yoko SONODA (*Keio Univ.*) and Suehiro IWATA (*Keio Univ. and IMS*)

Recently, Fujii, Takazawa and Ito determined experimentally the barrier heights of the methyl-group rotation in the ground state ( $S_0$ ), the first excited state ( $S_1$ ) and the cationic ground state ( $C_0$ ) of three isomers of fluorotoluenes.

They are state-dependent as well as isomer-dependent.



To elucidate the state and isomer-dependency of the barrier height, the *ab initio* MO calculations were carried out for  $S_0$  and  $C_0$  of three isomers. Along the methyl rotation, most of the relevant geometrical parameters were optimized. The calculated barrier heights are well correlated with the

experimental ones even quantitatively. The calculation indicates that the barrier height is not dependent on the bond length of carbons of methyl and benzene ring and that it is somewhat related to a small difference in electron distribution of "left" and "right" side of benzene ring.

## I—I Development of New Theoretical and Numerical Techniques in the Study of Molecular Electronic Structures

### I-I-1 On Some Approximation for Two-Electron Integrals

Seiichiro TEN-NO and Suehiro IWATA

A recently proposed approximation scheme for two-electron integral evaluation was examined within the LCAO-LDA method. In the approximation, before the integral evaluation an electron density over two atomic (or molecular) orbitals is expanded in terms of a linear combination of Gaussian type functions. Thus, any two-electron integrals are expressed by a linear combination of three-center integrals; in other words, the time-consuming four-center integral evaluations can be avoided.

To examine the accuracy of the approximation, the test calculations were carried out for ten-electron systems, Ne and water. The basis sets used were Dunning's [5s3p] for Ne and MCY's [4s3p2d/2s1p] for water. For the atomic case (Ne), an approximate energy within an accuracy of  $10 \mu$  hartree was attained by the uncontracted auxiliary functions of only double exponents and angular momentum indices (s and d) derived from the primitive basis function [5s3p]. For the molecular case, to reach the same accuracy, 11p on oxygen and 5p on hydrogen have to be added to the auxiliary functions derived similarly to the atomic case. This scheme requires only a tenth of the integral evaluation time in the traditional procedure.

This integral approximation can be easily extended to a variety of standard *ab initio* MO methods and scales as  $N^3$  (where  $N$  is the number of basis functions), whereas the usual *ab initio* approaches scale as  $N^4$ . It is also very suited for the direct procedure in which the integrals are never

kept on any auxiliary memory. The present results indicate that the approximation enables us to apply *ab initio* theory to very large molecules without much loss of accuracy.

### I-I-2 Irregular Order in Basicities of Methylamines in Aqueous Solution: A RISM-SCF Study

Masaaki KAWATA (Kyoto Univ.), Seiichiro TEN-NO, Shigeki KATO (Kyoto Univ.) and Fumio HIRATA (Kyoto Univ.)

[J. Am. Chem. Soc., to be published]

Irregular order in basicities of a series of methylamines in aqueous solution is studied by means of *ab initio* quantum chemical calculation combined with extended version of reference interaction site model in statistical mechanics of molecular liquids (RISM-SCF). Free energy changes of solvent structure upon protonations are calculated in a hyper-netted chain (HNC) like approximation that is regarded superior to other approximations for polar solvent. By using HF/6-31G\* with p function on hydrogen atoms directly attached to nitrogen atom, the irregularity in basicities is reproduced in qualitative agreement with well established experimental results. The method does not require a priori cavity assumption in solution, which introduces ambiguous parameters into the theories, and enable us to explain the physical origin of the basicity entirely from a microscopic point of view. Solvation structure around methylamine is also examined in terms of radial distribution functions. The result is an encouraging indication of the potential usefulness of the RISM-SCF approach to investigate a variety of quantum processes in solution.

## I—J Structures and Reactions of Manybody Chemical Systems

Electronic structure and dynamical behavior of large systems such as liquid and polyenes are investigated theoretically. (a) Collective motion and fluctuation in liquid and clusters (b) Chemical reactions and relaxation in liquid and clusters, and (c) Electronic structure and dynamical behavior of polyenes, are analyzed.

### I-J-1 Liquid Water Dynamics; Collective Motions, Fluctuation and Relaxation

Iwao OHMINE (IMS and Nagoya Univ.)

[to be published as Feature Article in J. Phys. Chem.]

Dynamics of liquid water in molecular scale was investigated theoretically. It has been shown that there exist local collective motions of water molecules and energy fluctuation associated with hydrogen bond rearrangement dynamics. The nature of the collective motions (time scale,

spatial scale and energy scale of the collective motions) is analyzed by examining potential energy surfaces and trajectories. The dynamics of liquid water involves multi-relaxation processes and certain physical quantities yield so called  $1/f$  spectra. These results of liquid water are compared with those of water clusters.

### I-J-2 Instantaneous Normal Mode Analysis of Liquid Water



Minhaeng CHO\*, Graham R. FLEMING\* (\*Univ. of Chicago), Shinji SAITO, Iwao OHMINE (IMS and Nagoya Univ.), and Richard M. STRATT\*\* (\*\*Brown Univ.)

[*J. Chem. Phys.*, **100**, 6672 (1994)]

We present an instantaneous-normal-mode analysis of liquid water at room temperature based on a computer simulated set of liquid configurations and we compare the results to analogous inherent-structure calculations. The separate translational and rotational contributions to each instantaneous normal mode are first obtained by computing the appropriate projectors from the eigenvectors. The extent of localizations of the different kinds of modes is then quantified with the aid of the inverse participation ratio roughly the reciprocal of the number of degrees of freedom involved in each mode. The instantaneous normal modes also carry along with them an implicit picture of how the topography of the potential surface changes as one moves from point to point in the very-high dimensional configuration space of a liquid. To help us understand this topography, we use the instantaneous normal modes to compute the predicted heights and locations of the nearest extrema of the potential. The net result is that in liquid water, at least, it is the low frequency modes that seem to reflect the largest-scale structure transitions. The detailed dynamics of such transitions are probably outside of the instantaneous-normal-mode formalism, but we do find that short-time dynamical quantities, such as the angular velocity autocorrelation functions, are described extraordinarily well by the instantaneous modes.

### I-J-3 Dynamics and Relaxation of an Intermediate Size Water Cluster ( $\text{H}_2\text{O}$ )<sub>108</sub>

Shinji SAITO\* and Iwao OHMINE\* (IMS and Nagoya Univ.)

[*J. Chem. Phys.*, in press]

The potential surface, melting, surface structure, and hydrogen bond network of an intermediate size water cluster ( $\text{H}_2\text{O}$ )<sub>108</sub> are investigated. The orientation relaxations of single and of collective molecules are analyzed and compared with those of liquid water. The collective orientation relaxation (COR) (i.e., dielectric relaxation) of the water cluster is found to be much faster than that of liquid water due to different boundary conditions. In both liquid and cluster, the cross correlation between individual molecular dipoles plays an important role in static and dynamic quantities. COR of the cluster yields a so-called  $1/f$  fluctuation in contrast to the well-known Debye relaxation in liquid water. In order to understand these differences of COR between the water cluster and liquid water, the wave vector dependence of the transverse and longitudinal components of COR is examined. A surface effect on hydrogen bond network and the correlation between structural change and coordination number are analyzed.

### I-J-4 Translational and Orientational Dynamics of a Water Cluster ( $\text{H}_2\text{O}$ )<sub>108</sub> and Liquid Water; Analysis of Neutron Scattering and Depolarized Light Scattering

Shinji SAITO\* and Iwao OHMINE\* (IMS and Nagoya Univ.)

Molecular dynamics simulations were performed to analyze effects of the translational and orientational motions on the coherent and the incoherent neutron scattering and the depolarized light scattering (DLS) spectra of a water cluster ( $\text{H}_2\text{O}$ )<sub>108</sub> and liquid water. In the neutron scattering of the water cluster and liquid water, there exist two modes, the high and the low frequency modes, in the collective longitudinal current fluctuations for oxygen atoms. The low frequency mode is almost independent on wave number above  $0.6 \text{ \AA}^{-1}$ , while the velocity of the high frequency mode is faster than 3000 m/s, as experimentally observed in liquid water. The nature of this high frequency mode is analyzed by changing the molecular interaction parameters characterizing the hydrogen bond structure of the system. It was found that the high mode is very sensitive to hydrogen bond structure and a three dimensional network structure of the hydrogen bonds is essential for its existence. We also analyzed the collective and individual longitudinal current fluctuations for hydrogen atoms. For the depolarized light scattering, the contribution of the cross correlation is examined and compared with those in the collective orientational relaxation in the far IR spectrum. The interaction-induced component plays a dominate role below  $300 \text{ cm}^{-1}$  while the permanent component does above  $300 \text{ cm}^{-1}$  in DLS of the cluster and liquid water. The induced component relaxes very slowly in the cluster and yields almost an identical relaxation to that of the dipole-dipole interaction tensors. It was found that the power spectrum of the DLS baseline of liquid water obtained from the MD calculation is in good agreement with experimentally observed spectrum by Walrafen et al.

### I-J-5 Fluctuation, Relaxations and Hydration in Liquid Water; Hydrogen Bond Rearrangement Dynamics

Iwao OHMINE and Hideki TANAKA (Kyoto Univ.)

[*Chemical Reviews*, **93**, 2545-2566 (1993)]

Collective motions and fluctuations in liquid water dynamics and hydration mechanism is investigated. Effects of those collective motions on physical observables are analyzed.

### I-J-6 The Origin of Many-body Effect in the Hydrated Proton Clusters

Tamiki KOMATSUZAKI (Inst. Fund. Chem. and Grad. Univ. for Adv. Studies) and Iwao OHMINE (IMS and Nagoya Univ.)

[*Chemical Physics*, **180**, 239 (1994)]

Energetics of proton transfer in liquid water is investigated by using ab initio calculation. Molecular electronic in-

teraction of hydrated proton clusters is classified into many body interaction elements by a new energy decomposition method. It is found that up to three-body molecular interaction is essential to describe the potential energy surface. The three-body effect mainly arises from the (non-classical) charge transfer and strongly depends on their configuration.

The basis-set dependency and correlation effect on the many-body interaction in hydrated proton clusters are analyzed in detail. The counterpoise corrected and uncorrected interactions with three different basis-sets (MIDI-4, MIDI-4\*\*, 6-311+G\*\*) at SCF, MP2 and MP3 level calculations are investigated.

With respect to the total two-and higher-body interactions, the Basis Set Superposition Error (BSSE) of the two-body interaction is smaller at the SCF level than at the MP2-3 levels. This BSSE is not small even with 6-311+G\*\*(1kcal/mol at SCF level and a few kcal/mol at MP levels). On the other hands, the correlation effect and BSSE of the higher-body interactions are negligible less than a half kcal/mol with all three basis sets. The BSSE corrected interaction energies at MP3 level with 6-311+G\*\* are quite similar to the BSSE uncorrected those at SCF level with MIDI-4\*\*. The basis-set dependence (MIDI-4 and MIDI-4\*\*) of the two-body interaction energies are found to mainly arise from electrostatic component. The qualitative features of the two-and many-body interactions of the hydrated proton clusters can be, therefore, represented by the MIDI-4 energies at BSSE uncorrected SCF level calculation.

### I-J-7 New Molecular Dynamics Method for Cooperative Proton Transfer Dynamics in Liquid Water

**Tamiki KOMATSUZAKI** (*Inst. Fund. Chem. and Grad. Univ. for Adv. Studies*) and **Iwao OHMINE** (*IMS and Nagoya Univ.*)

New molecular dynamics method has been developed on the basis of semi-empirical density functional theory in order to take into account the charge reorganization through proton transport in liquid water, which was clarified as the dominant role to the proton transfer potential surfaces by our previous research.

The switching algorism is developed for the blockage-method which defines the charge reorganization space dependent on the configuration of a system. The blockage should be applied on the basis of the amount of interactions among charge sites. It was found that the number of the blockage types must be more than two in order to describe the proton transfer potential surface smoothly. The tetrahedral site model for the water-oxygen is also examined, compared with the standard one-site model. It is concluded that the rigid many-site model on oxygen atom is not superior to the simple one-site model because the rigid-four site model must often have the singularity on the potential energy surfaces (for example, hydronium ion's inversion motion).

### I-J-8 Topology and Dynamics in the Hydrogen Bond Network System

**Masakazu MATSUMOTO** and **Iwao OHMINE\*** (*IMS and Nagoya Univ.*)

To understand the hierarchical structure of the potential surface in the configurational space and the origin of collective dynamics, liquid water between intermediate temperature (300K) through supercooled region (80K) is investigated with molecular dynamics method. 64 water molecules are confined in a cell with periodic boundary and density is kept 0.997 at all temperature. Under 240K, the system is vitrified and the diffusion coefficient reaches to zero within 1 ns. No evidence for the transition to water II phase is observed.

Even in supercooled region around 240K, hydrogen bond rearrangements (HBR) seem to be held in the same manner as in the room temperature. Flip-flop type energy exchange and existence of cavities play important role in the large scale HBR. Active region, which means the region with frequent HBR, seems to distribute very inhomogeneously in time and space in the liquid water. Short ranged feature like local network topology of the HBN or rotability of water molecule may prevent such region from diffusion. Structure activity relationship (SAR) and enhanced isotope effect should be analyzed.

Vogel-Fulcher type critical slowing down approaching to 228K is observed. Slow dynamics and collective motion is well known phenomena in the system with simple repulsive interaction ("fragile glass" system), where potential surface is entirely flat. In other words, hierarchical structure of the potential surface is not necessary for such a critical phenomena. It should rather be studied why the hydrogen bond network (HBN) can morph without energetic barrier, or how the system finds the pathway to adjacent entropic vessel.

Quenching method, first introduced by Stillinger and Weber, is often used to clarify the "inherent structure" of the liquid. However, the method may often exaggerate "deeply-hidden" structure of the water when the hydrogen bond network has some bifurcated bonds. Alternative methods are now on study.

### I-J-9 Ab initio MO Study for Photoisomerization of Octatetraene

**Masakatsu ITO** and **Iwao OHMINE\*** (*IMS and Nagoya Univ.*)

Three lowest singlets states ( $^1\text{Bu}^+$ ,  $2^1\text{Ag}^-$ , Ground state) are involved in the photoisomerization process of polyenes. The potential energy surface of the three singlets states of octatetraene are calculated by using MCSCF and MRSDCI methods. We carried out MCSCF calculations using the CAS (complete active space) type configurations generated by occupying eight electrons in eight pi orbitals [1764CSF]. The basis set employed were STO-3G for geometry optimizations and DZ, DZ+P otherwise. Both trans-trans and cis-trans isomers are found to be planar in the  $2^1\text{Ag}$  state and the vibrational frequencies of these two isomers are quite similar.

We then performed the MRSDCI calculations with DZ basis sets at the MCSCF optimized geometries to obtain more reliable energy surface in twisted conformations. We have chosen the reference configurations so that they comprise more than 90 percents of the MCSCF wave functions.

The potential energy surfaces were obtained as functions of the central C=C torsional angle. As twisting the C=C bond, the 1Bu and the ground states approach each other closely. In contrast, the potential curve of the 2Ag state is almost flat, while in the case of MCSCF calculation this

state exhibits a energy maximum at the 90 degree twisting conformation. Further study of the potential energy surfaces in the more extended region of the conformation space is in progress.

## I—K Theoretical Studies of Chemical Reaction Dynamics

Clarification of the reaction mechanisms and development of illuminating good approximate theories are our ultimate purposes.

### I-K-1 Constat Centrifugal Potential Approximation for Atom-Diatom Chemical Reaction Dynamics

Kengo MORIBAYASHI, Shoji TAKADA, and Hiroki NAKAMURA

[*J. Chem. Phys.*, **100**, 4284 (1994)]

The constant centrifugal potential approximation (CCPA) is generalized so as to be applicable to the reactions of rotationally excited reactants. The accurate calculations of reaction probabilities are required only for  $J \leq |\Omega_i|_{\text{MAX}} (\leq j_i)$ , where  $J$  is the total angular momentum quantum number,  $j_i$  represents the initial rotational state of a reactant diatomic molecule,  $\Omega_i$  is the  $z$  component of  $J$  in the body-fixed frame in the initial arrangement and  $|\Omega_i|_{\text{MAX}}$  is the maximum of such  $|\Omega_i|$ 's that give significant contributions to the reaction. The method is applied of the  $\text{D}+\text{H}_2$  ( $v_i=0, j_i$ ) reaction with use of the hyperspherical coordinates, and is proved to be useful by comparing the results with those of the author's own accurate calculations. The reaction mechanisms are clarified with respect to the dependence on  $\Omega_i$  and  $j_i$ .

### I-K-2 Effects of Potential Energy Surface Topography and Isotope Substitution in Atom-Diatom Chemical Reactions: The $\text{Cl}+\text{H}_2$ and $\text{D}+\text{H}_2$ Systems

Shoji TAKADA, Kenichiro TSUDA, Akihiko OHSAKI (Miyazaki Univ.) and Hiroki NAKAMURA

[*Adv. in Molecular Vibrations and Collision Dynamics*, vol. 2A p.245 (1994)]

We report (1) the effects of potential energy surface (PES) topography on the reaction dynamics of  $\text{D}+\text{H}_2 \rightarrow \text{DH}+\text{H}$  and  $\text{Cl}+\text{XH} \rightarrow \text{HCl}+\text{X}$ ,  $\text{XCl}+\text{H}$  ( $\text{X}=\text{H}, \text{D}$ ) and (2) the kinetic isotope effects (KIE) in the latter reactions, on the basis of the exact 3D quantum mechanical calculations for  $J=0$ , where  $J$  is the total angular momentum quantum number. For the first subject, we employ the two PESs for each system. In the case of  $\text{D}+\text{H}_2$ , a large difference in the reaction probabilities is found for the two similar PESs with a small difference in the proper reaction zone. On the other hand, in the  $\text{Cl}+\text{H}_2$  reactions, essentially the same probabilities are obtained for the two quite different PESs, which differ significantly in the H-Cl-H configuration improper for the relevant reaction. As for the KIE study, integral cross sections and thermal rate constants are calculated and compared for the three reactions,  $\text{Cl}+\text{H}_2$ ,  $\text{Cl}+\text{HD}$ , and  $\text{Cl}+\text{DH}$ . We show, at first, that the quasiclassical trajectory results deviate from the present quantum mechanical ones

not only at very low but also at quite high energies. The following three isotope effects are analyzed: (1) difference in zero-point energies, (2) quantum mechanical tunneling, and (3) initial rotational excitation. The constant centrifugal potential approximation (CCPA) based on the accurate results for  $J=0$  is again proved to work well and applied extensively to investigate the KIE.

### I-K-3 Wentzel-Kramers-Brillouin Theory of Multidimensional Tunneling: General Theory for Energy Splitting

Shoji TAKADA and Hiroki NAKAMURA

[*J. Chem. Phys.*, **100**, 98 (1994)]

A general Wentzel-Kramers-Brillouin (WKB) theory of multidimensional tunneling is formulated and an illuminating physical picture of the effects of multidimensionality is provided. Two basic problems are solved: (i) Maslov's semiclassical wave function in the classically accessible region is connected to the wave function in the classically inaccessible region and (ii) the latter is propagated into the deep tunneling region. It is found that there exist two distinct types of tunneling: pure tunneling and mixed tunneling. The former is the usual one in which the tunneling path can be defined by a certain classical trajectory on the inverted potential and its associated action is pure imaginary. In the latter case, no tunneling path can be defined and the Huygens-type wave propagation should be carried out. In this case, tunneling is always accompanied by classical motion in the transversal direction and the associated action is complex. A general procedure is presented for the evaluation of energy splitting  $\Delta E$  in the double well. Moreover, under the locally separable linear approximation, a simple and convenient formula for  $\Delta E$  is derived and is confirmed to work well by comparison with the exact numerical calculations.

### I-K-4 WKB Theory of Tunneling between Tori

Shoji TAKADA and Hiroki NAKAMURA

[*Prog. Theor. Phys. Supplement*, No. 116 (1994)]

General WKB theory of multidimensional tunneling between tori is formulated and illuminating physical picture on the effects of multidimensionality is provided. On the basis of Wilkinson's work, (1) Maslov's semiclassical wavefunction in classically accessible region is connected to the wavefunction in classically inaccessible region and (2) the latter is propagated into deep tunneling region. It is found

that there exist two distinct regions of tunneling: a region where action is pure imaginary (I-region) and another region where action is complex (C-region). In I-region tunneling path can be defined by a certain classical trajectory on the inverted potential, while in C-region no tunneling path can be defined and the Huygens type wave propagation should be carried out. Moreover, wavefunction of a vibrationally excited state has nodal lines in C-region but not in I-region.

### I-K-5 Systematics of the Average Resonance Widths in Overlapping Resonance-Scattering and Its Connection with the RRKM Theory

Kiyohiko SOMEDA, Hiroki NAKAMURA and Frederick H. MIES (NIST)

[*Chem. Phys.*, **187**, 195 (1994)]

Decay processes of densely distributed quasi-bound states are studied numerically by randomly generating the Hamiltonian matrices. The average decay rate obtained from the Feshbach theory of resonance scattering exhibits systematic behavior against the average density of states ( $\rho$ ), the number of continua ( $K$ ) and the average coupling strength to the continua ( $\nu$ ). The distribution of the decay rates bifurcates into *long-lived* and *short-lived* branches when  $\rho$  is larger than a certain critical value  $\rho_c$ , which is found to be roughly equal to the inverse of the 0-th order partial width  $\langle \gamma_{\text{part}} \rangle$ . Thus one can clearly distinguish the *isolated resonance regime* in the region  $\rho < \rho_c$  and *overlapping resonance regime* in the region  $\rho > \rho_c$ . The states belonging to the short-lived branch exhibit a very broad energy spectrum and are recognized as background continua. They are not quasibound states in practice. The decay rates of the long-lived branch, on the other hand, systematically decrease with  $\rho$  at  $\rho \gg \rho_c$ . The average of these decay rates is proportional to  $\langle \gamma_{\text{part}} \rangle^{-1} K \rho^{-2}$ . When the short-lived branch is excluded, the average decay rate,  $\langle \Gamma/\hbar \rangle$ ,

roughly agrees with that of RRKM rate in the region  $\rho \approx \rho_c$ , where the spectral profile becomes most diffuse. Outside the region  $\rho \approx \rho_c$ ,  $\langle \Gamma/\hbar \rangle$  is always smaller than the RRKM rate. The above observation is confirmed also by a square-well potential model and ascertains the conventional belief that the RRKM theory holds only when resonances overlap and that it gives the upper bounds. It is noteworthy that this RRKM regime corresponds to the critical overlap,  $\rho < \gamma_{\text{part}} \approx 1$ .

### I-K-6 Decoupling Surface Analysis of Cl + Cl<sub>2</sub> Reaction Embedded in Ar<sub>52</sub> Cluster

Kiyohiko SOMEDA and Hiroki NAKAMURA

[*Bull. Chem. Soc. Japan*, **67**, 2659 (1994)]

A model triatomic reaction Cl+Cl<sub>2</sub>→Cl<sub>2</sub>+Cl embedded in an Ar<sub>52</sub> van der Waals cluster is analyzed classically mechanically by a novel theoretical method called "decoupling surface analysis". This method generates new reactive and vibrational variables in which the kinetic coupling as well as the potential coupling are minimized. An analysis of local frequencies of the vibrational variables along the reaction coordinate clarifies how the motions of ambient Ar atoms are coupled with the central Cl<sub>3</sub> system. When the local frequencies are plotted as a function of the reaction coordinate, avoided crossings among these curves are observed. Mixing of Cl motion and Ar motion is clearly detected by these avoided crossings. The vibrational mode having a character of the symmetric stretch of Cl<sub>3</sub> shows exclusively strong coupling with the reactive motion. This mode, however, very weakly couples with the ambient Ar motion. On the other hand, the bending and translation of Cl<sub>3</sub> are strongly mixed with the motions of ambient Ar atoms and produce unstable modes, which play the most significant role in energy exchange between the central reaction system and the ambient Ar atoms.

## I-L Theory of Nonadiabatic Transition

Nonadiabatic transition is one of the very basic mechanisms of state (phase) change in various fields of physics and chemistry. Recently, we have succeeded in deriving good analytical new formulae for the Landau-Zener and nonadiabatic tunneling cases within the linear potential model. Especially, a simple and compact formula much better than the famous Landau-Zener formula was proposed. Generalizations to general curves potential are also successfully carried out. We can now present complete set of compact solutions for the general two-state curve crossing problems.

### I-L-1 Two-State Linear Curve Crossing Problems Revisited. IV. The Best Analytical Formulas for Scattering Matrices

Chaoyuan ZHU and Hiroki NAKAMURA

[*J. Chem. Phys.*, **101**, 4855 (1994)]

Based on the achievements of the previous three papers of this series, the best working formulas for scattering matrix are obtained for both the Landau-Zener (LZ) and the nonadiabatic tunneling (NT) case: two formulas valid at  $b^2 \geq 0$  and  $b^2 \leq 0$  in the LZ case, and three formulas valid at  $b^2 \leq -1$ ,  $-1 \leq b^2 \leq 1$  and  $b^2 \geq 1$  in the NT case, where  $b^2$  rep-

resents the effective energy. Simple and compact formulas which work far better than the LZ formula are proposed for nonadiabatic transition probability by one passage of crossing point for both the LZ and NT cases. Furthermore, compact expressions are derived, for the first time for the nonadiabatic tunneling probability at  $b^2 \leq 1$ , i.e., at energies lower than the bottom of the upper adiabatic potential. All the formulas proposed here can be usefully utilized at any coupling strength, namely the validity range has been very much expanded compared to the previous formulas by employing certain empirical corrections. Besides, these formulas have convenience to enable to general curved potentials.

## **I-L-2 Theory of Nonadiabatic Transition for General Two-State Curve Crossing Problems**

**Chaoyuan ZHU and Hiroki NAKAMURA**

Based on the achievements for the linear potential model, new accurate and compact formulas are established for general two-state curve crossing problems. These can cover practically the whole range of energy and coupling strength and can be directly applied to the various problems such as inelastic scattering, elastic scattering with resonance and perturbed bound state problem. All the basic potential parameters can be estimated directly from the adiabatic

potentials and non-unique diabaticization procedure is not required. Complex contour integrals are not necessary to evaluate the nonadiabatic transition probability and thus the whole theory is very convenient for various applications. The previously proposed simple and compact formula better than the famous Landau-Zener formula is shown to be applicable also to general curved potentials. The explicit expressions are derived also for the nonadiabatic tunneling (transmission) probability. Now, the present theory can present complete picture of the two-state curve crossing problems.

## **I—M Theoretical Studies of Characteristics and Dynamics of Superexcited States of Molecules**

Superexcited states show various intriguing properties and participate in a variety of dynamic processes. We believe that they will open a new challenging world of science. The ultimate purpose of our studies is to find new collective motions in these states and understand their participating dynamics.

### **I-M-1 Characteristics and Dynamics of Doubly Excited States of Molecules**

**Sungyul LEE (Kunghee Univ.), Masahiro IWAI and Hiroki NAKAMURA**

[*Molecules in Laser Fields*, ed. A. D. Bandrauk, (Marcel Dekker, New York), p.217 (1994)]

A variety of studies on the atomic doubly excited states are briefly summarized. The quantitative information on the

potential energies  $E_r(R)$  and the autoionization widths  $\Gamma(R)$  are presented for the doubly excited states of  $H_2$ . Electron correlation and autoionization mechanism in these molecular doubly excited states are analyzed in comparison with those of the corresponding atomic states. The dynamic processes involving SES studied so far are also briefly reviewed. The basic and powerful theoretical tool, that is, the multichannel quantum defect theory (MQDT) is also outlined there.

## **I—N Femtosecond Pump-Probe Spectroscopy of Molecules in the Condensed Phase**

The nonlinear optical response of molecules in the condensed phase subjected to a femtosecond laser pulses is studied using various approaches developed in statistical physics.

### **I-N-1 Multistate Quantum Fokker-Planck Approach to Nonadiabatic Wave Packet Dynamics in Pump-Probe Spectroscopy**

**Yoshitaka TANIMURA and S. MUKAMEL (University of Rochester)**

[*J. Chem. Phys.* **101**, 3049 (1994)]

The quantum Fokker-Planck equation of Caldeira and Leggett is generalized to a multi-state system with anharmonic potentials and a coordinate dependent nonadiabatic coupling. A rigorous procedure for calculating the dynamics of nonadiabatic transitions in condensed phases and their monitoring by femtosecond pump-probe spectroscopy is developed using this equation. Model calculations for a harmonic system with various nonadiabatic coupling strengths and damping rates are presented. Nuclear wave-packets in phase space related to electronic coherence are shown to provide an insight into the mechanism of nonadiabatic transitions.

### **I-N-2 Femtosecond Pump-Probe Spectroscopy of Intermolecular Vibrations in Molecular Dimers**

**Yoshitaka TANIMURA and S. MUKAMEL (University of Rochester)**

We analyze the effects of an intermolecular vibration coupled to a bath on the optical response of a dimer. Using the third order response functions calculated by the path-integral approach we calculate the pump-probe spectrum, and show that the contribution from coherent transitions between the ground state and the doubly excited state dramatically affects the spectrum for off-resonant excitation.

### **I-N-3 Effects of Anharmonicity in Pump-Probe Spectroscopy in the Condensed Phase**

**Yoshitaka TANIMURA**

Optical response functions for a two-level system with anharmonic potentials are calculated by performing functional integration. We analyze the difference probe absorption spectrum using the second order response function, and show the effects of anharmonicity in pump-probe spectroscopy in the condensed phase.

## I—O Theoretical Studies of Organic Conductors and Superconductors

We study spin fluctuations of organic conductors and superconductors to explore the mechanism of organic superconductivity.

### I-O-1 Spin Fluctuations of Organic Conductors and Superconductors

Norikazu TOMITA and Yoshitaka TANIMURA

We study electronic states of organic materials to explore microscopic mechanisms of their conductivity and superconductivity. We pay special attention to find a relation between spin fluctuations and superconductivity. This

relation has been emphasized in high  $T_c$  superconductors and seems to have a key to study the mechanism of organic superconductivity, since antiferromagnetic spin fluctuations are experimentally observed in many high  $T_c$  superconductors as well as organic superconductors. We aim to disclose what is the (antiferromagnetic) spin fluctuation and how it causes the superconductivity (if it is the origin of the superconductivity).

## I—P Intermolecular Potential for Molecular Simulations

### I-P-1 A Procedure to Generate Ab Initio Intermolecular Potential Function

Kazuo KITaura (Univ. of Osaka Prefecture and IMS)

[*Fluid Phase Equ.*, in press]

A procedure is presented for generating an intermolecular potential function from the ab initio MO calculations. Our potential function consists of the electrostatic, polarization, exchange and charge-transfer and dispersion potentials. According to the procedure, we derived the inter

molecular potential function for ammonia dimer from the ab initio MO calculations with the DZP basis set at the MP2 level. The potential function well reproduced the optimized geometry and the binding energy of the dimer obtained from the ab initio calculations. The heat capacity calculated from the Monte Carlo simulation with the potential function agreed well with the experimental value, while the NN radial distribution function was in roughly accord with the experiment.

## I—Q Structure of Muonic Molecules

### I-Q-1 Loosely Coupled Few-Body Systems

Masayasu KAMIMURA (Kyushu Univ. and IMS), Yasushi KINO (RIKEN) and Emiko HIYAMA (Kyushu Univ.)

[*Proc. Int. Symp. on Frontier in Nuclear Structure Physics*, Tokyo, 1994, in press]

Loosely coupled three- and four-body systems in atomic/molecular and nuclear physics were studied. For this aim, the Jacobian-coordinate coupled-rearrangement-channel Gaussian-basis variational method is very useful; short-range correlations and long-range tails are well described by the basis functions. Investigations were made of trimer and tetramer of  $^4\text{He}$  atoms, muonic molecules, and three-nucleon bound states. Precise mechanism of radiative decay and particle decay of  $(d^3\text{He}\mu)$  and  $(d^4\text{He}\mu)$  was investigated with the use of Kohn-type variational calculation of scattering; recent experiment from KEK was beautifully explained. Further improvement of the calculational method was made by the discovery of 'infinitesimally shifted' Gaussian lobe basis functions which makes calculation of matrix elements of few-body Hamiltonian extremely simple. Application of the method to four-body systems is in progress.

**I-Q-2 Effect of the Finite Size of the Muonic Molecular Ion  $(dd\mu)^+$  on the Energy Levels of the Atom  $(dd\mu)e$  and Molecule  $(dd\mu)\text{dee}$**

Masayasu KAMIMURA (Kyushu Univ. and IMS)

The energy shift due to the finite size of the pseudonucleus  $(dd\mu)_{11}^+$  in the atom  $(dd\mu)_{11}^+e$  and molecule  $(dd\mu)_{11}^+\text{dee}$  is of importance in the theoretical estimation of the rate of d-d fusion catalyzed by negative muon<sup>1)</sup>. The energy shift in the atom  $(dd\mu)_{11}^+e$  is calculated using perturbation theory up to second order<sup>2)</sup>. The finite-size shift is found to be 1.46 meV which gives a severe correction to the so-far observed energy of the muonic molecule ion  $(dd\mu)_{11}^+$ . First-order perturbation calculation of the finite-size energy shift in the molecule  $(dd\mu)_{11}^+\text{dee}$  shows that contribution from the quadrupole component of the  $(dd\mu)_{11}^+$  charge distribution, which is not taken into account by the conventional method, is found to be of the order of one meV. These suggest that the uncertainty in the current theoretical estimates of the binding energies of the states of the  $(dd\mu)_{11}^+\text{dee}$  molecule should be significantly increased.

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**I-Q-3 Normalization of the Asymptotic Form of Three-Body  $(dt\mu)^+$  and  $(dd\mu)^+$  Wavefunctions**

Masayasu Kamimura (Kyushu Univ. and IMS)

In order to investigate a discrepancy between existing literature values for the normalization constant in the asymptotic form of three-body wavefunctions for  $(dt\mu)^+$ , we report the results of a new calculation of the normalization constants for this system as well as the related system  $(dt\mu)^+$ . These were obtained by fitting to accurate variational wavefunctions<sup>1)</sup> with special care being taken to describe the long-range  $(t\mu)+d$  or  $(d\mu)+d$  behavior. The implications of this reevaluation<sup>2)</sup> of the normalization constants are dis-

cussed in relation to the theoretical formation rates of molecules such as  $(dt\mu)\text{dee}$  and  $(dd\mu)\text{dee}$  which are key intermediates in the muon catalyzed fusion cycle.

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# RESEARCH ACTIVITIES II

## Department of Molecular Structure

### II—A Laboratory and Astronomical Spectroscopy of Transient Interstellar Molecules

Vast, cold, and low-density space environment is a unique laboratory, whose physical and chemical conditions are rarely attained in the laboratory on Earth. The unique space laboratory is favorable to the existence of transient molecules such as molecular ions, free radicals, and unstable molecules, most of which are very exotic and non-terrestrial. These exotic transient molecules are generally difficult and challenging problems for laboratory spectroscopy. Laboratory spectroscopy may be enriched by astronomical studies on non-terrestrial transient species which represent new development in high-resolution molecular spectroscopy. On the other hand, detailed knowledge about new transient molecules obtained by laboratory spectroscopy is essential to a deeper understanding of physical and chemical processes in space. We develop a high-sensitivity submillimeter-wave and far-infrared spectrometers suitable for high-resolution spectroscopy of transient molecules of astronomical interest. We expect that our laboratory spectroscopy may accelerate the mutually beneficial aspect between laboratory spectroscopy, and astrochemistry and astrophysics.

#### II-A-1 Submillimeter Wave Spectrum of the $\text{SiH}_3^+$ Ion. $J=2-1$ , $K=0$ and 1 Transitions

Sang K. LEE (*Pusan Natl. Univ. and IMS*), Hiroyuki OZEKI, Shuji SAITO, and Satoshi YAMAMOTO (*Univ. of Tokyo*)

[*Chem. Phys. Letters*, **224**, 21 (1994)]

The observation of the rotational transitions of  $\text{SH}_3^+$  has been extended<sup>1)</sup> to the 600 GHz region. The  $\text{SH}_3^+$  ion was generated by an electric discharge in the gas mixture of  $\text{H}_2\text{S}$  and  $\text{H}_2$  in a hollow-cathode discharge cell. The  $J=2-1$ ,  $K=0$  and 1 transitions have been observed using a source-modulated submillimeter-wave spectrometer. The rotational constant  $B_0$  and the centrifugal distortion constants  $D_J$  and  $D_{JK}$  are determined from the three observed rotational transitions. The molecular constants determined agree well with those reported by previous infrared studies<sup>2,3)</sup>.

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#### II-A-2 Microwave Spectrum of the NS Radical in the $^2\Pi$ Ground Electronic State

Sang K. LEE (*Pusan Natl. Univ. and IMS*), Hiroyuki OZEKI, and Shuji SAITO

[*Astrophys. J. Suppl.*, in press]

The microwave spectrum of the NS radical in the ground electronic state has been remeasured in the frequency region of 69 to 350 GHz with the aim of making clear scattered LSR velocities determined by the NS lines from dark clouds<sup>1)</sup>. Measurement errors for most of the observed lines were less than 20 kHz so that the observed laboratory frequencies are now sound standards as the rest frequencies of NS. The molecular constants including the rotational constant and its centrifugal distortion constant, the  $\Lambda$ -type doubling constants, and the hyperfine coupling constants of

the nitrogen nucleus have been determined more precisely, when compared with those reported previously.

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#### II-A-3 Microwave Spectra of the $\text{AlO}(\text{X}^2\Sigma^+)$ Radical in the Vibrationally Excited States, $v=1$ and 2

Masahiro GOTO (*Nagoya Univ. and IMS*), Shuro TAKANO, Satoshi YAMAMOTO (*Univ. of Tokyo*), Haruhiko ITO (*Nagaoka Univ. of Technology*), and Shuji SAITO

[*Chem. Phys. Letters*, **227**, 287 (1994)]

The microwave spectra of the aluminum monoxide radical ( $\text{X}^2\Sigma^+$ ) in the vibrationally excited states ( $v=1$  and 2) have been observed by using a source modulated spectrometer combined with a free-space cell. The  $\text{AlO}$  radical was generated by discharge in pure  $\text{N}_2\text{O}$  or Ar gas with an aluminum hollow-cathode containing several pieces of alumina. The Al atom was mainly supplied from the alumina and partly from the inner surface of the aluminum electrode. Five rotational transitions were measured in the region of 180 to 380 GHz. The fine and hyperfine structure pattern of a certain rotational transition for the  $v=1$  and  $v=2$  states are completely different from that of a corresponding transition of the ground vibrational state. A detailed analysis of the patterns revealed that the spin-rotation coupling constant changes abnormally by the excitation of the vibrational mode<sup>1)</sup>.

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## II-A-4 Submillimeter-Wave Spectra of AlH and AlD

Masahiro GOTO (*Nagoya Univ. and IMS*) and Shuji SAITO

The  $J=1-0$  transition of AlH and the  $J=2-1$  transition of AlD were observed in 377 to 393 GHz by using a newly constructed high-temperature discharge cell made of an aluminum heat pipe (Figure 1). AlH or AlD were generated by the reaction of aluminum vapor with glow discharge products of  $H_2$  or  $D_2$ , respectively. The electric quadrupole coupling constants for the Al nucleus were determined for the first time from the resolved hyperfine components:  $-36.72$  (37) MHz for AlH and  $-34.3$  (37) MHz for AlD, where the figures in parentheses represent three times the standard deviation.

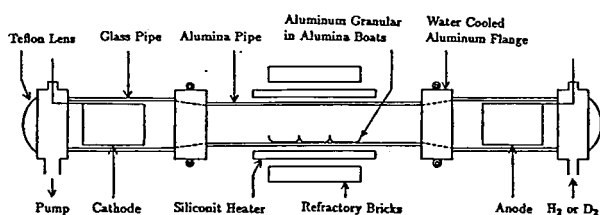


Figure 1. Alumina heat-pipe discharge cell

## II-A-5 Submillimeter-Wave Spectroscopy of the $CD_2(\tilde{X}^3B_1)$ Radical

Hiroyuki OZEKI and Shuji SAITO

The simplest carbene,  $CH_2$  radical, is one of essential intermediates in hydrocarbon chemistry. We reported the measurements of its submillimeter-wave spectral lines and discussed its astrophysical significance in the Annual Review of the last year. So far there has been no report on the measurements of pure rotational lines for  $CD_2$ , though several far infrared spectral lines were studied by the method of FIRLMR<sup>1)</sup>. We measured 23 components of the four rotational lines of  $CD_2$  in the frequency region of 250 to 530 GHz. The  $CD_2$  radical was produced by discharging pure dideuterated ketene in a hollow-cathode cell. We found the  $2_{12}-3_{03}$  and  $5_{04}-4_{13}$  transitions in the 260 GHz region, deviated by 5 to 10 MHz, and by 10 to 20 MHz, respectively, from those predicted by the FIRLMR analysis. We redetermined 10 molecular constants of  $CD_2$  from the observed 23 lines by the least squares method, though the number of the observed rotational lines is limited.

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## II-A-6 Microwave Spectrum of the HCCS Radical in the Rovibronic States

Jian TANG (*Graduate Univ. for Advanced Studies*) and Shuji SAITO

The microwave spectrum of a linear carbon-chain radical, HCCS, was observed in the discharge mixture of  $CS_2$

and  $C_2H_2$ , and searched for several astronomical sources without success<sup>1)</sup>. We have measured its rotational spectra of both the bending vibrational states  $v_4=1$  (HCC bending) and  $v_5=1$  and 2 (CCS bending) up to 400 GHz. The spectral lines of  $^2\Delta_{5/2}(v_5)$ ,  $^2\Delta_{3/2}(v_5)$ ,  $\mu^2\Sigma(v_5)$ ,  $\kappa^2\Sigma(v_5)$ ,  $^2\Pi_{3/2}(2v_5)$ ,  $^2\Delta_{5/2}(v_4)$ , and  $\mu^2\Sigma(v_4)$  were assigned, and their effective molecular constants were determined. A large difference was found for  $\gamma_{eff}$  between the  $\mu^2\Sigma(v_5)$  and  $\kappa^2\Sigma(v_5)$  states. The difference could not be understood by Hougen's theory for the Renner-Teller effect<sup>2)</sup>, but be explained by taking into account a vibronic interaction between the  $^2\Pi_{1/2}(G.S.)$  and  $\mu^2\Sigma(v_5)$  states. Furthermore,  $\gamma_{eff}$  of the  $\mu^2\Sigma(v_4)$  state was found to have a value of about two times of B.

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## II-A-7 Microwave Spectrum of the $C_3S$ Molecule in the Vibrationally Excited States of Bending Modes, $v_4$ and $v_5$

Jian TANG (*Graduate Univ. for Advanced Studies*) and Shuji SAITO

[*J. Mol. Spectrosc.* in press]

Rotational spectra of the linear  $C_3S$  molecule in the excited bending vibrational states  $v_5=1, 2, 3$ , and 4 (CCS bending), and  $v_4=1$  (CCC bending) have been observed in the frequency range of 116–383 GHz with a source-modulated microwave spectrometer. Molecular constants of vibration-rotation interaction terms up to higher orders were determined by the least-squares fitting of about 460 transition frequencies. The determined  $\alpha_i$ ,  $q_i$ , and  $q_i^K$  constants showed a good coincidence with those of ab initio calculation. The sign and magnitude of  $\gamma_{11}$ , the dependence of the rotational constant  $B_v$  on the vibrational angular momentum, was explained by a symmetric-top-like molecular model. The constant  $q_i^K$ , the dependence of the l-type doubling and resonance constant  $q_i$  on the vibrational angular momentum, was determined experimentally for the first time. The obtained value of  $q_i^K$  was a thousand times greater than a theoretical estimate given by Watson's expression, but the order-of-magnitude of the experimental value can be understood by the analogy with a slightly asymmetric-top molecule.

## II-A-8 Infrared Diode Laser Spectroscopy of the $\nu_1$ Fundamental Mode of the $C_3S$ Molecule

Shuro TAKANO, Jian TANG (*Graduate Univ. for Advanced Studies*), and Shuji SAITO

We rearranged the infrared diode laser spectrometer of IMS<sup>1)</sup>. A 2 m White-type glow-discharge cell was newly installed and the vacuum line was renewed. As a test of the infrared spectrometer system, we studied the  $\nu_1$  fundamental mode of the  $C_3S$  molecule. The  $C_3S$  molecule is one of the important members of the sulfur-containing carbon-chain interstellar molecule<sup>2)</sup>.  $C_3S$  was produced in the cell

kept at the room temperature by discharging a mixture of  $\text{CS}_2$ ,  $\text{C}_2\text{H}_2$ , and He with the discharge current of 120 mA. The optimum pass length is 40 m. We observed about 50 lines in the region of 2046 to 2067  $\text{cm}^{-1}$ , and analyzed them to determine molecular parameters by the least squares method.

## II—B Development of a Mt. Fuji Submillimeter-Wave Telescope

Shuji SAITO, Hiroyuki OZEKI, Satoshi YAMAMOTO (Univ. of Tokyo), Yutaro SEKIMOTO (Univ. of Tokyo), Junji INATANI (National Astronomical Observatory), Masatoshi OHISHI (National Astronomical Observatory), and Norio KAIFU (National Astronomical Observatory)

In these years the submillimeter-wave to far infrared region has attracted much attention in the field of astronomy, because the initial stage of star-forming activity or, in other words, the final stage of molecular cloud contraction shows various physical and chemical phenomena in the energy region of this wavelength. Several submillimeter-wave telescopes have now been developed to study astrophysics and astrochemistry of the star-forming region through atomic and molecular lines. Since atmospheric attenuation, mainly due to water vapor, becomes high in the submillimeter-wave to far infrared region, astronomical observations should be made at a high-altitude site with cold environment.

We plan to build a submillimeter-wave telescope at the summit of Mt. Fuji. The main scientific purpose of our project is (1) a survey of the neutral carbon ( $\text{C}_I$ ) line at 492 GHz, and (2) a search for new simple and fundamental molecules, especially related to dust chemistry, which will make us to understand evolutionary physical and chemical processes of the molecular cloud as a whole.

Mt. Fuji is the highest mountain in Japan, and has the altitude of 3776 m above the sea level. Weather data from Japan Meteorological Agency show that precipitable water vapor at the summit is expected to be less than 1.0 mm for about 40% of winter days (November 1990 to February 1991). This makes it possible to carry out good observations in the atmospheric window regions between 400 and

### References

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900 GHz.

The telescope planned has a 2 m diameter disk with surface accuracy of 10  $\mu\text{m}$ , enclosed with a radome transparent up to 1000 GHz. Since the weather conditions are very terrible in the winter, the temperature less than  $-30^\circ\text{C}$  and the wind speed up to 100 m/s, and do not allow us to access to the summit, the radome building has to survive against strong winds and heavy snowing and icing, and data processing and telescope operation have to be made by remote control via satellite communication or microwave link.

In the end of October 1993 we measured atmospheric transmittance at the summit with a home made radio meter operated at 220 GHz, and found that the atmospheric optical depth was about 0.04 corresponding to about 50% transmittance at 500 GHz. This result suggests that we can expect better conditions of colder and dryer weather at the summit of Mt. Fuji in the mid winter. We plan to measure the optical depth in the coming winter under collaboration with the Mt. Fuji weather station of Japan Meteorological Agency.

This summer we sampled soil and rock from 3 m long cores obtained by a boring machine at the telescope site. It was found that scoria soil is frozen below about 85 cm in depth from the surface, and the frozen scoria is hard enough to support a heavy telescope building of 200 ton or more.

Detailed investigations are now in progress on the design of the telescope, telescope mounting, telescope base, radome, deicing and antisnow accumulation system, 500 GHz receivers, back-end acousto-optical spectrometer, data processing, and remote control.

## II—C Laser Investigation of Antiproton-Helium Compounds

A recent discovery of anomalous survival of antiprotons in dense helium media suggested the production of an antiproton-helium compound ( $\bar{p}\text{-He}^+$ ). If the formation of this unusual compound is true, it is the first long-lived exotic atom containing hadrons other than normal protons and neutrons. Moreover, from a viewpoint of atomic and molecular spectroscopy, this new compound is a very attractive object of study, because it has characters of both atoms and molecules; in other words, it is neither an atom nor a molecule, but a completely new entity. Therefore, we started the laser spectroscopic investigation of this compound in order, first, to get a definite evidence of the formation, second, to study its properties and dynamics, and finally to explore the possibility of its applications.

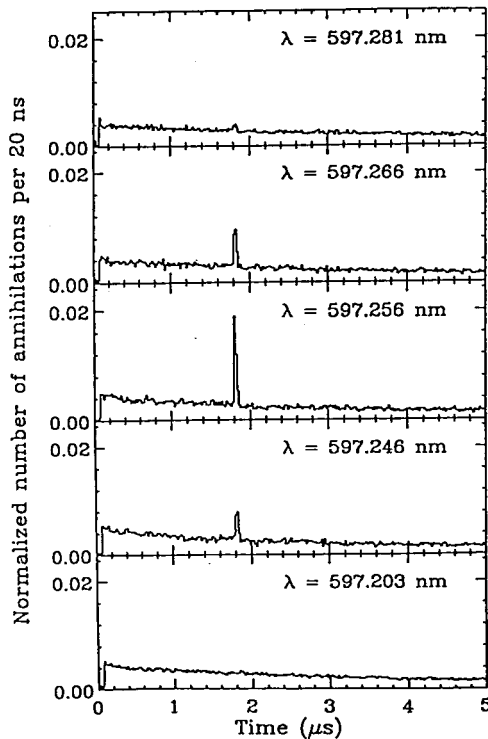
### II-C-1 First Observation of Laser-Induced Resonant Annihilation in Metastable Antiprotonic Helium Atoms

N. MORITA, M. KUMAKURA, T. YAMAZAKI\*, E. WIDMANN (CERN), H. MASUDA\*, I. SUGAI\* (\*INS, Tokyo Univ.), R.S. HAYANO\*\*, F.E. MAAS\*\*, H.A. TORII\*\* (\*\*Tokyo Univ.), F.J. HARTMANN†, H. DANIEL†, T. von EGIDY†, B. KETZER†, W. MÜLLER†, W. SCHMID† (†München Tech. Univ.), D. HORVATH (KFKI Inst.), and J. EADES (CERN)

[Phys. Rev. Lett., **72**, 1180 (1994)]

Based on the observation scheme proposed in our

previous paper [*Nucl. Instrum. Methods*, A330, 439 (1993)], we have observed the first laser-induced resonant transition in antiprotonic helium atoms ( $\bar{p}\text{He}^+$ ), using a low-energy antiproton beam ejected from LEAR (Low Energy Antiproton Ring) of CERN, Switzerland. The transition observed occurs between a metastable state and a short-lived state which proceeds to ionization through fast Auger transition. The former state is expected to have appreciable population, and a laser resonantly moves this population to the latter short-lived state, which is quickly autoionized. After the ionization,  $\bar{p}\text{He}^{2+}$  immediately annihilates due to Stark effect caused by surrounding helium atoms, and consequently generates many high-energy elementary particles, such as pions. Detecting these particles, we have succeeded in observing the resonance effect of laser excitation. The observed transition with vacuum wavelength  $597.259 \pm 0.002$  nm is assigned to  $(n=39, l=35) \rightarrow (38, 34)$ , and this wavelength is in quite good agreement with theoretical values predicted by several theoreticians through different calculation methods. This fact gives a definite evidence of the production of  $\bar{p}\text{He}^+$ , and shows that the anomalous longevity of antiprotons previously observed in helium media results from the formation of high- $n$  high- $l$  atomic states of  $\bar{p}\text{He}^+$ .

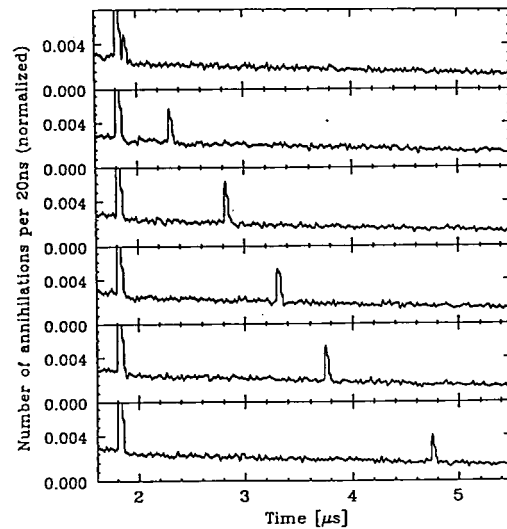


**Figure 1.** Wavelength-dependence of laser-induced annihilation signals of antiprotonic helium atoms. A sharp peak seen in each time-resolved annihilation spectrum is an annihilation signal induced by a laser pulse, which has a pulsewidth of 30 ns and enters the helium gas medium at 1.8  $\mu\text{s}$ .

## II-C-2 Laser Studies of the Decay Chain of Metastable Antiprotonic Helium Atoms

R.S. HAYANO\*, F.E. MAAS\*, H.A. TORII\* (\*Tokyo Univ.), N. MORITA, M. KUMAKURA, T. YAMAZAKI\*\*, H. MASUDA\*\*, I. SUGAI\*\* (\*\*INS, Tokyo Univ.), F.J. HARTMANN†, H. DANIEL†, T. von EGIDY†, B. KETZER†, W. MÜLLER†, W. SCHMID† (†München Tech. Univ.), D. HORVATH (KFKI Inst.), J. EADES†, and E. WIDMANN‡ (‡CERN)

Laser studies of metastable antiprotonic helium atoms, which we recently initiated by observing a sharp increase of antiproton annihilation rate induced by laser-stimulated resonant transitions, have been extended to obtain the nascent population and lifetime of the relevant levels. Varying the irradiation timing of a single laser pulse, which is tuned to the resonance already found at 597.26 nm, we can obtain the time dependence of the initial level population. Using two laser pulses with a variable time separation, we can also observe the feeding of the initial state from higher atomic levels. Making appropriate rate equations describing the population dynamics of the relevant levels and least-square-fitting these equations to the observed signals, we have determined the decay rates and initial populations of those levels. The decay rates thus obtained are in quite good agreement with theoretical values, and this fact further confirms the formation of this new exotic atom. We have also found that the initial level ( $n=39, l=35$ ) of the laser-resonant transition has unexpectedly large population, which is as much as 7% of the total number of long-lived exotic atoms. This suggests that the nascent population distribution may, contrary to our expectation, be rather confined within a small number of long-lived states.



**Figure 1.** Time separation dependence of two-pulse laser-induced annihilation signals of antiprotonic helium atoms. Two sharp peaks in each spectrum are laser-induced annihilation signals and appear at the same time as the entrance of each laser pulse. The first laser pulse almost empties the initial level population, and the second pulse probes the subsequent recovery of the population.

## II—D Laser Cooling and Trapping of Neutral Atoms

Atoms are accelerated or decelerated on absorption or emission of photons, since photons have momenta. On the other hand, a strong radiation field modifies the internal energy of atoms, so that atoms in an inhomogeneous radiation field receive a force from the field. Through these mechanisms, the translational motion of neutral atoms is not only be cooled to an extremely low temperature, but also easily controlled by laser radiation. As the translational temperature goes down to nano kelvin region, the atomic de Broglie wavelength becomes a macroscopic size. A macroscopic quantum-mechanical collective motion of an atomic assembly can then be expected to occur in a very thin gas. The long de Broglie wavelength also enables us to realize an atomic interferometry. On the other hand, easy control of atomic spatial position and velocity by laser radiation is expected to open the possibility of various applications. For this reason, we have been studying the laser cooling and trapping of neutral atoms.

### II-D-1 Laser Trapping of $^3\text{He}$ atom

Mitsutaka KUMAKURA and Norio MORITA

Although laser trapping of neutral atoms has recently been applied to various isotope species, there have been no reports on the isotope of helium,  $^3\text{He}$ . This may be partly because  $^3\text{He}$  is very precious and its natural abundance is extremely small. However, helium is an important atom in the fundamental physics, and it must be interesting to investigate the difference between  $^4\text{He}$ , which is a boson, and  $^3\text{He}$ , a fermion, in "cold collision" processes and some other collective behaviors of translational motion. For this reason, we have attempted the laser trapping of  $^3\text{He}$  atoms. Because of the lightness of helium atoms, the initial cooling process requires a long distance, so that the use of an atomic beam is unavoidable. This means that we have to circulate the  $^3\text{He}$  gas without significant loss because it is

too expensive to discard through vacuum pumping. Therefore, we have started with construction of a gas circulation system with purification mechanisms. The transition used for cooling and trapping is  $2^3S_1(F=3/2) \rightarrow 2^3P_2(F=5/2)$ , and four-beam-type magneto-optical trapping scheme is utilized. Metastable  $2^3S_1(F=3/2)$  atoms are produced in a discharge cell cooled by liquid  $\text{N}_2$  instead of the electron bombardment method so far used in our experiments. This improvement has brought about huge enhancement in the production of metastable atoms. As a result, we have successfully trapped  $10^7$  atoms in a spherical region with a diameter of 0.5 mm. Although additional lasers for repumping are, in general, necessary to trap atoms having hyperfine structures, we have achieved efficient trapping of  $^3\text{He}$  without any additional lasers. This is because hyperfine splittings in  $^3\text{He}$  are larger than in other atoms.

## II—E Molecular Science of Biomolecules

Elucidation of a structure-function relationship of proteins is a current subject of this group. The primary technique used for this project is the stationary and time-resolved resonance Raman and absorption spectroscopies. The main themes that we want to explore are (1) mechanisms of oxygen activation by enzymes, (2) mechanisms of electron- and proton-transfers through proteins and their coupling in energy transducing membranes, (3) primary processes of photoreceptor proteins, (4) higher order protein structures and their dynamics. In category (1), we have examined stationary complexes of a variety of cytochrome oxidases, cytochrome P-450's, and peroxidases, and also treated their enzymatic reaction intermediates by using the mixed flow transient Raman apparatus and the Raman/absorption simultaneous measurement system. In addition, we recently observed nonfundamental bands of Fe-ligand vibrations and discussed anharmonicity of the potential functions. For (2) we have investigated bacteriorhodopsin and cytochrome *c* oxidase, while for (3) we treated a bacterial photosynthetic reaction center and a pigment for photomorphology. We found some structural differences between  $P_r$  and  $P_{fr}$  forms of native phytochrome as well as of large phytochrome. For (4), we developed a novel technique for UV Raman measurements based on the combined use of the first/second order dispersions of two gratings in an ordinary double monochromator and applied it successfully to 244-nm-excited RR spectra of several proteins including phytochrome, hemoglobin, and viruses. A new spinning cell system developed for UV Raman experiments requires a small amount of samples and therefore, it became possible to determine the UVRR spectra of mutant proteins. We applied this system to a  $\beta 37$  mutant of Hb and established the vibrational spectrum of  $\beta 37$  Trp of Hb A in the T and R quaternary structures.

### II-E-1 Resonance Raman Spectra of the Photo-intermediates in Phytochrome Phototransformation: Deprotonation of the Chromophore in the Bleached Intermediate

Yasuhisa MIZUTANI, Satoru TOKUTOMI (NIBB), and Teizo KITAGAWA

[*Biochemistry* 33, 153–158 (1994)]

Resonance Raman (RR) scattering from a large pea phytochrome of type A was measured at cryogenic as well

as ambient temperatures to study the chromophore structure of photointermediates. RR spectra excited with 364 nm at  $-120^\circ\text{C}$  where the red absorbing form,  $P_r$ , and a photointermediate,  $I_{700}$ , are trapped, showed a strong band at  $1625\text{ cm}^{-1}$  with a shoulder at  $1648\text{ cm}^{-1}$  in the  $\text{C}=\text{C}$  stretching region. The shoulder disappeared and a new band appeared at  $1597\text{ cm}^{-1}$  upon raising temperature to  $-80^\circ\text{C}$ , where transformation from  $I_{700}$  to a bleached intermediate,  $I_b$ , proceeds. At an ambient temperature,  $16^\circ\text{C}$ , a strong RR band was observed at  $1625\text{ cm}^{-1}$  by the 364 nm

excitation under far-red illumination, whereas another RR band at  $1599\text{ cm}^{-1}$  was intensified under red illumination. By comparison of these spectra with those of low temperatures, the  $1625$  and  $1599\text{ cm}^{-1}$  bands were reasonably assigned to  $P_r$  and  $I_{bl}$  accumulated under red illumination, respectively. Chemically prepared bleached form,  $P_{bl}$ , also had a prominent band at  $1599\text{ cm}^{-1}$ . The RR spectrum obtained by  $407\text{ nm}$  excitation under red illumination showed two prominent bands at  $1631$  and  $1591\text{ cm}^{-1}$ , which can be assigned to the far-red absorbing form,  $P_{fr}$ . The prominent  $1625\text{ cm}^{-1}$  band of  $P_r$  in the C=C stretching region exhibited a downward frequency shift on deuteration, whereas those of  $I_{bl}$ ,  $P_{fr}$  and also  $P_{bl}$  did not. This indicates distinct differences in protonation states between  $P_r$  and the other three species. Frequencies and relative intensities of RR bands in the region of  $I_{bl}$  resemble with  $P_{fr}$  but  $P_r$ , implying that chromophore configuration of  $I_{bl}$  and  $P_{fr}$  are similar to each other, however, they differ from that of  $P_r$ . These suggest that the configurational changes of the chromophore, such as E-Z photoisomerization, are involved in the primary process of the phototransformation, and that deprotonation of the chromophore follows it during a subsequent process yielding  $I_{bl}$ .

## II-E-2 Preparation and Characterization of Oxo-iron(IV) Chlorin Complexes as the First Models for a Reaction Intermediate in the Catalytic Cycle of Cytochrome *d*

Shinji OZAWA (*Kyoto Univ.*), Yoshihito WATANABE (*Kyoto Univ.*), Satoru NAKASHIMA, Teizo KITAGAWA, and Isao MORISHIMA (*Kyoto Univ.*)

[*J. Am. Chem. Soc.* **116**, 3439–3441 (1994)]

As models for a reaction intermediate in the catalytic cycle of cytochrome *d*, two types of oxoferryl chlorin complexes,  $(\text{TPC})\text{Fe}^{\text{IV}}\text{O}(\text{N-MeIm})$  and  $(\text{TPC})\text{Fe}^{\text{IV}}\text{O}$  (TPC=tetraphenylchlorin, N-MeIm=N-methylimidazole), have been prepared for the first time by an autooxidation reaction of  $(\text{TPC})\text{Fe}^{\text{II}}$  with  $\text{O}_2$ . Oxyiron(II)(TPC) and  $\mu$ -peroxo-bridged iron(III) dimer,  $(\text{TPC})\text{Fe}^{\text{III}}\text{OOFe}^{\text{III}}(\text{TPC})$ , are also detected in the course of the reaction. The six-coordinated  $(\text{TPC})\text{Fe}^{\text{IV}}\text{O}(\text{N-MeIm})$  complex is produced by adding N-methylimidazole to the  $\mu$ -peroxo-bridged iron(III) chlorin dimer at  $-80^\circ\text{C}$ . The  $\mu$ -peroxo-bridged iron(III) dimer, the formation of which has been confirmed by paramagnetic NMR, undergoes homolytic cleavage of the O-O bond upon laser illumination to yield the five-coordinated  $(\text{TPC})\text{Fe}^{\text{IV}}\text{O}$  complex. The absorption spectrum of  $(\text{TPC})\text{Fe}^{\text{IV}}\text{O}(\text{N-MeIm})$  shows a characteristic red-shifted band at  $630\text{ nm}$  as observed in the case of the oxoferryl intermediate of cytochrome *d*. Proton NMR spectra of  $(\text{TPC})\text{Fe}^{\text{IV}}\text{O}(\text{N-MeIm})$  exhibit a small hyperfine shift of the pyrrole protons, consistent with the oxoferryl formulation. However, the paramagnetic NMR resonances of the saturated pyrrole (pyrroline) ring protons show unusual splitting into upfield and downfield region, suggesting deformation of the pyrroline ring of the chlorin complex. While the unusually high frequency of  $\nu(\text{Fe}^{\text{IV}}=\text{O})$  ( $815\text{ cm}^{-1}$ ) and large isotopic shift ( $\Delta\nu=46\text{ cm}^{-1}$ ) observed for the oxoferryl intermediate of cytochrome *d* have been

attributed to the chlorin macrocycle (heme *d*), the five- and six-coordinated oxoferryl chlorin complexes reported here exhibit  $\nu(\text{Fe}^{\text{IV}}=\text{O})$  frequencies and isotopic ( $^{16}\text{O}/^{18}\text{O}$ ) frequency shifts nearly identical to those of the corresponding porphyrin complexes, respectively.

## II-E-3 A Multi-Reflection Raman Cell for Studying Dilute Aqueous Solutions

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[*Spectrochim. Acta.* **50A**, 1513–1519 (1994)]

A cell for multi-reflection Raman measurements was constructed. This device consists of two rectangular prisms and, when applied to a rectangular sample cell, Raman signals were enhanced by seven to ten times compared with the single-pass measurement for a transparent solution. This system is readily applicable to studies of dilute aqueous solutions with low refractive indices. With this device, the C-H stretching Raman bands of benzene in water were observed with sufficiently high S/N ratios. Combination of the multi-pass cell with an optical-fiber light-collecting device enabled us to determine reproducibly the shifts of Raman bands from some reference state with an accuracy of  $0.006\text{ cm}^{-1}$ .

## II-E-4 Vibrational Assignments of the FeCO Unit of CO-Bound Heme Proteins Revisited: Observation of a New CO-Isotope-Sensitive Raman Band Assignable to the FeCO Bending Fundamental

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[*J. Phys. Chem.* **98**, 6652–6660 (1994)]

In order to find the FeCO bending ( $\delta_{\text{FeCO}}$ ) fundamental, resonance Raman spectra in the  $600\text{--}200\text{ cm}^{-1}$  region of CO adducts of hemoglobin (Hb), its isolated chains, myoglobin (Mb), cytochrome *c* oxidase (CcO), and cytochrome P-450 (P-450) were reexamined. A new CO-isotope-sensitive band was found around  $365\text{ cm}^{-1}$  for all but MbCO. For HbCO this band was located at  $367$ ,  $355$ ,  $363$ , and  $353\text{ cm}^{-1}$  for  $^{12}\text{C}^{16}\text{O}$ ,  $^{13}\text{C}^{16}\text{O}$ ,  $^{12}\text{C}^{18}\text{O}$ , and  $^{13}\text{C}^{18}\text{O}$  adducts, respectively, and thus exhibited a zigzag pattern against the increase of the total mass of CO, similar to the  $575\text{ cm}^{-1}$  band which has been assigned to the  $\delta_{\text{FeCO}}$  fundamental hitherto. Relative intensity of the  $\sim 365\text{ cm}^{-1}$  band to the  $575\text{ cm}^{-1}$  band exhibited almost no change upon lowering of temperature from  $20^\circ\text{C}$  to  $-10^\circ\text{C}$ , but since temperature dependent frequency shifts were appreciable, the present difference spectra could not determine if the intensity change by 11% expected for a difference combination band of a hot  $210\text{ cm}^{-1}$  mode was involved or not. The maximum intensity enhancement of the  $365\text{ cm}^{-1}$  band in the excitation profile within the Soret band occurred at longer wavelengths than that of the  $575\text{ cm}^{-1}$  band. The frequency differences between the CO-isotope-sensitive

band around  $575\text{ cm}^{-1}$  and one around  $365\text{ cm}^{-1}$  were  $208 \pm 5$ ,  $213 \pm 5$ , and  $204 \pm 3\text{ cm}^{-1}$  for all CO isotopes of HbCO, CcO·CO, and P-450·CO, respectively. Despite that the Fe-CO and C-O stretching modes exhibited no deuteration shifts, the frequency of the  $575\text{ cm}^{-1}$  band was higher in D<sub>2</sub>O than in H<sub>2</sub>O, and this feature was more prominent with MbCO than HbCO. The  $575\text{ cm}^{-1}$  band of MbCO was remarkably strong upon excitation at 406.7 nm and this is distinct from other proteins examined. These observations suggest that the  $\sim 365\text{ cm}^{-1}$  band arises from the  $\delta_{\text{FeCO}}$  fundamental and the  $575\text{ cm}^{-1}$  band is its combination with a porphyrin vibration or possibly a Fe-C deformation mode. Normal coordinate calculations for the isolated FeCO unit reasonably reproduce the observed isotopic frequency shifts under these assignments.

## II-E-5 Observation of a New Oxygen-Isotope-Sensitive Raman Band for Oxyhemoproteins and Its Implications in Heme Pocket Structures

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[*J. Am. Chem. Soc.*, in press]

A new oxygen-isotope-sensitive Raman band was found for oxyhemoglobin (HbO<sub>2</sub>) and oxycytochrome c oxidase (CcO·O<sub>2</sub>) in the frequency region lower than the Fe-O<sub>2</sub> stretching mode ( $\nu_{\text{Fe-O}_2}$ ). This band was located at  $425\text{ cm}^{-1}$  for Hb<sup>16</sup>O<sub>2</sub>, shifted to  $405\text{ cm}^{-1}$  with Hb<sup>18</sup>O<sub>2</sub> and to  $\sim 423\text{ cm}^{-1}$  and  $\sim 407\text{ cm}^{-1}$  with Hb<sup>16</sup>O<sup>18</sup>O. The corresponding band appeared at  $435\text{ cm}^{-1}$  for CcO·<sup>16</sup>O<sub>2</sub>, shifted to  $415\text{ cm}^{-1}$  with CcO·<sup>18</sup>O<sub>2</sub>. Accordingly, the band has been assigned to the Fe-O-O bending mode ( $\delta_{\text{FeOO}}$ ). However, the corresponding band could not be identified for oxymyoglobin (MbO<sub>2</sub>). The Fe-O<sub>2</sub> stretching mode ( $\nu_{\text{Fe-O}_2}$ ) was observed at 568, 567, 544 and  $544\text{ cm}^{-1}$  for Hb<sup>16</sup>O<sub>2</sub>, Hb<sup>16</sup>O<sup>18</sup>O, Hb<sup>18</sup>O<sup>16</sup>O, and Hb<sup>18</sup>O<sub>2</sub>, respectively, and the corresponding modes were observed at 571, 569, 547, and  $545\text{ cm}^{-1}$  for MbO<sub>2</sub>, and 571, 567, 548, and  $544\text{ cm}^{-1}$  for CcO·O<sub>2</sub>. The  $\nu_{\text{Fe-O}_2}$  bandwidths of HbO<sub>2</sub> and MbO<sub>2</sub> were alike and 1.5 times broader than that of CcO·O<sub>2</sub>, suggesting that the Fe-O-O geometry is more fixed in the latter. Despite the greatly different reactivities of bound O<sub>2</sub> in HbO<sub>2</sub> and CcO·O<sub>2</sub>, their  $\nu_{\text{Fe-O}_2}$  and  $\delta_{\text{FeOO}}$  frequencies and O<sub>2</sub>-isotopic frequency shifts were alike, indicating similar Fe-O-O binding geometries. Normal coordinate calculations for an isolated three-atom molecule could reproduce the observed isotopic frequency shifts with the 115° bond angle reported for MbO<sub>2</sub>, but not with the 155° angle reported for HbO<sub>2</sub>.

## II-E-6 Observation of Nonfundamental Fe-O<sub>2</sub> and Fe-CO Vibrations and Potential Anharmonicities for Oxy- and Carbonmonoxy-Hemoglobin; Evidence Supporting a New Assignment of the Fe-C-O Bending Fundamental

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[*J. Am. Chem. Soc.*, submitted]

The overtone and combination Raman bands of the Fe-ligand vibrations of heme proteins were investigated for the first time. The overtone of the Fe-O<sub>2</sub> stretching ( $\nu_{\text{Fe-O}_2}$ ) of oxyhemoglobin (HbO<sub>2</sub>) was found at  $1136\text{ cm}^{-1}$  for <sup>16</sup>O<sub>2</sub> ( $1088\text{ cm}^{-1}$  for <sup>18</sup>O<sub>2</sub>). Since the corresponding band for <sup>16</sup>O<sup>18</sup>O adduct did not appear at an intermediate frequency, a possibility for assigning it to the O-O stretching was ruled out. For carbonmonoxyHb (HbCO), CO-isotope-sensitive bands were found at 738, 859–890, 1002, and  $1183\text{ cm}^{-1}$  for <sup>12</sup>C<sup>16</sup>O (718, 836, 971, and  $1161\text{ cm}^{-1}$  for <sup>13</sup>C<sup>18</sup>O). The 738/718, 1002/971, and 859–890/836  $\text{cm}^{-1}$  pairs for <sup>12</sup>C<sup>16</sup>O/<sup>13</sup>C<sup>18</sup>O are reasonably interpreted as the overtones of the Fe-CO bending ( $\delta_{\text{FeCO}}$ ), Fe-CO stretching ( $\nu_{\text{FeCO}}$ ), and their combination ( $\nu_{\text{FeCO}} + \delta_{\text{FeCO}}$ ), respectively, when the difference peaks at  $369/355\text{ cm}^{-1}$  was assigned to  $\delta_{\text{FeCO}}$  fundamental while the  $1183/1161\text{ cm}^{-1}$  pair is interpreted as a combination of  $\nu_7$  and  $\nu_{\text{FeCO}}$ . On the contrary, when the  $577/558\text{ cm}^{-1}$  pair was assigned to the  $\delta_{\text{FeCO}}$  fundamental, nonfundamental band was not recognized at the corresponding frequencies and interpretation of other bands was difficult. These observations strongly support the recently proposed new assignment of  $\delta_{\text{FeCO}}$  fundamental (S. Hirota, T. Ogura, K. Shinzawa-Itoh, S. Yoshikawa, M. Nagai, and T. Kitagawa, *J. Phys. Chem.* **98**, 6652–6660 (1994)). The anharmonic constant for the Fe-CO stretching potential was calculated to be 0.010 but that for Fe-O<sub>2</sub> was much smaller ( $<0.001$ ).

## II-E-7 Selective Resonance Raman Observation of the “607 nm” Form Generated in the Reaction of Oxidized Cytochrome c Oxidase with Hydrogen Peroxide

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[*J. Biol. Chem.*, in press]

Resonance Raman (RR) spectra were measured selectively for the “607 nm” form, which has been assigned to a peroxy intermediate formed in the reaction of oxidized cytochrome c oxidase with hydrogen peroxide at ambient temperature. A single oxygen-isotope-sensitive band was found at  $803\text{ cm}^{-1}$  for the reaction with H<sub>2</sub><sup>16</sup>O<sub>2</sub> (at  $769\text{ cm}^{-1}$  with H<sub>2</sub><sup>18</sup>O<sub>2</sub>) upon excitation at 607 nm, the wavelength of the difference absorption maximum characteristic of the “peroxy” intermediate. Upon excitation at shorter wavelengths (down to 580 nm), the Raman spectrum simply became weaker without yielding any new features. When H<sub>2</sub><sup>16</sup>O<sup>18</sup>O was used, two bands were observed at 803 and  $769\text{ cm}^{-1}$  (within an accuracy of  $0.5\text{ cm}^{-1}$ ), but with only half the intensity of those observed with H<sub>2</sub><sup>16</sup>O<sub>2</sub> or H<sub>2</sub><sup>18</sup>O<sub>2</sub>, which ruled out the possibility that the  $803\text{-cm}^{-1}$  band arose from the O-O or Fe-O<sub>2</sub> stretching of the Fe<sup>III</sup>(O-O<sup>-</sup>) heme. Conversely, the  $34\text{ cm}^{-1}$  downshift with <sup>18</sup>O is in good agreement with the calculated <sup>16</sup>O/<sup>18</sup>O shift ( $35\text{ cm}^{-1}$ ) expected for the diatomic Fe=<sup>16</sup>O oscillator at  $803\text{ cm}^{-1}$ . This band exhibited an upshift by  $1.3\text{ cm}^{-1}$  in <sup>2</sup>H<sub>2</sub>O, similar to the case of compound II of horseradish peroxidase (HRP) at neutral pH, and indicative of the presence of a

hydrogen bond to the Fe<sup>IV</sup>=O oxygen. The 803/769 cm<sup>-1</sup> pair of RR bands were also observed upon blue excitation, as is to the case for the bands found in the dioxygen cycle of this enzyme (Ogura, T., Takahashi, S., Hirota, S., Shinzawa-Ito, K., Yoshikawa, S., Appelman, E. H., and Kitagawa, T. (1993) *J. Am. Chem. Soc.* 115, 8527–8536). This observation provides the first direct characterization of the “607 nm” form of this enzyme in its reaction with H<sub>2</sub>O<sub>2</sub>.

## II-E-8 Observation of the Fe-O<sub>2</sub> and Fe<sup>IV</sup>=O stretching Raman bands for dioxygen reduction intermediates of cytochrome *bo* isolated from *Escherichia coli*

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[*FEBS Lett.*, 352, 67–70 (1994)]

Reaction intermediates in dioxygen reduction by the *E. coli* cytochrome *bo*-type ubiquinol oxidase were studied by time-resolved resonance Raman spectroscopy using the artificial cardiovascular system. At 0–20 μs following photolysis of the enzyme-CO adduct in the presence of O<sub>2</sub>, we observed the Fe-O<sub>2</sub> stretching Raman band at 568 cm<sup>-1</sup> which shifted to 535 cm<sup>-1</sup> with the <sup>18</sup>O<sub>2</sub> derivative. These frequencies are remarkably close to those of other oxyhemoproteins including dioxygen-bound hemoglobin and aa<sub>3</sub>-type cytochrome *c* oxidase. In the later time range (20–40 μs), other oxygen-isotope-sensitive Raman bands were observed at 788 and 361 cm<sup>-1</sup>. Since the 781 cm<sup>-1</sup> band exhibited a downshift by 37 cm<sup>-1</sup> upon <sup>18</sup>O<sub>2</sub> substitution, we assigned it to the Fe<sup>IV</sup>=O stretching mode. This band is considered to arise from the ferryl intermediate, but its appearance was much earlier than the corresponding intermediate of bovine cytochrome *c* oxidase (> 100 μs). The 361 cm<sup>-1</sup> band showed the <sup>16</sup>O/<sup>18</sup>O isotopic frequency shifts of 14 cm<sup>-1</sup> similar to the case of bovine cytochrome *c* oxidase reaction.

## II-E-9 Ultraviolet Resonance Raman Studies of Quaternary Structure of Hemoglobin Using a Tryptophan β37 Mutant

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[*J. Biol. Chem.*, in press]

Environmental changes of tyrosine (Tyr) and tryptophan (Trp) residues of hemoglobin (Hb) upon its T-to-R transition of quaternary structure was investigated with ultraviolet resonance Raman (UVR) spectroscopy excited at 235 nm. DeoxyHb A (T-form) showed a UVR spectrum distinctly different from those of the ligated Hbs (R-form) including oxy-, CO- and met-Hb A, while the ligated Hbs exhibited similar UVR spectra irrespective of a ligand species and an oxidation state of the heme. To characterize

the spectral change of Trp β37 at α<sub>1</sub>β<sub>2</sub> interface due to the quaternary structure transition, the UVR spectra of Hb A were compared with the corresponding spectra of Hb Hirose in which Trp β37 is replaced by serine (Ser). The difference spectrum between deoxyHb A and deoxyHb Hirose gave rise to only Trp RR bands, which were reasonably ascribed to Trp β37 in deoxyHb A. RR bands at 873 cm<sup>-1</sup> (W17) and at 1360/1343 cm<sup>-1</sup> (W7 Fermi doublet) indicated that the indole ring of Trp β37 in deoxyHb A made a strong H-bonding at the N<sub>1</sub>H site in hydrophobic environments. Tyr residues in deoxyHb Hirose seemed to be in the same environments as those of deoxyHb A. In contrast, the difference spectrum between Hb A and Hb Hirose in the ligated state displayed peaks for RR bands of both Trp and Tyr. The difference spectra were unaltered by addition of 5 mM IHP. This means that the differences were not caused by the tetramer to dimer dissociation but a conformation change within a tetramer. Comparison of the Hb A-Hb Hirose difference spectra in the oxy and deoxy states revealed that the oxygenation-induced changes of Trp RR bands arose mostly from Trp β37 and the remaining minor part from Trp β15, demonstrating that Trp β37 plays a pivotal role in the quaternary structural change in Hb A. The oxygenation-induced UVR spectral changes of Trp and Tyr of Hb A are discussed in view of possible changes in interactions between Trp residues and near-by aromatic amino acids on the basis of X-ray crystallographic data.

## II-E-10 Presence of Two Fe<sup>IV</sup>=O Heme Species in the Reaction of Cytochrome Oxidase with Oxygen Revealed by TR<sup>3</sup> Spectroscopy

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The reaction of fully-reduced cytochrome oxidase with oxygen was carefully examined with time-resolved resonance Raman (TR<sup>3</sup>) spectroscopy under improved experimental conditions at lower temperature. At Δt=540 μs following the start of reaction in H<sub>2</sub>O there were two oxygen-isotope-sensitive bands at 804 and 785 cm<sup>-1</sup>. At Δt=2.7 ms only the band at 785 cm<sup>-1</sup> was observed. In D<sub>2</sub>O, on the other hand, only the band at 804 cm<sup>-1</sup> was observed at Δt=540 μs while two bands at 804 and 785 cm<sup>-1</sup> were observed at Δt=11 ms. These observations established that the species which gave the band at 804 cm<sup>-1</sup> preceded the species giving the 785 cm<sup>-1</sup> band. From these observations together with the results obtained for the reaction of oxidized enzyme with H<sub>2</sub>O<sub>2</sub>, we propose new assignments of these two Raman bands both to the Fe-O stretching modes of ferryl intermediates. The species which gave 804 cm<sup>-1</sup> band was considered to be higher by one oxidative equivalent higher than that which gave 785 cm<sup>-1</sup> band. However, the location of the extra oxidative equivalent remains to be determined.

## II-E-11 A Novel Spinning Cell System for UVR Measurements of Powder- and Small Volume Solution Samples in Back-Scattering Geometry: Application to Solid Tryptophan and Mutant Hemoglobin Solution

A novel spinning cell system practically useful in ultraviolet (UV) resonance Raman (RR) measurements of solid samples and small-volume solution samples was designed and constructed. The cell system, which resulted from special efforts to keep the on-axis spinning of a thin-wall sample tube and to make it possible to replace the inside gas, consists of a stepping motor (A), cell holder (H) and a synthetic quartz ESR tube as illustrated in Figure 1. Strict on-axis spinning of the cell in back-scattering geometry raised the S/N ratio more than three times than an ordinary spinning cell and the thin-wall of the ESR tube reduced the spectral background significantly. The advantage of this system was demonstrated by observing the CW 244-nm excited RR spectra of solid tryptophan and pulsed 235-nm excited RR spectrum of 50  $\mu\text{L}$  aliquot of mutant hemoglobin solution.

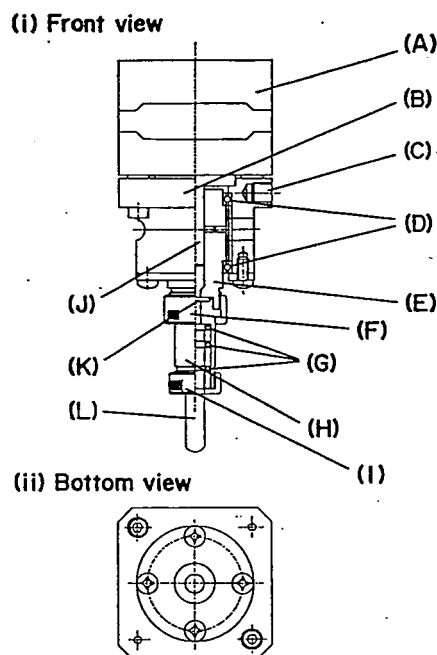


Figure 1.

## II—F Vibrational Spectroscopy of Molecules in Transient States

Raman spectroscopy reveals the vibrational spectrum of a molecule, which is sensitive to geometrical as well as electronic structures. When a wavelength of the probe beam is tuned within the absorption band of the molecule in question, resonance enhancement of Raman intensity takes place and thus makes it possible to detect Raman scattering from an excited molecule or a reaction intermediate even if its population is considerably small. We have taken this advantage of resonance effects to explore the structures of metalloporphyrins and free-base porphyrins in the excited singlet and triplet states. By using various isotope-labeled porphyrins, we determined vibrational assignments of excited state porphyrins. We also investigated intermediates of photoreduction of iron-porphyrins. Recently we extended similar measurements to a ps time regime and succeeded in observing the RR spectra of vibrationally hot Ni-porphyrin in a dd electronic excited state and in determining the vibrational temperature from the intensity of Stokes/anti-Stokes Raman bands. The pump/probe time-resolved Raman system using two 7-ns visible lasers was applied to pursue the Fe-CO stretching Raman band of recombined species of photodissociated CO-myoglobin and their mutants. These experiments enable us to explore dynamical features of protein structures. In parallel with these experimental work, we theoretically treated dynamics of CO adduct of myoglobin and examined the effect of protonation and side-chain motions of distal histidine upon the Fe-CO structure. We extended these calculations to other mutants of Mb.

### II-F-1 Recombination Intermediates of Photodissociated CO Myoglobin at Ambient Temperatures Detected by Time-Resolved Resonance Raman Spectroscopy

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[*J. Am. Chem. Soc.*, in press]

Time-resolved resonance Raman (TR<sup>3</sup>) experiments were carried out for sperm whale carbonmonooxy myoglobin (COMb) using the pump-(7ns, 532nm, 4mJ) and probe (7ns, 416nm, 100 $\mu\text{J}$ ) pulses with various delay times ( $\Delta t = -20\text{ns} - 1\text{ms}$ ) at ambient temperature. The equilibrium Fe-CO stretching band, identified in the spectrum for  $\Delta t = -20\text{ns}$  (508  $\text{cm}^{-1}$  for  $^{12}\text{C}^{16}\text{O}$  and 497  $\text{cm}^{-1}$  for  $^{13}\text{C}^{18}\text{O}$ ) in the pump/probe measurements, was absent in the spectrum for  $\Delta t = 0$  ns and the TR<sup>3</sup> spectra for  $\Delta t = 0$  and 20 ns were different. A new band started to grow at 497  $\text{cm}^{-1}$  from  $\Delta t = 20$  ns. This band did not exhibit the  $^{13}\text{C}^{18}\text{O}$  isotopic frequency shift and accordingly is assigned to a mode

of deoxy heme. The Fe-CO stretching band reappeared from  $\Delta t = 100\mu\text{s}$  and was restored by 65% at 1 ms. The spectral difference of the deoxy heme between  $\Delta t = 0$  and  $\Delta t = 20\text{ns} - 100\mu\text{s}$  suggests that some conformational changes occur in the heme vicinity around 20 ns after photolysis but before the Fe-CO stretching band reappears, providing an evidence for the presence of a transient species, which is generated after CO migrates into the heme pocket but before binds to the heme.

### II-F-2 Time-Resolved Resonance Raman Study of Porphyrins and Metalloporphyrins in the Electronic Excited States

Shin-ichiro SATO and Teizo KITAGAWA

[*Appl. Phys.*, B59, 415–431 (1994)]

Current developments of our Time-Resolved Resonance Raman (TR<sup>3</sup>) studies on the ( $\pi\pi^*$ ) excited state of free-base porphyrins and (dd) excited state of Ni-OctaEthyl-



Porphyrin (NiOEP) in solutions are described. A device for quasi-simultaneous measurements has been developed to obtain correct difference spectra for pump/probe spectra of various delay times and probe-only spectrum. This technique is practically powerful to obtain TR<sup>3</sup> spectra of porphyrins and metallo-porphyrins. An orbital-symmetry marker band ( $\nu_2$ ) exhibited upshifts along  $S_0 \rightarrow S_1 \rightarrow T_1$  transitions for free-base octaethylporphyrin (H<sub>2</sub>OEP), while downshifts along the same transitions for free-base TetraphenylPorphyrin (H<sub>2</sub>TPP). This is interpreted by the symmetry differences in the highest occupied molecular orbitals of H<sub>2</sub>OEP ( $a_u$ -orbital) and H<sub>2</sub>TPP ( $b_{1u}$ -orbital). An intensity-borrowing mode ( $\nu_{19}$ ) was enhanced by the Albrecht C-term in resonance with the  $T_1 \rightarrow T_n$  transition, but not in resonance with the  $T_1 \rightarrow T_n$  transition for H<sub>2</sub>OEP. This fact can be considered to be due to different vibronic couplings between the singlet and triplet manifolds. For the (*dd*) excited state of NiOEP, our TR<sup>3</sup> study suggests that the excess energy upon the ( $\pi\pi^*$ ) to (*dd*) transition was vibrationally cooled prior to the electronic relaxation from the (*dd*) state to the ground state with a relaxation time of ca. 300 ps. Comparison between Stokes and anti-Stokes resonance Raman spectra for the delay time of 0 ps indicated that the lower limit of vibrational temperature in 0–40 ps time range is 750 K.

### II-F-3 The Proximal Residue Largely Determines the CO Distortion in Carbonmonoxy Globin Proteins. An *ab initio* Study of a Haem Prosthetic Unit

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[*J. Am. Chem. Soc.*, in press]

The preliminary results of an *ab initio* investigation of a model haem prosthetic group show that the large off-perpendicular distortions of the Fe-C-O unit in the protein X-ray structures are mainly caused by the distorted orientation of the proximal residue. Inclusion of the distal residue in a supermolecule calculation has a smaller effect on the Fe-C-O geometry. Thus the large strain energies implied by the large off-perpendicular distortions of the Fe-C-O group in the X-ray structures are delivered by the protein tertiary structure, via the proximal residue, and not by the mobile distal side chain as had been previously proposed. The structure-function relationship, as revealed by the X-ray structure, can now be clarified. The Fe-C-O geometry is largely determined by the proximal residue, and so is non-perpendicular even in the His64Gly mutant. These distortions are expected to be present even under physiological conditions. The distal residue is *not* subject to a large repulsive interaction with the carbonyl ligand, thus its orientation in the solvated protein can be determined by weaker attractive electrostatic interactions, as inferred from recent experimental studies of distal residue mutant myoglobins. This result removes the need to invoke a large stabilisation of the distal side chain orientation by a rigid hydrogen bonding network, an interpretation of the physiological

structure-function relationship that was at odds with the X-ray B-factors, and the mobility of the solvent molecules expected under physiological conditions.

### II-F-4 The Distal Residue-CO Interaction in Carbonmonoxy Myoglobins: a Molecular Dynamics Study of Two Distal Histidine Tautomers

Philip JEWSBURY and Teizo KITAGAWA

[*Biophys. J.*, in press]

Four independent 90ps molecular dynamics simulations of sperm-whale wild type carbonmonoxy myoglobin (MbCO) have been performed using a new force field for the haem prosthetic group developed for the AMBER protein dynamics package from that already available with CHARMM. The protein primary structures of each trajectory differ only in the protonation site of the distal histidine nitrogen: two trajectories have the 64N<sub>δ</sub> nitrogen protonated, two the 64N<sub>ε</sub> nitrogen protonated. All water molecules within 16Å of the carbonyl O are included (about 135 molecules), thus the haem pocket is considered solvated. In three trajectories the distal residue remains part of the haem pocket, with the protonated distal nitrogen pointing into the active site. This is in contrast with the neutron diffraction crystal structure which has the protonated 64N<sub>δ</sub> nitrogen pointing out of the active site, but is consistent with recent solution phase experiments measuring the CO stretching frequency ( $\nu_{CO}$ ) of MbCO and its various mutants. There are significant differences in the haem pocket structures found for each tautomer, resulting in different average electric fields at the ligand site. No significant difference in the Fe-C-O geometries is observed between any of the trajectories, supporting recent interpretations that the interaction between the haem pocket and Fe-bound CO is largely electrostatic rather than steric in nature. One trajectory (a 64N<sub>δ</sub>H tautomer) has the distal histidine moving out into the "solvent", leaving the pocket in an "open" structure with a significantly larger "entrance" to the active site than found in the "closed" conformations. The time averaged positions of the CO centres lie on, or close to, the haem normal in all four trajectories consistent with the small changes in Fe-C-O geometry observed in a range of mutant MbCO crystal structures. The instantaneous orientations of the CO dipole lie around 20° to the haem normal, at angles similar to those observed in infrared polarization studies, thus explaining the apparent discrepancy between these experimental results. These trajectories suggest that the three  $\nu_{CO}$  frequencies observed for wild type MbCO in solution, rather than representing significantly different Fe-C-O geometries as such, arise from three different haem pocket structures, each with electric fields at the ligand. Each pocket structure corresponds to a different distal histidine conformer: the A<sub>3</sub> band to the 64N<sub>ε</sub>H tautomer, the A<sub>1,2</sub> band to the 64N<sub>δ</sub>H tautomer and the A<sub>0</sub> band to the absence of any significant interaction with the distal side chain.

## II-F-5 The distal-CO Interaction in Carbonmonoxy Myoglobins: the Molecular Dynamics of 3 Distal Mutants

Philip JEWSBURY and Teizo KITAGAWA

Six 90ps molecular dynamics trajectories, two for each of three distal mutants of sperm whale carbonmonoxy myoglobin (MbCO), are reported. Solvent waters within 16Å of the active site have been included. In both His64Gln trajectories, the distal side chain remains part of the haem pocket forming a "closed" conformation similar to that of the wild type 64N<sub>ε</sub>H tautomer. Despite a connectivity more closely resembling the N<sub>ε</sub>H histidine tautomer, close interactions with the carbonyl ligand similar

to those observed for the wild type 64N<sub>ε</sub>H MbCO tautomer are prevented in the His64Gln mutant by repulsive interactions between the carbonyl O and the 64O<sub>ε</sub>. The aliphatic distal side chain of the His64Leu mutant shows little interaction with the carbonyl ligand in either of the His64Leu trajectories. Solvent water molecules move into and out of the active site in the His64Gly mutant trajectories; during all the other MbCO trajectories, including the wild type distal tautomers considered in an earlier work, solvent molecules rarely encroach closer than 6Å of the active site. These results are consistent with a recent structural interpretation of the wild type IR spectrum, and the current re-interpretation that the distal-ligand interaction in MbCO is largely electrostatic, not steric, in nature.

## II—G Molecular and Electronic Structures of Metallofullerenes and the Fullerene Radical Anions

The continued interest in metallofullerenes and radical ions of fullerenes has resulted from the discovery of superconductivity in the CT complexes of alkali metals with fullerenes. Spectroscopic information concerning the electronic structure of C<sub>60</sub><sup>-</sup> and C<sub>70</sub><sup>-</sup> has been obtained by the resonance-enhanced multiphoton electron detachment (REMPED) measurements in the gas phase. Considering the metallofullerene as the endohedral (i.e. intramolecular) CT complex of a metal with the fullerene, the electronic structure of the metallofullerene is expected to be very significant in explaining the possibility of the superconductivity or the ferromagnetism of the metallofullerene crystal.

### II-G-1 Laser Study on the Resonance-Enhanced Multiphoton Electron Detachment (REMPED) Processes for C<sub>60</sub><sup>-</sup> and C<sub>70</sub><sup>-</sup>

Takeshi KODAMA, Tatsuhisa KATO, Taro MORIWAKI (Tokyo Metropol. Univ.), Haruo SHIROMARU (Tokyo Metropol. Univ.), and Yohji ACHIBA (Tokyo Metropol. Univ.)

[*J. Phys. Chem.*, **98** (42), 10671 (1994)]

The resonance-enhanced multiphoton electron detachment (REMPED) spectra for C<sub>60</sub><sup>-</sup> and C<sub>70</sub><sup>-</sup> have been obtained. They are regarded as the absorption spectra for these fullerene anions in the gas phase. The REMPED spectrum of a fragment anion produced in the fragmentation process of C<sub>70</sub><sup>-</sup>, having a mass of 720, has been measured. This spectrum shows an identical threshold with C<sub>60</sub><sup>-</sup> at ca. 8200cm<sup>-1</sup>. Thus the fragment anion is assigned to C<sub>60</sub><sup>-</sup> with the icosahedral structure. The REMPED spectrum of C<sub>70</sub><sup>-</sup> shows a broad band in the near-infrared region. The nature of the appearance of this band is discussed in consideration of the ground electronic state for C<sub>70</sub><sup>-</sup>.

### II-G-2 ESR study on structures and dynamics of Sc<sub>3</sub>@C<sub>82</sub>

Tatsuhisa KATO, Shunji BANDOW, Masayasu INAKUMA (Nagoya Univ.), and Hisanori SHINOHARA (Nagoya Univ.)

[*J. Phys. Chem.*, in press]

The ESR spectrum of Sc<sub>3</sub>@C<sub>82</sub> in toluene and CS<sub>2</sub> solutions exhibits the symmetric hyperfine splitting of the 22 lines with a line width of 0.5 gauss at room temperature, which is consistent with the structure of Sc<sub>3</sub>@C<sub>82</sub> having the C<sub>3v</sub> symmetry. The line widths of the 22 lines are much broader than that for Sc@C<sub>82</sub>, and show the unusual temperature dependence. The origin of the line widths can not be explained only by the line broadening effect originated in the hydrodynamic rotation of the whole molecule. We have found that the specific feature of the line widths reflects the intramolecular dynamics which averages between distinct scandium ion environment.

# RESEARCH ACTIVITIES III

## Department of Electronic Structure

### III—A Ultrafast Intermolecular Electron Transfer Faster than Diffusive Solvent Relaxation

We have recently reported ultrafast fluorescence quenching of excited dye molecule (nile blue A perchlorate) in neat weakly polar electron-donating solvents and the picosecond transient absorption of reaction products. We attributed the fluorescence quenching to intermolecular electron transfer (ET). To understand the role of solvent dynamics and intramolecular motion in ET, it is important to study the time dependence of the reaction in detail. Accurate measurements of fluorescence decay were made with xanthenes and coumarins in aniline and *N,N*-dimethylaniline. We found ET rate constants in some cases determined directly by nuclear rearrangement and in the other cases by solvent relaxation dynamics. Most interestingly we found a substituent effect of ET in 7-aminocoumarins.

#### III-A-1 Femtosecond Intermolecular Electron Transfer in Condensed Systems

Keitaro YOSHIHARA, Yutaka NAGASAWA (*Graduate Univ. for Advanced Studies*), Arkadiy YARTSEV, Shigeichi KUMAZAKI, Hideki KANDORI, Alan E. JOHNSON, and Keisuke TOMINAGA

[*J. Photochem. Photobiol. A* **80**, 167 (1994)]

We have investigated the ultrafast intermolecular electron transfer (ET) from an electron-donating solvent (aniline (AN) or *N,N*-dimethylaniline (DMA)) to an excited dye molecule (oxazines (Nile blue and oxazine 1) or coumarins). A non-exponential time dependence was observed in AN and can be explained by solvent reorientation and nuclear motion of the reactants. However, in DMA, a single exponential process was observed for Nile blue (160 fs) and oxazine 1 (280 fs), which can be explained by assuming that the rate of ET is limited mainly by ultrafast nuclear motion. A clear substituent effect on intermolecular ET was observed for the 7-aminocoumarins. When the alkyl chain on the 7-amino group is extended and a hexagonal ring with the benzene moiety is formed, the rate of ET is reduced by three orders of magnitude. This effect can be explained by a change in the free energy difference of the reaction and by the vibrational motion of the amino group.

#### III-A-2 Temperature Dependence of Ultrafast Intermolecular Electron Transfer Faster than Solvation Process

Yutaka NAGASAWA (*Graduate Univ. for Advanced Studies*), Arkadiy YARTSEV, Keisuke TOMINAGA, Alan E. JOHNSON, and Keitaro YOSHIHARA

[*J. Chem. Phys.* **101**, 5717 (1994)]

Temperature dependence of intermolecular electron transfer (ET) between oxazine 1 (OX1) in the excited state and electron donor solvents such as aniline (AN) and *N,N*-dimethylaniline (DMA) was studied by observing fluorescence dynamics of OX1 (Figure 1). The fluorescence decay of OX1 in DMA showed a single exponential behavior with a time constant (280 fs) which was independent of temperature over the range of 280 to 353 K. In AN, the ET caused non-exponential fluorescence decay whose time

constants range from a few hundred femtoseconds to a few picoseconds depending on temperature. The time constants of these ET were smaller than the solvation times obtained by dynamic fluorescence Stokes shift of coumarin 102. This indicates the importance of vibrational nuclear motion in ET. The extended Sumi-Marcus two-dimensional reaction coordinate model which concerns the effect of high frequency mode was applied to explain the experimental observations. Good agreements were obtained between the experiments and calculations in terms of necessary parameters such as electronic matrix element and solvent reorganization energy. The difference between the ET of OX1 in DMA and AN can be mainly explained by the free energy difference between the reactant and the product.

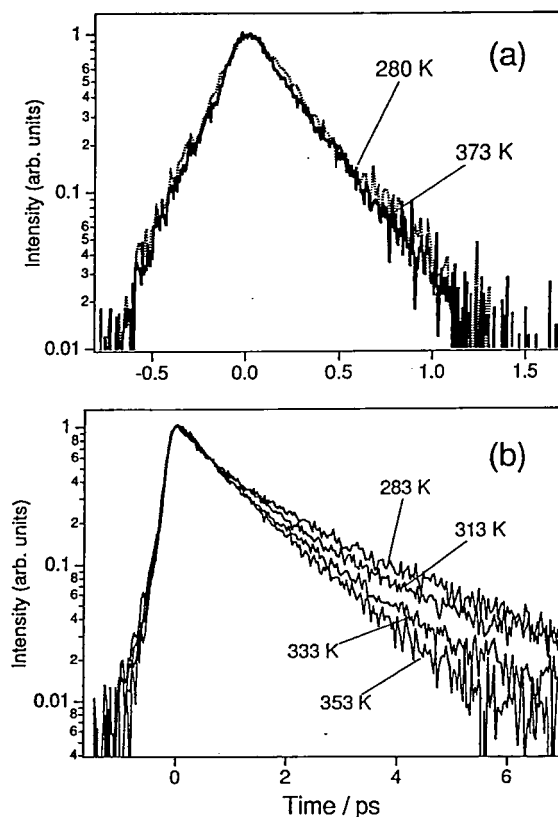


Figure 1. Temperature dependent fluorescence decays of OX1 (a) in DMA and (b) in AN.

### III-A-3 Chemical Substitution and Deuterium Isotope Effects on Ultrafast Intermolecular Electron Transfer: Possible Role of Molecular Vibrations

Yutaka NAGASAWA (*Graduate Univ. for Advanced Studies*), Arkadiy YARTSEV, Keisuke TOMINAGA, and Keitaro YOSHIHARA

[*Ultrafast Phenomena IX*, Springer, in press]

Deuterium isotope effect was observed, by means of femtosecond fluorescence up-conversion technique, for the ultrafast intermolecular electron transfer (ET) between coumarin 152 (C152) and aniline (AN) in the time region of 3~13 ps. The ET was ~10% slower for the deuterated AN compared to the normal one as shown in Figure 1. The deuteration of the amino hydrogens is more important than that of the phenyl hydrogens. Hindered rotation of the amino group may be contributing to the fast part of the solvation process. However, when the reaction becomes much faster (C151 in AN), the isotope effect becomes minor. This may indicate that much faster nuclear dynamics is also contributing to the ultrafast ET.

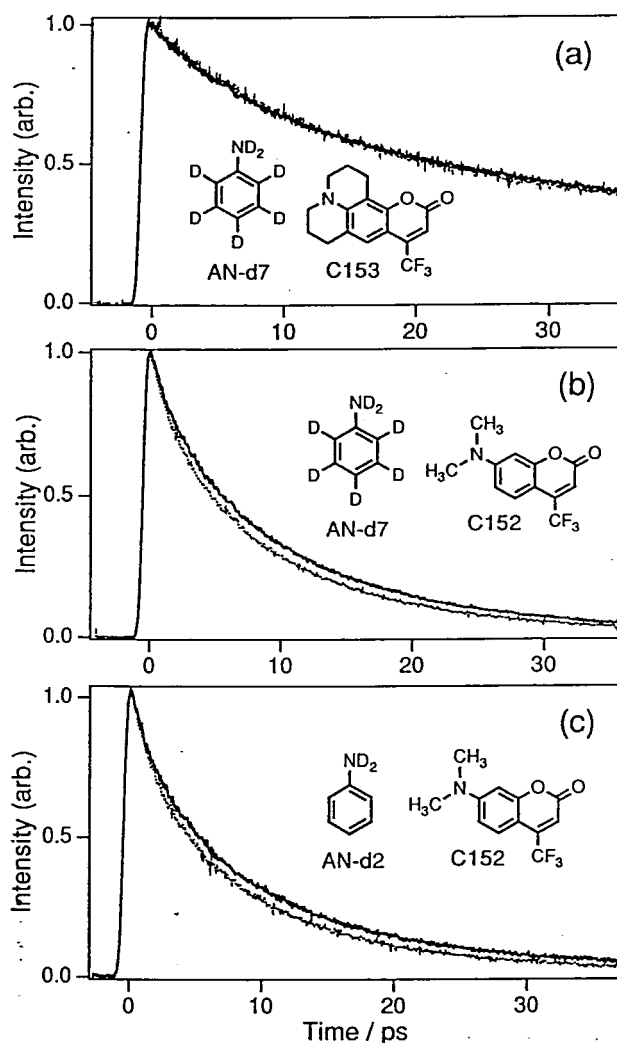


Figure 1. (a) Fluorescence decay of C153 in AN-h7 (broken line) and in AN-d7 (solid line). (b) Fluorescence decay of C152 in AN-h7 (broken line) and in AN-d7 (solid line). (c) Fluorescence decay of C151 in AN-h7 (broken line) and in AN-d2 (solid line).

### III—B Liquid Dynamics Studied by Higher Order Nonlinear Spectroscopies

In the vibrational spectroscopies it is one of the key issues to distinguish homogeneous and inhomogeneous contributions to vibrational line broadening. Since the homogeneous and inhomogeneous contributions result from rapid perturbation to the oscillator and difference of the environment around the molecule of interest, respectively, it is possible to investigate microscopic liquid dynamics by distinguishing the two contributions. However, it is well known that frequency- or time-domain spectroscopies which are based on the third-order nonlinearity are incapable of distinguishing the homogeneous and inhomogeneous contributions unambiguously. On the other hand, echo-type experiments, which make use of temporal two-dimensionality, have been proved to be a powerful technique to determine relative importance of inhomogeneity of dephasing process as demonstrated in magnetic resonance. We have been working on vibrational echo-type experiments on liquids in order to distinguish homogeneous and inhomogeneous contributions to the linewidths. This technique requires the application of the two excitations at different times, 0 and  $\Delta t_1$ , and each excitation consists of two short pulses to create vibrational coherent state by Raman transition. Vibrational coherence is detected by observing anti-Stokes Raman scattering induced by a fifth pulse at  $\Delta t_1 + \Delta t_2$ . Two different experiments, Raman echo and five-pulse correlation experiments, have been performed to study dephasing processes of high frequency intramolecular and low frequency intermolecular vibrational modes, respectively.

#### III-B-1 Ultrafast Raman Echo Study on the S-H Stretching of Liquid Ethanthiol

Keisuke TOMINAGA, Ryoji INABA (*Univ. of Tokyo and IMS*), Tai Jong KANG (*Taegu Univ. and IMS*),

Yukito NAITOH, Keith A. NELSON (*Massachusetts Institute of Technology and IMS*), Mitsuo TASUMI (*Univ. of Tokyo*), and Keitaro YOSHIHARA

The Raman Echo is a phenomenon based on a seventh-order nonlinear process. This technique is capable of distinguishing the homogeneous and inhomogeneous contributions to the *high frequency vibrational* dephasing. In the Raman echo experiment two short pulses for the excitation have different wavelengths whose difference matches the vibrational frequency of interest. In Figure 1 Raman echo signals of the S-H stretching of ethanthiol are shown. In the measurements  $\Delta t_2$  is scanned with fixed  $\Delta t_1$ . At first glance a large difference between the two signals is not observed, which suggests that there is no significant inhomogeneous contribution to the vibrational dephasing. However, since both the signals show non-exponential behavior and differ slightly, direct evidence of the existence of inhomogeneity may be obtained from the further Raman echo measurements and complete analyses on the data, which are currently under progress.

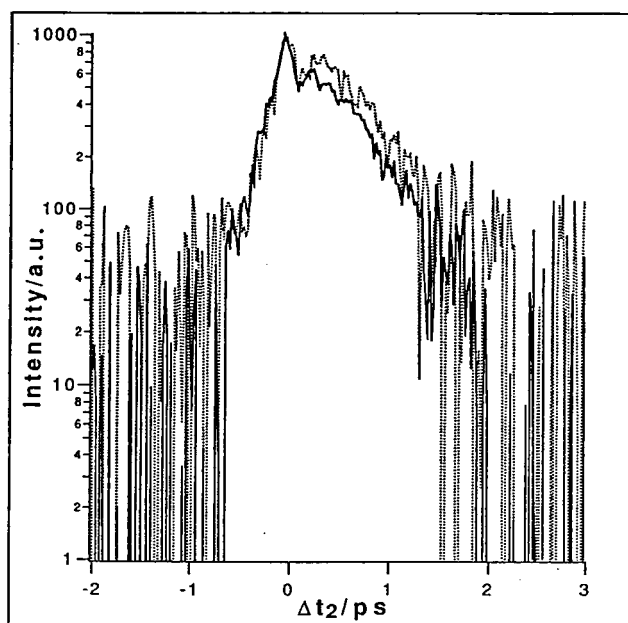


Figure 1. Raman echo signals of the S-H stretching of ethanthiol.  $\Delta t_1=0$  and 3 ps for solid and dotted lines, respectively.

### III-B-2 Fifth Order Optical Response of Liquid CS<sub>2</sub> Observed by Ultrafast Non-Resonant Six-Wave Mixing

Keisuke TOMINAGA, Yukito NAITOH, Tai Jong KANG (Taegu Univ. and IMS), Gary P. KEOGH (Imperial College of Science, Technology, and Medicine and IMS), and Keitaro YOSHIHARA

[Ultrafast Phenomena IX, in press]

The first observation of the fifth order optical response of liquid CS<sub>2</sub> was conducted by ultrafast non-resonant six-wave mixing with five different pulses. The technique allows us to unambiguously distinguish homogeneous and inhomogeneous contributions to *low frequency intermolecular* vibrational modes, and is similar to the Raman echo experiment except that the five pulses have same wavelength.

Figure 1 shows the fifth order signals from CS<sub>2</sub> as a function of  $\Delta t_2$  with different fixed  $\Delta t_1$ . The dotted lines are the third order signals, which are identical to the fifth order signals within experimental error. The experimental results are consistent with the prediction by Tanimura and Mukamel<sup>1)</sup> where a static inhomogeneous contribution is minor.

#### Reference

- 1) Y. Tanimura and S. Mukamel, *J. Chem. Phys.* **99**, 9496 (1993).

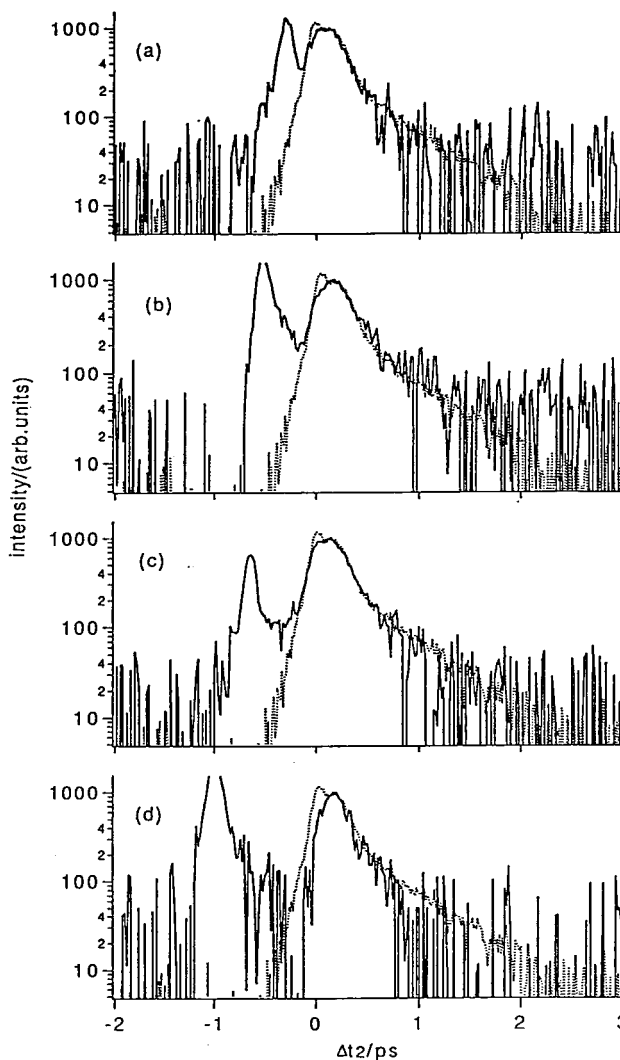


Figure 1. Solid lines; fifth order response signals from CS<sub>2</sub> as a function of  $\Delta t_2$  with different  $\Delta t_1$ .  $\Delta t_1$  is (a) 0.33 ps, (b) 0.50 ps, (c) 0.67 ps, and (d) 1.00 ps. Broken lines; the third order signals from CS<sub>2</sub>.

### III—C Development of Ultrafast Spectroscopic Methods

It is generally recognized that time scale of many elementary processes in chemical reactions and molecular dynamics fall into picosecond and femtosecond region. Development of ultrafast spectroscopic techniques enables us to observe these phenomena in real time. We have been developing suitable apparatuses for research subjects described in section III. This year we have constructed dye-laser-based high power pico- and femtosecond laser systems for multiple pulse scattering experiments.

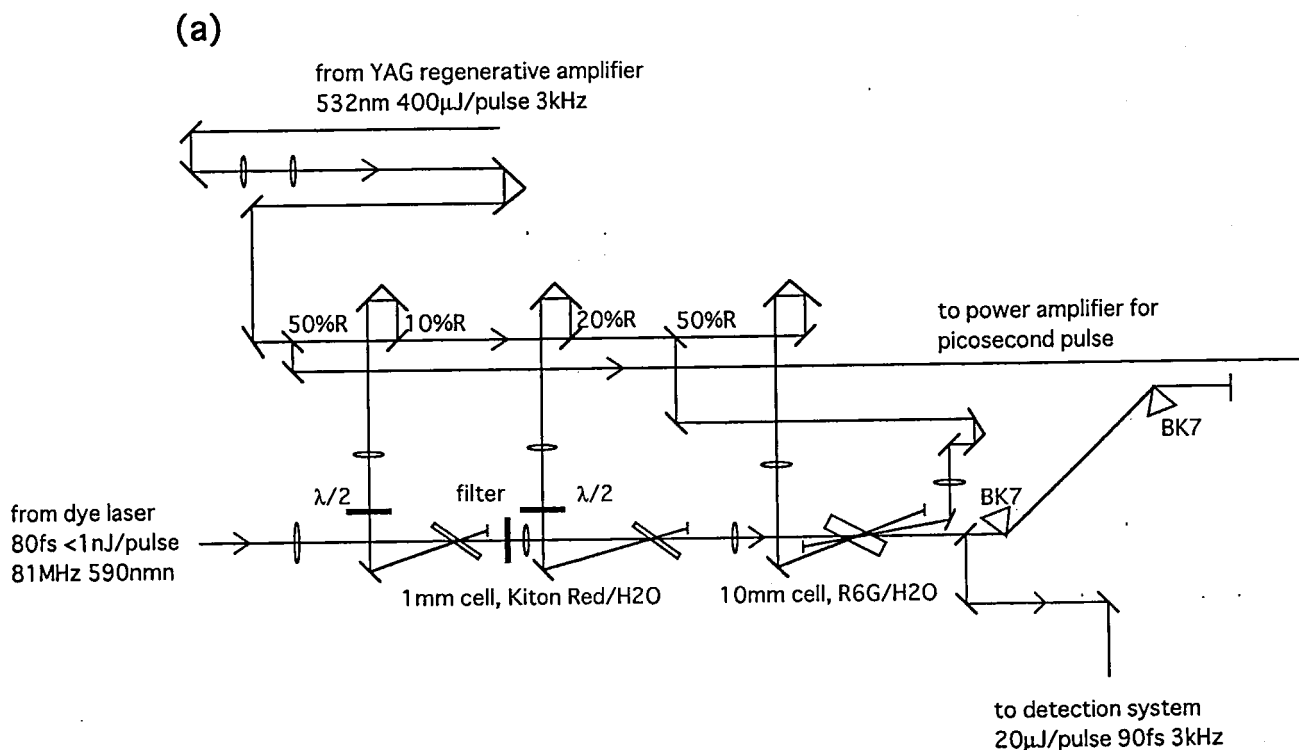
#### III-C-1 High Power Pico- and Femtosecond Laser Systems at kHz Repetition Rate

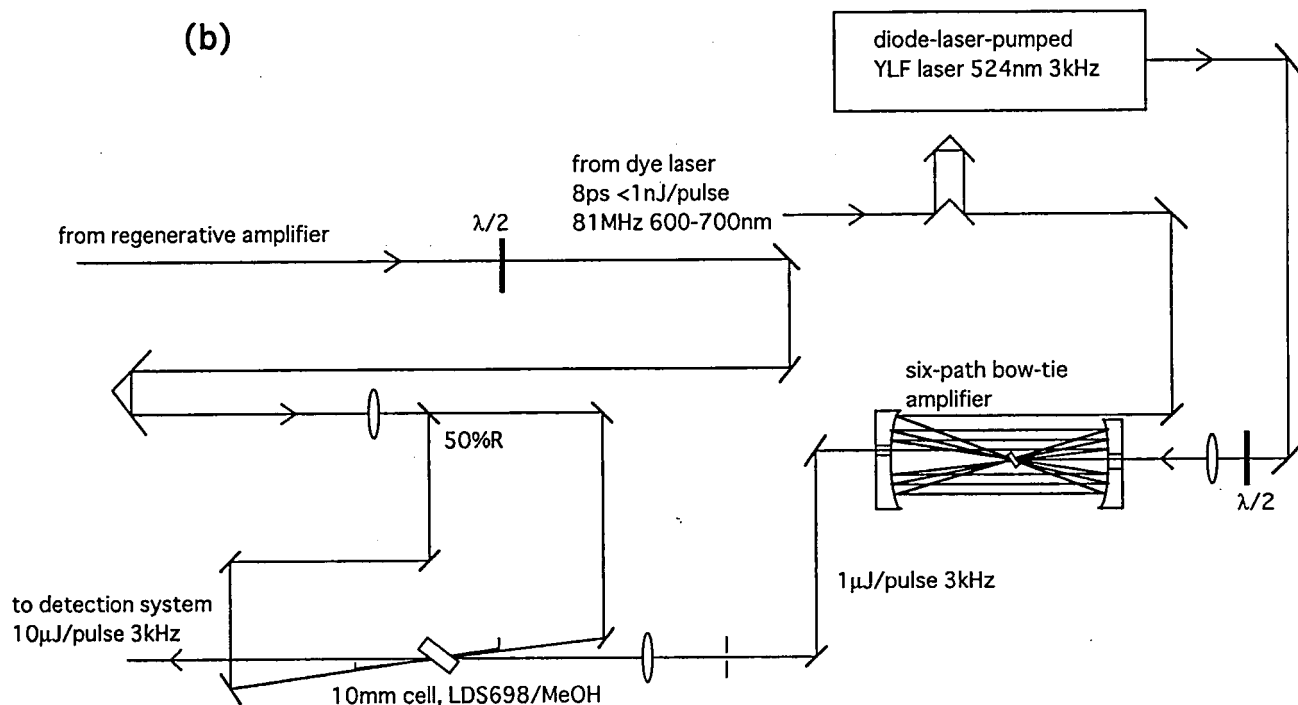
Keisuke TOMINAGA, Yukito NAITOH, Tai Jong KANG (*Taegu Univ. and IMS*), and Keitaro YOSHIMURA

[in the *Proceeding of XIV International Conference on Raman Spectroscopy*, p.444]

For the Raman echo and five-pulse correlation experiments, which are based on higher order nonlinear effects and require high energy pulses, we have developed high power ( $>10 \mu\text{J}/\text{pulse}$ ) pico- ( $\sim 8 \text{ ps}$ ) and femtosecond ( $<100 \text{ fs}$ ) laser systems at kHz repetition rate. A home-made CW mode-locked Nd:YAG laser, pico- ( $\sim 8 \text{ ps}$ ), and femtosecond (80 fs) dye lasers were used for the generation of the basic light pulses. Femtosecond laser pulses are amplified by a three-dye-stage dye laser pumped by a regenerative Nd:YAG amplifier operating at 3 kHz as shown in

Figure 1(a). A thin color filter is placed between the first and second dye cells as a saturable absorber. A pair of the prisms made of BK7 is used to compensate the group velocity dispersion. By adjusting the position of the prism pair and selecting the filter, an amplified pulse as short as 90 fs is available. Without the filter the power of the short pulse goes up to  $20 \mu\text{J}/\text{pulse}$ . For the amplification of the picosecond pulse, preamplification is performed using a six-path bow-tie dye amplifier pumped by the second harmonics of the diode-laser-pumped Nd:YLF laser output (Figure 1(b)). The preamplified pulse with a power of about  $1 \mu\text{J}/\text{pulse}$  is power-amplified by using a dye cell, which is pumped by a part of the output of the YAG regenerative amplifier from the both sides. The final power is about  $\sim 10 \mu\text{J}/\text{pulse}$ . These amplified pico- and femtosecond pulses are sent to the detection systems for the multi-pulse experiments.



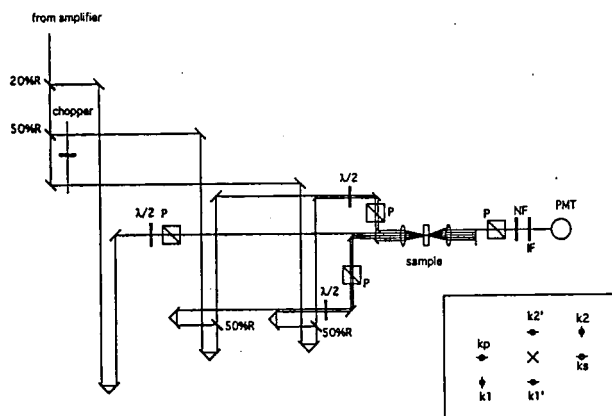


**Figure 1.** (a) An amplifier system for femtosecond pulse. 10%R, 20%R, and 50%R denote beam splitters with reflection of 10%, 20%, and 50%, respectively.  $\lambda/2$  is a half-wave plate. The first and second dye cells contain a solution of Kiton Red in  $H_2O$ , and the third cell contains a solution of rhodamine 6G in  $H_2O$ . (b) An amplifier system for picosecond pulse. 50%R denotes a beam splitter with reflection of 50%, and  $\lambda/2$  is a half-wave plate. A six-path bow-tie amplifier has a dye jet of a solution of LDS698 in ethylene glycol. In the power amplifier a solution of LDS698 in methanol is used.

### III-C-2 Detection System for Five-Pulse Correlation Experiment

Keisuke TOMINAGA and Keitaro YOSHIHARA

Figure 1 shows an apparatus for detecting fifth order optical response by a six-wave mixing method. An amplified femtosecond pulse is split into five portions with an equal intensity by four beam splitters. These five pulses are focused by a spherical achromatic lens into the sample contained in a quartz cuvette with a glass thickness of 0.3 mm. The polarizations of the five pulses are controlled by half-wave plates and Glan-Laser prisms. The pulse configuration and polarization condition are shown in the inset in the figure. To eliminate third order signals from the fifth order signal,  $k_1$  and  $k_2$  are chopped at  $6f$  ( $\sim 840$  Hz) and  $k_1'$  and  $k_2'$  are chopped at  $5f$  ( $\sim 700$  Hz), and the signal is detected at the difference frequency  $f$  ( $\sim 140$  Hz) by a lock-in amplifier.



**Figure 1.** A detection system for fifth order optical response by a six-wave mixing method. 20%R and 50%R denote beam splitters with reflection of 20% and 50%, respectively.  $\lambda/2$ , P, NF, IF, and PMT are a half-wave plate, Glan-Laser polarizer, neutral density filter, interference filter, and photomultiplier tube. The inset; pulse configuration and polarization condition. A cross indicates the position of the center of the lens. Bars denote the polarizations of the pulses.

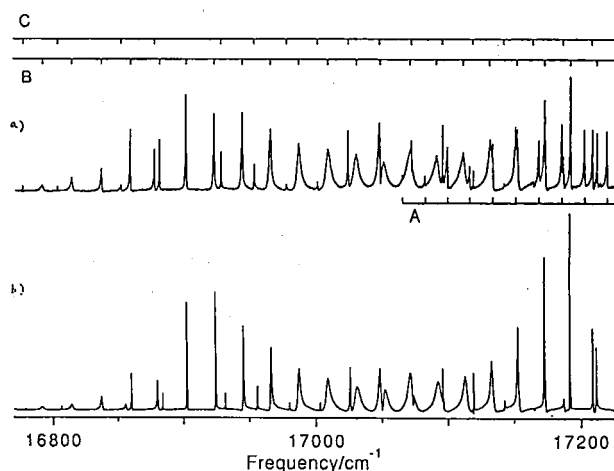
## III—D Photodissociation Dynamics of Alkali Metal Dimers

Among alkali metal dimers,  $Cs_2$  shows the most complex absorption bands which are due to very compact potential energy curves and large spin-orbit couplings. They are, therefore, suitable to study the predissociation occurring through multi-channel interactions. When sufficient energy is provided, alkali dimers can dissociate into fragments that have nonzero angular momentum through many different channels. By applying the resonance enhanced two photon ionization (RE2PI) technique and mass spectrometry to a very cold molecular beam of alkali dimers, new information on the dissociation of the homonuclear and heteronuclear alkali dimers is obtained.

### III-D-1 Complex Resonance in the Predissociation of $Cs_2$

Bongsoo KIM, Keitaro YOSHIHARA, and Sungyul LEE  
(Kyunghee University)

We present detailed quantum mechanical analysis of the complex resonances observed in molecular predissociation. The complex features, which are shown in Figure 1 in the photodissociation spectrum of  $\text{Cs}_2$ , are reproduced well by the close-coupling calculation including three channels. A very narrow resonance with a linewidth of  $\sim 2 \times 10^{-7} \text{ cm}^{-1}$  is predicted. It is shown that q-reversal can occur in predissociation with only two channels involved. The calculated q-values under isolated resonance assumption are considerably different from the q-values obtained by 3-channel close-coupling calculations. This shows that the resonances are strongly overlapped.



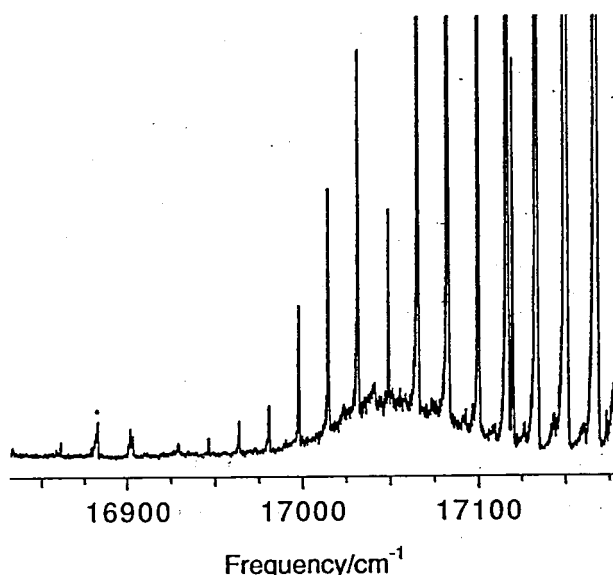
**Figure 1.** (a) Experimental photofragment yield spectrum obtained by selectively monitoring the Cs ( $6^2P_{3/2}$ ) fragment. (b) Calculated spectrum convoluted by laser linewidth and the rotational state distribution of the initial state assuming a rotational temperature of 1K.

### III-D-2 Molecular Resonances in the Predissociation of $\text{Cs}_2$

Bongsoo KIM and Keitaro YOSHIHARA

[Laser Chemistry, submitted]

The photodissociation of the orange band of  $\text{Cs}_2$  is studied using pump and probe technique as in part shown in Figure 1. Very cold molecular beam of  $\text{Cs}_2$  is produced using a high temperature pulsed nozzle.  $\text{Cs}_2^+$  ions are produced by resonance enhanced two photon ionization method. A very broad absorption band, which has about  $40 \text{ cm}^{-1}$  linewidth, is observed near  $17000 \text{ cm}^{-1}$ . By changing the rotational temperature we showed that the asymmetric resonance lineshapes are not due to rotational structures.



**Figure 1.** Expanded view of the Resonance-Enhanced-2-photon ionization spectrum of  $\text{Cs}_2$ .

## III—E Exciton Behavior of Dye J-Aggregates

Strong intermolecular interaction in J-aggregate of cyanine dyes leads to enhancement of dipole moment and formation of the excitonic transition, characterized by narrow absorption and emission spectra. Exciton size as well as exciton dynamics in such molecular system can be measured by emission spectroscopy. This year we clarified exciton interaction with acoustical phonons indicated by temperature-dependent measurements and observed emission of two excitations which coexist in J-aggregate at room temperature for 30 ps at high pump intensities.

### III-E-1 Temperature Dependence of Superradiant Emission of BIC J-Aggregates

Valey F. KAMALOV (*Inst. Chem. Phys., Russian Acad. and IMS*), Irina A. STRUGANOVA (*Lasers for Photochem. and IMS*), Tadaaki TANI (*Fuji Photo Film*), and Keitaro YOSHIHARA

[Chem. Phys. Lett. 220, 257 (1994)]

The emission lifetime of BIC J-aggregate decreases with increase of the temperature in the range 20–60K as shown in Figure 1(a). This shows the existence of a nonradiative relaxation channel in the BIC J-aggregate in glass. Both lifetime and intensity (shown in Figure 1(b)) were temperature dependent in different manner, indicating that the

radiative rate constant is temperature dependence. The main changes of emission characteristics take place at around 40K, which can be attributed to the phonon with  $30\text{--}40 \text{ cm}^{-1}$ . This low frequency is typical for an acoustical phonon and the temperature dependence of emission characteristics can be explained in favor of exciton interaction with an acoustical phonon in the BIC J-aggregate rather than with optical phonon (as it takes place for the PIC J-aggregate[1]).

#### Reference

- 1) F. C. Spano, J. R. Kuklinski and S. Mukamel, *Phys. Rev. Lett.* 65, 211 (1990).



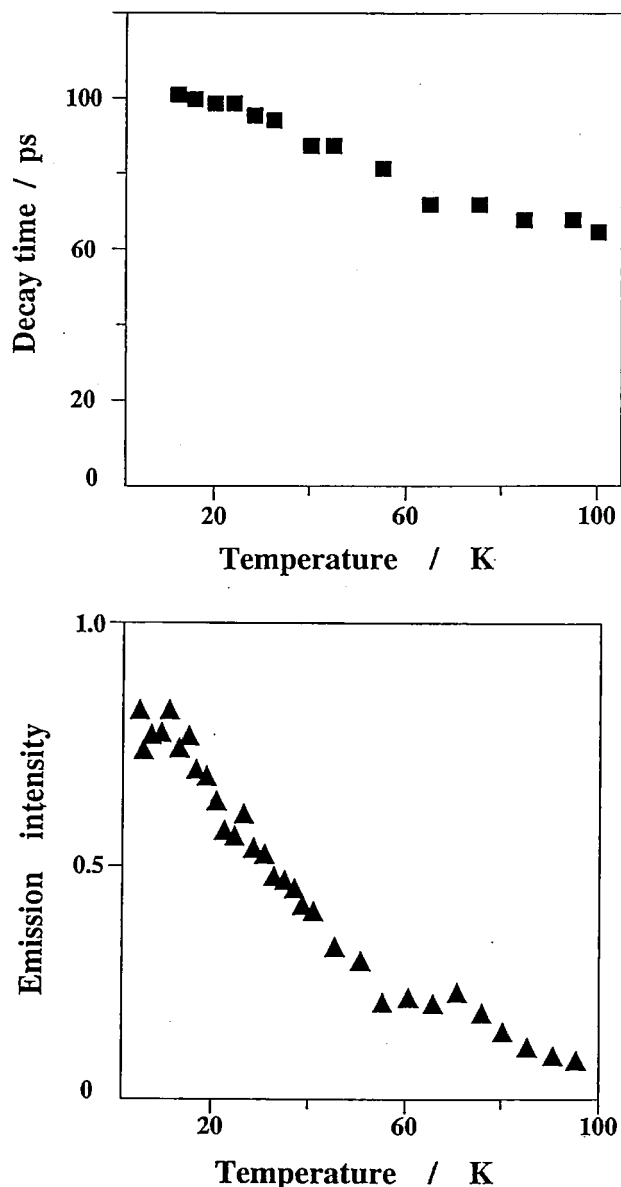


Figure 1. BIC J-aggregate emission decay time (a), emission intensity (b) temperature dependence. Excitation 582 nm.

### III-E-2 Two Exciton Emission of J-Aggregates

Valey F. KAMALOV (*Inst. Chem. Phys., Russian Acad. Sci.*), Irina A. STRUGANOVA (*Lasers for Photochem.*), Yasushi KOYAMA (*Kwansei Gakuin Univ.*), and Keitaro YOSHIHARA

[*Chem. Phys. Lett.* **226**, 132 (1994)]

A blue shift and narrowing of emission band of carbocyanine dye (BIC) J-aggregate in solution were observed at high excitation intensity as shown in Figure 1. This is explained by formation of a two-exciton state and efficient emission from the two-exciton state to one-exciton state. The lifetime of the two-exciton state of BIC J-aggregate in solution is 30 ps at room temperature. Repulsive interaction between excitons leads to the high energy (compare to one-exciton) shift of two-exciton transition.

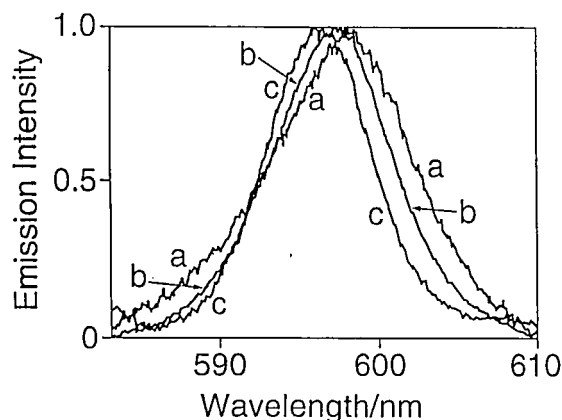


Figure 1. Emission spectra of BIC J-aggregate at different excitation intensities: curve (a) - low intensity  $I=6.3 \times 10^3$  W/cm<sup>2</sup>, curve (b) - intermediate intensity  $I=3.6 \times 10^7$  W/cm<sup>2</sup>, curve (c) - high excitation intensity  $I=1.3 \times 10^9$  W/cm<sup>2</sup>. Excitation wavelength is 527 nm.

### III—F Primary Processes in Photosystem I Reaction Center Complex

The reaction center (RC) pigment-protein complexes of photosynthetic organisms undergo light-induced charge separation and convert light energy to the electrochemical potential. The most studied of the RC's are those isolated from the purple bacteria, which contain only six pigment molecules. This relative simplicity has enabled ones to observe the electronic excitation energy and primary electron transfer processes by selective photoexcitation of the pigments. Higher plant and cyanobacteria have two types of photochemical reaction systems which are functioning in series (photosystem I and II (PS I and PS II)). Primary processes in the RC's of PS I and II are scarcely understood for the following reasons. (1) The spectroscopic features of the RC isolated from PS I and II are more congested and overlapped to a higher degree than those of the purple bacterial RC's. (2) Isolation procedures have been less developed in PS I and II than in purple bacteria. The RC of PS II recently isolated seems to have electron carrier molecules and amino acid sequence of the polypeptides which are essentially similar to those of purple bacterial RC's. The RC in PS I, however, has little similarity to that of purple bacteria. The free energy gaps in the primary reaction steps and the electron carrier molecules in PS I RC are different from those in purple bacterial RC. In addition, the amino acid sequence of PS I polypeptides shows almost no homology to that of purple bacteria. We focused on the primary processes in PS I RC. It is interesting to compare the mechanisms in the different types of RCs to extract some essential features in the optimized systems for light energy collection and the following charge separation.

### III-F-1 The Primary Photochemical Processes in P700-Enriched Photosystem I Particles: Trap-Limited Excitation Decay and the Primary Charge Separation.

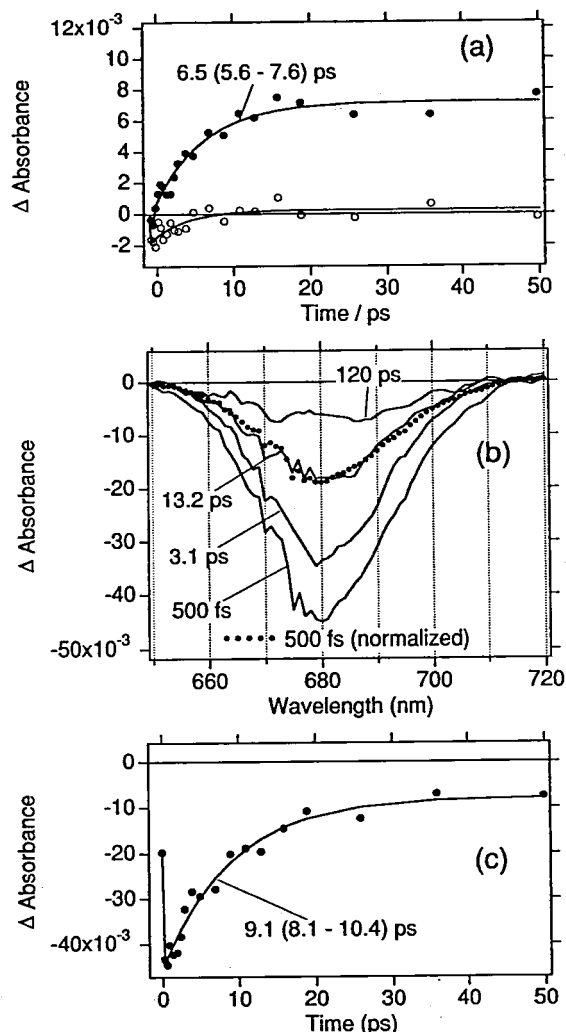
Shigeichi KUMAZAKI, Hideki KANDORI, Hrvoje PETEK, Keitaro YOSHIHARA, and Isamu Ikegami (Teikyo Univ.)

[*J. Phys. Chem.* 98, 10335 (1994)]

The energy transfer and primary charge separation in PS I RC particles with an antenna size of 12 chlorophylls (Chls)/P700 was studied by difference absorption spectroscopy. The transition from excited state of Chls to the charge-separated state of  $P700^+A_0^-$  (P700; primary donor Chl,  $A_0$ ; acceptor Chl  $a$ ) proceeded with a time constant of 6.5 ps at  $\sim 278$  K (Figure 1(a)). The distribution of excitation energy among the Chls seems to be equilibrated within 500 fs (Figure 1(b)). The decay rates of excited states of different Chl forms are almost constant ( $t_{1/e}=9.1$  ps) in the region of 660–705 nm (Figure 1(b) and (c)). The decay time constant is almost comparable to the rise time constant of  $P700^+A_0^-$  (6.5 ps). These results suggest that the transient signals at 500 fs consist mainly of the bleach of Chls which are rapidly exchanging excitation energy with P700 in subpicosecond time scale and that excitation is quenched at P700 in a few picoseconds. Comparison of the experimental distribution with simulated ones also suggests that excitation energy is distributed within 500 fs highly on P700 and also among other higher-energy Chl forms. On assuming a Boltzmann distribution of excitation energy among all the Chl forms at 278 K, the intrinsic time constant of the electron transfer from excited state of P700 to  $A_0$  is estimated to be 3.0 ps. This reaction seems to be as fast as the primary charge separation in purple bacterial RCs (2.8–4.1 ps).<sup>1)</sup>

#### Reference

- 1) C. Kirmaier and D. Holten, *Photosynth. Res.* 13, 225 (1987).



**Figure 1.** (a) Time dependence of averaged difference absorbance at  $740(\pm 10)$  nm. The open circles represent the data under the P700-preoxidized conditions and closed circles under the P700-neutral conditions. The absorption of the biradical state  $P700^+A_0^-$  appears only under the latter conditions. (b) A series of transient absorption spectra only due to excited Chls under the P700-neutral conditions (solid lines). The absorbance changes due to the charge separation (the difference spectrum of  $P700^+A_0^-/P700A_0$ ) were subtracted. The spectrum at 500 fs was reduced to that at 13.2 ps in order to compare the spectral shapes (dots). (c) The decay profile of excited Chls under the P700-neutral conditions. Closed circles are the averaged absorbance changes at  $680(\pm 2)$  nm in the series of spectra in (b).

### III-F-2 Rates of Primary Electron Transfer Reactions in Photosystem I Reaction Center Reconstituted with Different Quinones as the Secondary Acceptor

Shigeichi KUMAZAKI, Masayō IWAKI (NIBB), Isamu Ikegami (Teikyo Univ.), Hideki KANDORI, Keitaro YOSHIHARA, and Shigeru ITOH (NIBB)

[*J. Phys. Chem.*, in press]

Rates of sequential electron transfer from excited states of Chls to  $A_0$ , and to the secondary acceptor quinone ( $Q_b$ ) were measured in spinach PS I particles. In the particles, 85–90% of antenna Chls are extracted and the intrinsic phylloquinone ( $Q_A$ ) is removed and replaced by different quinones.

(1) The formation of  $P700^+A_0^-$  state occurred with a time constant of 8 ps ( $\tau_1$ ) in the particles with an antenna size of 30 Chls/P700. The  $P700^+A_0^-$  state persisted more than 800 ps in the  $Q_b$ -depleted particles. (2) After the reconstitution of menaquinone-4, phyloquinone or 2-methyl-1,4-naphthoquinone as  $Q_b$ , the transition from  $P700^+A_0^-Q_b$  to  $P700^+A_0Q_b^-$  state occurred with time constants ( $\tau_2$ ) of 23, 23 or 34 ps. (3) In the PS I particles with an antenna size of 16 Chls/P700, a similar  $\tau_1$  of 11 ps and a  $\tau_2$  of 31 ps was observed when menaquinone was reconstituted as  $Q_b$ . The main results are summarized in Table 1.

Studies of electron transfer rates between  $BH^-$  (bacterio-

pheophytin *a*) and a variety of reconstituted quinones which gives different  $-\Delta G^\circ$  of the reaction in *Rb. sphaeroides* RC showed that reorganization energy for the reaction is 0.6 eV and that the time constant was never shorter than 220 ps in the range of  $-\Delta G^\circ$  between 0.2 and 0.8 eV.<sup>1)</sup> Based on Marcus electron transfer theory, there seem to be some difference in molecular geometry between PS I and purple bacterial RCs.

#### Reference

- 1) M. R. Gunner and P. L. Dutton, *J. Am. Chem. Soc.* **111**, 3400 (1989).

**Table 1.** Apparent time constants for the formation of  $P700^+A_0^-$  ( $\tau_1$ , time constant for the ground state depletion of  $A_0$ )<sup>a</sup> and for the transition from  $P700^+A_0^-$  to  $P700^+A_0Q_b^-$  state ( $\tau_2$ , time constant for the ground state recovery of  $A_0$ )<sup>a</sup> obtained by the subpico- or picosecond difference absorption spectroscopy in various PS I preparations.

Preparations (Chl/P700 ratio)	$Q_b$	$\tau_1$ (ps)	$\tau_2$ (ps)	excitation wavelength (nm)	Excitation intensity (photons/RC)
PS I particles					
Ether-extraction (30)	no added quinone	8 ± 2	n.d.*	605	1.5
	MK	8 ± 2	23 ± 5		
	PhyQ	8 ± 2	23 ± 5		
	MNQ	8 ± 2	34 ± 7		
Ether-extraction (16)	no added quinone	11 ± 3	n.d.*	605	0.8
	MK	11 ± 3	31 ± 6		
Ether-extraction <sup>b</sup> (12)	no added quinone	5.3 ± 0.6 (6.5 ± 1.1) <sup>c</sup>	n.d.*	638	0.4–1.3 <sup>d</sup> 0.4–0.8 <sup>e</sup>

n.d., not determined

(\*) No substantial decay was observed until 800 ps.

a.  $\tau_1$  and  $\tau_2$  are obtained on the assumption that exciton decay of Chls is independent of the redox state of P700.

b. This measurement was carried out in III-F-1.

c. Time constant for the rise of the absorption by  $P700^+A_0^-$  state was obtained without interference of excitation decay of Chls.

d.  $\tau_1$  was almost constant in this excitation intensity range.

e. Excitation intensity range which gives 6.5 ps for the rise of absorption by  $P700^+A_0^-$  state.

## III—G Dynamic Behavior of Electronic Excited States

Optical excitation of molecules to electronically excited states causes a variety of dynamical behavior, depending upon the nature of electronic structures and environments, such as energy transfer, proton transfer, chemical reaction, radiationless transition, ionization, and others. Most of these processes fall into the nanosecond, picosecond and femtosecond times scales. In this chapter we firstly discuss our observation of the transition state of cis-trans isomerization. Secondly we report picosecond transient absorption of aqueous tryptophan. Thirdly we describe excited state intermolecular proton transfer of 3,4-benzotropolone and finally of 2-(3'-hydroxy-2'-naphthyl)benzimidazole.

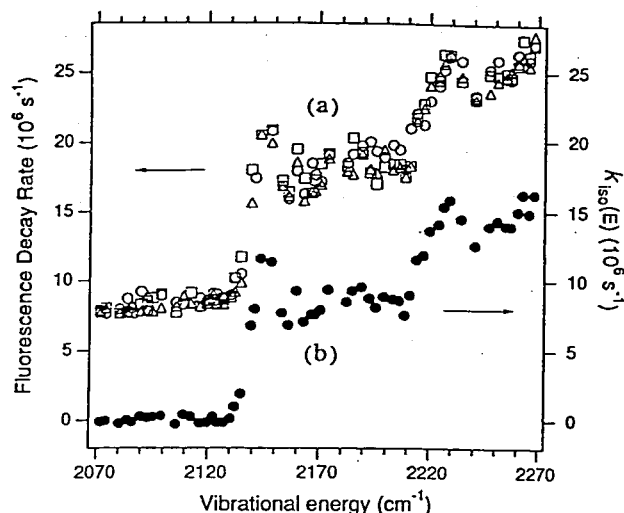
### III-G-1 Evidence for Quantization of the Transition State for cis-trans Isomerization

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[*J. Chem. Phys.* **100**, 9269 (1994)]

Cis-trans isomerization rates of trans, trans-1,3,5,7-octatetraene (OT) on the first excited singlet state ( $2^1A_g$ ) potential surface have been obtained as a function of vibrational energy by measuring the fluorescence lifetimes as shown in Figure 1. A stepwise increase in the isomerization rate with increasing energy has been observed, which indicates quantization of the vibrational levels of the transition state for the cis-trans isomerization of a double bond. The energy spacing of  $80 \pm 10 \text{ cm}^{-1}$  between the first two steps

tentatively is assigned to an in-plane bending vibration of the transition state.



**Figure 1.** Curve (a): Fluorescence decay rates of trans,trans-1,3,5,7-octatetraene (OT) excited to its first excited singlet state ( $S_1$ ) with vibrational energies from 2070 to 2270  $\text{cm}^{-1}$ . The decay rate varies in a stepwise manner with energy. Curve (b): Isomerization rate constants OT ( $S_1$ ) obtained by subtracting the contribution of other decay channels in the same vibrational energy range as for curve (a). Two steps, one around 2140  $\text{cm}^{-1}$  and the other around 2220  $\text{cm}^{-1}$ , indicating quantization of the transition state for cis-trans isomerization of double bond, are clearly noticeable.

### III-G-2 Picosecond Transient Absorption of Aqueous Tryptophan

Hideki KANDORI, Raymond F. BORKMAN (*Georgia Institute of Technology and IMS*), and Keitaro YOSHIIHARA

[*J. Phys. Chem.* **97**, 9664 (1993)]

The primary photophysical and photochemical processes of aqueous tryptophan (Trp) are studied by picosecond transient absorption spectroscopy. Upon excitation of Trp at 292 nm, transient absorption appears within 1.5 ps in the whole visible region and remains for at least 400 ps. Under the present excitation conditions, the transient absorptions due to solvated electrons and the excited triplet state of Trp are relatively weaker, and the observed transient spectrum is predominantly due to excited singlet-state absorption  $S_n \leftarrow S_1$ .

### III-G-3 Dual Fluorescence and Excited-State Intramolecular Proton Transfer in Jet-Cooled 3,4-Benzotropolone

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[*Chem. Phys. Lett.* **215**, 641 (1993)]

The  $S_0$ - $S_1$  fluorescence excitation and dispersed fluorescence spectra of 3,4-benzotropolone have been measured in a supersonic free jet. The molecule shows dual fluorescence from the normal and tautomeric forms in the regions of 385–450 and 450–550 nm, respectively. The existence of a potential energy barrier to excited-state proton transfer has been suggested.

### III-G-4 Picosecond Vibrational Relaxation in the Excited-State Proton-Transfer of 2-(3'-Hydroxy-2'-Naphthyl) Benzimidazole

Abderrazzak DOUHAL (*Institute de Química Física Rocasolano CSIC and IMS*), F. AMAT-GUERRI (*Institute de Química Organica, CSIC*), A. U. ACUÑA (*IQO, CSIC*), and Keitaro YOSHIIHARA

[*Chem. Phys. Lett.* **217**, 619 (1993)]

The fluorescence of 2-(3'-hydroxy-2'-naphthyl) benzimidazole in solution shows two bands at  $\approx 400$  and 600 nm, respectively assigned to the open-enol form bound to the solvent, and to the phototautomer produced from the closed-enol form. Both enol species coexist in the ground state. A fluorescence risetime of 16 ps has been attributed to the vibrational cooling-process of the excited phototautomer.

## III—H Photochemistry on Well-Defined Surfaces

Recently, it has been found that upon the irradiation of light from visible to ultraviolet, a number of adsorbed molecules on metal surfaces reveal variety of photochemical processes, including photo-stimulated desorption, rearrangement of adsorbed states, photodissociation, and photo-initiated reactions with coadsorbates. Since photo-initiated reactions can be induced without any thermal activation of reactants, various combinations of coadsorbates can be prepared at sufficiently low surface temperatures. Thus, this provides a method for studying a new class of surface reactions which may not be induced thermally. In the last year, we studied photochemistry of adsorbates on well-defined metal surfaces mainly by temperature-programmed desorption (TPD) and time-of-flight (TOF) spectroscopy. In this year a couple of modifications in the UHV apparatus: (1) X-ray photoelectron spectroscopy (XPS) has been introduced. This reinforces our ability to clarify adsorbed states and is useful for the measurement of adsorbate coverage. (2) A closed-cycle He refrigerator is equipped with a sample manipulator. This improves our cooling capability; single crystals can be effectively cooled to  $\sim 40$  K. In this year, we have focused on surface photochemistry and dynamics on semiconductor surfaces. These surfaces are more corrugated and have electronic structure quite different from metal surfaces. Therefore, the comparison with the measurements done with metal surfaces helps to understand a role of solid surfaces in surface photochemistry.

### III-H-1 Adsorbed-State Specific Photodissociation Dynamics of N<sub>2</sub>O on Si(100)

Hiroyuki KATO (*Graduate Univ. for Advanced Studies*), Yoshiyasu MATSUMOTO, Kyoichi SAWABE, and Jihwa LEE

[submitted to *J. Chem. Phys.*]

Photodissociation dynamics (PDIS) of adsorbed molecules depends on adsorbed states involved. However, only a few studies have been conducted for elucidating PDIS dynamics of adsorbates on metal and semiconductor surfaces below one monolayer. In this paper, we report a remarkable dependence in the PDIS dynamics on the adsorbed state of N<sub>2</sub>O on Si(100) at a submonolayer coverage. Photodissociation dynamics of N<sub>2</sub>O on Si(100) at 193 nm has been studied by the measurement of time-of-flight

(TOF) distributions of N<sub>2</sub> photofragments. Measurements of temperature-programmed desorption and X-ray photoelectron spectroscopy indicate that there are two adsorbed states of N<sub>2</sub>O: weakly bound N<sub>2</sub>O mainly on clean Si(100) and strongly bound N<sub>2</sub>O on partially oxidized Si(100). Some of weakly bound N<sub>2</sub>O are converted to a more strongly bound state when the surface is partially oxidized thermally and/or photochemically. N<sub>2</sub>O in the two adsorbed states are dissociated with the irradiation of UV photons to produce an oxygen atom and N<sub>2</sub>. The TOF distributions of N<sub>2</sub> photofragments show prominent two velocity components whose average translational energies are  $0.80 \pm 0.10$  and  $0.27 \pm 0.02$  eV, respectively. Controlled experiments on the adsorbed state of N<sub>2</sub>O strongly indicate that the fast N<sub>2</sub> is originated in the strongly bound N<sub>2</sub>O and the slow N<sub>2</sub> in the weakly bound N<sub>2</sub>O.

## III—I Activation of Methane

Since methane is the most abundant component of natural gas, converting methane to useful basic chemical products is one of the most important processes in industry. Since methane is the least reactive alkane, it is vital to develop new conversion methods directly transforming methane to products such as alcohols and higher hydrocarbons. Furthermore, it is very interesting to understand scientifically how methane is activated. Thus, the issue of "methane conversion" is a great challenge for chemists and now under intense study. Among catalytic processes in methane conversion, C-H bond cleavage on catalyst surfaces, i.e., "C-H bond activation", is generally considered to be the initial and most crucial step. While major efforts for C-H bond activation have been made to search catalysts on which methane dissociatively chemisorbed, we have taken photochemical approach in this study. It is well known that methane in the gas phase is transparent from visible to UV and has a continuous absorption band only in vacuum ultraviolet. Hence, methane dissociation through excitation of the band requires intense VUV photons that are not easily obtainable from conventional light sources and lasers. Thus, utilization of VUV photons for C-H bond activation is costly and not practical. However, we have found that methane physisorbed on Pt(111) can be effectively dissociated with the irradiation of 193 nm-photons, yielding a chemisorbed CH<sub>3</sub> radical and an adsorbed H atom as products. To our knowledge, this is the first observation of UV-photodissociation of methane on a well-defined surface. Furthermore, this study opens a new way to activate methane by the UV photons that can be readily obtained with a commercially available excimer laser.

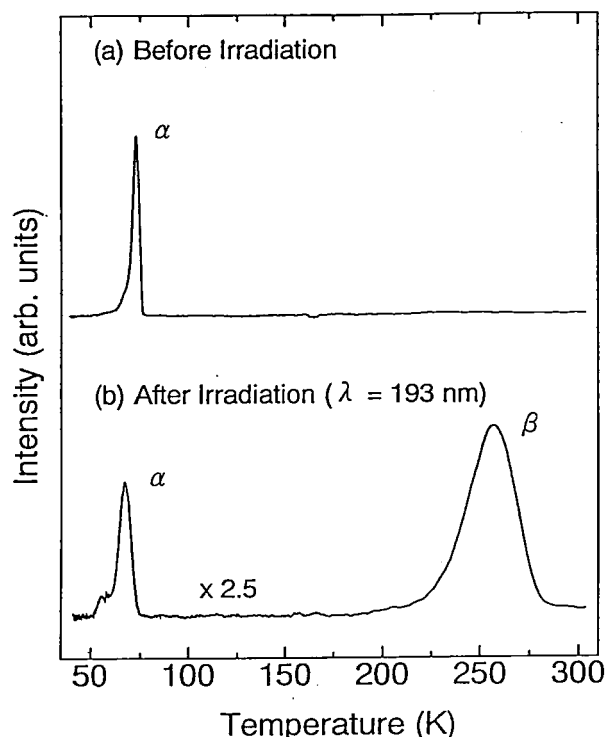
### III-I-1 Photochemical C-H Bond Activation of Methane on a Pt(111) Surface

Yuri A. GRUZDKOV, Kazuo WATANABE, Kyoichi SAWABE, and Yoshiyasu MATSUMOTO

[*Chem. Phys. Lett.* 227, 243 (1994)]

Photodissociation of methane physisorbed on Pt(111) has been studied by post-irradiation temperature-programmed desorption (TPD). Figure 1(a) shows a typical TPD spectrum measured at  $m/e=13(\text{CH}^+)$  after the exposure of 1.6 L (1 L =  $10^{-6}$  Torr · s) of methane at 40 K. The TPD spectrum shows only a strong desorption peak denoted to  $\alpha$  at 73 K. Fig. 1(b) shows a post-irradiation TPD spectrum of methane. The surface was first saturated with methane and then irradiated with the total of  $5.6 \times 10^{18}$  photons/cm<sup>2</sup> at 193 nm. The intensity of the desorption peak decreases and a new desorption peak of methane at ~260 K grows with the accumulated number of photons. This new desorption peak is attributed to recombination of CH<sub>3</sub> and H on Pt(111). This finding clearly indicates that methane on Pt(111) is dissociated to a chemisorbed CH<sub>3</sub> radical and an adsorbed H atom as products under the irradiation of 193-nm photons. This is markedly different

from photodissociation in the gas phase, where vacuum ultraviolet photons are required to dissociate methane. The total cross section of photochemical processes is estimated to be  $1.5 \times 10^{-19}$  cm<sup>2</sup>.



**Figure 1.** TPD spectra of methane (a) before and (b) after irradiation of 193-nm photons on the Pt(111) surface saturated with methane at 40 K. Signals are detected at  $m/e=13$  ( $\text{CH}^+$ ). The number of photons directed on the surface along the surface normal is  $5.6 \times 10^{18} \text{ cm}^{-2}$ . The heating rates were  $0.3$  and  $5.0 \text{ K s}^{-1}$ , below and above 164 K, respectively.

### III—J Reactions of Coadsorbed Species on Well-Defined Surfaces

Vast majority of surface reactions studied so far has been interpreted in terms of a Langmuir-Hinshelwood mechanism; reactants are first adsorbed on a surface and a reaction takes place between two chemisorbed species. Therefore, it is very important to investigate how reactions occur among coadsorbed species on a well-defined surface. For this purpose, coadsorbed species are prepared at sufficiently low surface temperatures and reactions are induced by annealing the surface. Here, we mainly focus on an important class of surface reactions, i.e. oxidation on metal surfaces. Although the reactivity of atomic oxygen has been thoroughly investigated, the role of molecular oxygen in oxidation reactions has been hardly known. In the last year, we showed that molecular oxygen of Pt(111) reveals high reactivity in oxygen-exchange reaction with coadsorbed NO by temperature-programmed desorption. In order to clarify reaction mechanisms, we have further carried out infrared reflection absorption spectroscopy (IRAS) experiments on the coadsorbed system.

#### III-J-1 The Reactivity of Molecular and Atomic Oxygen in Oxygen-Exchange Reaction between NO and $\text{O}_2$ Coadsorbed on a Pt(111) Surface

Kyoichi SAWABE, Yoshiyasu MATSUMOTO, Jun YOSHINOBU (*RIKEN*), and Maki KAWAI (*RIKEN*)

[submitted to *Surf. Sci.*]

The oxygen exchange reaction between  $\text{N}^{16}\text{O}$  and  $^{18}\text{O}_2$  coadsorbed on Pt(111) has been studied by temperature-programmed desorption, low energy electron diffraction (LEED) and Fourier transform infrared reflection absorption spectroscopy (IRAS). While the reaction product of  $^{18}\text{O}^{16}\text{O}$  desorbs at 155K,  $\text{N}^{18}\text{O}$  desorbs 145, 310 and 340 K. Reaction schemes are quite different in two different temperature regimes. At  $\sim 145\text{K}$  molecularly adsorbed oxygen is responsible for the exchange reaction. Since IRAS spectra show no signature of complex formation between NO and oxygen, it is likely that the exchange

reaction proceeds via a transient complex between NO and molecular oxygen. Only above 270 K an absorption band of  $\text{N}^{18}\text{O}$  is observed. This indicates that the exchange reaction takes place with atomic oxygen above this temperature. The oxygen-exchange reaction is greatly suppressed when NO is coadsorbed on the surface with  $p(2 \times 2)$  well-ordered oxygen. Evolution of LEED patterns obtained from the coadsorbed surfaces as a function of annealing temperature indicates that coadsorbed NO disturbs the diffusion of oxygen adatoms. Therefore, the meta-stable oxygen adatoms that do not possess a long-range order are mainly responsible for the exchange reaction above 270 K.

### III—K Dynamic Processes in Electronically-and/or Vibrationally-Excited Molecules

Fundamental molecular aspects of chemical reactions and energy transfer processes in the electronically or vibrationally excited states have been studied. Particular interests have been directed to the study of the photochemical reaction dynamics of carbonyl compounds, to the dynamics of vibrationally-excited van der Waals complexes using the stimulated-emission-pumping-laser-induced-fluorescence spectroscopy and the hole-burning spectroscopy, and to the photochemical reaction dynamics of the weakly-coupled complexes such as van der Waals and hydrogen-bonded complexes. Some applications of the stimulated-emission-pumping-laser-induced-fluorescence spectroscopy and the hole-burning spectroscopy are also presented to determine the molecular geometries and the vibronic structures in the excited electronic states.

#### III-K-1 Fragment Rotational Excitation due to the Parent Rotation in the Dissociation of Molecules

Ichiro HANAZAKI

[*Chem. Phys. Lett.* **218**, 151 (1994)]

An analytical expression is derived to estimate how much parent rotational energy is carried over to the fragment rotation when a molecule is dissociated. The model is based on the classical treatment of rotational motion, by which the effect can be estimated separately from other sources of rotational excitation. It can readily be applied to the parent and fragment molecules of any size and of any type. Some illustrative examples of its application are given to demonstrate the relative importance of the parent rotation in exciting the fragment rotation.

#### III-K-2 Photochemistry of $\text{N}_2\text{O} \cdot \text{H}_2\text{O}$ Complexes Produced in Supersonic Jets

Hong-Lae KIM, Masao TAKAYANAGI, and Ichiro HANAZAKI

[*Chem. Phys. Lett.* **222**, 431 (1994)]

We have studied the 193 nm photodissociation of  $\text{N}_2\text{O} \cdot \text{H}_2\text{O}$  complexes produced in a supersonic jet resulting in the reactant pair reaction,  $\text{N}_2\text{O} \cdot \text{H}_2\text{O} + h\nu \rightarrow 2\text{OH} + \text{N}_2$ . The internal energy in the product OH radicals and the relative populations over the spin-orbit and  $\Lambda$ -doublet sublevels have been determined by the laser-induced fluorescence technique, suggesting a reaction scheme much different from the corresponding bimolecular reaction,  $\text{O}(^1\text{D}) + \text{H}_2\text{O} \rightarrow 2\text{OH}$ , observed in bulb experiments. The bimolecular reaction gives distinctly different rotational and vibrational distributions for OH produced by the abstraction by  $\text{O}(^1\text{D})$  and that left after the abstraction; the former carries much higher internal energy than the latter. This result seems to suggest the direct abstraction reaction in the case of the bimolecular reaction. On the other hand, there is little difference between the rotational or vibrational distributions for two OH groups formed in the reactant-pair reaction. The total internal energy is also much lower than that for the bimolecular reaction. These results indicate that the reactant-pair reaction should proceed nearly adiabatically through the  $\text{O}(^1\text{D}) \rightarrow \text{O}(^3\text{P}_2)$  curve crossing and a relatively long-lived reaction intermediate.

#### References

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- 2) I. Hanazaki, *Chem. Phys. Lett.*, **201**, 301 (1993).

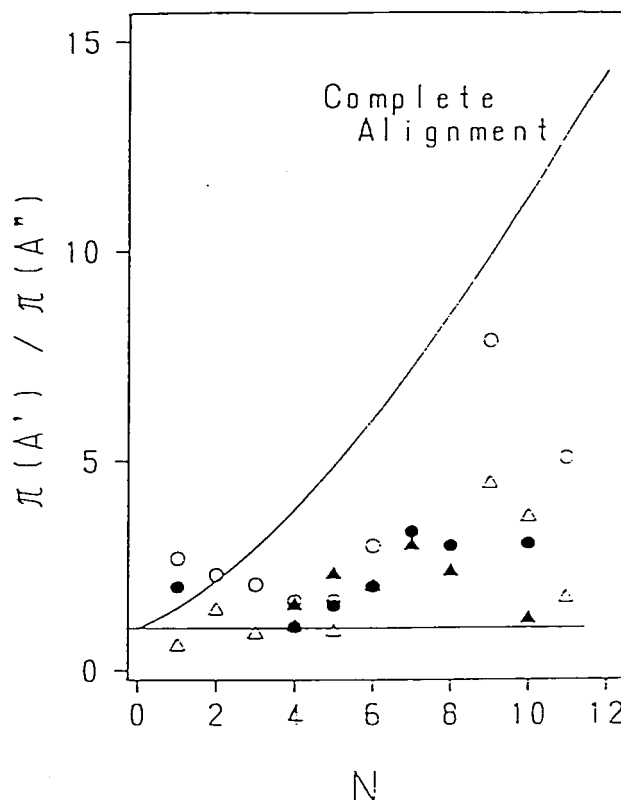


Figure 1. Population distribution in the two  $\Lambda$ -doublet states of OH measured from the  $R_1/Q_1$  (O),  $R_2/Q_2$  (●),  $P_1/Q_1$  ( $\Delta$ ) and  $P_2/Q_2$  ( $\blacktriangle$ ) transitions. Solid curves are calculated from Andresen and Rothe for the complete alignment[1] and from Hanazaki[2] for unconstrained dynamics, respectively.

#### III-K-3 Intermolecular Vibration and Dynamics of the Anisole-Benzene Complex Studied by Multi-Resonance Spectroscopy

Masao TAKAYANAGI and Ichiro HANAZAKI

[*Spectrochim. Acta* **50A**, 1435 (1994)]

The intermolecular vibrations of the anisole-benzene complex in the ground and excited electronic states have been observed by the LIF (laser-induced fluorescence) and fluorescence-dip techniques. Short progressions due to the intermolecular vibrations suggest a small structure change of the complex upon electronic excitation. The LIF excitation spectrum shows predominant progressions with the spacing of  $27\text{ cm}^{-1}$ , which is tentatively assigned to one of the intermolecular bending modes in the excited electronic

state. On the other hand, the fluorescence-dip spectrum shows only a series of bands with irregular intervals due to the intermolecular modes in the ground electronic state. The decay rates of the vibrationally excited complex in the ground electronic state have also been measured with the SEP-LIF (stimulated emission pumping-laser induced fluorescence) technique, where the complex vibrationally excited by SEP is probed by the delayed LIF measurements (Figure 1). The complex excited to its purely intermolecular mode stays in the initially prepared state after a delay time of 1  $\mu$ s. On the other hand, the complex excited to the intramolecular vibrational states above 500  $\text{cm}^{-1}$  does not seem to stay in the prepared states. Neither the relaxed complex nor the dissociated monomer was detected. A possible reason for this observation is discussed.

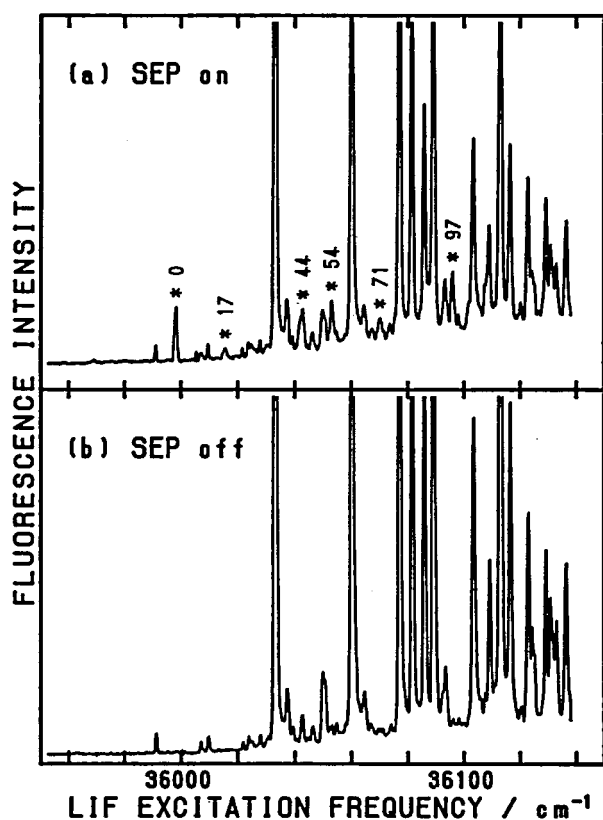


Figure 1. LIF-excitation spectrum measured with (a) and without (b) the SEP excitation of the anisole-benzene complex to the 35  $\text{cm}^{-1}$  vibrational state. In (a), bands appearing upon SEP excitation are marked with asterisks, together with the frequency shifts from the band due to the transition from the initially excited vibrational state to  $v'=0$  at 35999  $\text{cm}^{-1}$ .

#### III-K-4 Vibrational Energy Distribution in the Photofragment HCO Produced by the Photodissociation of Propionaldehyde in a Supersonic Jet

Tatsuo GEJO (*Grad. Univ. Adv. Studies*), Masao TAKAYANAGI, and Ichiro HANAZAKI

The nascent vibrational energy distribution in HCO produced by the photodissociation of propionaldehyde was measured under the supersonic-jet condition with the pump-probe technique. The pump wavelength was varied between 330 and 277.5 nm. The threshold wavelength for the production of the ground state HCO was found to be

328.5 nm, while those for the bending and CO-stretching excitations of the fragment were 317.5 and 310 nm, respectively. The excess energy above the reaction barrier was found to be distributed statistically among the fragments' degrees of freedom. The result is similar to that for acetaldehyde reported previously.

#### III-K-5 The C=O Stretching Frequency in the $S_1$ ( $\pi^*-n$ ) State of Acetaldehyde and Its Deuterated Derivatives Determined with the Photofragment Excitation Spectroscopy

Takumi KONO (*Grad. Univ. Adv. Studies*), Masao TAKAYANAGI, and Ichiro HANAZAKI

[*Laser Chem.*, in press]

The vibrational structure was recorded for the  $S_1$  ( $\pi^*-n$ ) state of acetaldehyde ( $\text{CH}_3\text{CHO}$ ) and its deuterated derivatives ( $\text{CH}_3\text{CDO}$ ,  $\text{CD}_3\text{CHO}$  and  $\text{CD}_3\text{CDO}$ ) up to  $\sim 3500$   $\text{cm}^{-1}$  above the 0-0 bands with the LIF (laser-induced fluorescence) and PHOFEX (photofragment excitation) techniques (Figure 1). In the PHOFEX spectroscopy, the yield of the formyl radical,  $\text{HCO}(\tilde{X})$  or  $\text{DCO}(\tilde{X})$ , produced in the photodissociation of acetaldehyde is measured against the excitation frequency. The yield was determined by monitoring one of the rotational lines in the  $\tilde{B} \leftarrow \tilde{X}$  transition of the formyl radical by the LIF technique. This is the first measurement of the vibronic structure of acetaldehydes in supersonic jets above the dissociation threshold where they are non-fluorescent. The results have made it possible to locate  $\nu_4$  (the C=O stretching) and  $2\nu_4$  bands unequivocally; the  $\nu_4$  fundamentals appear at 1217, 1189, 1210 and 1178  $\text{cm}^{-1}$  above the 0-0 band for  $\text{CH}_3\text{CHO}$ ,  $\text{CH}_3\text{CDO}$ ,  $\text{CD}_3\text{CHO}$  and  $\text{CD}_3\text{CDO}$ , respectively. The corresponding overtone ( $2\nu_4$ ) bands are observed, respectively, at 2414, 2350, 2399 and 2334  $\text{cm}^{-1}$ . All the vibronic bands newly observed in the PHOFEX spectra are found to be interpreted as the combinations of  $\nu_4$  and  $2\nu_4$  with the modes appearing in the lower frequency ( $< 1200$   $\text{cm}^{-1}$ ) region, which consist of  $\nu_{10}$  (the C-C-O in-plane bending),  $\nu_{14}$  (the C=O out-of-plane wagging) and  $\nu_{15}$  (the methyl torsion).

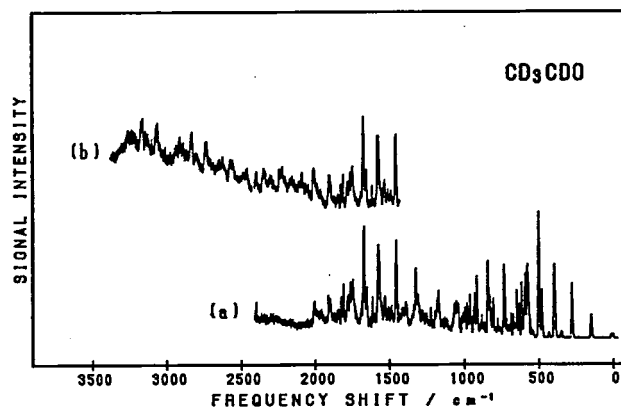


Figure 1. (a) LIF-excitation and (b) PHOFEX spectra of  $\text{CD}_3\text{CDO}$  in a supersonic jet. The ordinate shows the frequency shift from the 0-0 band at 29810  $\text{cm}^{-1}$ .



### III-K-6 Photodissociation Dynamics of Acetaldehyde. Vibrational Energy Distribution in the Photo-fragment HCO

Tatsuo GEJO\*, Masao TAKAYANAGI, Takumi KONO\*, and Ichiro HANAZAKI (\*Grad. Univ. Adv. Studies)

[Chem. Phys. Lett. 218, 151 (1994)]

Vibrationally excited HCO radicals have been observed in the photodissociation of acetaldehyde in a supersonic jet with the pump-probe technique. The threshold wavelength for the bending and CO-stretching excitations was 308.7 and 302.6 nm, respectively. The nascent vibrational distribution relative to the ground state has been determined as a function of the excitation wavelength in the 280–330 nm region. The observed vibrational distribution of HCO is found to be consistent with the model in which the excess energy above the reaction barrier is statistically distributed among the fragments' degrees of freedom (Figure 1).

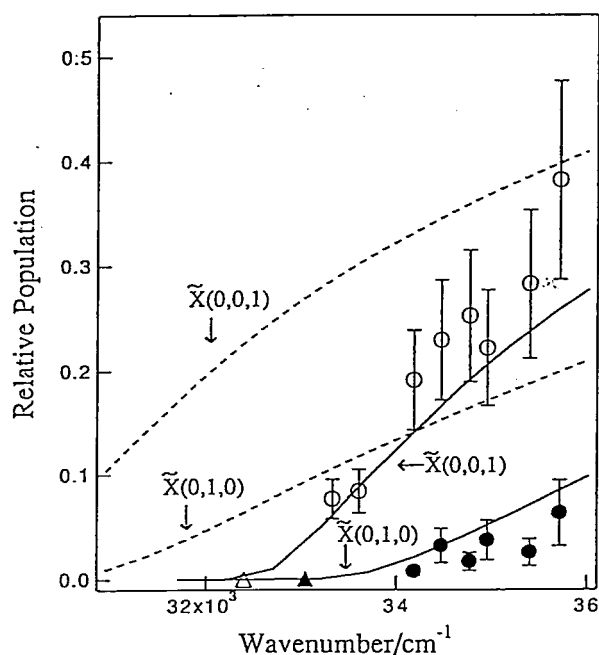


Figure 1. Relative population in the HCO,  $\tilde{X}(0,0,1)$  (O) and  $\tilde{X}(0,1,0)$  (●) levels to that in the  $\tilde{X}(0,0,0)$  level. The curves are the results of the calculation based on the statistical model. (—) The available energy is taken to be the excess energy above the barrier height. (---) The available energy is taken to be the total energy released. The triangles shows the threshold energies.

### III-K-7 Applications of the Hole-Burning Spectroscopy in Molecular Beam Experiments

Masao TAKAYANAGI and Ichiro HANAZAKI

[J. Spectrosc. Soc. Jpn., in press]

The hole-burning technique was applied to some molecules and van der Waals complexes in molecular beams. The advantage of applying this technique is in that (1) the electronic spectrum of a single species among several in a molecular beam can be measured selectively, and in that (2) the short-lived vibronic state which cannot be detected with the fluorescence or ionization technique can be probed. The

electronic transitions of a molecule and its van der Waals complexes usually appear in the same energy region and it is rather difficult to distinguish the transitions of a specific species from those of others in the LIF-excitation spectra. The hole-burning technique enables the measurements of the spectra of a single species selectively; e.g., the excitation spectra of anisole monomer, anisole · Ar, and anisole · Ar<sub>2</sub> are measured selectively. Similarly, the transitions of the isomers or the species in the different vibrational states can be measured selectively with this technique. The spectra of *m*-cresole isomers, each of which stays in the ground vibrational and torsionally excited vibrational states, can be also separated (Figure 1). As the demonstration of the second advantage of the hole-burning technique, the spectrum of vinoxy radical is shown, where non-fluorescent vibronic states are observed. The preferable measuring conditions and the limitation of the applicability are discussed. The possible applications of this technique in the future are also discussed.

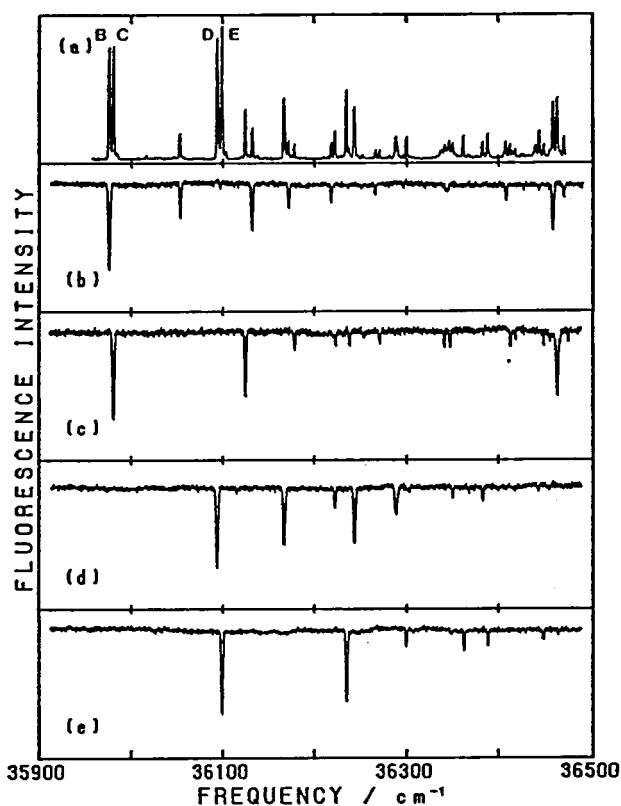


Figure 1. (a) LIF-excitation spectrum of *m*-cresole in a supersonic jet, where the transitions of four species (two isomers in the ground and low-lying excited vibrational states) are observed. (b)–(e) Hole-burning spectra measured with the probe frequencies set, respectively, at the band B–E observed in (a). Spectrum of each species is selectively observed.

### III-K-8 Vibrational and Rotational State Distributions of OH Produced in the Reaction O(<sup>1</sup>D)+H<sub>2</sub>O

Masao TAKAYANAGI, Hong-Lae KIM (Kangweon National Univ.), and Ichiro HANAZAKI

Vibrational and rotational state distributions of OH produced in the reaction O(<sup>1</sup>D)+H<sub>2</sub>O were measured, and compared with that produced in the 193 nm photolysis of

the  $\text{N}_2\text{O} \cdot \text{H}_2\text{O}$  complex. Upon the 193 nm irradiation to the mixture of  $\text{N}_2\text{O}$  (70 mTorr) and  $\text{H}_2\text{O}$  (10 mTorr) flowed in a cell,  $\text{N}_2\text{O}$  dissociates to  $\text{N}_2$  and  $\text{O}(^1\text{D})$ , the latter of which reacts with  $\text{H}_2\text{O}$  to produce two  $\text{OH}(X^2\Pi)$ 's. The state distributions were probed with the delayed LIF measurement. The OH produced in the bimolecular reaction was found to be rotationally and vibrationally much hotter than that produced from the complex. The rotational temperatures of OH produced in the bimolecular and complex reactions are about 3000 and 2000 K, respectively. About 45 and 12% of OH was found in  $v''=1$  for the

bimolecular and complex reactions, respectively. These results are similar to those for the reaction of the  $\text{O}_3 \cdot \text{H}_2\text{O}$  complex and the corresponding bimolecular reaction.<sup>1)</sup> The bimolecular reaction,  $\text{O}(^1\text{D}) + \text{H}_2\text{O}$ , seems to proceed via the direct abstraction-type mechanism.

#### Reference

- 1) D. G. Sauder, J. C. Stephenson, D. S. King, and M. P. Casassa, *J. Chem. Phys.* **97** 952 (1992); D. S. King, D. G. Sauder, and M. P. Casassa, *J. Chem. Phys.* **100** 4200 (1994).

## III—L Self-Organization in Chemical Reactions

The self-organizing process in chemical systems is known to occur as a result of nonlinear chemical processes. This kind of chemical systems exhibit sustained temporal chemical oscillations and spatial pattern formation. Moreover, they sometimes exhibit more complicated nonlinear phenomena such as bistability, excitability, multi-frequency oscillations, and the chaotic behavior. They have received attention for their close relation to the activity of living systems in the spontaneously-organized dynamic behavior. Possible applications of such phenomena to the sensing- and image storage devices have also been interested in. We have paid a special attention to the response of chemical oscillator systems to photo-illumination, which is very important in view of the above-mentioned interests but have not been studied so far in any systematic manner. Here we present some results of the studies on bifurcation structures in the chemical oscillators under the stationary light illumination.

### III-L-1 Photo-Response of Chemical Oscillators

Ichiro HANAZAKI, Yoshihito MORI, Tetsuo SEKIGUCHI, and Gyula RÁBAI

The method proposed earlier by one of the authors to analyze quantitatively the wavelength- and intensity-dependence of the light illumination effect on chemical oscillators is critically reexamined. Its application to the analysis of the effect of stationary light illumination on the Belousov-Zhabotinsky and related minimal oscillator systems is discussed in detail to explore the primary photochemical process and subsequent reactions of the key intermediates responsible for the photo-response. In particular, the bifurcation structure in the BZ minimal oscillator system is established as a function of the illumination light intensity and the bromide-ion concentration  $[\text{Br}^-]_0$  externally supplied to the flow system. The most important finding here is that the bromide ion concentration  $[\text{Br}^-]$  in the reactor decreases in the photoinhibition of oscillations as well as the photoinduction of oscillations. This is in clear contrast to the previously proposed scheme for the photoinhibition in the full BZ oscillators that the photoillumination causes an increase of  $[\text{Br}^-]$  in the reactor, which results in the inhibition of oscillation. A possible reaction scheme under the light illumination is proposed. Some hints are given for possible future developments of the photo-control of chemical oscillators.

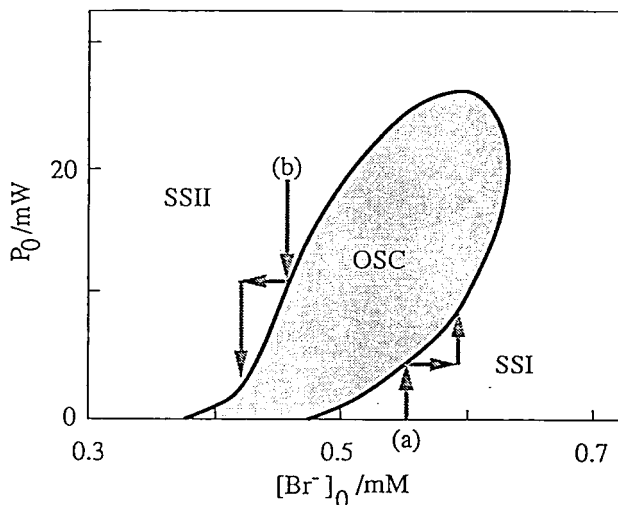


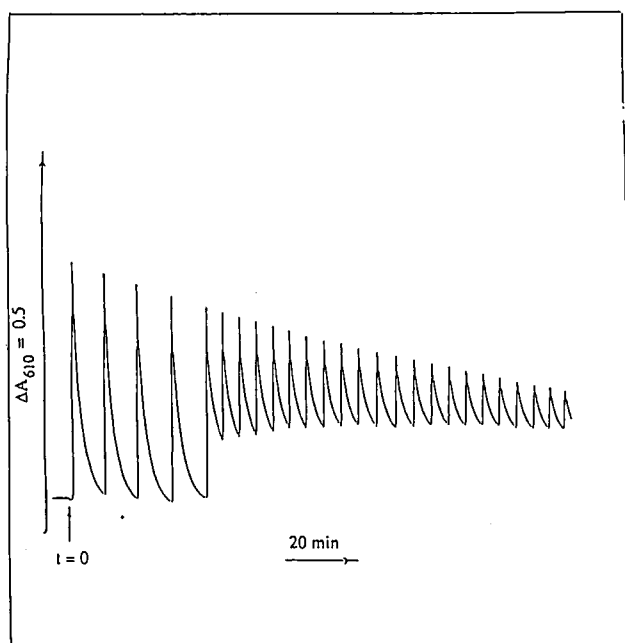
Figure 1. State diagram for the photoilluminated minimal bromate oscillator in a continuously-flowed stirred tank reactor  $[\text{Ru}(\text{bpy})_3^{2+}]_0 = 0.26 \text{ mM}$ ,  $[\text{BrO}_3^-]_0 = 0.2 \text{ M}$ ,  $[\text{H}_2\text{SO}_4]_0 = 0.38 \text{ M}$ , residence time = 150 sec and temperature =  $25.0 \pm 0.1^\circ\text{C}$ .

### III-L-2 Frequency Multiplying Bifurcations in the Oscillatory Belousov-Zhabotinskii Reaction Proceeding in Interacting Water Droplets of Reverse Microemulsion of Aerosol OT in Octane.

Vladimir K. VANAG and Ichiro HANAZAKI

It has been found experimentally that the ferroin-catalyzed Belousov-Zhabotinskii (BZ) oscillatory reaction proceeding in water-in-oil microemulsion with anionic surfactant (AOT) exhibits frequency-multiplying bifurcations including frequency-doubling, -tripling and quadrupling. This bifurcation occurs only when the water fraction in the microemulsion is reasonably large (5–11%) and the flow rate of the emulsion through the reactor is very low (or in

the batch condition). The bubbling of oxygen-free nitrogen gas through the reactor is found to prohibit the bifurcations. On the basis of these observations, it is assumed that some volatile species controls the bifurcation. It is suggested that the bromine molecules produced during the oscillatory reaction in the aqueous phase is released into the organic phase and play an important role in governing the bifurcation structure. It is also suggested that the bifurcation is not simply due to the supply of bromine into the aqueous phase but communications between microdroplets should be enhanced when the bromine concentration in the organic phase exceeds a certain critical value, since the frequency changes by an integral factor, which is characteristics of the behavior in the coupled oscillators.



**Figure 1.** Kinetics of the ferroin-catalyzed BZ reaction in AOT reverse micelles in octane with  $\omega=8.03$ ,  $k_0=0.013$  mL/min,  $\phi_w=0.11$ , and the stirring rate is 720 rpm. The initial concentrations of the reagents in the aqueous phase:  $[\text{H}_2\text{SO}_4]_{0w}=0.3$  M,  $[\text{BrO}_3^-]_{0w}=0.1$  M,  $[\text{MA}]_{0w}=0.25$  M,  $[\text{ferroin}]_{0w}=2.24$  mM. No bubbling through the reactor was performed.

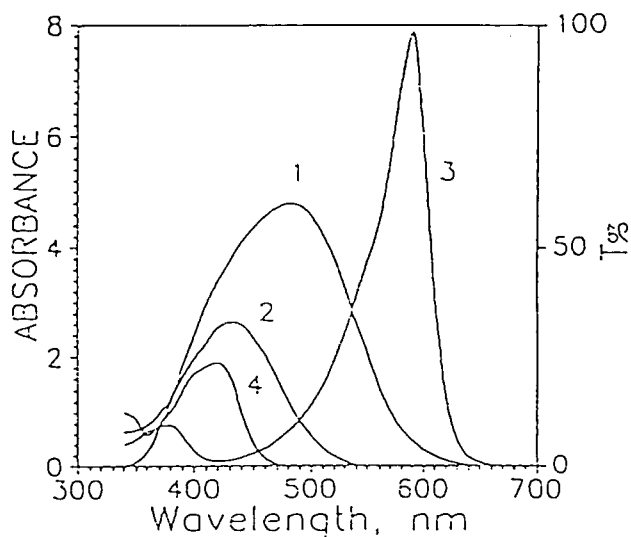
### III-L-3 Photoinduced pH Oscillations in the Hydrogen Peroxide-Sufite-Ferrocyanide System in the Presence of Bromocresol Purple in a Continuous-Flow Stirred Tank Reactor

Vladimir K. VANAG, Yoshihito MORI and Ichiro HANAZAKI

[*J. Phys. Chem.* **98**, 8392 (1994)]

The  $\text{H}_2\text{O}_2\text{-SO}_3^{2-}\text{-Fe}(\text{CN})_6^{4-}$  system, one of the pH oscillators, is shown to be sensitive to visible light. Addition of a pH indicator, bromocresol purple (BCP), to this system is found not only to show a sharp change of color along with the oscillation but also to acquire new nonlinear interactions due to the screening effect of the indicator. The state diagram spanned by the flow rate and the illumination light power is determined for this system. It is shown that the oscillatory region in the state diagram extends to higher light

intensity by the addition of indicator. This behavior is also confirmed by a simulation calculation. In the experiments on wave propagation and spatial patterns in thin films, it is often difficult to detect spatial inhomogeneities because of extremely low extinction of light. The high absorption coefficient of the added indicator at 590 nm is expected to make the system color change visible even for solution films of 1mm thickness or less.



**Figure 1.** Optical spectra of (1) B480 filter (transparency on the right axis), (2) protonated form of bromocresol purple, (3) deprotonated form of bromocresol purple, and (4) ferricyanide;  $[\text{BCP}]=1.85\times 10^{-4}$  M,  $[\text{Fe}(\text{CN})_6^{3-}]=2$  mM in 0.3 M  $\text{H}_2\text{SO}_4$ . Curves 2 – 4 are for a cell with optical pathlength of 2 cm.

### III-L-4 A Simple Phenomenological Model for Nonequilibrium Interaction between Two Reactions in a Lorentz Gas

Jerzy GORECKI and Ichiro HANAZAKI

[*Chem. Phys.* **181**, 39 (1994)]

A simple phenomenological model, which assumes that different reagents in a chemical system may be characterized by different temperatures, is applied to calculate the nonequilibrium effects in a system with two competing reactions. The results are compared with molecular dynamics simulations of a model thermally activated reaction and good agreement between both methods is observed. The limiting case of a large mass ratio between different reactants (Lorentz gas) is discussed.

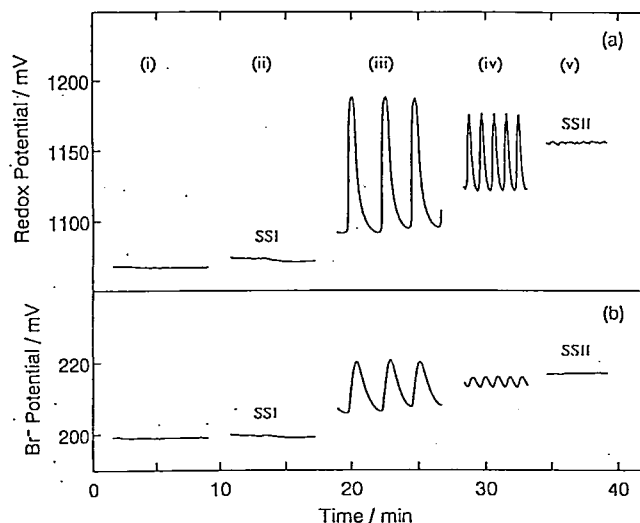
### III-L-5 Photoinduction and Photoinhibition of Chemical Oscillations in the Tris(2,2'-bipyridine)ruthenium(II)-Catalyzed Minimal Bromate Oscillator

Tetsuo SEKIGUCHI\*, Yoshihito MORI, Noriaki OKAZAKI\*, and Ichiro HANAZAKI (\**Grad. Univ. Adv. Studies*)

[*Chem. Phys. Lett.* **219**, 81 (1994)]

The tris(2,2'-bipyridine)ruthenium(II)-catalyzed minimal bromate oscillator is shown to exhibit the chemical oscillation similar to the system with other catalysts. The photoreponse of the minimal oscillator has been investigated for

the first time to show both induction and inhibition of sustained oscillations. Figure 1 shows time profiles of redox and Br<sup>-</sup>-selective electrode potentials. When the illumination light power is increased, the system bifurcates from a reduced steady state (SSI) to an oscillatory state and from the oscillatory state to an oxidized steady state (SSII). It has been found that [Br<sup>-</sup>] in the reactor tends to decrease not only in the photoinduction but also in the photoinhibition of chemical oscillations, in contrast to the previous view for the photoinduced pattern formation in the full Belousov-Zhabotinsky system. This result may require a more detailed investigation for the latter system.

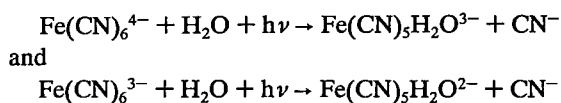


**Figure 1.** Photoinduction and photoinhibition of oscillations. (a) the redox potential and (b) the Br<sup>-</sup>-selective electrode potential. The irradiation power ( $P_0$ ) is (i) dark, (ii) 0.3 mW, (iii) 2.0 mW, (iv) 6.9 mW and (v) 19 mW. Initial concentrations are [Br<sup>-</sup>]<sub>0</sub>=0.500 mM, [Ru(II)]<sub>0</sub>=0.256 mM, [BrO<sub>3</sub><sup>-</sup>]<sub>0</sub>=200 mM and [H<sub>2</sub>SO<sub>4</sub>]<sub>0</sub>=0.375 M. The residence time is 150 sec and the temperature is 24.8 ± 0.2°C.

### III-L-6 Photo-Induced Bifurcation between Steady and Oscillatory States in the Fe(CN)<sub>6</sub><sup>4-</sup>-H<sub>2</sub>O<sub>2</sub> Reaction in a Flow Reactor

Yoshihito MORI, Gyula RÁBAI, and Ichiro HANAZAKI

The photo-induced bifurcation structure in the Fe(CN)<sub>6</sub><sup>4-</sup>-H<sub>2</sub>O<sub>2</sub>-H<sub>2</sub>SO<sub>4</sub> system in a continuous-flow stirred tank reactor has been determined. The photo-induced transition between the low pH steady state and the oscillatory state shows a hysteresis, similarly to the dark reaction reported previously.<sup>1)</sup> The action spectrum for the photo-inhibition of oscillations suggests that the primary light absorber in the visible region is Fe(CN)<sub>6</sub><sup>3-</sup>, similarly to the photo-induction of oscillations reported previously,<sup>2)</sup> while in the UV region Fe(CN)<sub>6</sub><sup>4-</sup> is also active. The primary photochemical processes are shown to be

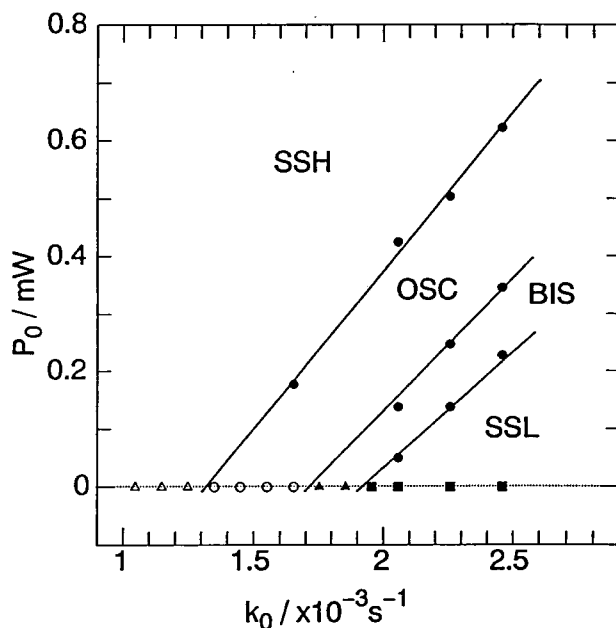


The state diagram spanned by the irradiation light power and the flow rate as external control parameters is shown in

Figure 1, on the basis of which the mechanism of oscillations is discussed qualitatively.

#### References

- 1) Y. Mori and I. Hanazaki, *J. Phys. Chem.* **97**, 7375 (1993).
- 2) Y. Mori and I. Hanazaki, *J. Phys. Chem.* **96**, 9083 (1992).



**Figure 1.** State diagram spanned by the 420 nm-illumination light power ( $P_0$ ) and the flow rate ( $k_0$ ). Closed circles represent the bifurcation points measured in the present work. Open triangles, open circles, closed rectangles and closed triangles represent a high pH steady state (SSH), an oscillatory state (OSC), a low pH steady state (SSL) and a bistable state between SSL and OSC, respectively, in the dark.<sup>1)</sup> The initial concentrations are [Fe(CN)<sub>6</sub><sup>4-</sup>]<sub>0</sub>=3.30 mM, [H<sub>2</sub>O<sub>2</sub>]<sub>0</sub>=50.0 mM and [H<sub>2</sub>SO<sub>4</sub>]<sub>0</sub>=0.900 mM. The temperature is 25.0 ± 0.1°C.

### III-L-7 Oscillatory Reaction in the Hydrogen Peroxide-Sulfite Ion-Hydrogen Ion-Hexacyanoferrate (II) Ion System in a Semibatch Reactor

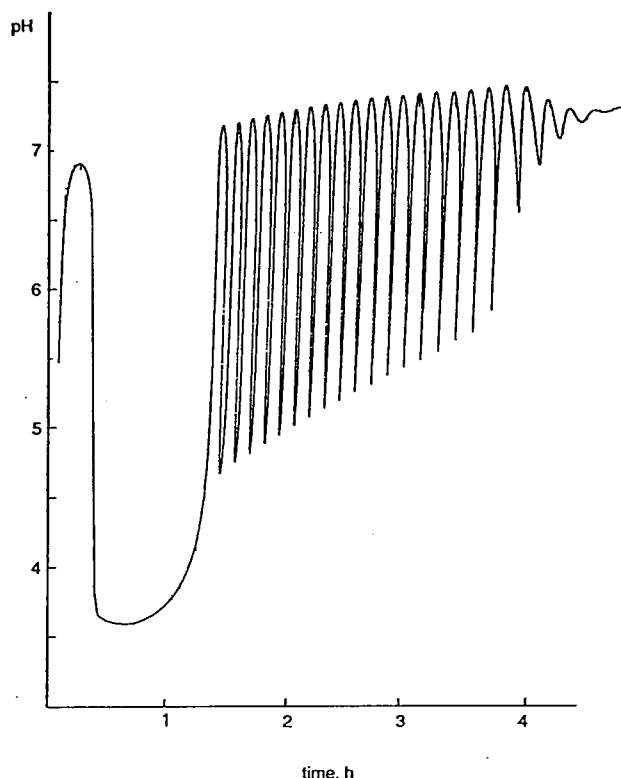
Gyula RÁBAI and Ichiro HANAZAKI

[*J. Phys. Chem.* **98**, 2592 (1994)]

In addition to the study of chemical reactions in a closed and continuous-flow stirred tank reactor (CSTR), experiments in the intermediate configuration, dubbed semibatch reactor, can also be of practical and theoretical importance. A commonly used procedure consists of the controlled continuous admission of one or more reactants to a reaction vessel in which the other reactants are already present. In contrast to the CSTR and batch reactors, there has been no systematic exploitation of semibatch reactors in the study of exotic reactions. We suggest that the semibatch reactor is a potentially powerful tool for the experimental study of oscillatory reactions, because it can provide valuable information for better understanding of these reactions.

As shown in Figure 1, damped pH oscillations have been measured in the unbuffered reaction mixture of H<sub>2</sub>O<sub>2</sub>-HSO<sub>3</sub><sup>3-</sup>-Fe(CN)<sub>6</sub><sup>4-</sup> in a semibatch reactor when all the reagents were simultaneously flowed, at a constant controlled rate, into the reactor containing pure water in the

beginning. Oscillations occurred within a limited range of the ratio of incoming reagent concentrations:  $1.1 < [\text{H}_2\text{O}_2]_0/[\text{SO}_3^{2-}]_0 < 1.3$ ;  $[\text{Fe}(\text{CN})_6^{4-}]_0/[\text{H}^+]_0 > 1.0$ ;  $[\text{SO}_3^{2-}]_0/[\text{H}^+]_0 > 2$ . The known simple model, consisting of the empirical rate laws of the component reactions, has successfully been used to simulate the dynamical behavior.



**Figure 1.** Measured oscillatory pH - time curve in a semibatch reactor. Initial volume of the reaction mixture is 250 ml, and the flow rate is 18 ml/hour.  $[\text{H}_2\text{O}_2]_0 = 0.06 \text{ M}$ ,  $[\text{SO}_3^{2-}]_0 = 0.05 \text{ M}$ ,  $[\text{Fe}(\text{CN})_6^{4-}]_0 = 0.02 \text{ M}$ ,  $[\text{H}^+]_0 = 0.014 \text{ M}$ ,  $T = 25^\circ\text{C}$ .

### III-L-8 Explanation of the Long-Lived Oscillations in the Chlorite Ion-Iodide Ion-Malonic Acid System

Gyula RÁBAI

[*J. Phys. Chem.* **98**, 5920, (1994)]

Long-lived redox potential oscillations in the chlorite ion-iodide ion-malonic acid system and in its derivatives,  $\text{ClO}_2\text{-I}_2$ -malonic acid and  $\text{ClO}_2\text{-Cl}^-$ -iodomalonic acid systems, were shown to proceed according to a general type chlorite-controlled mechanism. First the chlorite ion disproportionates to  $\text{Cl}^-$  and chlorine dioxide in a rather fast reaction. Then a slow reduction of chlorine dioxide by malonic acid or iodomalonic acid takes place that serves as a continuous source of the chlorite ion. A moderately fast equilibrium between iodomalonic acid and a reactive intermediate (R) maintains a steady concentration of R at around  $10^{-6} \text{ M}$  during a significant part of the oscillatory cycle. Autocatalytic oxidation of R with  $\text{ClO}_2^-$  takes place according to the general model of chlorite based oscillators. The key to the oscillations is that, at a critical value of  $[\text{ClO}_2^-]$ , the autocatalytic oxidation becomes faster than the equilibrium and both R and  $\text{ClO}_2^-$  are used up. Model calculations as well as experiments in a semibatch reactor have

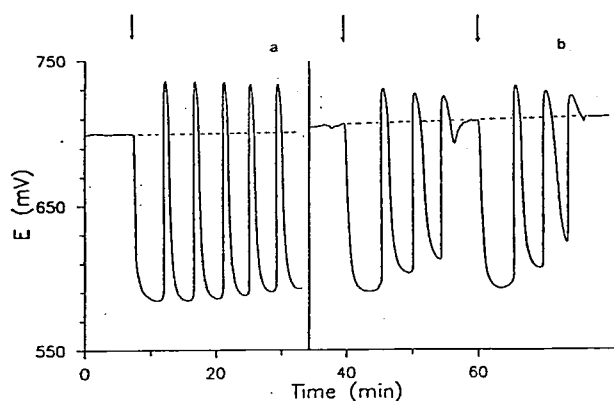
verified this explanation. In a typical semibatch experiments we introduced diluted chlorite solution into a reaction mixture containing iodomalonic acid and chloride ion. Long-lived oscillations were observed. Dual effect (inhibition-induction) of  $\text{Cl}^-$  on oscillations suggests that iodine monochloride or  $\text{I}_2$  can be the crucial intermediate (R) of this oscillatory reaction.

### III-L-9 Light-Induced State Transitions in the Oscillatory $\text{ClO}_2^-$ - $\text{Cl}^-$ -Iodomalonic Acid System in a Semibatch Reactor

Gyula RÁBAI and Ichiro HANAZAKI

[*J. Phys. Chem.* **98**, 10550 (1994)]

We have discovered that the dynamical behavior of the oscillatory  $\text{ClO}_2^-$ - $\text{Cl}^-$ -iodomalonic acid reaction in a semibatch reactor is extremely sensitive to the UV light, while the light above 420 nm does not affect the kinetics. We studied the peculiar photo-responses of this oscillator system in order to obtain additional mechanistic insights. We found that continuous illumination increased the amplitude and the period. Oscillations were completely abolished beyond a critical light power. Shown in Figure 1 are light-induced transitions from nonoscillatory to oscillatory state and bursting-like responses upon periodic perturbations with 370 nm light. The light-accelerated formation of a reactive iodine species from iodomalonic acid and its subsequent reaction with the chlorite ion is assumed to be responsible for the light sensitivity of the dynamical behavior. Addition of small amount of diluted iodine solution to the reaction mixture initiates state transitions similar to the light-induced ones. In addition to leading to this mechanistic information, our finding calls for an investigation of the light effect on the symmetry-breaking, reaction-diffusion spatial structures found recently in the chlorite-iodide-malonic acid system.



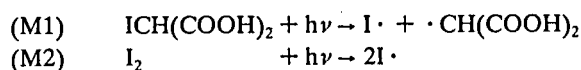
**Figure 1.** (a) light-induced transition from nonoscillatory to oscillatory state. 10 s illumination with  $P_0 = 5.3 \mu\text{W/mL}$  was applied at the arrow. (b) bursting-like photo-responses. The arrows indicate 1 min illuminations with  $P_0 = 5.3 \mu\text{W}$ .  $[\text{H}_2\text{SO}_4] = 0.0050 \text{ M}$ ,  $[\text{NaHSO}_4] = 0.015 \text{ M}$ ,  $[\text{NaCl}] = 0.0033 \text{ M}$ ,  $[\text{IMA}] = 5.0 \times 10^{-4} \text{ M}$ ,  $[\text{NaClO}_2]_{\text{input}} = 0.0010 \text{ M}$ , inflow rates (a) 0.12 mL/min, and (b) 0.16 mL/min. Dashed lines show the trace of the reaction without perturbation.

### III-L-10 Photo-Induced Disproportionation of Iodomalonic Acid

Gyula RÁBAI and Ichiro HANAZAKI

[*Int. J. Chem. Kin.*, in press]

The light-sensitive decomposition of iodomalonic acid is of importance because it is a major component reaction of several chemical oscillator systems. The stoichiometry, equilibrium, and kinetics of the photo-induced disproportionation of iodomalonic acid to  $I^-$ ,  $I_2$ , and tartronic acid have been studied by means of spectrophotometry and iodide selective electrode at  $20.0 \pm 0.2^\circ\text{C}$ , pH 2.0–4.0. At pH > 2.9, only  $I^-$  and  $\text{HOCH}(\text{COOH})_2$  are detected as major products and the reaction reaches 100% conversion. At pH < 2.9,  $I_2$  and malonic acid are also formed and the reaction stops at a conversion rate less than 100%. Both UV (band with a peak at 360 nm) and visible light (480 nm) have been found to be effective. Two primary photochemical processes are identified:



While both reactions are sensitive to UV light, only (M2) can be affected by visible light. (M1) and (M2) are considered to initiate a chain reaction sequence in which  $I\cdot$  radicals oxidize iodomalonic acid. Dual effects of reaction products on the reaction rate have been observed: while iodine increases the efficiency of visible light and accelerates the reaction, malonic acid inhibits the photo-decomposition by mediating the recombination of  $I\cdot$  radicals to  $I_2$ .

### III-L-11 Oxidation of Thiocyanate by Bromate is not an Oscillatory Reaction in a Batch Reactor. A Comment

Gyula RÁBAI and Ichiro HANAZAKI

[Submitted to *J. Phys. Chem.*]

In contrast to a previous report,<sup>1)</sup> we have found no irregular oscillatory responses in a Landolt-type reaction between  $\text{NaBrO}_3$  and  $\text{NaSCN}$  in diluted  $\text{HClO}_4$ . The redox potential of the reaction mixture changes monotonously. On the basis of independent kinetics studies we concluded that this reaction is too fast to support any long-lived chemical oscillations in a batch reactor and the irregular potentiometric traces reported in reference 1) are probably resulted from poorly controlled experimental conditions rather than chemical reactions.

#### Reference

1) Valent, I.; Adamcikova, L. *J. Phys. Chem.* **98**, 4304 (1994).

### III-L-12 Mechanism of the Light-Sensitive Oscillatory Reaction between Hydrogen Peroxide and the Hexacyanoferrate(II) Ion

Gyula RÁBAI, Yoshihito MORI, and Ichiro HANAZAKI

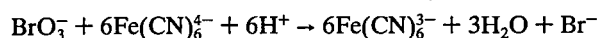
We have developed a detailed mechanism consisting of 20 steps and 16 variable species for the title reaction. Most of the component reactions considered in the model are known and their kinetics data are available in the literature. The key steps of the mechanism responsible for the light sensitivity of oscillations are the light-induced dissociation of the hexacyanoferrate(II)/(III) complexes to the pentacyanomonoaquo complexes and the cyanide ions. An autocatalytic reaction sequence between the pentacyanomonoaquo ferrate(II) complex and the hydroperoxide radical is considered which provides the feedback necessary for oscillations. Computer simulations show that the proposed model is capable of describing both the observed complex kinetics in a batch reactor and the light-induced (inhibited) oscillations in a continuous-flow stirred tank reactor (CSTR). The mechanism is yet to be refined in order to describe the experimental bifurcation structure of the dynamical behavior found in a CSTR.

### III-L-13 Photo-Induction and Inhibition of Chemical Oscillations in the Ferrocyanide-Bromate-Sulfite System in a CSTR

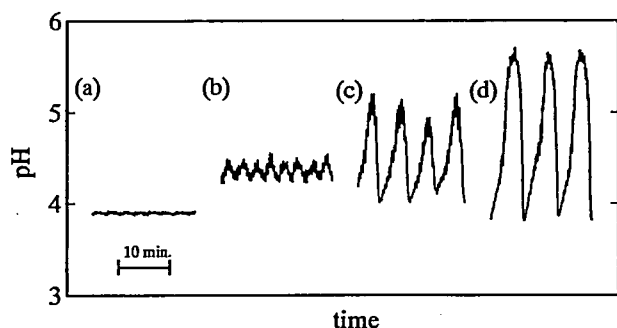
Akiko KAMINAGA, Gyula RÁBAI, and Ichiro HANAZAKI

The simultaneous oxidation of sulfite and ferrocyanide by bromate is known to show, depending on experimental conditions, pH oscillation, high pH and low pH steady states when it is run in a continuous flow-stirred tank reactor (CSTR). Our systematic experiments have revealed that this dynamical behavior is sensitive to light illumination. Photo-induced oscillations were observed under the experimental conditions which support low pH steady state in the dark. As shown in the figure 1, when the system in the low pH steady state is irradiated with a light band around 390 nm, it bifurcates into the oscillatory state at a critical value of the light power. In other instances light can suppress oscillations that exist in its absence; the illuminated system bifurcates into high pH steady state.

We suggest that illumination enhances the rate of the oxidation of ferrocyanide, which is the  $\text{H}^+$  consuming component reaction of the system.



The primary photochemical process is thought to be the photoaquation of  $\text{Fe}(\text{CN})_6^{4-}$  producing  $\text{Fe}(\text{CN})_5(\text{H}_2\text{O})^{3-}$  which reacts relatively fast with bromate.



**Figure 1.** Time profile of pH of the reaction mixture under illumination. The initial concentrations are  $[\text{Fe}(\text{CN})_6^{4-}]_0 = 15 \text{ mM}$ ,  $[\text{BrO}_3^-]_0 = 75 \text{ mM}$ ,  $[\text{SO}_3^{2-}]_0 = 89 \text{ mM}$ , and  $[\text{H}_2\text{SO}_4]_0 = 7.5 \text{ mM}$ . The residence time is 44 minutes. The illumination light source is a 500 W Hg lamp with a band pass filter (B390) and appropriate ND filters. (a) dark, (b) 74 mW, (c) 80 mW, and (d) 100 mW.

### III-L-14 Photoresponse of the Briggs-Rauscher Reaction with Iodide Inflow

Noriaki OKAZAKI (*Grad. Univ. Adv. Studies*), Yoshihito MORI, and Ichiro HANAZAKI

The Briggs-Rauscher (BR) reaction is known to show an inhibition of oscillation and a synchronization oscillation under the light irradiation<sup>1)</sup>. In order to examine the effect of iodide production caused by light irradiation, we have studied the photoresponse of BR-system by varying the initial concentration of iodide ion systematically.

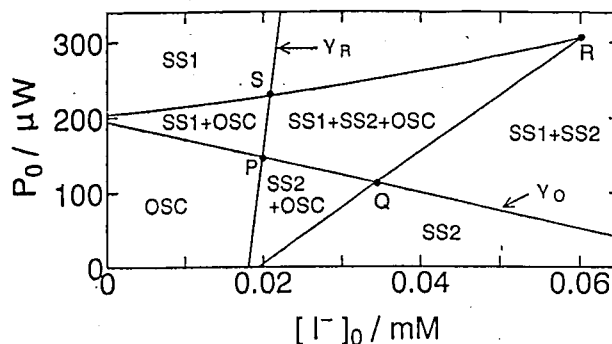
Figure 1 shows a state diagram spanned by the initial concentration of iodide ( $[\text{I}^-]_0$ ) and irradiation power ( $P_0$ ). It was found that the increase in  $P_0$  has the tendency of stabilizing an oxidized steady state (SS1), whereas increase in  $[\text{I}^-]_0$  stabilizes a reduced steady state (SS2). The bifurca-

tion lines ( $\gamma_O$  and  $\gamma_R$ ) intersect at point P, manifesting a typical cross-shaped phase diagram (XPD). The result indicates that the effect of light irradiation is not simply parallel to the effect of iodide inflow. The iodide production is not likely to play a dominant roll in the photoresponse of BR-system.

An oscillatory state (OSC) exists in the region where both the steady states are unstable. However, it extends over the stable region of SS1 and/or SS2. Thus, we have observed a tristability consisting of OSC, SS1 and SS2 in a considerably wide control-parameter range ( $\square\text{PQRS}$ ). The observations provide a novel possibility of controlling chemical oscillators by the photo-irradiation.

#### Reference

- 1) E. Dulos and P. De Kepper, *Biophys. Chem.*, **18**, 211 (1983).



**Figure 1.** State diagram spanned by the initial concentration of iodide ( $[\text{I}^-]_0$ ) and 460nm monochromatic light intensity ( $P_0$ ). Experimental conditions are:  $[\text{H}_2\text{SO}_4]_0 = 16 \text{ mM}$ ,  $[\text{MnSO}_4]_0 = 3 \text{ mM}$ ,  $[\text{KIO}_3]_0 = 15 \text{ mM}$ ,  $[\text{H}_2\text{O}_2]_0 = 200 \text{ mM}$ ,  $[\text{CH}_2(\text{COOH})_2]_0 = 2 \text{ mM}$ , residence time = 3.5 min, temperature =  $24.5 \pm 0.1^\circ\text{C}$ .

## III—M Laser Investigation of Photodissociation and Bimolecular Reactions

The reactions encountered in atmospheric and interstellar chemistry involve systems that are generally larger than the triatomic system ( $\text{A}+\text{BC}$ ) which has been extensively studied as a model for chemical reactions. The elucidation of such complicated (realistic) dynamics is currently a major theoretical and experimental challenge. Lasers with high intensity, good monochromaticity, and well-defined polarization provide extremely sensitive detection methods of atoms and molecules with the capability of examining scalar (e.g. energy) and vector (e.g. linear and angular momentum) quantities in great detail. The goal of this project is to perform reactive scattering experiments with laser spectroscopic detection methods, and to elucidate the detailed dynamics of important atmospheric and interstellar reactions. Major effort is currently directed to the development of the two-dimensional imaging technique to visualize the scattering of atoms and molecules in reactions. During the period of 1993–1994, an imaging apparatus was constructed, and critical tests were made using photodissociation experiments.

### III-M-1 Photodissociation of *trans*-Dichloroethylene: State-Resolved Speed and Angular Distributions of Cl Atoms

Toshinori SUZUKI, Kenichi TONOKURA, Lizla S. BONTUYAN, and Nobuhisa HASHIMOTO (*Graduate Univ. for Advanced Studies*)

[*J. Phys. Chem.*, in press]

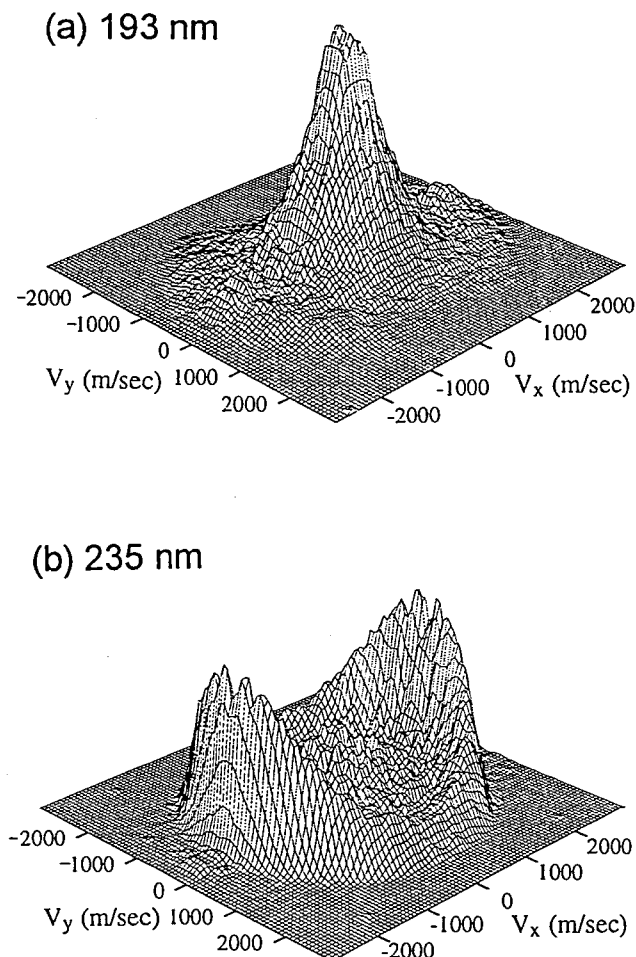
The elimination of atomic chlorine in the ultraviolet photodissociation of *trans*-dichloroethylene (*trans*-DCE) was studied by two-dimensional ion imaging. *Trans*-DCE

was photodissociated at 193, 210, and 235 nm and speed and angular distributions of Cl ( $^2\text{P}_{1/2}$ ;  $J=1/2, 3/2$ ) photo-fragments were measured. Two components were observed in the translational energy distributions, similar to those observed by Umemoto *et al.* using photofragment translational spectroscopy.<sup>1)</sup> One component has a strong anisotropy and a Gaussian-shaped translational energy distribution, while the other has a weak anisotropy and a Boltzmann-like distribution. The former is ascribed to rapid C-Cl bond rupture due to surface crossing between the  $(\pi, \pi^*)$  and  $(n, \sigma^*)$  or  $(\pi, \sigma^*)$  states, while the latter is to thermal

decomposition via internal conversion to the ground electronic state. The wavelength dependence and  $\text{Cl}^*/\text{Cl}$  branching ratios of the two dissociation channels were also investigated.

#### Reference

1) M. Umemoto *et al.*, *J. Chem. Phys.* **83**, 1657 (1985).



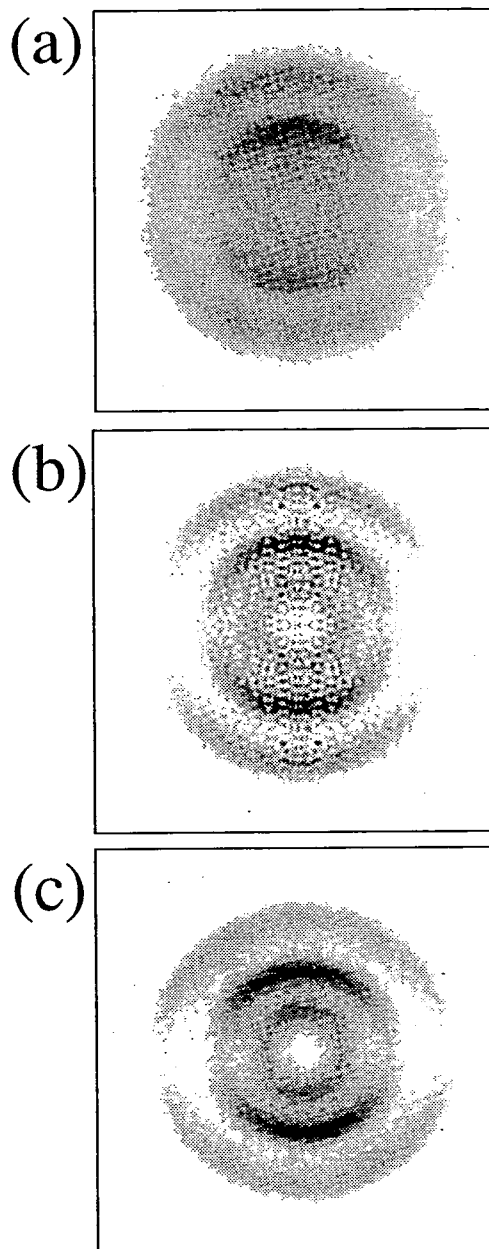
**Figure 1.** The product velocity contour maps for the  $\text{Cl}(^2\text{P}_{3/2})$  photofragment produced by the photodissociation of *trans*-DCE at 193 (a) and 235 nm (b).

### III-M-2 Slicing Photofragment Spatial Distribution by Laser Sheet Ionization

Kenichi TONOKURA and Toshinori SUZUKI

[*Chem. Phys. Lett.* **224**, 1 (1994)]

Sections of the three dimensional distribution of products in photodissociation were observed by ion imaging with a sheet-shaped laser beam. Images of sections which contain the center-of-mass directly provide the differential cross sections and obviate the need for the noise-sensitive mathematical transformation. The [1+1] REMPI detection of NO and [2+1] REMPI of  $\text{O}(^3\text{P}_2)$  produced by the 355 nm photolysis of  $\text{NO}_2$  were tested. The results are compared with the images of sections reconstructed from the two-dimensional projections of the entire three dimensional distribution using the inverse Abel transform.



**Figure 1.** The ion images of  $\text{O}(^3\text{P}_2)$  atoms produced by the 355 nm photodissociation of  $\text{NO}_2$ ; (a) the projection of the entire 3D distribution (raw image), (b) the reconstructed image of (a) by inverse Abel transform, and (c) the raw image of the ions observed by laser sheet ionization. The probe laser beam was positioned 0.85 mm downstream from the photolysis beam.

### III-M-3 Predissociation of Acetylene in the A state: H-Atom Action Spectrum

Nobuhisa HASHIMOTO (*Graduate Univ. for Advanced Studies*) and Toshinori SUZUKI

The  $\tilde{\text{A}}(^1\text{A}_u) \leftarrow \tilde{\text{X}}(^1\Sigma_g)$  spectrum of jet-cooled acetylene was measured by monitoring the  $\text{H}(^2\text{S})$  photofragment by [2+1] REMPI. The formation of H atom was found to start at  $\text{E}_{\text{vib}} \sim 4475 \text{ cm}^{-1}$  in the A state, which is in good agreement with the sudden decrease of fluorescence quantum yield at this energy reported by Hajjima *et al.*<sup>1)</sup> The energy threshold for the dissociation,  $\text{C}_2\text{H}_2(\tilde{\text{A}}^1\text{A}_u) \rightarrow \text{C}_2\text{H}(\tilde{\text{X}}^2\Sigma^+) + \text{H}(^2\text{S})$ , was determined to be  $133.0 \pm 0.4 \text{ kcal/mol}$ .



Kinetic energy measurements of the H atom by one-dimensional Doppler spectroscopy yielded a C-H bond energy ( $D_0$ ) of  $130.6 \pm 0.8$  kcal/mol. Rotational state dependence of the predissociation rate was found to be very similar between the  $V^4K^1$  and  $V^5K^1$  vibrational states, in contrast to the result of Haijima *et al.*

#### Reference

1) A. Haijima *et al.*, *J. Chem. Phys.* **92**, 959 (1990).

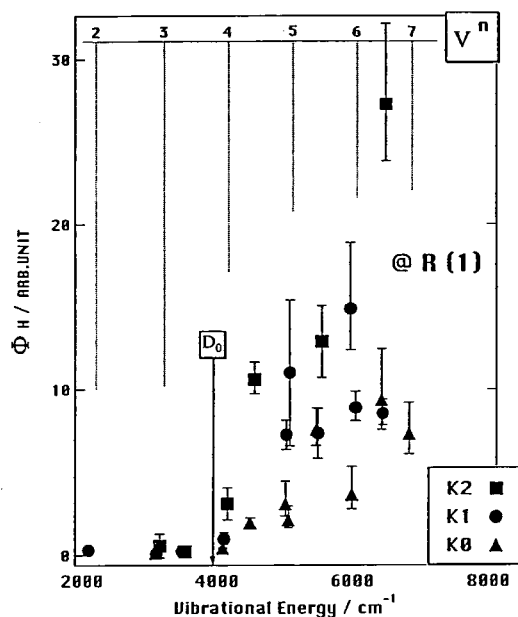


Figure 1. The excess energy dependence of the yield of hydrogen atoms.

## III—N External Magnetic Field Effects upon Chemical Reactions

Magnetic field effects upon chemical reactions provide us with useful methods for elucidating reaction mechanism and technique for controlling reaction rates, product yields, and concentration of a certain isotope. In the recent decade, the magnetic field effects upon photochemical reactions have been studied for a variety of organic molecules. Special attention has been paid to the hyperfine interaction between the electronic spin and nuclear magnetic moments within radical pair intermediates. In particular, intramolecular photoredox reactions in the solution phase have been extensively investigated for a series of aromatic carbonyl and nitro-aromatic species. In most cases the photoreactions involving triplet biradicals have been examined in some detail. The formation yield for the cage product decreases in the presence of external magnetic fields, whereas that for the escape product correspondingly increases. Application of the magnetic field may cause an appreciable change in the relative yield of cage and escape products, i.e. the branching ratio of competitive processes. This means that the magnetic field effects have some potential for application, e.g. control of reaction yields or selection of reaction pathways.

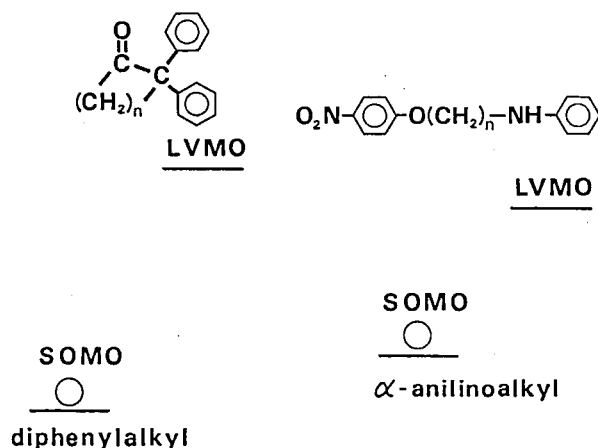
In addition, we have also studied the magnetic field effects on gaseous molecules. One of the largest external magnetic field effects were observed for the  $\beta$  band of NO in the gaseous state. More recently, it has been found that some halogen and interhalogen molecules exhibit magnetic quenching.

### III-N-1 Factors Controlling the Magnitude of Magnetic Field Effects on Photoredox Reaction Yields for Bifunctional Chain Molecules

Ryoichi NAKAGAKI (*Kanazawa Univ. and IMS*), Yasuhiro TSUJIMOTO (*Kanazawa Univ.*), and Kiyoshi MUTAI (*Univ. of Tokyo*)

We have studied magnetic field effects on photoredox reactions involving biradical reaction intermediates for a series of methylene-linked bichromophoric molecules consisting of nitroaromatic chromophore and reductant moiety. A bimolecular escape process which can compete with the intersystem crossing is necessary for observing magnetic field effects on the end product yields when the photoreaction involves triplet biradicals. Appreciable magnetic field effects on the photoredox reaction yields were observed for bifunctional species containing nitroaromatic and arylamino

moieties, while no magnetic field effects were detected for the end product yield of  $\alpha,\alpha$ -diphenylated cycloalkanone (13-membered ring). These findings indicate that the bimolecular escape process is efficient for the biradical containing strong oxidant and reductant moieties. We can conclude that the following factors may control the escape process reactivity or the magnitude of magnetic field effects on the end product yield: (1) the electron affinity of the oxidant moiety, (2) the electron-donating ability of the reductant radical, (3) the delocalization of unpaired electron in the reductant radical, (4) steric factors in the reductant radical.



**Figure 1.** Schematic description of energy levels for the oxidant and reductant: LVMO (the lowest vacant orbital of the starting species which act as oxidant) and SOMO (singly occupied orbital of the reductant site of a biradical). Two extreme examples of intramolecular photoreactions involving biradical intermediates produced on photolysis. Photochemical ring cleavage of the cyclic ketone yields a biradical intermediate containing acyl and diphenylalkyl. Photoinduced hydrogen abstraction by the nitro group takes place at the methylene group adjacent to the anilino nitrogen.

### III-N-2 Magnetic Field Effects on Photoinduced Substitution of 4-Methyl-2-quinolinecarbonitrile with Optically Active (S)- or (R)-2-phenylpropionic Acid

Ryoichi NAKAGAKI (*Kanazawa Univ. and IMS*)

[*Chem. Phys.* **185**, 405 (1994)]

According to a recent paper by Hata<sup>1)</sup>, S-(+)- and R-(-)-2-phenylpropionic acids exhibit inequivalent reactivity toward the excited state of 4-methyl-2-quinolinecarbonitrile and magnetic field effects are observed on the reaction yields for photoproduct obtained after photolysis of the reactant quinoline with the R-type acid in benzene. Although this work is of significance in connection with the magnetic field effects on asymmetric synthesis of biological molecules, the author has attributed the inequivalent reactivity to an unidentified type of chiral symmetry breaking. We have repeated the photosubstitution reaction under similar experimental conditions. It was found that the optically

active acids show equivalent reactivity toward the excited state of the reactant quinoline. No significant magnetic field effects were detected upon the relative quantum yield for the photosubstitution product in benzene. No unusual phenomena were observed under well controlled conditions with sufficient repetitions.

#### Reference

- 1) N. Hata, *Chem. Phys.* **162**, 47 (1992).

### III-N-3 Magnetic Field Effects on the $B^3\Pi_{0+}$ State of Gaseous Halogen and Interhalogen Molecules

Takamichi KOBAYASHI and Saburo NAGAKURA (*Grad. Univ. for Adv. Studies*)

Although magnetic quenching (decrease of emission intensity by external magnetic field) has been observed in many gaseous polyatomic molecules, only a few diatomic molecules have been known to exhibit magnetic quenching. In order to fully understand the mechanism of the external magnetic field effects on the gaseous molecules it is important to study smaller molecules systematically since their electronic structures are better understood than those of larger molecules.

In the present study, the  $B^3\Pi_{0+}$  state of  $\text{Br}_2$ ,  $\text{Cl}_2$ ,  $\text{IBr}$  and  $\text{ICl}$  has been investigated by fluorescence excitation spectroscopy and many vibrational states of  $\text{Br}_2$  and the  $v'=2$  state of  $\text{IBr}$  have been found to exhibit magnetic quenching, whereas  $\text{Cl}_2$  and  $\text{ICl}$  do not exhibit magnetic quenching. These results are discussed in terms of internal perturbations such as predissociation and the Zeeman perturbation between the  $B^3\Pi_{0+}$  state and the excited electronic states nearby.

The observed magnetic quenching (caused by magnetic predissociation) of  $\text{Br}_2$  is rotationally-dependent which should be compared with the reported rotationally-dependent lifetimes, i.e. the degree of magnetic quenching becomes larger as the lifetime becomes longer. This suggests that the magnetic quenching decreases as the rate of non-radiative transitions (natural predissociation in this case) increases.

# RESEARCH ACTIVITIES IV

## Department of Molecular Assemblies

### IV—A Solid State Properties of Phthalocyanine Salts and Related Compounds

Some phthalocyanine molecules contain unpaired d-electrons in the conjugated  $\pi$ -electron system. Owing to this special nature, the itinerant  $\pi$ -electrons and localized unpaired d-electrons coexist in solid phthalocyanine salts, in which a one-dimensional double-chain system is formed. The exchange interaction of itinerant  $\pi$ -electrons with localized magnetic moments is a new aspect in the field of organic metals. For the basic understanding of these materials and to search for a new phenomenon, where a magnetic interaction takes an important role, we prepared and characterized solid phthalocyanine salts and related compounds.

#### IV-A-1 Pressure Dependence of the Infrared and Visible Spectra of Metallophthalocyanine Salts

Toshihiro HIEJIMA (*Grad. Univ. Adv. Studies*) and Kyuya YAKUSHI

[*Synthetic Metals*, in press]

We have characterized the isostructural organic conductors,  $\text{NiPc}(\text{AsF}_6)_{0.5}$  and  $\text{CoPc}(\text{AsF}_6)_{0.5}$ , to elucidate the role of the magnetic moment on the transition metal by comparing the compounds with non-magnetic metal ( $\text{Ni}^{2+}$ ) and magnetic metal ( $\text{Co}^{2+}$ ). The unusual property of  $\text{CoPc}(\text{AsF}_6)_{0.5}$  was described in this Annual Reviews of 1993. The pressure dependence of the electronic states of ( $\text{NiPc}(\text{AsF}_6)_{0.5}$  and ( $\text{CoPc}(\text{AsF}_6)_{0.5}$ ). was examined by measuring the optical spectra of these highly conductive phthalocyanine salts. In both compounds, we found

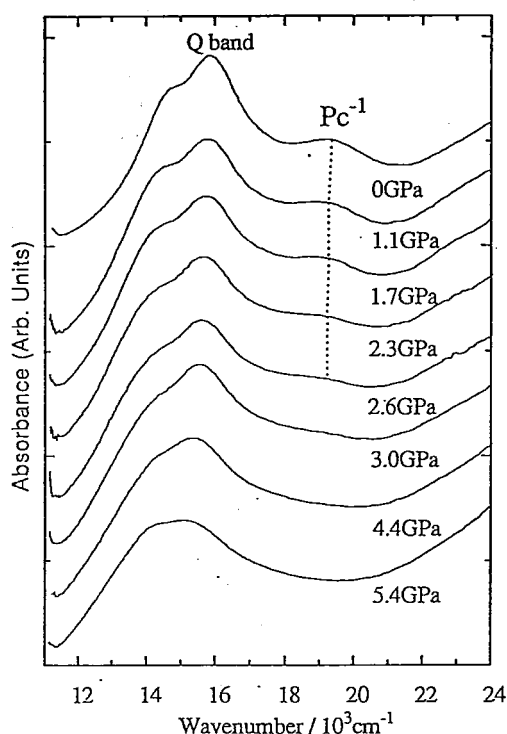
remarkable changes in the spectra of infrared, near-infrared, and visible regions, in which the molecular vibration, charge-transfer transition, and intra-molecular electronic transition appear, respectively. All of these observations can be interpreted as the pressure-induced charge transfer above 2 GPa from the 1D d-band comprising the central metal chain to the 1D  $\pi$ -band of the macrocyclic ligand chain. Most importantly this is a reversible change against the pressure. This means that the concentration of the charge carriers is controlled by the pressure in these compounds.

#### IV-A-2 X- and Q-band ESR Study of New Poly-CuPc Compounds Synthesized Under High Pressure: the Possibility of Two-dimensional Sheet Polymers

Ilias I. KHAIRULLIN, Ichimin SHIROTANI (*Muroran Ins. Tech.*), and Kyuya YAKUSHI

[*Synthetic Metals* 64, 217 (1994)]

We have tried to prepare the metallophthalocyanine sheet polymer (poly-MPc) by applying high pressure and temperature. The poly-CuPc and poly-NiPc compounds synthesized under 5 GPa at 400–780°C are systematically studied here with the use of X- and Q-band ESR spectroscopy. In addition to the broad ESR signal derived from the local spins on  $\text{Cu}^{2+}$ , new paramagnetic species appear which exhibit an anisotropic spectrum with an axial symmetry having linewidth  $\Delta H = 1\text{--}1.2$  G. The principal values of the g tensor of this new signal are 2.0024 in the parallel component and 2.0030 in the perpendicular one. Spin concentration of these new species is estimated to be  $(7\text{--}9) \times 10^{19}$  spins  $\text{g}^{-1}$  which is approximately two orders of magnitude greater than that of the CuPc sheet oligomer which was synthesized under ambient pressure. The substitution of the central metal does not strongly influence the ESR properties of the narrow signal. We suppose that the new signal comes from the delocalized  $\pi$ -electrons of the sheet polymer. On the other hand, in the samples synthesized at temperatures higher than 650°C, the broad and narrow signals merge into a single symmetric signal. We explain the ESR properties of these compounds from the viewpoint of the sheet polymer model.



**Figure 1.** Pressure dependence of the absorption spectrum of  $\text{CoPc}(\text{AsF}_6)_{0.5}$  in the region of Q-band. Note that the absorption band characteristic of the ring-oxidized phthalocyanine (denoted by  $\text{Pc}^{-1}$ ) continuously decreases upon applying the hydrostatic pressure.

## IV—B Structure and Properties of Organic Metals

The study of organic metals rapidly developed when the dimensionality of an intermolecular charge-transfer interaction is expanded. This expansion of dimensionality has been brought about by the discovery of new molecules such as BEDT-TTF or  $C_{60}$ . In this project we treat one-, two-, and three-dimensional organic metals and superconductors to know what is most important for stabilizing a metallic state and for designing new superconducting materials.

### IV-B-1 Superconducting Properties of Na-Doped $C_{60}$ Prepared from Sodium Azide

Ilias I. KHAIRULLIN, Kenichi IMAEDA, Kyuya YAKUSHI, and Hiroo INOKUCHI

[*Physica C*, in press]

We started the alkali-metal doping of  $C_{60}$  and other higher fullerene since 1991, and demonstrated that the combination of the microwave absorption spectroscopy (LFS) and ESR is very efficient to search for new superconducting materials especially in air-sensitive materials like doped  $C_{60}$ . In 1993, we found a new superconducting  $C_{60}$  compound prepared from  $NaN_3$ , and already described briefly about the structure and properties in the Annual Review of 1993. Subsequently, we have systematically studied this new Na-doped  $C_{60}$  superconductor. First we established the preparation method of this compound and then characterized the superconducting properties by the experiment of magnetization. The volume fraction of superconducting phase of the best sample is 38%. The critical temperature,  $T_c$ , of the superconductivity is 13 K, the critical magnetic fields are  $H_{c1}(0)=3.9 \pm 2$  mT and  $H_{c2}(0)=10 \pm 1$  T from the SQUID magnetometry. The coherence

length and penetration depth are calculated as  $\xi=57$  Å and  $\lambda=4200$  Å, respectively. The superconducting phase have a f.c.c. lattice with  $a=14.33$  Å.

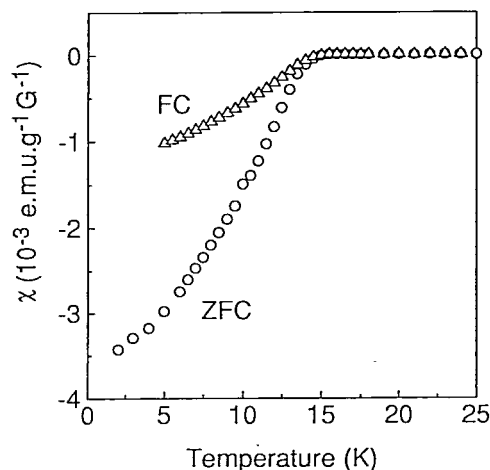


Figure 1. Temperature dependence of the magnetic susceptibility of Na-doped  $C_{60}$  prepared from  $NaN_3$ . From the zero-field cooling (ZFC) curve, the superconducting volume fraction is estimated to be ca. 38%.

## IV—C Far-infrared Properties of Organic Conductors

A number of organic conductors exhibit interesting behaviors such as CDW, SDW, or superconducting transitions at low temperatures. These phenomena are characterized by the presence of energy gap with a small excitation energy, which typically lies in far-infrared regions. The far-infrared study should then yield valuable information about the one-electron band structure as well as the transport dynamics of collective modes. For the purpose of quantitative investigations the optical spectra are usually measured by a reflectance technique because of a opaque nature of the sample crystals. However, the reflectivity measurement on a small sample is often suffered by diffraction and/or interference effect, which make it difficult to obtain an absolute reflectivity. We have successfully constructed a high-precision, far-infrared reflectance spectroscopic system equipped with a LHe cryostat. The electronic structures of some  $(BEDT-TTF)_2X$  salts have been investigated.

### IV-C-1 Construction of Far-infrared Reflectance Spectroscopic System

Akito UGAWA and Kyuya YAKUSHI

We have constructed a reflectance spectroscopic system to measure the reflectivity of small single crystals over the range of  $20\text{--}700\text{ cm}^{-1}$  at temperatures between  $5\text{--}300$  K. Figure 1 shows the reflectance unit equipped with LHe flow-type cryostat. Both a sample crystal and an Au reference mirror are mounted on OFC blocks, which are held at the opposite side of a heat exchanger to each other. The measurement object can be interchangeable by rotating the heat exchanger rod, which is doubly-sealed with O-rings to a vacuum shroud. The thermal contraction during cooling operations can be eliminated by a remote z-stage so that the sample is at the same height of an incident light. This unit is inserted into a sample compartment of a Bruker IFS-113v Fourier-transform interferometer.

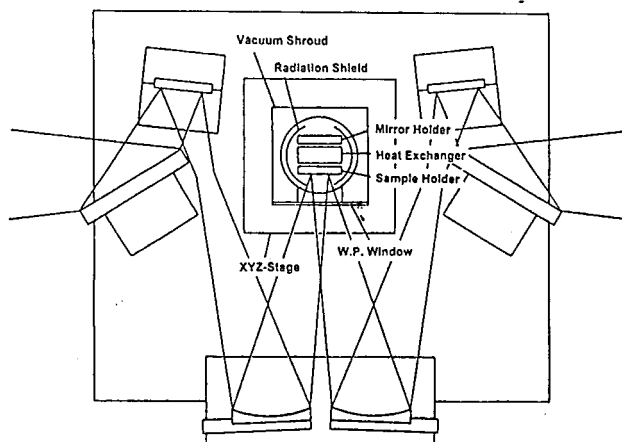


Figure 1. Reflectance unit with LHe cryostat for far-infrared reflectance measurement.

#### IV-C-2 Far-infrared Reflectance of $\beta'$ -(BEDT-TTF)<sub>2</sub>AuBr<sub>2</sub>

Akito UGAWA, David B. TANNER (*Univ. of Florida*), and Kyuya YAKUSHI

[*Synth. Met.*, to be published]

We have measured the temperature dependence of far-infrared reflectance of  $\beta'$ -(BEDT-TTF)<sub>2</sub>AuBr<sub>2</sub> to investigate the possibility of SDW transition. The polarized reflectance in the two-dimensional BEDT-TTF layers shows a highly anisotropic feature of conduction band. Both the  $E_{||c}$  and  $E_{\perp c}$  reflectance line shapes, which are Drude-like at room temperature, demonstrate a clear dip below 100 cm<sup>-1</sup> even at 40 K. The frequency dependent conductivity at 7 K indicates the presence of a single-particle gap at  $2\Delta=130$  cm<sup>-1</sup> assignable to the SDW nesting along *c*. The nesting fluctuation exists already at room temperature because of a strongly one-dimensional nature of the band dispersion.

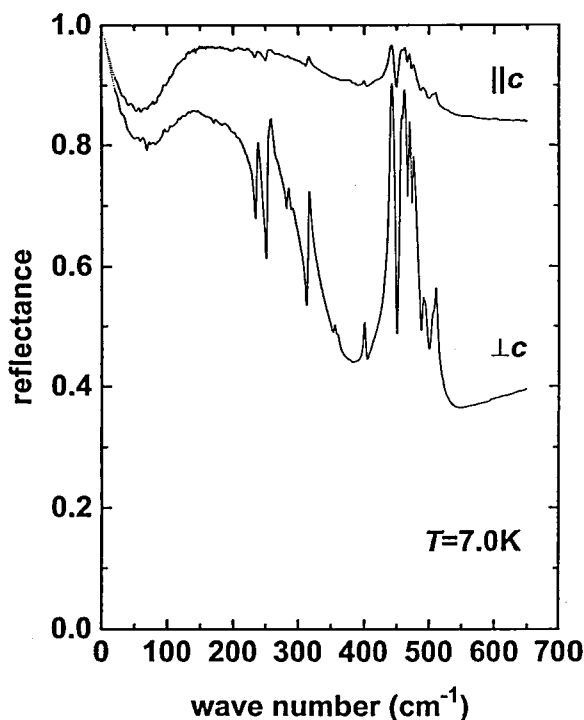


Figure 1. Polarized Reflectance of  $\beta'$ -(BEDT-TTF)<sub>2</sub>AuBr<sub>2</sub> measured at 7.0 K.

#### IV—D NMR Study of Organic Conductors and Superconductors

The nuclear magnetic resonance (NMR) is a powerful method to examine electronic state of condensed matters. With this microscopic method, one can get further insight into nature of the metallic, superconducting and magnetic states than with other macroscopic measurements. This project aims at understanding the unsolved problems concerning the peculiar electronic structure in several organic materials.

##### IV-D-1 <sup>13</sup>C-NMR Study on $\alpha$ -(BEDT-TTF)<sub>2</sub>MHg(SCN)<sub>4</sub>

Kazushi KANODA, Atsushi KAWAMOTO (*Ochanomizu Univ.*), Kazuya MIYAGAWA, and Yasuhiro NAKAZAWA

[Submitted to *Synth. Metals*]

NMR experiments of organic conductors have so far been performed extensively at the proton site. However, the protons are located at edges of the molecule and, in many cases, have so small spin density of the molecular orbital responsible for conduction electrons. Therefore, the proton is not the best nuclear probe to see the electronic structure of these materials. Selective substitution of <sup>13</sup>C isotope to the carbon sites where spin density is richer is a useful method for NMR study. In this study, we attack a problem encountered in a series of compounds,  $\alpha$ -(BEDT-TTF)<sub>2</sub>MHg(SCN)<sub>4</sub> [M=K, Rb] by <sup>13</sup>C-NMR; these compound undergoes some phase transition from metallic state to another metallic state, which is discussed in context of spin density wave state in many literatures but is not yet clear. We introduced <sup>13</sup>C isotope into the central carbon sites of BEDT-TTF molecule and performed <sup>13</sup>C-NMR measurements at these sites for  $\alpha$ -(BEDT-TTF)<sub>2</sub>MHg(SCN)<sub>4</sub> in the temperature range between 2 and 300 K. We observed anomalies in the nuclear spin-lattice relaxation rate, *T*<sub>1</sub>, and shift of the NMR line below 8 K for the K salt and below

12 K for the Rb salt. These indicate some phase transition surely exists at these temperatures. However, absence of critical fluctuation near the transition temperature and absence of splitting or broadening of the NMR line is against the static magnetic ordering as found in usual SDW systems such as the TMTSF compounds. Even if SDW ordering is involved in this transition, the moment of the magnetic order turns out to be of the order of 0.1% of  $\mu_B$  or less. The present results imply that the electronic density of states decreases to a half below the transition.

##### IV-D-2 <sup>13</sup>C-NMR Study on $\kappa$ -(BEDT-TTF)<sub>2</sub>X

Kazuya MIYAGAWA, Atsushi KAWAMOTO (*Ochanomizu Univ.*), Yasuhiro NAKAZAWA, and Kazushi KANODA

[Submitted to *Synth. Metals*]

The electronic state of the  $\kappa$  phase family of BEDT-TTF compounds,  $\kappa$ -(BEDT-TTF)<sub>2</sub>X [X=Cu(NCS)<sub>2</sub>, and Cu[N(CN)<sub>2</sub>]Br], has been investigated by <sup>13</sup>C-NMR. The <sup>13</sup>C isotope was substituted selectively into the central double bonded carbon sites of BEDT-TTF. The <sup>13</sup>C nuclear spin-lattice relaxation rate and line spectra have been measured for the Cu(NCS)<sub>2</sub> and Cu[N(CN)<sub>2</sub>]Br salts. The Knight shift evaluated from the width of the spectra of the powdered samples is temperature-insensitive and scaled to the uniform susceptibility. However, the relaxation rate,

$T_1^{-1}$ , does not obey the Korringa law but exhibits anomalous temperature dependence with a peak formation around 50 K. The absolute value of  $T_1^{-1}$  is in excess of the Korringa relation. These behaviors are considered to come from strong antiferromagnetic spin fluctuations with finite wave vector, which is suppressed below 50 K. The  $\kappa$  phase family, situated in the vicinity of metal-insulator and magnetic transitions, is characterized by strong electron correlations.

#### IV-D-3 Systematic Study of DCNQI-Cu Salts by NMR at Selective Nuclear Sites

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[Submitted to *Synth. Metals*]

DCNQI-Cu systems have given frontiers for electronic states in charge transfer complexes containing organic molecules and metallic ions. (DMe-DCNQI)<sub>2</sub>Cu is a representative among these systems because the topical problems in this family such as the metallic state, the re-entrant

transition, the insulating state and the magnetic transition, appear with pressure applied or by partial substitution of deuterium. To examine the electronic state in detail and get a unified picture on the variety of the states of these systems, we have performed <sup>13</sup>C-NMR experiments on partially and non-deuterated (DMe-DCNQI)<sub>2</sub>Cu. For this purpose, the carbon site in =N-CN in the DMe-DCNQI molecule was substituted to <sup>13</sup>C isotope. From the measurements of the nuclear spin lattice relaxation rate,  $T_1^{-1}$ , we obtained the following conclusions. (1) In the metallic state of non-deuterated (DMe-DCNQI)<sub>2</sub>Cu, a relation of  $T_1TK_s = \text{constant}$  holds, where  $T$  is temperature and  $K_s$  is the Knight shift. (2) The relaxation rate is enhanced up to four or five times larger value than that expected by the uncorrelated Korringa relation. (3) The low temperature re-entrant metallic state in d<sub>2</sub>-(DMe-DCNQI)<sub>2</sub>Cu shows the same shift and relaxation rate as the non-deuterated metallic state. Therefore, the present results are against the electron-mass enhancement suggested by specific heat measurements. We also synthesized DCNQI molecules of which nitrogen sites are selectively substituted by <sup>15</sup>N. The <sup>15</sup>N-NMR measurements are now underway.

### IV—E Electron Transport and Magnetic Study of Organic Superconductors

Several organic charge transfer salts which show superconductivity have a layered structure in which conducting donor molecule layers are separated by thick insulating anion layers. Therefore, extremely two-dimensional character is expected in both transport and magnetic properties. In this project, we try to study unique characters of the low-dimensional superconductors such as low-dimensional fluctuations around the transition and the vortex dynamics in the mixed state of type-II superconductors.

#### IV-E-1 Resistive Transition in an Extremely Two-Dimensional Superconductor $\alpha$ -(BEDT-TTF)<sub>2</sub>NH<sub>4</sub>Hg(SCN)<sub>4</sub>

Hirohiko SATO, Hiromi TANIGUCHI, Yasuhiro NAKAZAWA, Atsushi KAWAMOTO (*Ochanomizu Univ.*), Kiyonori KATO, and Kazushi KANODA

[Submitted to *Synth. Metals*]

The superconductive properties of the title compound has been investigated precisely in terms of extremely two-dimensional model system. The in-plane resistance shows very broad resistive transition between 0.8K and 2.3K, whereas the out-of-plane resistance exhibits rather sharp transition around 0.9K. Although temperature dependences of normal state resistance above the transition temperature show large sample dependences, this anisotropic behavior is reproducible in all samples. The  $I$ - $V$  characteristics around the transition in both configurations also show interesting behaviors. In the in-plane configuration, it shows non-linear dependence and the data were fitted to the formula of  $V \propto I^a$ . The exponent value of  $a$  shows a temperature dependence and it increases from 1.0 at 0.9K to 2.5 at 0.68K, suggesting the existence of *Kosterlitz-Thouless* transition in this system. The out-of-plane  $I$ - $V$  characteristics, on the other hand, show linear dependence around zero current. Below 0.85K clear voltage jumps are visible at the critical

currents of this superconductor. The data were tentatively analyzed in terms of a thermally fluctuated Josephson junction model, assuming that the coupling between conducting layers is very weak. In order to further investigate a possibility of the Josephson characters, we constructed a simultaneous measurement system of in-plane susceptibility and out-of-plane resistance. Our preliminary results show that the diamagnetic component observed in susceptibility abruptly disappear when the applied current crosses the critical current mentioned above. We are now trying to understand the relation between  $I$ - $V$  characteristics and ac susceptibility in the superconductivity in question.

#### IV-E-2 Construction of an ac Susceptibility Apparatus at Extremely Low-Temperature Region

Yasuhiro NAKAZAWA, Hiromi TANIGUCHI, Kiyonori KATO, and Kazushi KANODA

There are some organic materials which show exotic conducting and magnetic behavior below 1K and it is important to expand experiments necessary for sample characterization down to the mK region. For this purpose, we have constructed a low-temperature ac susceptibility apparatus of mutual-inductance method for about 1~100mg of samples. The apparatus was mounted on the sample stage of the dilution refrigerator (KELVINOX-25 36115

in the Low-Temperature Center) and measureable temperature ranges between 50mK and 2K. We made two identical coils with 10mm long, 5.5mm inner diameter, and 10.5mm outer diameter which serve as a sample coil and a cancellation coil, respectively. Thin copper wire of 0.1mm $\phi$  is wound 800 turns for primary excitation and 1400 turns for signal detection. Typical excitation current applied for the sample during measurements is 50 $\mu$ A~1mA, which corresponds to the ac field of 2mOe~100mOe. The unique point of this apparatus is an introduction of cancellation unit in addition to the usual excitation unit as shown in Fig.1. By adjusting the amplitude and the phase of ac current in the cancellation unit, it is possible to cancel out the background signal usually originated from residual unbalance between two coils. Using the two-channel function generator (YOKOGAWA FG120), we can simultaneously operate the excitation unit and the cancellation unit at exactly the same frequency. We have got a remarkable

improvement of signal to noise ratio both in the real part and the imaginary part of the ac susceptibility.

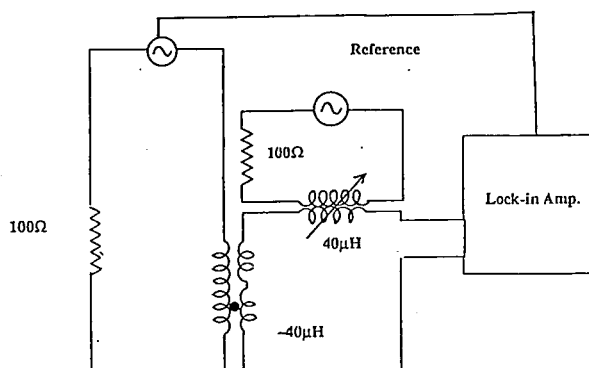


Figure 1.

## IV—F Thermodynamic Study of Organic Conductors

In order to get reliable information on the absolute value of electronic density of states and on the entropy distribution around the phase transition, the specific heat study is inevitable. In a line of our systematic studies of BEDT-TTF based charge transfer salts, we have recently started specific heat experiments at low-temperature region.

### IV-F-1 Construction of Low-Temperature Specific Heat Apparatus

Yasuhiro NAKAZAWA, Atsushi KAWAMOTO (Ochanomizu Univ.), and Kazushi KANODA

Specific heat is an important physical quantity to characterize bulk nature of material. It provides with important information on the low-temperature electronic structure of conducting and magnetic material and on the low-energy phonon structure. Furthermore, by studying temperature dependences of entropy, we can quantitatively discuss the nature of phase transition. In order to establish an overall picture on electronic structure of various organic conductors, we constructed a low-temperature specific heat apparatus. Since the absolute precision is very important for this purpose, we adopted the adiabatic heat pulse method. The calorimeter is composed of a small tungsten sample holder (0.1mm t, 10mm  $\phi$ ) and three copper radiation shields surrounding it. The temperatures of radiation shields can be controlled independently to follow the sample temperature. The system is equipped with  $^3\text{He}$  type refrigeration system and the measureable temperature ranges from 0.6K to 30K. The heat capacity of addenda is  $6 \times 10^{-7}$  J/K at 0.6K which corresponds to that of approximately 100mg of copper. Typical  $\Delta T/T$  is about 1~3% depending on the temperature range. The calorimeter can be used in the 10T superconducting magnet and magnetic field dependences are also measureable.

### IV-F-2 Specific Heat Study of $\alpha$ -(BEDT-TTF) $_2$ MHg(SCN) $_4$

Yasuhiro NAKAZAWA, Atsushi KAWAMOTO (Ochanomizu Univ.), and Kazushi KANODA

[Submitted to *Synth. Metals*]

The  $\alpha$ -phase of (BEDT-TTF) $_2$  MHg(SCN) $_4$  exhibits several interesting physical properties at low temperatures. M=K, Rb and Tl salts show an anomalous phase transition at 8.5K, 12K and 10K, respectively both in transport and magnetic susceptibility. On the other hand, M=NH $_4$  salt does not have such a phase transition. In order to clarify the origin and nature of this phase transition and to discuss the difference of electronic structure from a thermodynamic viewpoint, we performed a systematic study of low-temperature specific heat for M=K, Rb and NH $_4$  salts in a temperature range of 1~20K. The low-temperature data below 2.3K obey a simple formula of  $C_p/T = \gamma + \beta T^2$ . The obtained values are  $\gamma = 5.29 \text{ mJ/molK}^2$ ,  $\beta = 16.3 \text{ mJ/molK}^4$  for K-salt,  $\gamma = 10.4 \text{ mJ/molK}^2$ ,  $\beta = 15.4 \text{ mJ/molK}^4$  for Rb-salts and  $\gamma = 28.8 \text{ mJ/molK}^2$ ,  $\beta = 15.2 \text{ mJ/molK}^4$  for NH $_4$ -salt. The Debye temperature ( $\Theta_D$ ) is estimated to be 202K, 199K and 208K, respectively. In the case of NH $_4$  salt, the  $\gamma$  value coincides well with the electron density of states estimated from Pauli paramagnetic susceptibility at 50K. On the other hand, in the case of K and Rb salts  $\gamma$  value reaches only to 35.3% and 55.9%, respectively. These results mean that in the low-temperature phase of latter two salts, electronic density of states is depressed below the expected value from the high-temperature phase. Concerning the specific heat behavior around the phase transition temperature, we could not see distinct anomaly at the transition temperatures both in K- and Rb-salts, implying that this transition occurs with a gradual change of electronic structure.

## IV—G Ultra-Thin Organic Film Systems Prepared by Molecular Beam Epitaxy (MBE) Technique

As a strategy for a development of new molecular systems of organic materials, we have undertaken the fabrication of ultra-thin organic multi-layer systems with the use of an MBE technique. We are expecting the preparation of such novel 2-dimensional materials as molecular superlattice systems or intercalation compounds in which special electronic states in the bulk and/or new charge-transfer states in the interfaces could be realized.

We have prepared ultra-thin epitaxially grown phthalocyanine films on alkali halide single crystal substrates. On the basis of this single crystalline film, we are now fabricating double-layer systems of phthalocyanines.

Nonlinear optical effects are found to be significantly correlated with the film structure. The spectral responses of the nonlinear susceptibilities are now going to be studied for the phthalocyanine thin film systems.

### IV-G-1 Epitaxial Growth of Chloroaluminum Phthalocyanine and Vanadyl Phthalocyanine Double-Layer Structure by the Molecular Beam Epitaxy

Shaoli FANG, Keiichi KOHAMA (*Toyota Motor Corp. and IMS*), Hajime HOSHI (*Tokyo Institute of Technology*), and Yusei MARUYAMA

[*Synth. Met.* 64, 167 (1994)]

The epitaxial growth of chloroaluminum phthalocyanine on vanadyl phthalocyanine single-crystalline film on KBr substrate is realized using the highly controlled technique of molecular beam epitaxy. Scanning electron microscopy studies indicate that the chloroaluminum phthalocyanine layer exhibits unidirectional epitaxy and the epitaxial growth is further confirmed by the low temperature spectral studies of the chloroaluminum phthalocyanine and vanadyl phthalocyanine double-layer structure.

### IV-G-2 Spectral Dependence of the Anisotropy of $\chi^{(3)}$ of Epitaxially Grown Vanadyl Phthalocyanine Film

Shaoli FANG, Keiichi KOHAMA (*Toyota Motor Corp. and IMS*), Hajime Hoshi (*Tokyo Institute of Technology*), Yusei MARUYAMA

[Submitted to *J. Appl. Phys.*]

Spectral dependence of the anisotropy of  $\chi^{(3)}$  of epitaxially grown vanadyl phthalocyanine has been studied through the technique of third harmonic generation. It is found that the variation of the anisotropy of  $\chi^{(3)}$  is a result of the dispersion of the complex ratio  $3\chi_{1221}/\chi_{1111}$ . There is a sharp two-photon resonance in  $\chi^{(3)}$  that may be attributed to the presence of the state 1.88eV above the ground state. Enhancement of  $\chi^{(3)}$  is achieved in the unidirectionally oriented vanadyl phthalocyanine film by one order of magnitude.

## IV—H Novel Molecular System C<sub>60</sub>: Fullerites and Fullerides

In the early stage of the research of C<sub>60</sub> solids we used single crystals which were grown from CS<sub>2</sub> solution. Now we succeeded in the vapor-phase growth of C<sub>60</sub> and C<sub>70</sub> single crystals which are free from solvent inclusion. We have studied transport properties of C<sub>60</sub> single crystals without or with doping of some electron donors.

### IV-H-1 Evidence of Spontaneous Magnetic Order in the C<sub>60</sub> Complex with Tetrakis (dimethylamino) ethylene

Atsushi SUZUKI, Toshiyasu SUZUKI, Roger J. WHITEHEAD, and Yusei MARUYAMA

[*Chem. Phys. Lett.* 223, 517 (1994)]

Precise measurements on the magnetism of the C<sub>60</sub>-tetrakis (dimethylamino) ethylene (TDAE) complex provide the first direct observation of spontaneous magnetization and hysteresis curves (remanent magnetization  $1.7251 \times 10^{-2}$  emu g<sup>-1</sup> and 1.6G at 5K, respectively) with the use of a SQUID magnetometer at zero or low field (resolution 0.4 G). Observed results on the thermo-remanent magnetization, zero-field magnetization and the real and imaginary parts ( $m'$ ,  $m''$ ) of the ac magnetic moment all support the existence of spontaneous magnetization and hysteresis below 15K.

### IV-H-2 Preparation of Single Crystals of C<sub>60</sub>-TDAE

Atsushi SUZUKI, Toshiyasu SUZUKI and Yusei MARUYAMA

In order to investigate the nature of the magnetic properties of C<sub>60</sub>-TDAE, we have tried to prepare single crystals of C<sub>60</sub>-TDAE with applying a diffusion method for the solution of C<sub>60</sub> and TDAE.

### IV-H-3 Application of a Layer-by-Layer Deposition for the Preparation of Crystalline metal-doped C<sub>60</sub> Thin Films

Takeshi ARAI, Toshifumi TERUI (*Communications Research Laboratory, Kobe*) and Yusei MARUYAMA

Preparation of crystalline metal-doped C<sub>60</sub> films have been tried by a layer-by-layer deposition method. La<sub>x</sub>C<sub>60</sub> systems are the first target for this strategy.



#### IV-H-4 Disorder and Phonon Windows for Superconductivity in Fullerides

Valery IVANOV (*Kurnakov Institute and IMS*) and Yusei Maruyama

[To be submitted to *Physica C*]

The fullerides  $A_xC_{60}$  are classified as isotropic "dirty" superconductors of the second kind with phonon mediated electron-electron pairing. In suggested oversimplified model the  $A_xC_{60}$  is characterized by windows of low-frequency intermolecular phonons, high-frequency intramolecular ones and Coulomb energy ranges with cutoffs  $\omega_{er}$ ,  $\omega_{ra}$  and  $\omega_c$ ,

respectively. On the reason of the inherent imperfections of fullerides the extremely short collision lifetime of electrons force to assume the "impurity representation" and to impose the  $\omega_{er}$ ,  $\omega_{ra}$ ,  $\omega_c$  in initial superconducting equations in accordance with the Anderson theorem for potential scattering. The different interaction radii,  $r_{e-e} < r_{e-ra} < r_{e-er}$  lead to renormalization of the calculated coupling strength constant and to abandonment of intermolecular phonons for superconducting pairing in  $A_xC_{60}$  due to log's of the Tolmachev-Bogolubov-Fyablikov kind. To illustrate the usefulness of suggested three square-well model of  $A_xC_{60}$  the isotope effect exponent and pressure effect for  $T_c$  are calculated.

### IV—I Electrochemical Properties of the Organofullerenes and Metallofullerenes

Buckminsterfullerene,  $C_{60}$  is an electronegative molecule which can accept up to six electrons in the solid state and solution. Because of this property, superconducting and ferromagnetic materials have been produced by doping with alkali metals and organic donors. We are interested in the electronic structures of fullerene derivatives, such as organofullerenes and metallofullerenes, and performed electrochemical measurements.

#### IV-I-1 Redox Properties of Organofullerenes

Toshiyasu SUZUKI, Yusei MARUYAMA, Takeshi AKASAKA (*Tsukuba Univ.*), Wataru ANDO (*Tsukuba Univ.*), Kaoru KOBAYASHI (*Yokohama National Univ.*), and Shigeru NAGASE (*Yokohama National Univ.*)

[*J. Am. Chem. Soc.*, **116**, 1359 (1994)]

A comparative study using cyclic, differential pulse and Osteryoung square wave voltammetry is presented on organofullerenes derivatized with oxygen-, carbon-, and silicon-containing groups. Electron affinities of the organofullerenes increase with increasing electronegativities of the attached atoms.  $C_{60}O$  is a stronger electron acceptor than  $C_{60}$ , although its electroreductions are CV irreversible. In the carbon-derivatized  $C_{60}$ , hybridization of the attached carbon atoms and electron-withdrawing groups also affect their reduction potentials. As previously described for  $C_{60}$ , no CV reversible electrooxidation waves were observed for all organofullerenes studied. Electron-donating groups, such as alkyl and silyl, significantly lower the oxidation potentials of the organofullerenes. The AM 1 molecular orbital calculations were performed on  $C_{60}$  and selected organofullerenes. The first and second reduction potentials correlate well with the LUMO energy levels, while the third reduction potentials correlate better with the LUMO + 1 energy levels. The peak oxidation potentials also show a good linear correlation with the HOMO energy levels.

#### IV-I-2 Electrochemical Properties of $La@C_{82}$

Toshiyasu SUZUKI, Yusei MARUYAMA, Tatsuhisa KATO, Koichi KIKUCHI (*Tokyo Metropol. Univ.*), and Yohji ACHIBA (*Tokyo Metropol. Univ.*)

[*J. Am. Chem. Soc.*, **115**, 11006 (1993)]

Electrochemical measurements have been done on the pure metallofullerene  $La@C_{82}$  for the first time. Five rever-

sible reduction and one reversible oxidation processes were observed by cyclic voltammetry, differential pulse voltammetry, and Osteryoung square wave voltammetry. Our results indicate that  $La@C_{82}$  is a moderate electron donor as well as a stronger electron acceptor than any empty fullerenes.

#### IV-I-3 Characterization of the Isolated $Y@C_{82}$

Koichi KIKUCHI (*Tokyo Metropol. Univ.*), Yasuhiko NAKAO (*Tokyo Metropol. Univ.*), Shinzo SUZUKI (*Tokyo Metropol. Univ.*), Yohji ACHIBA (*Tokyo Metropol. Univ.*), Toshiyasu SUZUKI, and Yusei MARUYAMA

[*J. Am. Chem. Soc.*, **116**, 9367 (1994)]

The major  $Y@C_{82}$  isomer ( $Y@C_{82}-A$ ) has been isolated in pure form and characterized for the first time. Its UV-vis-near-IR absorption spectrum is strikingly similar to that of the major  $La@C_{82}$  isomer ( $La@C_{82}-A$ ). Two characteristic peaks (990 and 1405 nm) are slightly shifted to the short wavelengths relative to those of  $La@C_{82}-A$  (1000 and 1420 nm). The cyclic voltammogram of  $Y@C_{82}-A$  shows one reversible oxidation and four reversible reductions. The first oxidation and reduction potentials of  $Y@C_{82}-A$  are anodically shifted by 30 and 80 mV, respectively, relative to those of  $La@C_{82}-A$ . These relatively small shifts are in agreement with the ab initio calculations which predict that the ionization potential and the electron affinity of  $Y@C_{82}$  are almost the same as those of  $La@C_{82}$ .

## IV—J Phase Transitions and Dynamical Ordering in Liquid Crystals

Nuclear magnetic resonance (NMR) methods have been applied to the study of dynamical ordering in liquid crystal systems of recent interest. Our studies contain reentrant mesogens, hexatic phase, discotic columnar mesophases, and newly developed liquid crystals of cyclotriphosphazene derivatives.

### IV-J-1 $^1\text{H}$ and $^2\text{H}$ NMR Studies of Dynamic Orientational, Translational, and Dipolar Orders in Doubly Reentrant Liquid Crystal CBOBP and CBOBP-*d17*

Seiichi MIYAJIMA and Takamasa HOSOKAWA

[Magnetic Resonance and Related Phenomena vol. 2, pp. 631, ed. K. M. Salikhov, 1994, Zavoisky Physical-Technical Institute of the Russian Academy of Sciences, Kazan]

One of the peculiar phenomena in the liquid crystal world is a reentrant nematic phase transition, in which one-dimensional periodic lattice *melts* as the temperature is *lowered*. Here we present determination of the microscopic nature of the doubly reentrant phase transition sequence, Isotropic (I)-Nematic (N)-Smectic Ad ( $S_{\text{Ad}}$ )-Reentrant Nematic (RN)-Smectic A1 ( $S_{\text{A1}}$ ), in 4-cyanobenzoyloxy-[4-octylbenzoyloxy]-*p*-phenylene (CBOBP) and its chain-deuterated homologue CBOBP-*d17*.

It has been revealed from the technique of director realignment in the magnetic field that the rotational viscosity changes drastically at the  $S_{\text{A1}}$ -RN- $S_{\text{Ad}}$ -N phase transitions, while the orientational order parameter  $S_0$  of the molecular core was nearly continuous at the RN- $S_{\text{Ad}}$ -N transitions. The  $S_0$  exhibited a first order jump at the  $S_{\text{A1}}$ -RN transition.  $^1\text{H}$  NMR line shape in CBOBP-*d17* showed that intermolecular aromatic  $^1\text{H}$ - $^1\text{H}$  dipolar interaction is larger in the  $S_{\text{Ad}}$  phase than in the  $S_{\text{A1}}$  phase although the average intermolecular distance is larger in  $S_{\text{Ad}}$ . This provides an evidence that antiparallel association occurs predominantly in the  $S_{\text{Ad}}$  phase.

$^2\text{H}$  NMR study revealed that each of the segmental order parameters  $S_i$  ( $i=1-8$ ) of the octyl chain increased on going from  $S_{\text{Ad}}$  to RN phase, showing that the octyl chain is in a more restricted environment in the RN phase despite the more fluid macroscopic properties.

Unusually large isotope effect ( $\sim 5$  K) was observed for the temperature of the reentrant phase transition (RN- $S_{\text{Ad}}$ ) showing that this transition is closely related to the dynamical nature of the octyl chain.

The present  $^1\text{H}$  and  $^2\text{H}$  NMR studies revealed that the  $S_{\text{A1}}$  and  $S_{\text{Ad}}$  phases are stabilized by competing mechanisms: Electrostatic gain due to the antiferroelectric intermolecular correlation stabilizes the  $S_{\text{Ad}}$  structure which guarantees high alkyl disorder. When the temperature is lowered, the energetic gain caused by more stretched alkyl chain favors the  $S_{\text{A1}}$  structure. The RN phase appears as a *frustrated* state due to these competing interactions. This phase is characterized by the *coexistence of low viscosity and fairly high orientational orders*.

### IV-J-2 Molecular Dynamics and Ordering Characteristics of the Hexatic Smectic B Liquid Crystal

Noriko YAMAMURO and Seiichi MIYAJIMA

In their theory of two-dimensional melting, Halperin and Nelson predicted possible existence of *hexatic* phase in between two-dimensional liquid and solid, and is characterized by the existence of quasi long range *bond orientational order*. One of the existing prototypes for this phase is the hexatic smectic B [ $S_{\text{B}}(\text{hex})$ ] phase in liquid crystal. To analyze the structural and dynamical nature of this mesophase,  $^1\text{H}$  and  $^{13}\text{C}$  NMR studies were undertaken for 4-propyonyl-(4-heptanoyloxy)azobenzene (PHOAB), the only existing compound having  $S_{\text{A}}\text{-}S_{\text{B}}(\text{hex})\text{-}S_{\text{B}}(\text{cryst})$  phase sequence, corresponding to 2-D liquid-hexatic-solid phases.

The orientational order for the azobenzene core was revealed to be nearly saturated ( $\geq 0.9$ ) in  $S_{\text{B}}(\text{hex})$  and  $S_{\text{B}}(\text{cryst})$  phases so that no appreciable change was observed at the phase transition. However, the dynamical characteristics of the hexatic phase was revealed by the magnetic relaxation measurement. The predominant relaxation mechanism was self-diffusion in the  $S_{\text{B}}(\text{hex})$  phase, the correlation time for this motion being  $5 \times 10^{-9}$  s. On entering the  $S_{\text{A}}$  phase the correlation time becomes shorter by about 0.66, while on entering the  $S_{\text{B}}(\text{cryst})$ , the diffusional motion nearly stops and the rotational motion predominates. Our NMR observation revealed that two-dimensional quasi long range bond orientational order in the  $S_{\text{B}}(\text{hex})$  phase is realized by rapid rotation and high orientational order about the long molecular axis, and translational diffusion of an intermediate rate.

### IV-J-3 $^{13}\text{C}$ -NMR Study of the Microscopic Ordering, Dynamics, and Intermolecular Interaction in the Discotic Liquid Crystalline 1, 4, 8, 11, 15, 18, 22, 25-Octaoctylphthalocyanine

Noriko YAMAMURO, Seiichi MIYAJIMA and M. J. COOK\* (\*Univ. East Anglia)

From the viewpoint of developing disordered material with novel electronic properties, alkyl-substituted phthalocyanines are of interest since they condense in discotic columnar mesophase in which the phthalocyanine (Pc) rings are stacked face-to-face so that intermolecular  $\pi\text{-}\pi$  overlap may be expected. By using the title compound recently synthesized in the University of East Anglia, we performed  $^{13}\text{C}$  and  $^1\text{H}$  NMR experiments to study the microscopic ordering, dynamics, and intermolecular interaction in the  $D_{\text{hd}}$  (discotic hexagonal disordered) and  $D_{\text{rd}}$  (discotic rectangular disordered) mesophases.

Co-facial intermolecular interaction was evidenced by the intermolecular diamagnetic effect in the magic-angle-sample-spinning experiment. It has also been revealed, from the NMR spectra for the magnetic-field-aligned specimen of the  $D_{\text{hd}}$  phase, that the molecules are aligned so that the Pc plane normal is perpendicular to the external field, and the ring rotates rapidly enough ( $> 6$  kHz) so that the chemical shift anisotropy within the plane is averaged

out. The order parameter of the Pc ring normal about the director was revealed to be very small. By using the molecular-frame-fixed shielding tensor of the known aromatic carbons,  $S=0.16$  was obtained. The symmetry change at the  $D_{rd}$ - $D_{hd}$  phase transition was accompanied by significant broadening and downfield shift of the aromatic carbon lines, which is due to tilting of the director from the external field.

The disordered nature of the  $D_{hd}$  phase is consistent with the long interplanar separation (4.4 Å), and should be due to the position of the alkyl substitution which incorporates high steric hindrance. Weakness of the interplanar interaction and the highly disordered nature of the Pc ring suggest that the alkyl chains play an important role in sustaining the columnar architecture.

#### IV-J-4 $^{31}\text{P}$ and $^{13}\text{C}$ NMR Studies on the Nature of New Liquid Crystalline Hexakis(alkylbiphenyl)cyclotriphosphazenes

Seiichi MIYAJIMA, Toshiya SUZUKI\*, Keiichi MORIYA\*, and Shinichi YANO\* (\*Gifu Univ.)

New liquid crystalline compounds, Hexakis(alkylbiphenoxy)cyclotriphosphazene have been synthesized, and their structural and dynamical natures were studied by  $^{31}\text{P}$  and

$^{13}\text{C}$  NMR spectroscopies. Novel mesomorphic features were expected due to uniqueness of the molecular structure such as containing twelve phenylene rings and the central cyclotriphosphazene (CTP) ring. In the high temperature liquid crystalline ( $S_A$ ) phase of the dodecyl derivative, the  $^{31}\text{P}$  NMR exhibited a line shape characteristic of rotating triangular three spin system. It has been revealed, from the angular dependence study, that the molecules are ordered so that the  $C_3$  axis of the cyclotriphosphazene (CTP) ring preferentially points along the external magnetic field, the orientational order being 0.44 which is very small for  $S_A$  liquid crystal. From the combined studies of chemical shielding and the dipolar splittings, the chemical shielding tensor was determined: The shielding tensor was nearly axially symmetric having its most shielded axis within the CTP plane. The components are (32.7, 32.7, -35.4), the isotropic value and the anisotropy being 10.0 and 68.1, respectively (in ppm units relative to phosphoric acid).  $^{13}\text{C}$  NMR study revealed a higher orientational order at the phenylene sites. These results show a unique nature of the CTP liquid crystal: The six substituents are bundled together in a cylindrical shape. The terminal dodecyl and the central CTP are highly disordered, while the twelve phenylene rings maintain the overall cylindrical shape, and play major role in sustaining the ordered structure.

### IV—K Conducting Molecular Systems

New metallic layered compound, titanium disulfide intercalated with ethylenediamine, highly conducting organic semiconductors,  $\text{TTC}_n$ -TTF, and alkali metal-doped fullerenes have been studied by the technique of solid state NMR.

#### IV-K-1 New Metallic Layered Compound of Titanium Disulfide Intercalated with Ethylenediamine

Seiichi MIYAJIMA, Hironori OGATA, Hiroki FUJIMORI\*, Kazuhisa KOBASHI\*, Takehiko CHIBA\*, and Kazuhiro ENDO\*\* (\*Nihon Univ and \*\*ETL)

To investigate the effect of molecular motion on the electronic properties of solids, a new metallic layered compound was synthesized. Titanium disulfide ( $\text{TiS}_2$ ) has nearly zero electronic density of states at the Fermi energy in its pristine form. If a guest molecule is incorporated into the van der Waals gap of  $\text{TiS}_2$ , the electronic states will be modified by charge transfer and hydrogen bonding, and these interaction can possibly be modulated by the motion of the guest molecule.

By the reaction of  $\text{TiS}_2$  with an electron donor, ethylenediamine (en), nonstoichiometric compound,  $\text{Ti}_{1.1-1.4}\text{S}_2(\text{en})_{0.3-0.4}$ , was formed. X-Ray analysis showed cell doubling along  $a$ , while lattice expansion took place along  $c$  by 3.92 Å. The compound was stable at ambient atmosphere. The metallic nature was proved by the Pauli paramagnetism and conduction ESR. The ESR relaxation was dominated by Elliot mechanism. The temperature-dependence of the resistivity was represented by  $\rho=a+bT+cT^2$ , where  $b$  was  $2.6 \times 10^{-6} \Omega \text{ cm K}^{-1}$  while this term was absent in the pristine  $\text{TiS}_2$ , verifying the increase in intrapocket electron-phonon scattering due to increased  $N(E_F)$ .

#### IV-K-2 $^1\text{H}$ , $^{13}\text{C}$ , and $^{15}\text{N}$ NMR Studies of Titanium Disulfide-Ethylenediamine Intercalation Compound

Seiichi MIYAJIMA, Hironori OGATA, Hiroki FUJIMORI\*, Kazuhisa KOBASHI\*, Takehiko CHIBA\*, Kazuhiro ENDO\*\*, and R. E. TAYLOR\*\*\* (\*Nihon Univ, \*\*ETL, and \*\*\*Bruker Japan)

Guest-host interaction and dynamics of the guest molecule were studied by the technique of solid state high resolution and broadband NMR.  $^{13}\text{C}$  and  $^{15}\text{N}$  CP/MAS spectra suggest charge transfer shifts. High resolution  $^1\text{H}$  NMR was performed by applying Brum-Rhim 24 pulse sequence with magic angle sample rotation. In addition to the main peak at 2.5 ppm which is near the peak position, 1.1 and 2.7 ppm, for solution ethylenediamine, extra peak appeared at 7.0 ppm with significant intensity, suggesting hydrogen bonding between amino proton and the host sulfur atom. Structural inhomogeneity was also evidenced. Spin-lattice relaxation rates were measured by broadband NMR. Motionally induced relaxation was observed above 50 K. Assuming a rotational jump of the guest molecule among three sites, the activation energy of  $15.5 \text{ kJ mol}^{-1}$  and the rotational correlation time of  $ca. 1 \times 10^{-8} \text{ s}$  were estimated at room temperature. This low potential barrier and the rapid rotation is consistent with the absence of dipolar multiplet due to  $^{13}\text{C}$ - $^{14}\text{N}$  residual dipolar interaction in the  $^{13}\text{C}$  CP/MAS spectrum. Coexistence of charge transfer, hydrogen bonding, and molecular motion are thus proved, however, no anomaly was observed for the electrical

conduction which is attributable to the dynamics of the guest molecule.

#### IV-K-3 Solid State High Resolution $^{13}\text{C}$ NMR Study of the Intermolecular Interaction in Organic Semiconductors $\text{TTC}_n\text{-TTF}$

Seiichi MIYAJIMA, Takehiko CHIBA\*, Gunzi SAITO\*\*, and Hiroo INOKUCHI\*\*\* (\*Nihon Univ., \*\*Kyoto Univ., and \*\*\*Okazaki Natl. Res. Inst.)

[J. Phys. Chem. submitted]

The derivatives of tetrathiafulvalene (TTF) with four long alkylthio chains, tetrakis(alkylthio)-tetrathiafulvalenes,  $\text{TTC}_n\text{-TTF}$ , are known to be ones of the known most conducting single component organic compounds. Mechanism of this high conductivity has been studied by the technique of solid state high resolution  $^{13}\text{C}$  NMR. In the solid state of the long-chain compounds, significant downfield shifts, 3–4 ppm from those in the molten states, were observed for the alkyl carbon lines, and it was shown that the energy difference between the *trans/gauche* rotational isomeric states are more than ten times larger in the solid state than that in the molten state. This provides an evidence of highly closely packed nature of the alkylthio chains in the solid state. It was also found that the solid state chemical shieldings for both of the alkyl and the ethylene carbons gradually decreased as the chain length increased, suggesting that the electronic excitation energy gradually decreases due to the increasing intermolecular  $\pi\text{-}\pi$  overlap. Only the inner ethylene carbons exhibited upfield shift on crystallization, which has been interpreted as an enhancement of the  $\pi$ -electron density in the central area of the TTF moiety. These findings provide evidences that the one-dimensional electrical conduction is enhanced by the intermolecular  $\pi\text{-}\pi$

overlap caused by the extremely closely packed nature of the long alkylthio chains, and therefore the electronic states of the organic semiconductors can be controlled by the length of the alkyl chains.

#### IV-K-4 Transport Properties of $\text{C}_{70}$ Single Crystals Doped with Alkali Metals

Hironori OGATA, Seiichi MIYAJIMA, and Yusei MARUYAMA

To investigate the existence of metallic phase, various kinds of alkali metal (Na, K, Rb or Cs) doped  $\text{C}_{70}$  compounds were synthesized by using  $\text{C}_{70}$  single crystals grown from the vapor phase as a starting material, and temperature dependences of electrical resistivity and thermoelectric power were measured. Amounts of alkali metal doping were optimized by monitoring the resistivity *in situ*. Table 1 shows the electrical resistivity at room temperature, activation energy, and thermoelectric power at room temperature for each sample. The room temperature resistivity decreases from Na-complex to Cs-complex. This fact may be explained by assuming that the formation of over-doped phase is hindered for large dopants. No metallic behaviors were observed.

**Table 1.** Values of electrical resistivities at room temperature, activation energies, and thermoelectric powers at room temperature for alkali-metal doped  $\text{C}_{70}$  single crystals.

	$\rho(\Omega \cdot \text{cm})(\text{r.t.})$	$E_a(\text{eV})$	$S(\mu\text{V/K})(\text{r.t.})$
$\text{Na}_x\text{C}_{70}$	$\sim 10^6$	0.5	—
$\text{K}_x\text{C}_{70}$	$\sim 10^4$	0.5	−70
$\text{Rb}_x\text{C}_{70}$	$\sim 10^3$	0.3	+80
$\text{Cs}_x\text{C}_{70}$	$\sim 10^2$	0.18	+20

### IV—L Photoelectron Spectroscopy of Organic Solids in Vacuum Ultraviolet Region

The works of ultraviolet photoelectron spectroscopy (UPS) and also of UPS with synchrotron radiation lightsource (UVSOR-UPS) of organic materials, especially BTQBT and  $\text{C}_{60}$  series, have been proceeded to find their quantitative electronic structures.

#### IV-L-1 Intermolecular Energy-band Dispersion in Oriented Thin Films of Bis(1,2,5-thiadiazolo)-*p*-quinobis(1,3-dithiole) (BTQBT) by Angle-resolved Photoemission

Shinji HASEGAWA, Takehiko MORI, Kenichi IMAEDA, Shoji TANAKA, Yoshiro YAMASHITA, Hiroo INOKUCHI, Hitoshi FUJIMOTO (Kumamoto Univ.), Kazuhiko SEKI (Nagoya Univ.), and Nobuo UENO (Chiba Univ.)

[J. Chem. Phys. 100, 6969 (1994)]

Angle-resolved ultraviolet photoemission spectra using synchrotron radiation were measured for oriented thin films of Bis(1,2,5-thiadiazolo)-*p*-quinobis(1,3-dithiole) (BTQBT) on graphite. From the photon energy dependence of normal emission spectra, the energy-band dispersion of  $\pi$ -bands were observed for the highest (HOMO) and next

highest (NHOMO) bands. This is the first observation of intermolecular dispersion in a single-component organic molecular crystal. The results demonstrate that the BTQBT molecules have a strong intermolecular interaction, which can be derived from the introduction of a covalent interaction between sulfur atoms in addition to the usual intermolecular interaction by van der Waals forces.

#### IV-L-2 Ultraviolet Photoelectron Spectra of $\text{C}_{82}$ and $\text{K}_xC_{82}$

Shojun HINO\*, Kazuo MATSUMOTO\*, Shinji HASEGAWA, Kentaro IWASAKI, Kyuya YAKUSHI, Takashi MORIKAWA\*\*, Takashi TAKAHASHI\*\*, Kazuhiko SEKI\*\*\*, Koichi KIKUCHI\*\*\*\*, Shinzo SUZUKI\*\*\*\*, Isao IKEMOTO\*\*\*\*, and Yohji ACHIBA\*\*\*\* (\*Chiba Univ., \*\*Tohoku Univ., \*\*\*Nagoya Univ., \*\*\*\*Tokyo Metropolitan Univ.)

Photoelectron spectra of  $C_{82}$ , one of the newly isolated fullerene compounds, are measured with a synchrotron radiation light source. The spectra resemble those of  $C_{84}$ , and their spectral onset is at 1.1<sub>5</sub> eV below the Fermi level ( $E_F$ ). The first band is a broad band and consists of two peaks located at 2.2 eV and 3.3 eV. A slight structure is observed at the low binding energy side of the first band. The intensity of the two peaks of the band oscillates with the incident photon energy change, as are observed in  $C_{60}$ ,  $C_{70}$  and  $C_{84}$ . The second band located between 4 and 6.2 eV with a peak at 5.3 eV and an accompanying shoulder at the low binding energy side. The third band locates between 6.2 and 9 eV and consists of a peak at 7.8 eV and a distinct shoulder at 6.5 eV. Potassium dosing brings a new band between the  $E_F$  and the first band and its intensity grows as the potassium dosage increases. The spectral onset approaches toward the  $E_F$  in accordance with increase of potassium dosage but it does not cross the  $E_F$ , which indicates a semi-conductive nature of the potassium dosed  $C_{82}$  complex. The spectra of low or high potassium dosage can be understood by the rigid band model, but the spectral change at medium potassium dosage seems to support a non rigid band filling of the electron from the potassium atoms.

#### IV-L-3 Electronic Structure of Metallofullerene, $LaC_{82}$ : Electron Transfer from Lanthanum to $C_{82}$

Shojun HINO\*, Hiroaki TAKAHASHI\*, Kentaro IWASAKI\*, Kazuo MATSUMOTO\*, Takafumi MIYAZAKI, Shinji HASEGAWA, Koichi KIKUCHI\*\*, and Yohji ACHIBA\*\* (\*Chiba Univ., \*\*Tokyo Metropolitan Univ.)

[Phys. Rev. Lett. 71, 4261 (1993)]

Ultraviolet photoelectron spectra (UPS) of  $LaC_{82}$  that is in question whether the lanthanum atom is inside the carbon cage or not are measured for the first time. The UPS of  $LaC_{82}$  is analogous to those of  $C_{82}$ , but there is a critical difference in the region just below the Fermi level. Comparison of both  $LaC_{82}$  and  $C_{82}$  spectra reveals that two new

peak components are formed in  $LaC_{82}$ . They locate at 0.9 and 1.6 eV below the Fermi level, and their intensity ratio is 1 : 2. This indicates that three electrons are transferred from the lanthanum atom to the lowest unoccupied molecular orbital (LUMO) and second LUMO of the  $C_{82}$  molecule, that is,  $La^{+3}C_{82}^{-3}$ . Theoretical calculations on  $LaC_{82}$  suggest that an oxidation state of the lanthanum atom depends on its position in the  $LaC_{82}$  molecule; it is +2 when the lanthanum atom is outside the cage, but it is +3 when it is inside the cage. Our UPS results support an encapsulation of the lanthanum atom inside the carbon cage.

#### IV-L-4 Photoelectron Spectroscopy of Polysilanes, Polygermanes and Related Compounds

Hisao ISHII\*, Akira YUYAMA\*, Satoru NARIOKA\*, Kazuhiko SEKI\*, Shinji HASEGAWA, Masaie FUJINO\*\*, Hiroaki ISAKA\*\*, Michiya FUJIKI\*\*, and Nobuo MATSUMOTO\*\* (\*Nagoya Univ., \*\*Nippon Telegraph and Telephone Corporation)

[Synthetic Metals, in press]

Ultraviolet photoelectron spectra were measured for five polysilanes, two polygermanes, three Si-Ge copolymers and tert-butyloctasilacubane. The UPS spectra of the polyalkylsilanes and polyalkylgermanes indicate that the valence electronic structure can be regarded as an overlap of those of the backbone and substituents. On the other hand, the spectra of polyarylsilanes imply that the uppermost part of the valence band structure is slightly different from the superposition of those of the Si backbone and substituents due to  $\sigma$ - $\pi$  interaction. The results of copolymers and tert-butyloctasilacubane are also discussed.

#### IV-L-5 The Electronic Structure and Energy Level Alignment of Porphyrinmetal Interfaces Studied by Ultraviolet Photoelectron Spectroscopy

Hisao ISHII\*, Satoru NARIOKA\*, Daisuke YOSHIMURA\*, Masaki SEI\*, Yukio OUCHI, Shinji HASEGAWA, Takafumi MIYAZAKI, Yutaka HARIMA\*\*, Kazuo YAMASHITA\*\*, and Kazuhiko SEKI\* (\*Nagoya Univ., \*\*Hiroshima Univ.)

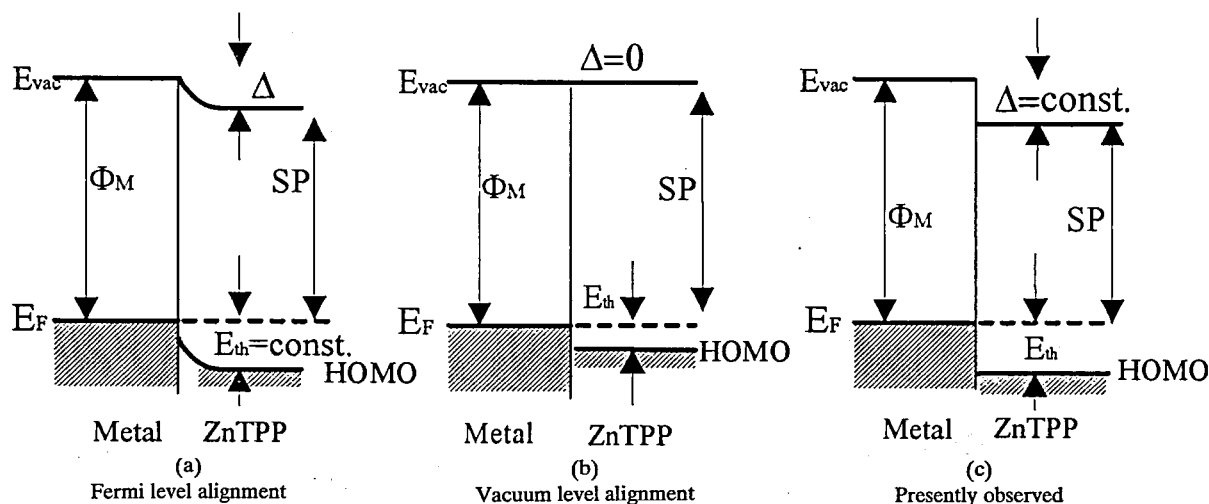


Figure 1.

Recently the applications of various organic semiconductors to electric devices have been extensively studied. The electronic structure of organic compound/metal interface is indispensable for understanding and refining the performance of organic devices. However there have been few studies even about the details of band lineup at organic/metal interface and this basic problem for organic devices is not yet well understood. In this study, we investigated the electronic structure of metal/5, 10, 15, 20-tetraphenylporphyrin (ZnTPP) interfaces known as solar cell systems using ultraviolet photoelectron spectroscopy (UPS), including the effect of samples exposure to oxygen. Figure 1(c) shows the energy diagram of the interface obtained from our results. We found that the electronic energy levels of ZnTPP align with the vacuum level of the substrate metal with a constant energy shift of the vacuum levels across the interface. These findings cannot be explained by the concept of Fermi level alignment (Fig.1(a)) or vacuum level alignment (Fig.1(b)). We also found that sample exposure to oxygen induces energy level shift in close relation with change of substrate work function at oxygen exposure. The

observed interfacial electronic structure is consistent with the electric characteristics of Al/ZnTPP/Au solar cell.

#### IV-L-6 Electronic Structure of Doped C<sub>60</sub>: Strong Correlation or Lattice Distortion?

Takashi TAKAHASHI, Takashi MORIKAWA, Hiroshi KATAYAMA-YOSHIDA (*Tohoku Univ.*), Shinji HASEGAWA, Hiroo INOKUCHI, Kazuhiko SEKI (*Nagoya Univ.*), Shojun HINO (*Chiba Univ.*), Kouichi KIKUCHI, Sinzo SUZUKI, Isao IKEMOTO, and Yohji ACHIBA (*Tokyo Metropolitan Univ.*)

[*Physica B*186-188,1068 (1993)]

It was found by photoemission and inverse photoemission that an energy gap with a finite density of state at the bottom (a pseudo-gap) opens at the Fermi level for alkali-doped solid C<sub>60</sub> at the composition A<sub>3</sub>C<sub>60</sub> (A=alkali metal). Strong electron correlation and lattice distortion (Jahn-Teller effect) are discussed as possible origins for pseudo-gap.

### IV—M Organic Metals

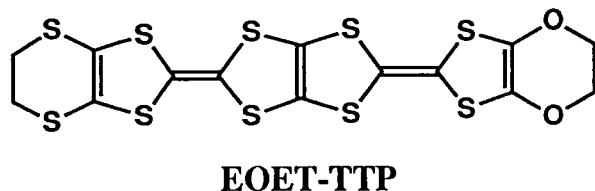
In an attempt to develop new organic superconductors and to explore the related phenomena, new organic conductors have been prepared and the physical properties have been investigated. In this year, the structural and physical properties of cation-radical salts of bis-fused TTF donors are investigated. Among them, (EOET-TTP)<sub>3</sub>AsF<sub>6</sub> is a new  $\kappa$ -type salt with 3:1 composition. The donor TMEO-TTP makes many salts metallic down to He temperatures with linear and octahedral anions. TTM-TTP gives three different phases of the iodine salts, one of which, (TTM-TTP)I<sub>3</sub>, shows metallic conductivity, 700 Scm<sup>-1</sup> at room temperature, in spite of its 1:1 composition.

#### IV-M-1 Ethylenedioxy Substituted 2,5-Bis(1',3'-dithiol-2'-ylidene)-1,3,4,6-tetrathiapentalenes and Their Conducting Salts

Yohji MISAKI,\* Hiroyuki NISHIKAWA,\* Kazuya KAWAKAMI,\* Tokio YAMABE,\* Takehiko MORI, Hiroo INOKUCHI, Hatsumi MORI,\*\* and Shoji TANAKA\*\* (*\*Kyoto Univ.*, *\*\*ISTEC*)

[*Chem. Lett.* 1993, 2073]

Several radical-cation salts of the title electron donor (see Scheme) showed metallic conductivity down to 0.6 K. The X-ray crystal structure of the AsF<sub>6</sub> salt, (EOET-TTP)<sub>3</sub>AsF<sub>6</sub> revealed that the donors have " $\kappa$ -type" arrangement in the conducting sheet. This is the second example of the  $\kappa$ -type salt having a composition other than 2:1. This salt exhibits metallic conductivity down to 0.6 K as well.



#### IV-M-2 Structure and Physical Properties of (TMEO-TTP)<sub>2</sub>Au(CN)<sub>2</sub>

Takehiko MORI, Hiroo INOKUCHI, Yohji MISAKI,\* Hiroyuki NISHIKAWA,\* Tokio YAMABE,\* Hatsumi MORI,\*\* and Shoji TANAKA\*\* (*\*Kyoto Univ.*, *\*\*ISTEC*)

[*Chem. Lett.* 1993, 2085]

Radical-cation salts of TMEO-TTP with linear and octahedral anions exhibit metallic conductivity down to 0.6 K. The title salt has two-dimensional donor arrangement (Fig. 1), and the measurements of electrical conductivity, thermoelectric power, and ESR show a characteristic metal-to-metal transition.

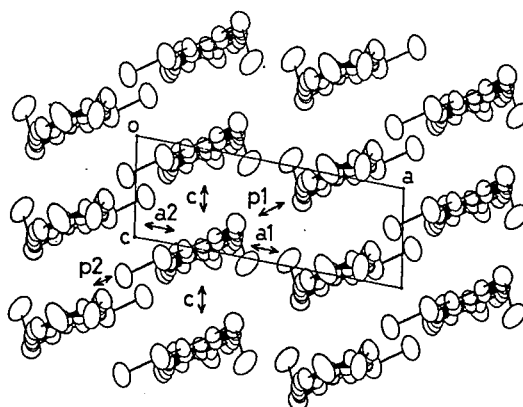


Fig. 1 Donor arrangement of (TMEO-TTP)<sub>2</sub>Au(CN)<sub>2</sub>.

#### IV-M-3 Crystal Structures of Highly Conducting Iodine Complexes of TTM-TTP

Takehiko MORI, Hiroo INOKUCHI, Yohji MISAKI,\* Tokio YAMABE,\* Hatsumi MORI,\*\* and Shoji TANAKA\*\* (\*Kyoto Univ., \*\*ISTEC)

[*Bull. Chem. Soc. Jpn.* **67**, 661 (1993)]

Methylthio substituted bis-fused tetrathiafulvene, TTM-TTP (2,5-bis[4,5-bis(methylthio)-1,3-dithiol-2-ylidene]-1,3,4,6-tetrathiapentalene) makes three phases of iodine complexes:  $(\text{TTM-TTP})\text{I}_3$ ,  $\alpha\text{-(TTM-TTP)}_2\text{I}_3$ , and  $\beta\text{-(TTM-TTP)}_2\text{I}_3$ . The 1:1 complex exhibits high conductivity,  $700 \text{ Scm}^{-1}$  at room temperature, and is metallic down to about  $T_{\text{MI}}=160 \text{ K}$  (Fig. 1). This complex has uniform columns of the donor molecules. In the semiconducting  $\alpha\text{-(TTM-TTP)}_2\text{I}_3$ , one quarter of the donors is incorporated in the anion sheet, and the sides of the other trimeric donors are blocked by the anion sheets.  $\beta\text{-(TTM-TTP)}_2\text{I}_3$  shows metallic conductivity down to  $T_{\text{MI}}=20 \text{ K}$ .

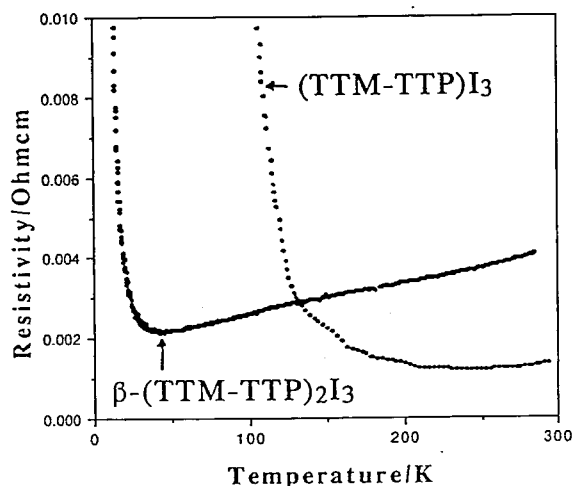


Fig. 1. Electrical conductivity of  $(\text{TTM-TTP})\text{I}_3$  ( $\parallel c$ ) and  $\beta\text{-(TTM-TTP)}_2\text{I}_3$  ( $\parallel c$ ).

#### IV—N Electrical Conduction and its Related Properties of Organic Solids

The electronic properties of single component organic semiconductors, BTQBT and its related compounds, and  $\text{C}_{60}$  complexes have been observed.

##### IV-N-1 Temperature Dependence of Hall Mobility in a Single Crystal of Organic Semiconductor BTQBT

Kenichi IMAEDA, Yongfang LI (*Inst. of Chem., Beijing*) Yoshiro YAMASHITA, Hiroo INOKUCHI, and Mizuka SANO (*International Christian Univ.*)

The Hall mobility of a BTQBT (bis(1,2,5-thiadiazolo)-*p*-quinobis(1,3-dithiole)) single crystal was found to be  $2.4 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$  at 330 K and  $6.3 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$  at 175 K. It changed with temperature as  $T^{-1.6}$  in the range 175 to 330 K, which corresponds to the  $T^{-1.5}$  temperature dependence theoretically predicted for the mobility determined by lattice scattering. The sign of carrier was determined to be positive over the whole temperature range, which indicates an electrical conduction dominated by hole carriers.

##### IV-N-2 Magnetic and Structural Characterization of Superconducting Sodium-Doped $\text{C}_{60}$ Prepared with the Thermal Decomposition of Sodium Azide

Kenichi IMAEDA, Ilias I. KHAIRULLIN (*Uzbek Acad. of Sci.*), Kyuya YAKUSHI, and Hiroo INOKUCHI

[*Synthetic Metals*, to be published in *Proceedings of ICSM '94*]

We have prepared  $\text{Na}_4\text{C}_{60}$  samples by the different ways of the thermal decomposition of sodium azide  $\text{NaN}_3$ . The superconducting (SC) samples were obtained by the decomposition under the dynamic vacuum, while the non-SC samples by the decomposition in the sealed tube. The SC sample was composed of two fcc phases with a lattice constant  $a=14.207(5) \text{ \AA}$  (phase A) and  $a=14.339(4) \text{ \AA}$  (phase B). On the contrary, the non-SC sample had single fcc phase which can be assigned to phase A. This result strongly suggests that phase B is the SC phase.

#### IV—O Electronic Conduction and its Mechanism of Cytochromes

Hiroo INOKUCHI, Kenichi IMAEDA, Yusuke NAKAHARA (*Tech. Coll. of Miyakonojo*), Kenji ICHIMURA (*Kumamoto Univ.*), Yongfang LI (*Inst. Chem. Academia Sinica*)

Using x-ray photoelectron spectroscopy (XPS) and Langmuir-Blodgett film preparation technique, the

electronic conduction and its mechanism of cytochrome *c* series has been analyzed.

## IV—P Preparation and Characterization of Semiconductor Thin Films by New Excitation Processes

Semiconductor thin films of high quality, especially prepared at low temperatures, are required for the development of VLSI (Very Large-Scale Integration) and new functional devices in the near future. Synchrotron radiation-assisted, plasma-enhanced, and photo-excited CVD (Chemical Vapor Deposition) are promising. We have been developing new semiconducting thin films and new devices by these excitation methods.

### IV-P-1 Preparation and Characterizations of $\text{CuIn}_x\text{Ga}_{1-x}\text{Se}_2$ Thin Films Crystallized by Annealing

Toshiyuki YAMAGUCHI, Masayoshi SUZUKI (Wakayama College of Tech.), and Akira YOSHIDA (Toyohashi Univ. of Tech. and IMS)

[*Jpn. J. Appl. Phys.* 32 Suppl. 32-3, 62 (1993)]

A new approach for fabrication of polycrystalline  $\text{CuIn}_x\text{Ga}_{1-x}\text{Se}_2$  ( $0 \leq x \leq 1$ ) thin films by annealing of evaporated Cu-In-Ga-Se alloy films has been presented. X-ray diffraction analyses showed that these thin films had chalcopyrite structure and the lattice parameters were varied linearly with  $x$  in the films. The thin films had optical absorption coefficients in the high  $10^4 \text{ cm}^{-1}$  range and the band gaps were changed from 0.99 to 1.68 eV with decreasing  $x$ . The variation of band gaps yielded the bowing parameter of 0.156 eV.

### IV-P-2 Hydrogenated Amorphous Silicon Films Prepared from Trisilane by Windowless Hydrogen Discharge Lamp

Akira YOSHIDA (Toyohashi Univ. of Tech. and IMS), Shinji IKEDA, and Hiroshi TSUCHIMOTO (Toyohashi Univ. of Tech.)

[*J. Non-Cryst. Solids* 164–166, 95 (1993)]

High quality hydrogenated amorphous silicon (a-Si:H) films have been successfully deposited through the direct photolysis of trisilane using microwave-excited hydrogen discharge tube. The electrical and optical properties of the films have been investigated. The deposition rate decreases with increasing the substrate temperature, indicating that an adsorption-controlled process is dominant during the deposition. High quality films with the photosensitivity

( $\sigma_p/\sigma_d$ ) of about  $10^7$  were obtained, when the substrate temperature was kept at 300°C.

### IV-P-3 Defect Creation in Hydrogenated Amorphous Silicon Films Induced by Vacuum Ultraviolet Light from Synchrotron and Undulator Radiation

Yoji SAITO (Seikei Univ.) and Akira YOSHIDA (Toyohashi Univ. of Tech. and IMS)

[*Philos. Mag.* B 70, 133 (1994)]

Vacuum ultraviolet light from synchrotron and undulator radiation sources creates defects in hydrogenated amorphous silicon films. The dependence of the defect creation kinetics on photon energy has been investigated. The concentration of the defects induced by photons having an energy above 24 eV is proportional to the irradiation time. The rapid defect creation is due to the breaking of silicon-silicon bonds by the photo-induced plasmons.

### IV-P-4 Temperature Dependence of Band Gap Change in InN and AlN

Q.X. Guo (Toyohashi Univ. of Tech.) and Akira YOSHIDA (Toyohashi Univ. of Tech. and IMS)

[*Jpn. J. Appl. Phys.* 33, 2453 (1994)]

The optical band gap of InN and AlN single crystal films was measured through absorption spectra in the temperature range of 4.2 to 300 K. The samples were grown on (0001)  $\alpha\text{-Al}_2\text{O}_3$  substrates by metalorganic vapor phase epitaxy. The Varshni equation and the Bose-Einstein expression have been compared with the experimental results. The nitride III-V compound semiconductors have smaller temperature dependence of band gap change. In III-V compound semiconductors, the variation of the band gap change increases in the order of N, P and As.

## IV—Q Molecular Beam Studies of Surface Reaction Dynamics

In the present project we investigate dynamics of surface reactions using molecular beam-surface scattering techniques. Experimental data obtained in this project are angular and velocity distributions of the scattered species detected by a rotatory quadrupole mass spectrometer using a TOF technique as a function of reactant collision energy and surface conditions such as the substrate temperature, incident angle, and surface structure and coverage. We are interested in obtaining information on molecule-surface interaction potential energies for reactive and non-reactive systems in order to find the ways of controlling surface reactions.

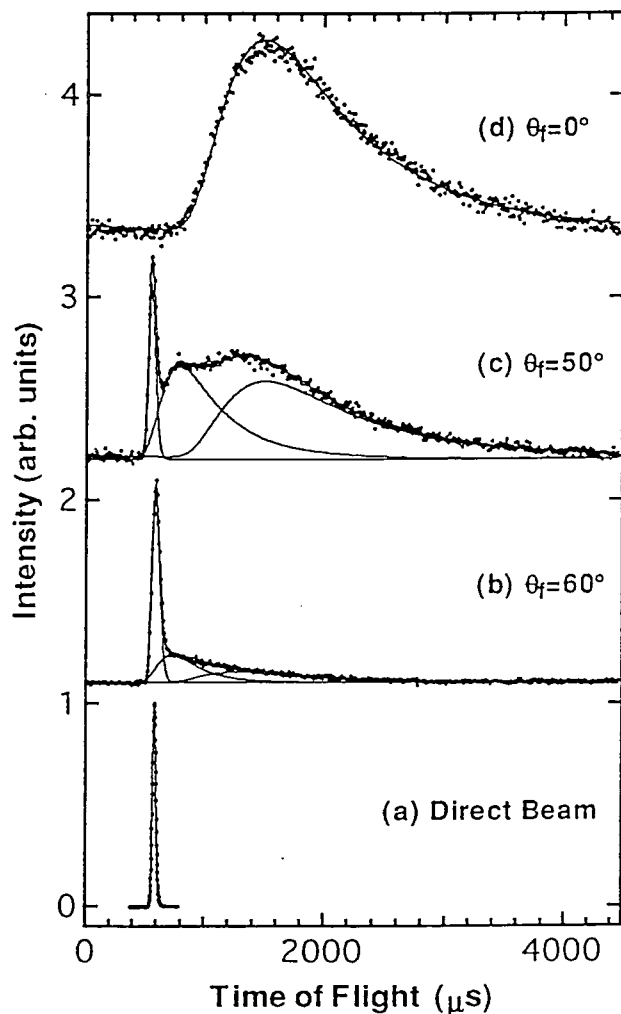
### IV-Q-1 Translational-Vibrational Energy Transfer in Xe-Graphite Scattering

Koji ITO\*, Hiroshi YOSHIKAWA (Grad. Univ. Advanced Studies), Kosuke SHOBATAKE, and Tetsuo FUJIMOTO\* (\* Nagoya Univ.)

The translational-vibrational energy transfer in the Xe-graphite scattering has been studied using a newly constructed molecule-surface scattering apparatus with a rotatable mass spectrometer detector. The time-of-flight (TOF) distributions of scattered Xe atoms are measured at three



collision energies of 0.45, 0.17, and 0.070 eV, at two incident angles of 35 and 60 degrees from normal, and at two surface temperatures of 300 K and 500 K. Figure 1 shows typical TOF spectra of Xe atoms detected at three scattering angles of (d)  $\theta_t=0^\circ$ , (c)  $\theta_t=50^\circ$ , and (b)  $\theta_t=60^\circ$  for the Xe beam incident angle,  $\theta_i=60^\circ$ , along with (a) that of incident Xe beam from which the Xe collision energy of 0.45 eV has been determined. The Xe TOF spectrum scattered at  $\theta_t=0^\circ$  is fitted to a Maxwell-Boltzmann (MB) distribution with a translational temperature close to the surface temperature. The spectrum at  $\theta_t=50^\circ$  clearly shows that it consists of three components. The fastest component is fitted to a shifted MB distribution which is sharper than a MB distribution obeys a hard cube model which assumes that the parallel velocity component does not change after collision for all the scattering conditions studied. The slowest component can be fitted to a MB distribution close to that with the surface temperature. The medium velocity component which can be also fitted to a shifted MB distribution may be assigned to a directly but more inelastically scattered species than the fast component on a softer



**Figure 1.** TOF spectra of (a) the direct incident Xe beam and Xe atoms scattered from the graphite surface kept at room temperature measured at the scattering angles (b)  $\theta_t=60^\circ$ , (c)  $\theta_t=50^\circ$ , and (d)  $\theta_t=0^\circ$  for the incident angle of the Xe beam at  $\theta_i=60^\circ$  and collision energy of 0.45 eV. The solid curves are the calculated best-fit distributions.

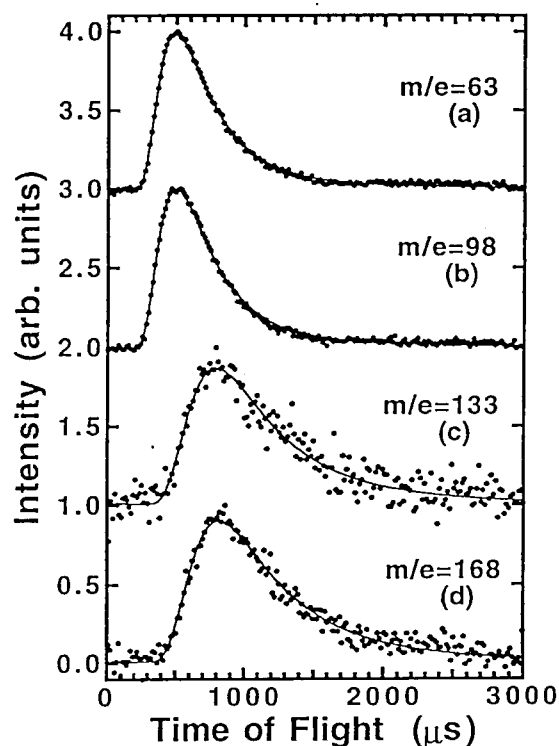
potential surface. The TOF spectra were analyzed assuming that it consists of three components. The angular distribution of the scattered atoms is sharply distributed at higher scattering angles than the specular angle for the collision energies,  $E_i=0.45$  and 0.17 eV but at lower scattering angle at  $E_i=0.070$  eV.

#### IV-Q-2 Velocity Distributions of Nascent $\text{SiCl}_n$ ( $n = 2, 3$ , and 4) Products Formed from the Reaction of $\text{Si}(111)$ with a $\text{Cl}_2$ Beam

Hiroshi YOSHIKAWA (*Grad. Univ. Advanced Studies*) and Kosuke SHOBATAKE

[*Chem. Phys. Lett.*, 223, 341 (1994)]

The velocity distributions of desorbed nascent species formed from the etching reaction of  $\text{Si}(111)$  bombarded with a  $\text{Cl}_2$  beam were measured using a time-of-flight (TOF) technique, detecting the products at masses,  $\text{SiCl}_n^+$  ( $n = 1, 2, 3$  and 4) on a molecule-surface scattering apparatus with a rotatable mass spectrometer detector. Fig. 1 illustrates the typical TOF spectra of the products detected at the four masses. The TOF spectra were fitted to Maxwell-Boltzmann distributions with translational temperatures close to or slightly higher than the surface temperatures, which means that the products are desorbed after well accommodating to the surface. The detected products were identified as neutral  $\text{SiCl}_n$  ( $n = 2, 3$ , and 4) molecules. We find that the  $\text{SiCl}_2$  radical is the major product in the surface temperature region between 700 and 1000 K.



**Figure 1.** Time-of-flight distributions of desorbed products detected at masses,  $m/e=(a)$  63 ( $\text{SiCl}^+$ ), (b) 98 ( $\text{SiCl}_2^+$ ), (c) 133 ( $\text{SiCl}_3^+$ ), and (d) 168 ( $\text{SiCl}_4^+$ ). Spectra of (a) and (b) were measured at surface temperature  $T_s=870$  K, and those of (c) and (d) at  $T_s=570$  K. The solid lines were calculated best-fit Maxwell-Boltzmann distributions.

## IV—R Vacuum UV Photochemistry of Molecules and Clusters

In the present project we seek to obtain detailed information about, 1) photodissociative excitation processes from highly excited molecules and 2) photochemistry of excited van der Waals (vdW) molecules and clusters formed in a supersonic expansion. The techniques applied are absorption and fluorescence spectroscopies of gaseous molecules and vdW molecules on an apparatus constructed on the beam line BL2A of UVSOR facility. In particular we use fluorescence excitation, fluorescence polarization, and dispersed fluorescence spectroscopies to identify product species and obtain information on the dynamics of photochemical reactions.

### IV-R-1 Fluorescence Excitation Spectra and Quantum Yield in Vacuum Ultraviolet Photodissociation of $\text{CF}_3\text{CN}$

Dock-Chil CHE\*, Toshio KASAI\*, Hiroshi OHYAMA\*, Keiji KUWATA\* (\*Osaka Univ.), Mitsuhiro KONO, Kiyohiko TABAYASHI, and Kosuke SHOBATAKE

[*Chemistry Letters*, 1994, 133]

The fluorescence excitation spectra of  $\text{CN}(\text{A}, \text{B} \rightarrow \text{X})$  and  $\text{CF}_3(2\text{A}'_1 \rightarrow 1\text{A}''_2)$  and quantum yield for the  $\text{CN}(\text{B})$  radical formation were measured in the photodissociation of  $\text{CF}_3\text{CN}$  in 105–135 nm. The quantum yield for the  $\text{CN}(\text{B})$  radical formation was found to be only a few percent. The similarity in the excitation energy dependence of  $\text{CN}(\text{B} \rightarrow \text{X})$  and of  $\text{CN}(\text{A} \rightarrow \text{X})$  indicated a common dissociation mechanism via the 3s Rydberg state of  $\text{CF}_3\text{CN}$  for both states.

### IV-R-2 Absorption Spectrum of $\text{C}_{60}$ in the Gas Phase: Formation and Recombination of Electron-Hole Pair

Hisato YASUMATSU\*, Tamotsu KONDOW\* (\*Univ. of Tokyo), Hiroshi Kitagawa (Equipment Development Center), Kiyohiko TABAYASHI, and Kosuke SHOBATAKE

The absorption spectra of gaseous  $\text{C}_{60}$  at temperatures 830–870 K was measured in the energy range of 3.5–11.4 eV. The peaks at 7.9 and 9.2 eV, and a dip at 8.5 eV were assigned as Feshbach resonance with superexcited states. The photoionization quantum yield was explained in terms of formation and recombination of an electron-hole pair.

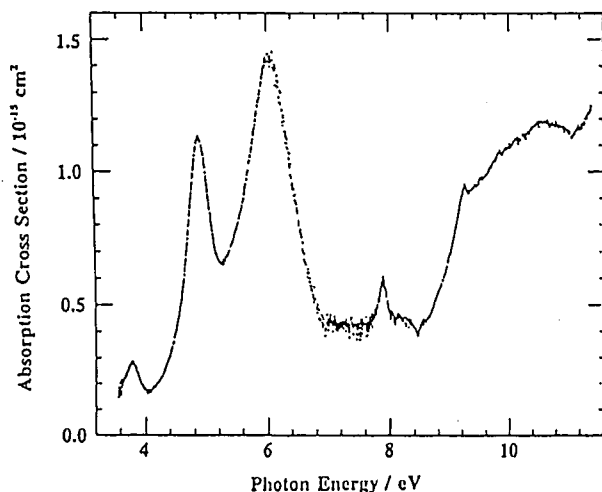


Figure 1. Absorption spectrum of  $\text{C}_{60}$  in the gas phase in the temperature range 830–870 K.

The ordinate represents absolute cross section whose uncertainty is estimated as 25 %.

The absorption spectrum was measured on an apparatus constructed on Beamline BL2A of UVSOR. The  $\text{C}_{60}$  sample in a windowless absorption cell was resistively heated to temperatures of 830–870 K in a vacuum chamber pumped down to  $1 \times 10^{-6}$  Torr so that the vapor pressure of  $\text{C}_{60}$  is kept at 5–10 Torr. Figure 1 shows the spectrum of  $\text{C}_{60}$  vapor in the absolute cross section, the uncertainty of which is estimated to be about 25 % and most of which is due to the uncertainty of temperature reading.

In the low energy range three broad bands peaked at 3.8, 4.9, and 6.0 eV are observed. Two distinct bands peaked at 7.9 and 9.2 eV and a dip at 8.5 eV appear in the energy range higher than the ionization energy of 7.61 eV. They are assigned to Feshbach resonances involving superexcited states which originate from core-excited Rydberg states of  $\text{C}_{60}$ .

### IV-R-3 Photodissociative Excitation Processes in $\text{XeF}_2$ in the Vacuum UV Region of 105–165 nm

Mitsuhiro KONO (*Grad. Univ. Advanced Studies*) and Kosuke SHOBATAKE

Photodissociative excitation processes of  $\text{XeF}_2$  for  $\text{XeF}_2 + h\nu \rightarrow \text{XeF}^*(\text{B}, \text{C} \text{ and } \text{D}) + \text{F}$  are studied by absorption and fluorescence spectroscopies in the vacuum UV region of 105–165 nm. The quantum yields and polarization anisotropy are measured along with the dispersed fluorescence spectra for emissions from  $\text{XeF}(\text{B}, \text{C} \text{ and } \text{D})$  excimers formed from  $\text{XeF}_2$  excited by linearly polarized, monochromatized synchrotron radiation on an apparatus at

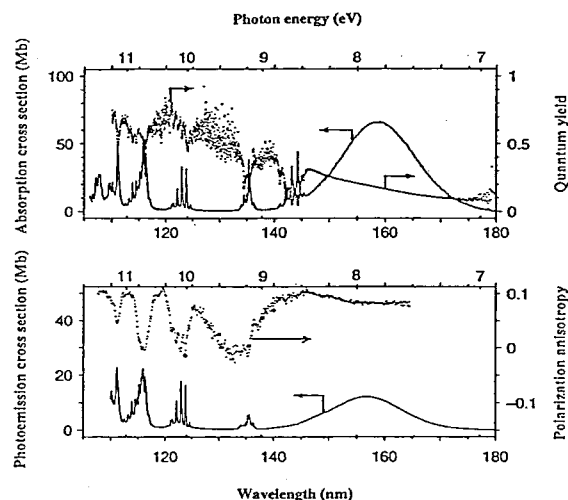


Figure 1. a) Absorption spectrum and quantum yield for  $\text{XeF}^*$  formation in dissociative excitation of  $\text{XeF}_2$ . b) Polarization anisotropy for total fluorescence and fluorescence excitation spectrum.

the Beamline BL2A of UVSOR. The absorption and the fluorescence excitation spectra are measured to determine the quantum yield for the XeF\* excimer formation from XeF<sub>2</sub> as shown in Figure 1. The latter has been determined by comparing the fluorescence intensity to that of OH(A→X) formed from photodissociative excitation of H<sub>2</sub>O.<sup>1)</sup>

Figure 1a shows the absorption spectrum (solid line) of XeF<sub>2</sub> along with the quantum yield for total emission (dots) in the excitation wavelength ( $\lambda_{\text{exc}}$ ) region 105–165 nm at a resolution of 0.10 nm. Most of the bands have been assigned by Nielsen and Schwarz.<sup>2)</sup> However some of the

bands are reassigned in light of the results of fluorescence polarization anisotropy measured for formed XeF\* shown in Figure 1b which also illustrates the fluorescence excitation spectrum obtained by monitoring the total emission at a resolution of 0.5 nm for the excitation light. It is noted that the quantum yield for XeF\* excimer formation is found to be very high, especially at 110 nm it reaches about 0.75.

#### References

- 1) L. C. Lee, *J. Chem. Phys.* **72**, 4334 (1980)
- 2) U. Nielsen and W.H.E. Schwarz, *Chem. Phys.* **13**, 195 (1976)

## IV—S Surface and Interface Structures of Organic Molecules and Polymers Studied by Soft X-ray Absorption and Optical Second Harmonic Generation

Study on the surface and interface structure of organic molecules and polymers have been of great interest because of the potential to be used as the key information to the molecular device application. We have performed a series of experiments with use of X-ray Absorption Near Edge Structure (XANES) and Optical Second Harmonic Generation (Optical SHG) which provide highly-surface-sensitive structural information. Our first experiments have been focused mainly on the structural study on the functional polymer surface and its interface with liquid crystal molecules. We have found that the surface structure of liquid crystal is strongly affected by the local structure of polymers.

### IV-S-1 Polarized XANES and Optical SHG Studies on the LC/polyimide Interfaces

Yukio OUCHI (*Nagoya Univ. and IMS*), Masaki SEI\*, Kazuhiko SEKI\* (*Nagoya Univ.*) and Yoshiyasu MATSUMOTO

It is well known that a rubbed polymer surface may align a liquid crystal (LC) film, whose optical axis makes a pre-tilt angle from the polymer substrate. As for the mechanism of the alignment, it is widely believed that the mechanically rubbed polymer is subjected to the local structure change, such as a realignment of the polymer chain and hence the elongated chain induces the uniaxial alignment of the LC film. Although many surface analytical techniques have been applied to reveal the mechanisms of the alignment, its microscopic understanding is still lacking.

In this study, we have performed a series of experiments with use of (1) C K-edge and N K-edge X-ray absorption near edge structure (XANES) spectroscopy for the first time in order to investigate the local structure of polymer surface, and of (2) optical second harmonic generation (SHG) so as to estimate the orientational distribution function of the LC monolayer on the polymer substrate.

Sample compounds were BPDA (biphenyl-3,3',4,4'-tetracarboxylic dianhydride) type polyimides; BPDA type polyimides with *even* number alkylene chain induce a large LC pre-tilt angle and those with odd number alkylene chain does almost 0 degree LC pre-tilt angle. XANES spectra have successfully revealed the structure difference between the *odd* and *even* number BPDA polyimides. For example, we have found that the biphenyl part of C8-BPDA is selectively tilted from the substrate by 15°.

In SHG experiments, we have measured an 8CB (4'-n-octyl-4-cyanobiphenyl) monolayer evaporated on the rubbed BPDA substrate. The results indicate that the alignment of the 8CB monolayer is strongly affected by the

structure of the substrate polymers. We will discuss the surface structures of rubbed BPDA and the alignment mechanisms of the 8CB molecules on the BPDA substrate.

### IV-S-2 Polarized XANES Studies on the Mechanical Rubbing Effect of Poly(tetrafluoroethylene) Oligomer and its Model Compounds

Yukio OUCHI (*Nagoya Univ. and IMS*), Kouhei NAGAYAMA\*, Kazuhiko SEKI\* (*Nagoya Univ.*)

Poly(tetrafluoroethylene)(PTFE) is of great interest lately for their applications to the aligning-layers for various organic-functional molecules. However, the mechanisms responsible for the alignment in relation to the mechanical rubbing process are hardly understood. In this study, we have performed a series of experiments with use of F K-edge and C K-edge XANES (X-ray absorption near-edge structure) spectroscopy in order to determine (1) the initial alignment of the poly(tetrafluoroethylene) oligomer (TFO; carbon number  $n=100\sim400$  with the peak at 172) and its model compound ( $n\text{-C}_{24}\text{F}_{50}$ ) by vacuum evaporation and (2) the possible structure change of these compounds subjected to the mechanical rubbing process.

We have found that in the evaporated TFO film the fluorocarbon chains were almost parallel to the surface and were uniaxially realigned along the rubbing direction by the mechanical rubbing process, while in the evaporated PFT film the fluorocarbon chains were oriented normal to the surface and hardly changed its structure by the mechanical rubbing process. This is probably due to the fact that TFO has lower crystallinity than PFT and hence the realignment of the chains were induced by the mechanical rubbing.

We have demonstrated that the evaporated TFO films subjected to the rubbing may be used as the substrate for an epitaxial growth. As an example, PFT films evaporated on the rubbed TFO films perfectly align along the rubbing direction of TFO.

# RESEARCH ACTIVITIES V

## Department of Applied Molecular Science

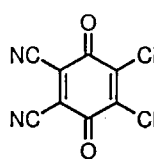
### V—A New Multi-Stage Redox Systems

Interdisciplinary cooperation between synthetic chemistry, physical chemistry, and solid state physics has opened a new field of science which focuses on the development and study of molecular materials having interesting electrical, magnetic, and optical properties in the solid state. In general, molecular level correlation of molecular solid state micro structure and bulk material properties is essential to construct new molecular materials. Such consideration regarding highly electrically conducting behavior reveals the importance of conjugated electronic systems having multi-stage redox nature, which can transfer electrons smoothly by multi-stage manners. We have designed and synthesized two classes of new multi-stage redox systems, such as peri-condensed Weitz type donors, and amphoteric multi-stage redox systems. The peri-condensed Weitz type redox systems are designed by replacing two of the  $sp^2$  carbon atoms in a polycyclic arene by two sulfur atoms. Such heterocycles have produced new organic molecular metals which contain non-TTF and non-TCNQ type components. The amphoteric multi-stage redox systems are designed so as to decrease the difference between the oxidation and the reduction potentials of a molecule. We have already reported the synthesis and properties of conjugated hydrocarbons with the highest amphotericity. Design and synthesis of new types of multi-stage redox systems, and investigations of their physical and solid state properties are actively continued.

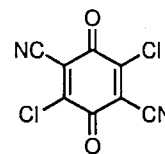
#### V-A-1 Charge-Transfer Complexes of Centrosymmetric DDQ (CDDQ) with TTF Type Donors

Badruz ZAMAN, Yasushi MORITA (*IMS and Osaka Univ.*), Jiro TOYODA, and Kazuhiro NAKASUJI (*IMS and Osaka Univ.*)

The solid state properties of molecular materials depend on the molecular packing. One of the factors to determine the molecular packing is molecular shape or symmetry. One of the most important acceptors in the solid state chemistry and physics is 2,3-dichloro-5,6-dicyano-1,4-benzoquinone (DDQ) whose molecular symmetry is  $C_{2v}$ . The positional isomer of DDQ, 2,5-dichloro-3,6-dicyano-1,4-benzoquinone, possesses  $C_{2h}$  molecular symmetry, that is centrosymmetric DDQ (CDDQ). This acceptor is known compound. We studied the molecular properties, the crystal structure, and its charge-transfer complexes in order to clarify the difference of the molecular packing and solid state properties compared with DDQ, and to obtain new molecular materials. The charge-transfer complexes of CDDQ with TTF type donors were prepared by diffusion method in H-shape tube. The electronic absorption spectra for CT complexes with TTF, TMTSF, and BEDT-TTF in the solid state showed a band at the longer wavelength region than 2600 nm, and therefore, IR spectra showed the broad bands around  $3000\text{ cm}^{-1}$ . These results suggest that the complexes might have partial CT state and high-electrical conductivities.



DDQ

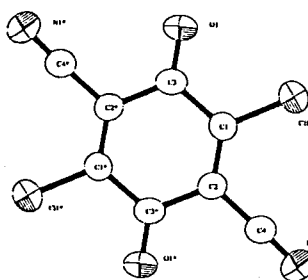


CDDQ

#### V-A-2 Crystal Structure of Centrosymmetric DDQ (CDDQ)

Jiro TOYODA, Badruz ZAMAN, Yasushi MORITA (*IMS and Osaka Univ.*), and Kazuhiro NAKASUJI (*IMS and Osaka Univ.*)

The single crystals of CDDQ itself suitable for X-ray crystal structure analysis were obtained by recrystallization from dichloromethane.



Formula	$C_8Cl_2N_2O_2$
MW	227.01
Crystal System	monoclinic
Space Group	$P2_1/n$ (#14)
Z value	2
Lattice Parameters	
	$a = 8.298(3)\text{ \AA}$
	$b = 6.129(3)\text{ \AA}$
	$c = 8.881(2)\text{ \AA}$
	$\beta = 106.00(2)^\circ$
	$V = 434.2(3)\text{ \AA}^3$

### V—B New Conjugated Electronic Systems

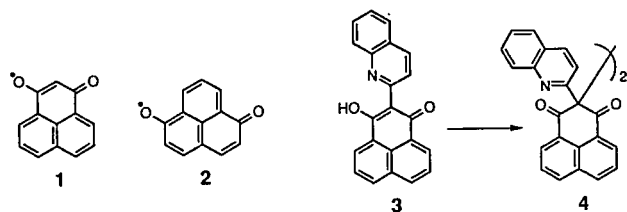
Design and synthesis of novel conjugated electronic systems are continuing in the exploration of new molecular materials which might have interesting chemical reactivities and physical properties. In this research project, new electronic systems having closed shell and open shell electronics are actively searched for. Chemical modifications of known electronic systems are also important. An example is as follows. Stable, conjugated, neutral radicals have attracted much attention as new materials having potentially interesting solid state properties, as single component conductors, and magnetically interesting materials. We have utilized odd alternant hydrocarbon, phenalenyl, as the basic skeleton for neutral radicals, and modified the phenalenyl skeleton by introducing donor and acceptor substituents to suppress the thermodynamic and kinetic instability. Such modification is one of the typical procedure in physical organic chemistry to stabilized unstable electronic systems.

### V-B-1 Oxidation Reaction of 2-(2-Quinolyl)-3-hydroxyphenalenone

Kunio HATANAKA, Yasushi MORITA (*IMS and Osaka Univ.*), and Kazuhiro NAKASUJI (*IMS and Osaka Univ.*)

In order to explore stable neutral radicals based on phenalenyl systems, we designed 3- and 6-oxophenalenoxyl radicals, **1** and **2**.

So far the result of oxidation reactions of 3-hydroxyphenalenone derivatives and the MO calculations have suggested that the spin density at 2-position of **1** is very large. To clarify the steric and electronic effect of the substituent of **1**, we performed oxidation of 2-(2-quinolyl)-3-hydroxyphenalenone **3** with  $K_3[Fe(CN)_6]$ ,  $PbO_2$ , or  $Ag_2CO_3$ . In the case of  $Ag_2CO_3$ , the product obtained was determined as the C-C dimer **4** at 2-position of the phenalenyl moiety of the desired radical species from the analysis of the spectroscopic data. In other cases, no reactions were occurred and **3** was recovered. Such a low reactivity is due to the electron withdrawing group at 2-position of **3** or N...HO intramolecular hydrogen bond.

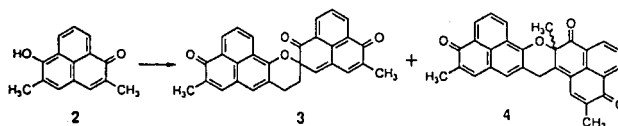


### V-B-2 Oxidation Reaction of 6-Hydroxyphenalenone

Kunio HATANAKA, Yasushi MORITA (*IMS and Osaka Univ.*), and Kazuhiro NAKASUJI (*IMS and Osaka Univ.*)

As a basic study to clarify the chemical reactivities of 6-oxophenalenoxyl radical **1**, we performed oxidation of 2,5-dimethyl-6-hydroxyphenalenone **2** with three different conditions. The compound **3** was obtained as a major oxidation product with  $PbO_2$  or  $Ag_2CO_3$  in chloroform. The

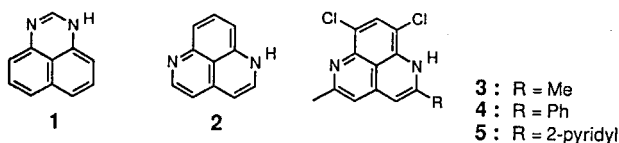
reaction with  $PbO_2$  in acetone gave **4** mainly. These reactions are considered C-O bond formation at 2-position of the phenalenyl moiety of desired 6-oxophenalenoxyl radical and C-C bond formation of  $CH_2$  radical formed at methyl group of **2**.



### V-B-3 Synthesis and Physical Properties of New Charge-Transfer Complexes of Diazaphenylene Derivatives with TCNQ

Koichi TAMAKI, Yasushi MORITA (*IMS and Osaka Univ.*), and Kazuhiro NAKASUJI (*IMS and Osaka Univ.*)

Heterocyclic analog of an odd alternant hydrocarbon phenalenyl, diazaphenylene, **1** and **2**, possesses characteristic features in the redox properties, the hydrogen bonding ability and the coordination ability of the nitrogen atoms. In this study, we have synthesized 1,6-diazaphenylene **2** and its new three derivatives **3–5**. The compound **3** showed tautomerism behavior in NMR measurement. IR spectra showed that the compound **2** contains H-bonding and the compounds **3–5** no H-bonding in the solid state. We prepared charge-transfer complexes of the diazaphenylene derivatives with TCNQ. The complexes showed low energy of charge-transfer transition around  $3000\text{ cm}^{-1}$ . The degree of CT was estimated as 0.55–0.98 from the CN stretching frequencies. The conductivity measurements for the compressed pellets showed that the CT complexes of **3–5** possess relatively high-conductivity,  $0.2\text{--}0.02\text{ Scm}^{-1}$ .



## V—C New Cooperative Proton-Electron Transfer (PET) Systems

From the viewpoint of synthetic chemistry, we have begun exploration of molecular materials having interesting chemical reactivities and physical properties, while constructing an interdisciplinary strategy for interesting materials. Our particular attention in this research project is paid to the hydrogen-bonded charge-transfer (HBCT) systems which exhibit cooperative proton-electron interactions in the solid state. If a cooperative proton-electron transfer (PET) occurs inter-molecularly in such systems, a state which can be characterized as a molecular assembly of *H-bonded neutral radicals* might be produced. The type and strength of the proton-electron cooperativity can control the solid state properties in an interesting way. A stepwise consideration on the PET phenomenon leads to two reasonable molecular design strategies to realize such a PET state under milder conditions: (1) the exploration of new electronic systems having a smaller intermolecular CT gap and (2) an electronic modification to stabilize H-bonded neutral radicals. Our current fundamental efforts are concentrated on the design and synthesis of a wide range of HBCT systems having the cooperative interaction between H-bonding and electron transfer process, in both organic materials and transition metal complexes.

### V-C-1 Physical Properties of Hydrogen-Bonded Charge-Transfer Complex of Ethylenediaminoglyoxime Transition Metal Complex with Tetracyanoquinodimethane

Tetsuji Itoh, Jiro Toyoda, Makoto Tadokoro, Hiroshi Kitagawa, Tadaaki MITANI, and Kazuhiro NAKASUJI (*IMS and Osaka Univ.*)

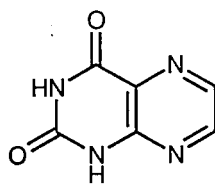
The hydrogen-bonded charge-transfer complex containing a transition metal, (ethylenediaminoglyoximate) (ethylenediaminoglyoxime) palladium (II), with tetracyanoquinodimethane,  $\text{Pd}(\text{Hdag})(\text{H}_2\text{dag}) \cdot \text{TCNQ}$ , was prepared. The complex showed a segregated stacking mode of crystal structure and contained hydrogen-bonds between the anionic and cationic columns and between the cationic columns. We estimated the degree of CT for  $\text{Pd}(\text{Hdag})(\text{H}_2\text{dag}) \cdot \text{TCNQ}$  to be 0.70 and 0.67 by using the nitrile stretching frequency and by the bond length ratio procedure of TCNQ skeleton, respectively. The formal charge of the TCNQ skeleton for the  $\text{Pd}(\text{Hdag})(\text{H}_2\text{dag}) \cdot \text{TCNQ}$  is one. The reason of the discrepancy between the estimated values and the formal charge is not clear at this stage, but the H-bonding interaction between NH of the amino group and N of the nitrile group might reduce the ionicity to a some extent. We observed a broad absorption band around  $3300\text{ cm}^{-1}$ , which can be assigned to the intra band CT transition in the TCNQ column with a partial ionicity. The preliminary measurement of the electrical conductivity for a single crystal of the  $\text{Pd}(\text{Hdag})(\text{H}_2\text{dag}) \cdot \text{TCNQ}$  gave  $90\text{ Scm}^{-1}$  with metallic behavior around room temperature and semi-conducting behavior below 200 K with the activation energy of 79 meV. Such highly conducting behavior is consistent with the partial ionicity estimated and the existence of the intra-band CT transition.

### V-C-2 Metal-Pteridine Complexes Having Three-Dimensional Hydrogen-Bonded Network

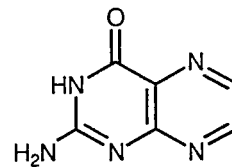
Minoru MITUMI, Jiro TOYODA, and Kazuhiro NAKASUJI (*IMS and Osaka Univ.*)

Control of the cooperative interaction between electron-transfer and proton-transfer in the hydrogen-bonded charge-transfer systems (HBCT) is a new way to regulate the electronic properties in the solid state. In principle, the CT interaction can be replaced to the redox properties of the transition metal atoms. In order to study the basic chemistry of such systems, we have planed to construct the molecular systems containing the H-bonded networks of metal complexes in which a ligand is connected by the intermolecular H-bonds. As a basic skeleton of the ligands, we have utilized the pteridine derivatives, such as lumazine (HLM) and pterin (HPR), which have chelating ability to metal atom and H-bonding sites of  $\text{NH} \cdots \text{O}$  and  $\text{NH} \cdots \text{N}$  types in the solid state. We have obtained the crystal structures of  $[\text{Cu}(\text{LM})_2(\text{H}_2\text{O})_2]$  (1),  $[\text{Cu}(\text{PR})_2(\text{H}_2\text{O})_2]$  (2), and  $[\text{Zn}(\text{PR})_2(\text{H}_2\text{O})_2] \cdot 2\text{H}_2\text{O}$  (3), which were prepared by slow diffusion of the deprotonated ligands (LM and PR) and metal source in water. The characteristic features in the crystal structure of the H-bonded transition metal complexes studied here are as follows: 1) the two pteridine ligands chelate to metal ion through O(4) and N(5) donor atoms of pteridines, 2) the molecular units are connected to two-dimensional H-bonded molecular sheet by the coordinated water molecules, 3) in two-dimensional H-bonded molecular sheet, there are the stacking structures of the pteridine skeleton, 4) the sheets are further connected to three-dimensional H-bonded networks via the H-bonded sites of the pteridines ligands, and 5) almost all H-bonding

sites existing in the molecule participate in intermolecular H-bonding interactions.



Lumazine (HLM)



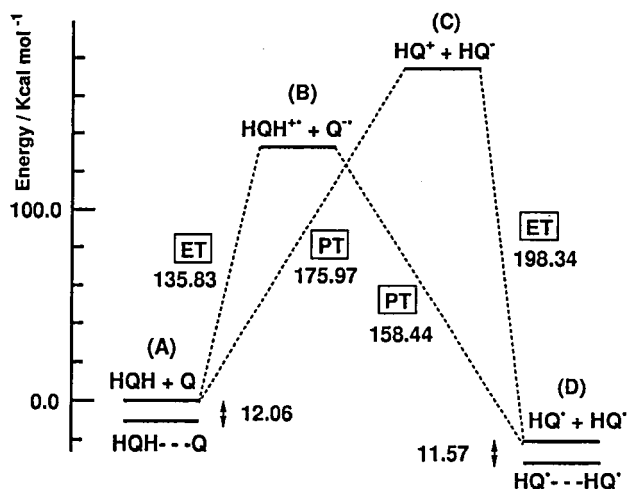
Pterin (HPR)

### V-C-3 *Ab-initio* Molecular Orbital Studies for the Dimer Model of Quinhydrone

Jiro TOYODA, Kazuhiro NAKASUJI (*IMS and Osaka Univ.*) Satoshi MINAMINO, and Kazuo KITaura (*University of Osaka Prefecture*)

The benzoquinone-hydrobenzoquinone complex (quinhydrone) is a DA-type CT-complex which exists as one-dimensional hydrogen bonded networks. Vibrational spectra suggest that in this complex, a proton and an electron are cooperatively transferred (PET) to give semiquinone radicals under conditions of high pressure. To better understand this PET type phase transition at the molecular level, we have undertaken *ab-initio* molecular orbital calculations of this system using the UHF/3-21G\* basis set. Semi qualitative basic properties, such as optimized molecular geometries, electronic structures, and molecular energies were calculated for relevant quinone related model species (hydroquinone, quinone, hydroquinone cation radical, quinone anion radical, semiquinone anion, semiquinone cation semiquinone radical, semiquinone dimer, hydrogen bonded hydroquinone-quinone complex, etc.). The energies of both hydrogen bonded hydroquinone-quinone complexes and semiquinone dimer are more stable than the sum of energies of the corresponding components by about  $12\text{ kcal mol}^{-1}$ . The stability can be attributed to the intermolecular H-bonding.

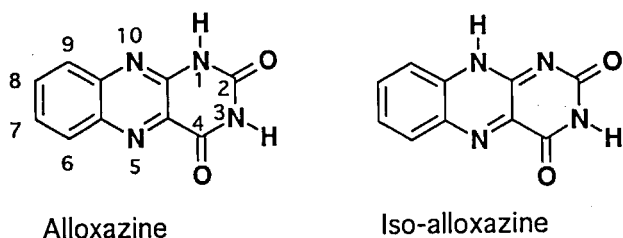
#### Energy Diagram ( UHF / 3-21g\* )



## V-C-4 *Ab-initio* Molecular Orbital Studies of the Alloxazines

Jiro TOYODA, and Kazuhiro NAKASUJI (*IMS and Osaka Univ.*)

The biochemical importance of Alloxazine is well known. The structure and energies for the cooperative PET type phase transition have been studied by using an *ab-initio* molecular orbital (MO) method at the UHF and the UHF/3-21G\* basis set for relevant alloxazines related model species; 1H-alloxazines and 10H-iso-alloxazines, and oxidized forms, the reduced form, and the radicals. The energies of 10H-isoalloxazine is thermodynamically much more favourable at 1-H protonated than 5-H protonated on total energy.



## V-C-5 2,2'-Biimidazolate Transition Metal Complexes with One-dimensional Intermolecular H-bonding Chains

Makoto TADOKORO, Akira MIYAZAKI\*, Jiro TOYODA, Kiyoshi ISOBE, Toshiaki ENOKI\* (*\*Tokyo Inst. Tech.*), and Kazuhiro NAKASUJI (*IMS and Osaka Univ.*)

In order to realize HBCT systems by using transition metal complexes it is requirement that metal complexes have not only the conjugated ligand systems but also an ability to construct one, two or three-dimensional network systems with intermolecular H-bonds. We have already demonstrated that the Cu(II) complex ( $[\text{Cu}(\text{salenNMe}_2)(\text{Hbim})_2]$ ) with one deprotonated 2,2'-biimidazolate ligand ( $\text{Hbim}^-$ ) can form the intermolecular H-bonding dimer which has NH-N bond between the  $\text{Hbim}^-$  ligands, as the first step. Mono-transition metal complexes with two  $\text{Hbim}^-$  ligands are possible to form one-dimensional chain structures with intermolecular H-bonds between  $\text{Hbim}^-$  ligands.

In fact, we have synthesized the Cu(II) complex ( $[\text{Cu}(\text{Hbim})_2]_n$ ) with two  $\text{Hbim}^-$  ligands. IR spectral data ( $\nu(\text{NH}) = 2525 \text{ cm}^{-1}$  and  $2\gamma(\text{NH}) = 1899 \text{ cm}^{-1}$ ) may support that the complex has a relatively strong intermolecular H-bond of the NH-N type between ligands to form one-dimensional chain structures as shown in Figure 1. In the case of the Ni(II) complex ( $[\text{Ni}(\text{Hbim})_2(\text{pyr})]_n$ ) of *trans*-isomer having two  $\text{Hbim}^-$  ligands and pyrazines, IR spectral data ( $\nu(\text{NH}) = 2517 \text{ cm}^{-1}$  and  $2\gamma(\text{NH}) = 1881 \text{ cm}^{-1}$ ) support the existence of one-dimensional chain structure of intermolecular H-bonds. This complex showed a weak antiferromagnetic behavior, which suggests the interaction between Ni(II) through the pyrazine and the existence of the two-dimensional molecular system (Figure 2).

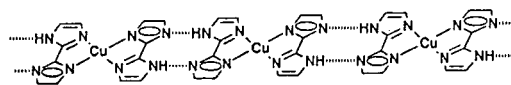


Figure 1.  $[\text{Cu}(\text{Hbim})_2]_n$

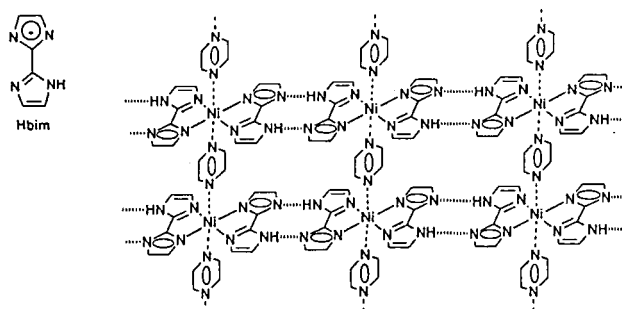


Figure 2.  $[\text{Ni}(\text{Hbim})_2(\text{Pyr})]_n$

## V-C-6 Crystal Structure of Nickel(II) Complex with Inter-molecular H-bondings of 2,2'-Biimidazolate Having Zigzag One-dimensional Chains

Makoto TADOKORO, Jiro TOYODA, Kiyoshi ISOBE, and Kazuhiro NAKASUJI (*IMS and Osaka Univ.*)

The transition metal complexes with three  $\text{Hbim}^-$  ligands have an ability to form a three-dimensional H-bonding networks. We have found that the Ni(II) complexes having three 2,2'-biimidazolate ligands ( $[\text{Ni}(\text{Hbim})_3]^-$ ) form a variety of crystal structure of the complex with intermolecular H-bonding networks depending on the kinds of the cations.

We studied the crystal structures of three Ni complexes ( $[\text{Ni}(\text{Hbim})_3]^-$ ) with mono cations,  $\text{Pr}_4\text{N}^+$  (tetra-n-propyl-ammonium),  $\text{ETPY}^+$  (ethylpyridinium), and  $\text{BTEA}^+$  (Benzyltriethyl-ammonium). All the three complexes possess intermolecular H-bonding structures of the zigzag one-dimensional chains in which two of the three  $\text{Hbim}^-$  ligands connect the metal complex units. The zigzag chain structures are made up of an alternate arrangement of enantiomers of  $\Delta$  and  $\Lambda$  configurations on the Ni(II) anions. The other remaining  $\text{Hbim}^-$  ligand does not participate in the H-bonding chains and has an H-bonding interaction with MeOH from recrystallization solvent.

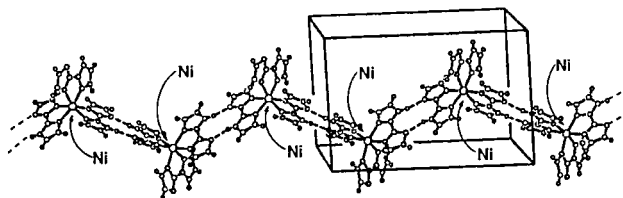


Figure 1. Crystal Packing with Zigzag One-dimensional Chain of  $[\text{Ni}(\text{Hbim})_3](\text{ETPY})$

## V-C-7 Crystal Structure of Nickel(II) Complex with Inter-molecular H-bondings of 2,2'-Biimidazolate Having Channel Structures: $[\text{Ni}(\text{Hbim})_3](\text{PTMA}) \cdot 3\text{MeOH}$

Makoto TADOKORO, Jiro TOYODA, Kiyoshi ISOBE, and Kazuhiro NAKASUJI (*IMS and Osaka Univ.*)

A nickel(II) complex of  $[\text{Ni}(\text{Hbim})_3](\text{PTMA})$  with the  $\text{PTMA}^+$  (phenyltrimethylammonium) cation forms channel structures having the hole about  $\sim 9 \text{ \AA}$  along the  $c$  axis as shown in Figure 1. These channel structures are built by an alternate stacking of  $\Delta$  and  $\Lambda$  sheets. These sheets consist of both  $\text{PTMA}^+$  cations and intermolecular H-bonding dimers of the  $[\text{Ni}(\text{Hbim})_3]^-$  anions, and are made up of only one enantiomer of tris-bi-imidazolate nickel complexes either  $\Delta$  or  $\Lambda$  types, respectively. The internal channel structures of this compound are hydrophilic and filled with H-bonded MeOH. The columns of MeOH molecules exist in the channel structures.

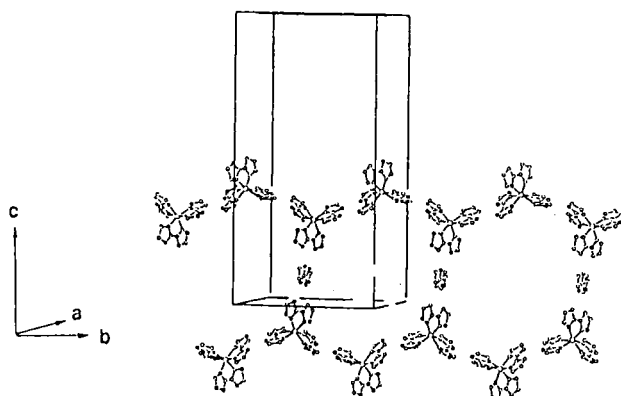


Figure 1. Honeycomb Sheet Structure in  $[\text{Ni}(\text{Hbim})_3(\text{NEt}_4)]_2^-(\text{H}_2\text{bim})$  with Double Interlocking Structure

#### V-C-8 Crystal Structure of Nickel(II) Complex with Inter-molecular H-bondings of 2,2'-Biimidazolate Having Double Interlocking Structures: $[\text{Ni}(\text{Hbim})_3(\text{NEt}_4)]_2(\text{H}_2\text{bim}) \cdot \text{MeOH}$

Makoto TADOKORO, Jiro TOYODA, Hidehiro UEKUSA\*, Kiyoshi ISOBE, Yuji Ohashi\* (\*Tokyo Inst. Tech.), and Kazuhiro NAKASUJI (IMS and Osaka Univ.)

The  $[\text{Ni}(\text{Hbim})_3(\text{NEt}_4)]_2(\text{H}_2\text{bim})$  complex with the  $\text{NEt}_4^+$  cation showed a complicated unique crystal structure. First, this complex has a zigzag one-dimensional chain structure with intermolecular H-bonds similar to the structure described in V-C-6. The chain structure is comprised of alternate arrangements of enantiomers of  $\Delta$  and  $\Lambda$  configurations on the tris-biimidazolate nickel(II) anions. Second, free biimidazole ligands ( $\text{H}_2\text{bim}$ ) connect the zigzag one-dimensional chains to give a honeycomb sheet structure by intermolecular H-bonds, as shown in Figure 1. Third, the two pairs of the honey-comb sheets with perpendicular arrangement form a double interlocking structure. The orientation of the intermolecular H-bonding and the conformation around the nickel center play an important role for formation of the double interlocking structure. Finally, the unit of the interlocking double honeycomb sheets arrange in three dimensionally to form the infinite interlocking structure of the double honeycomb sheets.

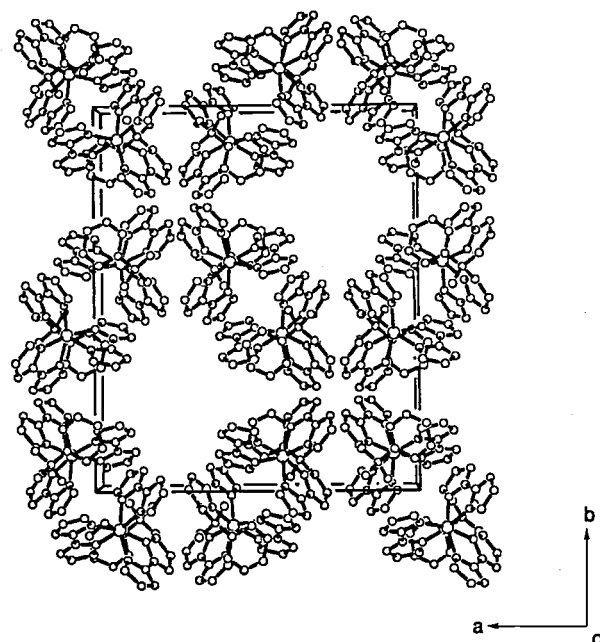


Figure 1. Crystal Packing with Channel Structure of  $[\text{Ni}(\text{Hbim})_3]^- (\text{PTMA})$  Along  $c$  Axis

#### V-C-9 Crystal Structure of One Dimensional Heterobimetallic Complex Bridged by 2,2'-Bibenzimidazolate ( $\text{bbim}^{2-}$ ) Ligand: $[\text{Cu}(\text{bbim})_2]\text{Na}(\text{Pr}_4\text{N}) \cdot \text{MeOH}$

Makoto TADOKORO, Jiro Toyoda, Kiyoshi ISOBE, and Kazuhiro NAKASUJI (IMS and Osaka Univ.)

Since 2,2'-biimidazole ( $\text{H}_2\text{bim}$ ) is a bidentate ligand with a multi-proton donor property, it can coordinate to transition metal ions as three types: neutral ( $\text{H}_2\text{bim}$ ), mono-deprotonated ( $\text{Hbim}^-$ ), and di-deprotonated ( $\text{bim}^{2-}$ ) forms. It was reported that 2,2'-bibenzimidazole ( $\text{H}_2\text{bbim}$ ) has the same function toward transition metal ions as does 2,2'-biimidazole. We have studied the crystal structure of a Cu(II) complex  $[\text{Cu}(\text{bbim})_2]-(\text{Pr}_4\text{N})\text{Na}$  with two  $\text{bbim}^{2-}$  as a di-deprotonated ligand. The X-ray crystal analysis shows that this Cu(II) complex consists of one-dimensional infinite chains with an alternate bonding sequence of Cu(II) and Na(I) bridged by the  $\text{bbim}^{2-}$  ligand (Figure 1). Interestingly, Cu(II) ion in this complex has the tetrahedral coordination structure. The interaction between these infinite chains is separated by  $\text{Pr}_4\text{N}^+$  cations.

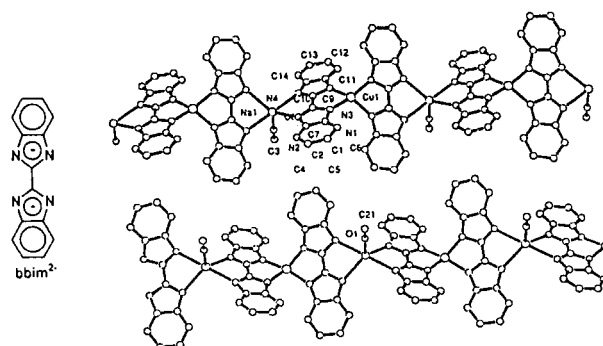


Figure 1. Crystal Packing with One-Dimensional Structure of  $[\text{Cu}(\text{bbim})_2](\text{Pr}_4\text{N})\text{Na}$  bridged by  $\text{bbim}^{2-}$



## V—D Transition Metal Oxide Clusters

Molecules of organometallic oxide clusters consist of metal oxides and organometallic groups that differ with each other in chemical properties. To date we have mainly focused on finding the method to assemble cluster frameworks and synthesized several new types of clusters such as the integrated cubane-type and planar-type clusters. Our current efforts have been concentrated on elucidation of the chemical properties of the oxide parts and the M—O bond functionality. Now we move on to the subject to see the reactivity of organometallic parts as well as the M—C bond functionality in the oxide clusters.

### V-D-1 Intramolecular Rearrangements of Cyclooctadiene-Rhodium(I) Fragments Supported on a Vanadium-Oxide Cluster as Evidenced by Dynamic $^{17}\text{O}$ NMR Spectroscopy

Masaaki ABE, Kiyoshi ISOBE, Katsuhiko KIDA (*Kwansei Gakuin Univ.*), Akira NAGASAWA (*Saitama Univ. and IMS*), and Atsushi YAGASAKI (*Kwansei Gakuin Univ.*)

Polyoxoanion-supported organometallic compounds are structural and functional models toward catalytic metal-oxide surfaces. Dynamic behavior of the organometallic groups plays a vital role in surface reactions, however, the mechanistic studies have been limited to date. We approached the subject by using newly prepared surface modeling vanadate clusters having one or two organorhodium(I) fragments,  $[(\text{Rh}(\text{COD}))_2(\text{V}_4\text{O}_{12})]^{2-}$  (**1**) and  $[(\text{Rh}(\text{COD}))(\text{V}_4\text{O}_{12})]^{3-}$  (**2**) (COD: 1,5-cyclooctadiene). Complex **1** was characterized by a single-crystal X-ray diffraction (Figure 1).

Variable-temperature  $^{17}\text{O}$  NMR spectra of the  $^{17}\text{O}$ -enriched sample of **1** (Figure 2) reveal that the complex is fluxional in solution. Four resonances at low temperature (labelled as A, B, C, and D) coalesce (C and D) or extremely broaden (A and B) with increasing temperature. This dynamic process clearly indicates that two cyclooctadienylrhodium(I) fragments in **1** are mobile intramolecularly on vanadium-oxide surfaces. A single resonance in  $^{51}\text{V}$  ( $\delta$  -479) and in  $^{103}\text{Rh}$  ( $\delta$  +2933) NMR spectra is also in accord with the scheme. Complex **2** displays three  $^{17}\text{O}$ -resonances in  $\text{CH}_3\text{CN}$  at  $25^\circ\text{C}$ , indicating the intramolecular rearrangements of a Rh fragment in **2** are in the fast-exchange limit even at room temperature.

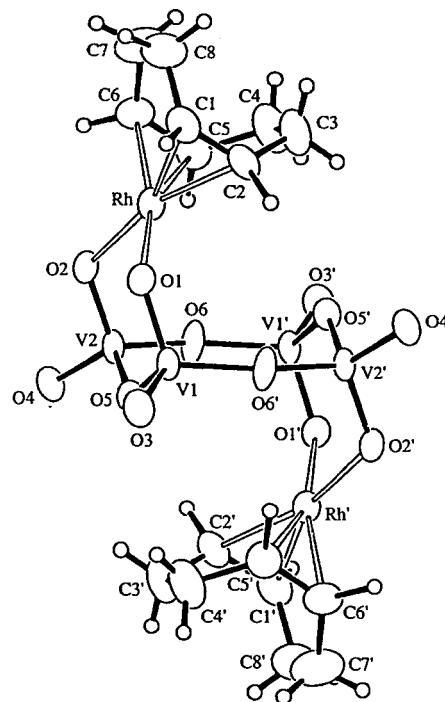


Figure 1. An ORTEP diagram (50% ellipsoids) of the anionic part of  $[(n\text{-C}_4\text{H}_9)_4\text{N}]_2[(\text{Rh}(\text{COD}))_2(\text{V}_4\text{O}_{12})] \cdot \text{DMF}$  with atomic labeling scheme. Selected bond distances (Å) and angles (deg): Rh—O1=2.060(5), Rh—O2=2.070(5), Rh—C1=2.102(9), Rh—C2=2.102(8), Rh—C5=2.101(9), Rh—C6=2.091(9), V1—O1=1.678(5), V1—O3=1.606(5), V1—O5=1.790(5), V1—O6'=1.793(5), V2—O2=1.667(5), O1—Rh—O2=89.7(2), Rh—O1—V1=122.3(3), Rh—O2—V2=119.3(2).

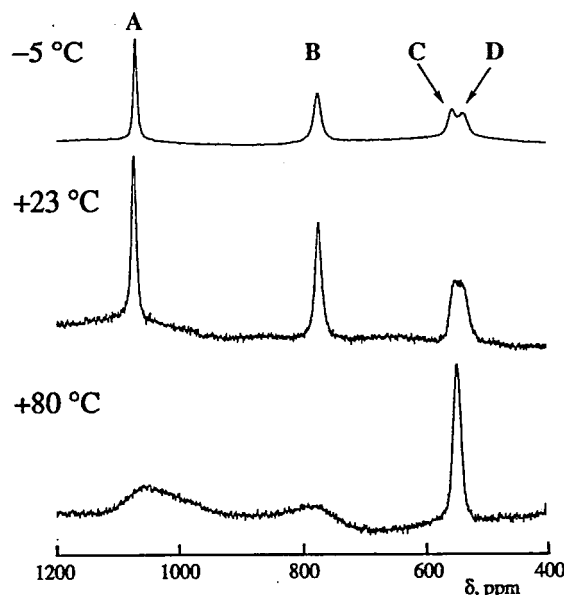


Figure 2. Variable-temperature  $^{17}\text{O}$  NMR spectra of  $^{17}\text{O}$ -enriched  $(\text{PPN})_2 \cdot \mathbf{1}$  in 1,2-dichloroethane.

## V-D-2 Vanadium-Oxide Clusters with Supported Bis(2-Phenylpyridine)rhodium(III) and -iridium(III) Fragments

Masaaki ABE and Kiyoshi ISOBE

Vanadium-oxide supported organometallic compounds usually display dynamic behavior in solution due to intramolecular rearrangements of organometallic moieties on vanadium-oxide surfaces as well as fluxional processes occurring in the organometallic fragment itself. Therefore, the dynamic processes sometimes seem to be complicated and compounds with structurally rigid organometallic fragments will be helpful to elucidate the fluxional processes. In this study, we selected cyclometalated Rh<sup>III</sup> and Ir<sup>III</sup> fragments and successfully isolated the vanadate-supported clusters,  $[(\text{ppy})_2\text{M}]_2(\text{V}_4\text{O}_{12})]^{2-}$  (ppyH=2-phenylpyridine; M=Rh<sup>III</sup> (1), Ir<sup>III</sup> (2)).

A reaction between  $[(\text{ppy})_2\text{M}(\mu\text{-Cl})]_2$  and  $(n\text{-C}_4\text{H}_9)_4\text{NVO}_3$  in 1:4 molar ratio in  $\text{CH}_3\text{CN}$  produced  $[(n\text{-C}_4\text{H}_9)_4\text{N}]_2[(\text{ppy})_2\text{M}]_2(\text{V}_4\text{O}_{12})$  (M=Rh and Ir) in ca. 70% yield. A crystallographic study of  $(\text{PPN})_2[(\text{ppy})_2\text{Rh}]_2(\text{V}_4\text{O}_{12}) \cdot 3\text{CH}_3\text{CN} \cdot 2\text{H}_2\text{O}$  (Figure 1) reveals that the two  $(\text{ppy})_2\text{Rh}^+$  fragments coordinate to a vanadium-oxide ring through Rh—O bonds (2.169(5)–2.185(4) Å) in both sides of the ring, which takes a twist-chair type conformation as seen in  $[(\beta\text{-methylallyl})_2\text{Rh}^{\text{III}}]_2(\text{V}_4\text{O}_{12})]^{2-}$ . In solution, complex 1 seems to be rather rigid as judged from variable-temperature <sup>1</sup>H and <sup>51</sup>V NMR spectroscopies, suggesting the sterically bulky organometallic fragments may have a great influence on its intramolecular motions on surfaces. Further studies on dynamic behaviors of complex 1 and 2 are in progress.

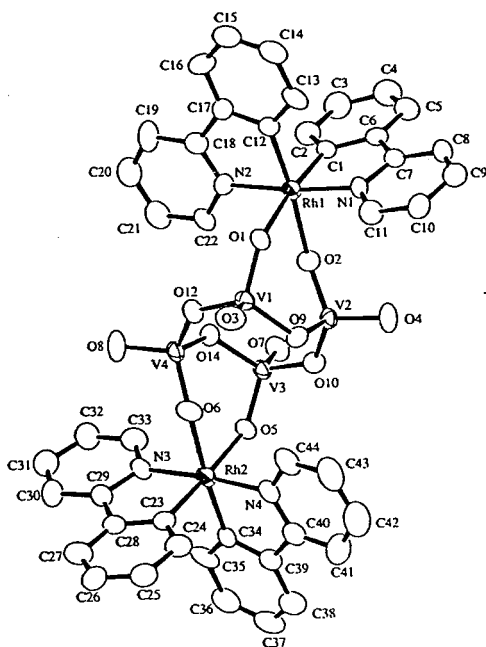


Figure 1. An ORTEP diagram (40% ellipsoids) of the anionic part of  $(\text{PPN})_2[(\text{ppy})_2\text{Rh}]_2(\text{V}_4\text{O}_{12}) \cdot 3\text{CH}_3\text{CN} \cdot 2\text{H}_2\text{O}$  with atomic labeling scheme. Selected bond distances (Å) and angles (deg): Rh1–O1=2.185(4), Rh1–O2=2.179(5), Rh1–C1=1.967(6), Rh1–C12=1.989(7), Rh1–N1=2.022(5), Rh1–N2=2.033(6), V1–O1=1.675(5), V2–O2=1.663(5), O1–Rh1–O2=82.7(2), Rh1–O1–V1=132.1(3), Rh1–O2–V2=139.8(3).

## V-D-3 Synthesis and Crystal Structure of $[(\text{IrCp}^*)_2(\mu\text{-OH})_3]_2(\text{Cr}_2\text{O}_7) \cdot 8\text{H}_2\text{O}$ ( $\text{Cp}^*=\eta^5\text{-C}_5\text{Me}_5$ ). A Novel Two-Dimensional Hydrogen-Bonding Network

Joon T. PARK (KAIST and IMS), Takanori NISHIOKA, Takayoshi SUZUKI, and Kiyoshi ISOBE

[Bull. Chem. Soc. Jpn., 67, 1968 (1994)]

The title complex has been prepared from a reaction of  $[(\text{IrCp}^*\text{Cl})_2(\mu\text{-Cl})_2]$  with  $\text{K}_2\text{CrO}_4$  in water. It has been characterized via a single-crystal X-ray diffraction study. In the solid state the tri- $\mu$ -hydroxo-diiridium complex cations, the dichromates having the staggered conformation, and the water molecules of crystallization are entirely connected by hydrogen bonds forming a novel two-dimensional layer structure as shown in Figure 1.

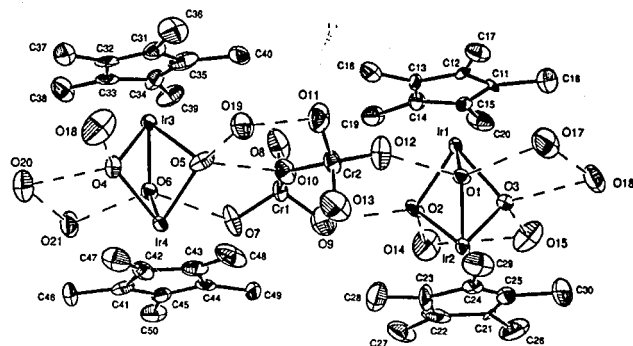


Figure 1. ORTEP drawing of  $[(\text{IrCp}^*)_2(\mu\text{-OH})_3]_2(\text{Cr}_2\text{O}_7) \cdot 8\text{H}_2\text{O}$  with atom numbering scheme. Bond lengths (l/Å) and angles ( $\phi/^\circ$ ) are Ir–O=2.09(2)–2.15(2), Ir–C<sup>R</sup>=2.09(3)–2.16(3), Cr–O<sup>T</sup>=1.56(2)–1.62(2), Cr–O<sup>B</sup>=1.76(2) and 1.80(2), O–Ir–O=70.5(6)–75.7(6), Ir–O–Ir=91.8(7)–94.1(6), O<sup>T</sup>–Cr–O<sup>T</sup>=108(1)–113(1), O<sup>T</sup>–Cr–O<sup>B</sup>=106.8(9)–112(1), and Cr–O<sup>B</sup>–Cr=136(1), where C<sup>R</sup>, O, O<sup>T</sup>, and O<sup>B</sup> denote ring carbon of Cp\*, hydroxy oxygen bridged two iridium atoms, terminal oxygen of dichromate, and bridging oxygen of dichromate, respectively.

## V-D-4 Synthesis and Molecular Structure of $[(\text{IrCp}^*)(\mu\text{-S}_2\text{C}_6\text{H}_4)]$

Xi RIMO (Grad. Univ. for Adv. Studies and IMS), Takayoshi SUZUKI, and Kiyoshi ISOBE

As a part of our efforts to develop chemistry of the triple cubane-type oxide cluster  $[\text{RhCp}^*\text{MoO}_4]_4$  with mercaptans, the benzenedithiolato dimer  $[(\text{RhCp}^*)_2(\mu\text{-S}_2\text{C}_6\text{H}_4)_2]$  (1) as well as the corresponding oxidized product  $[(\text{RhCp}^*)_2(\mu\text{-SC}_6\text{H}_4(\text{O}))_2]$  (2) were synthesized and characterized. Complex 1 shows an interconversion with monomer in  $\text{CD}_2\text{Cl}_2$ , but only the dimer form was isolated from solution. Hence we synthesized the corresponding iridium complex in the same method, and found that only a monomer form  $[(\text{IrCp}^*)(\mu\text{-S}_2\text{C}_6\text{H}_4)]$  (3) can be obtained from solution. The molecular structure of 3 is shown in Figure 1. Crystallographic data for 3: monoclinic,  $P 2_1/n$ ,  $a=8.453$  (8) Å,  $b=13.838$  (8) Å,  $c=13.829$  (4) Å,  $\beta=90.93$  (8)°,  $V=1618$  (2) Å<sup>3</sup>, and  $Z=4$ . The <sup>1</sup>H and <sup>13</sup>C NMR as well as IR spectroscopy of 3 did not give any resonance relative to the dimer form. Comparing the bond lengths of Ir–S (mean 2.250 Å) in 3 with Rh–S (mean 2.363 Å) in 1 it can be

seen that the sulfur atom forms a strong bond with the iridium atom and hence the electronic density of the sulfur in **3** is decreased, which may lead to no bonding interaction between the lone pair of sulfur and the d orbital of iridium in another molecule to form a dimer.

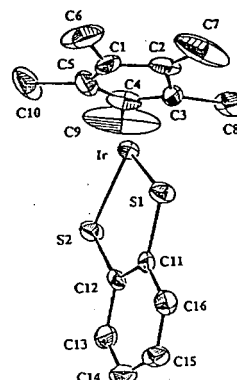


Figure 1. Perspective view of  $[(\text{IrCp}^*)(\mu\text{-S}_2\text{C}_6\text{H}_4)]$ .

## V—E Transition Metal Chalcogenide Clusters

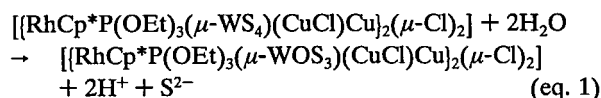
M—S bond functionality in high nuclearity compounds plays an important role in redox reactions as seen in biological and industry processes due to its covalency in bonding. We have been developing the methodology for the rational synthesis of sulfide clusters by using the  $\mu\text{-SH}$  dinuclear complex and  $\text{WS}_4^{2-}$  as starting materials, because a variety of reactions such as addition, oxidation, (de)protonation, and partial desulfurization can be applied to both starting materials to build the cluster frameworks. We are also synthesizing selenide clusters to compare with the chemistry of sulfide cluster and will show unique structure and properties of these clusters.

### V-E-1 Synthesis, Structure, and Property of Octanuclear Heterotrimetallic Sulfide Cluster; $[(\text{RhCp}^*\text{P}(\text{OEt})_3(\mu\text{-WOS}_3)(\text{CuCl})\text{Cu})_2(\mu\text{-Cl})_2]$ Having a Linked Incomplete Cubane-Type Structure

Seiji OGO (*Grad. Univ. for Adv. Studies and IMS*), Takayoshi SUZUKI, and Kiyoshi ISOBE

The chemistry of heterometallic sulfide clusters has been developed rapidly in recent years because of interests of the geometrical structures, especially relevance to the core structure of nitrogenase, and the catalytic ability for hydrodesulfurization (HDS) reaction. However, it is still difficult to control the framework formation of a desired sulfide cluster. We have tried to find a controlled synthetic method by introduction of an organometallic group into the cluster framework and have synthesized the octanuclear heterometallic sulfide cluster,  $[(\text{RhCp}^*\text{P}(\text{OEt})_3(\mu\text{-WS}_4)(\text{CuCl})\text{Cu})_2(\mu\text{-Cl})_2]$  (**2**) (see *Annual Review*, 1993, p.79). We are elucidating the reactivities of **2** and the related compounds.

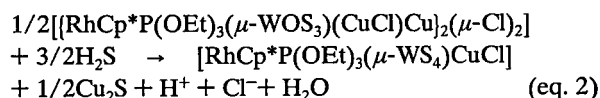
Compound **2** reacted slowly with  $\text{H}_2\text{O}$  dissolved in  $\text{CH}_2\text{Cl}_2$  (0.12 mol/l) at room temperature to form  $[(\text{RhCp}^*\text{P}(\text{OEt})_3(\mu\text{-WOS}_3)(\text{CuCl})\text{Cu})_2(\mu\text{-Cl})_2]$  (**3**) (51% yield for 60-day reaction, eq. 1) (Scheme 1).



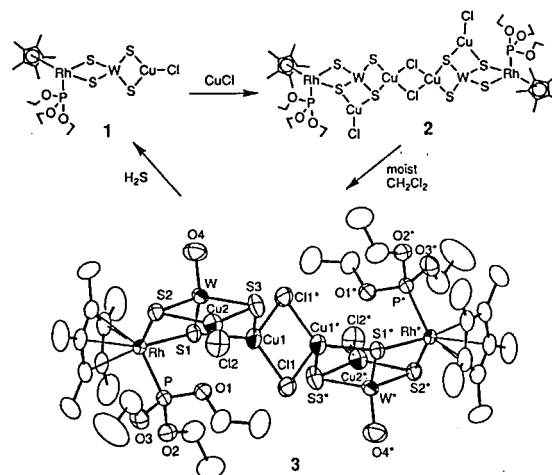
Although the decrease in amount of  $\text{H}_2\text{O}$  in the reaction system with the progress of the reaction was confirmed by  $^1\text{H}$  NMR measurement of a sealed reaction tube, the formation of  $\text{H}_2\text{S}$  was not detected by  $^1\text{H}$  NMR and an  $\text{H}_2\text{S}$  gas detector tube. Recrystallization from DMF/diethyl ether (DMF=dimethylformamide) gave purple crystals of **3**·DMF. Molecular structure of **3** determined by the X-ray analysis is shown in Scheme 1. All metal ions and sulfur

atoms in **3** form an incomplete cubane-type framework. The W atom forms distorted tetrahedral coordination geometry by one O and three S atoms. The Cu2 has a trigonal planar geometry with two S and one terminal Cl atoms, but Cu1 strongly distorted tetrahedrally coordinates to two S and two Cl atoms. The Cu1 atom is 0.3229 Å above from the plane formed by S1, S3, and Cl1 atoms (Cu2 is 0.0916 Å above from the corresponding plane).

The reaction of **3** and  $\text{H}_2\text{S}$  immediately took place in  $\text{CH}_2\text{Cl}_2$  at room temperature to form compound **1** (yield 91%, eq. 2).



In this reaction  $\text{H}_2\text{S}$  reacts with one of the CuCl groups in **3**, that is, it shows that CuCl in **1** is inert for  $\text{H}_2\text{S}$ .



Scheme 1. Synthesis and structure of  $[(\text{RhCp}^*\text{P}(\text{OEt})_3(\mu\text{-WOS}_3)(\text{CuCl})\text{Cu})_2(\mu\text{-Cl})_2]$  (**3**).

## V-E-2 Formation of Triangular Rhodium $\mu_3$ -Sulfido Complex, $[\text{Rh}_3\text{Cp}^*_3(\mu_3-(\eta^2\text{-ll})\text{-C}_2\text{H}_2)(\mu_3\text{-S})]$ ( $\text{Cp}^* = \eta^5\text{-C}_5\text{Me}_5$ ), Containing Acetylene Ligand Generated from a Coupling of Two Bridging Methylene Units in Dirhodium Complex

Takanori NISHIOKA, Kiyoshi ISOBE, Yoshiki OZAWA (*Himeji Institute of Technology and IMS*), and Amelio VAZQUEZ DE MIGUEL (*University of Alcalá de Henares and IMS*)

In order to synthesize a cluster containing the  $\mu_3$ -S ligand, we performed a reaction of the  $\text{M}(\mu_2\text{-SH})\text{M}$  with  $\text{M}(\mu_2\text{-OH})\text{M}$  groups. For these groups a labile  $\mu_2$ -methylene rhodium complex with hydrosulfide bridging a Rh–Rh single bond and the basic hydroxide rhodium complex were used. A reaction of  $[\text{Rh}_2\text{Cp}^*_2(\mu_2\text{-CH}_2)_2(\mu_2\text{-SH})](\text{BPh}_4)$  (**1**) with  $[\text{Rh}_2\text{Cp}^*_2(\mu_2\text{-OH})_2](\text{BPh}_4)$  (**2**) in acetone led to the formation of trirhodium  $\mu_3$ -sulfido complex with acetylene ligand  $[\text{Rh}_3\text{Cp}^*_3(\mu_3; \eta^2(\text{ll})\text{-C}_2\text{H}_2)(\mu_3\text{-S})]\text{X}_2$  ( $\text{X}=\text{BPh}_4$  (**2a**);  $\text{X}=\text{BF}_4$  (**2b**)). This reaction transforms not only the  $\mu_2$ -SH ligand to the  $\mu_3$ -S ligand but also the two  $\mu_2\text{-CH}_2$  ligands to the acetylene. The  $\text{M}(\mu_2\text{-OH})\text{M}$  group induces a deprotonation and a carbon-carbon coupling.

The yield of **2a** in this reaction was not so high (30% based on Rh) and the reaction gives messy product: reaction mixture shows many  $\text{CH}_3$  signals from the pentamethylcyclopentadienyl groups in the  $^1\text{H}$  NMR spectra between  $\delta=1.5\text{--}2.3$ . Isolation of **2a**, however, was made easily due to its less solubility in the dichloromethane and methanol as compared with many other unknown products. **2a** is soluble in polar organic solvent such as acetonitrile, acetone, and nitromethane. On the other hand **2b** is amphiphilic, and is soluble in water and in organic solvents such as dichloromethane and acetone. Recrystallization of **2b** from dichloromethane-benzene yielded dark red crystals.

The structure of **2b** was confirmed by X-ray analysis. The cationic moiety contains a triangle of rhodium atoms that are bridged by the triply bridged sulfido ligand on one side of the cluster and by the acetylene ligand with  $\mu_3\text{-}\eta^2(\text{ll})$ -fashion on the other side as shown in Figure 1.

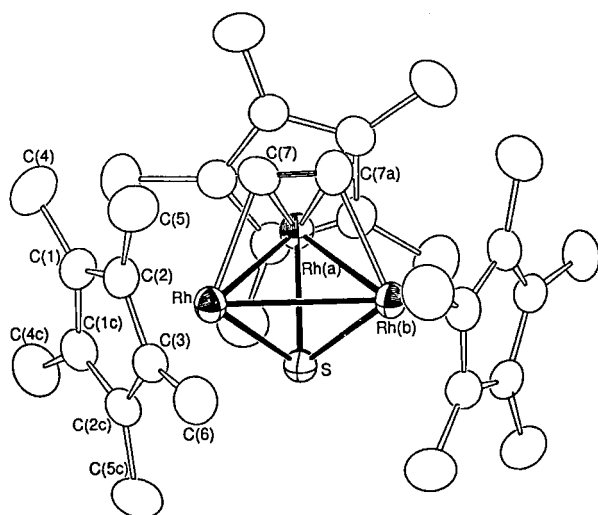


Figure 1. ORTEP drawing of  $[\text{Rh}_3\text{Cp}^*_3(\mu_3; \eta^2(\text{ll})\text{-C}_2\text{H}_2)(\mu_3\text{-S})]^{2+}$  with atom numbering scheme.

## V-E-3 Influence of a Neutral Terminal Ligand on the Structure and Redox Potential of Hexamolybdenum(12+) Cluster Ion with Mixed Capping Ligand

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[*Bull. Chem. Soc. Jpn.*, in press]

$(n\text{-Bu}_4\text{N})_2[(\text{Mo}_6\text{Br}_7\text{S})\text{Cl}_5(\text{CH}_3\text{CN})]$  was synthesized, and its crystal structure was determined. The Mo–Mo distance (2.637(7) Å) is similar to that of  $(\text{Et}_4\text{N})_3[(\text{Mo}_6\text{Br}_7\text{S})\text{Cl}_6]$ . The structure of the cluster anion  $[(\text{Mo}_6\text{Br}_7\text{S})\text{Cl}_5(\text{CH}_3\text{CN})]^{2-}$  is shown in Figure 1. The oxidation potential is higher than that of  $[(\text{Mo}_6\text{Br}_7\text{S})\text{Cl}_6]^{3-}$  by 0.21 V. The shift of potential may be caused by change of net charge of the cluster anion.

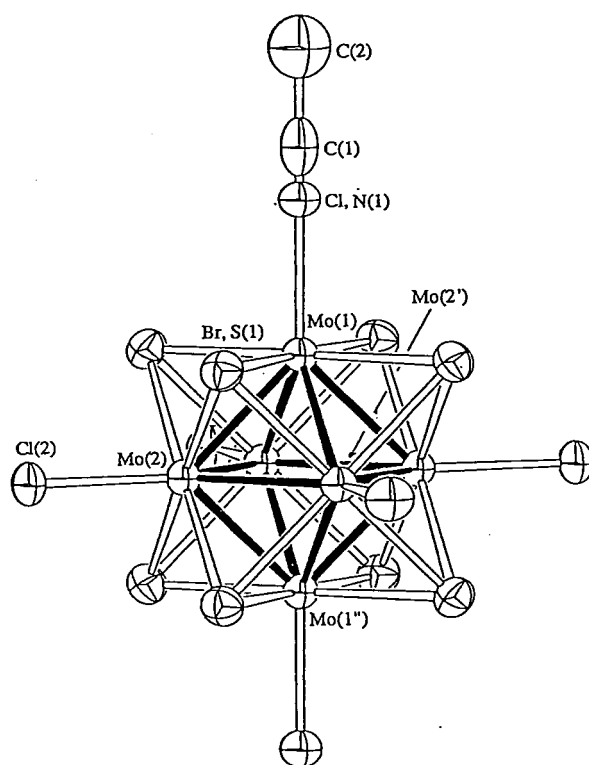


Figure 1. The structure of the cluster anion  $[(\text{Mo}_6\text{Br}_7\text{S})\text{Cl}_5(\text{CH}_3\text{CN})]^{2-}$ . The C atom of  $\text{CH}_3\text{CN}$  ligand at one of the disordered sites are omitted. The crystallographically equivalent atoms are marked as follows; 'y, x, z; 'x, y, -z.

## V-E-4 Oxidative Coupling of Coordinated SeH Group: Synthesis, Structure and Dynamic Behavior of Tetranuclear Rhodium Complex with $\mu_4\text{-Se}_2$ Ligand, $[(\text{RhCp}^*)_2(\mu_2\text{-CH}_2)_2(\mu_4\text{-Se}_2)]^{2+}$ ( $\text{Cp}^* = \text{C}_5\text{Me}_5$ )

Hiroshi SHIMOMURA, Takanori NISHIOKA, Masaaki ABE, and Kiyoshi ISOBE

A reaction of  $[(\text{RhCp}^*(\mu_2\text{-CH}_2)\text{Cl})_2]$  with  $\text{H}_2\text{Se}$  in MeOH formed a  $\mu_2$ -SeH complex, and was isolated as a  $\text{BPh}_4$  salt  $[(\text{RhCp}^*(\mu_2\text{-CH}_2))_2(\mu_2\text{-SeH})](\text{BPh}_4)$  (**1**;  $\text{Cp}^* = \text{C}_5\text{Me}_5$ ). In  $\text{CH}_3\text{CN}$ , complex **1** was oxidized by molecular oxygen giving a tetranuclear complex  $[(\text{RhCp}^*)_2(\mu_2\text{-CH}_2)_2(\mu_4\text{-Se}_2)](\text{BPh}_4)_2$  (**2**) as an oxidative coupling product. Complex **2** crystallized in space group  $\text{C}2/c$ ,

$a=25.599(3)$ ,  $b=12.296(4)$ ,  $c=31.933(4)$  Å,  $\beta=110.870(9)^\circ$  and  $Z=4$ . The ORTEP drawing of the cationic part of **1** is shown in Figure 1. The structure involves the  $\text{Se}_2$  bridging two Rh-Rh bonds (Rh-Rh=2.539(1) Å) in a side-on fashion (Rh1-Se=2.622(1), Rh2-Se'=2.530(1) Å). The Se-Se distance is 2.306(6) Å, which is corresponding to a nearly single Se-Se bond. Complex **2** showed an intriguing dynamic behavior of "synchronous double-site atomic inversion" in  $\text{CD}_3\text{CN}$ . The line shape analyses of the temperature dependent  $^1\text{H}$  NMR spectra for the  $\mu_2\text{-CH}_2$  groups elucidated the mechanism of the dynamic behavior and provided activation parameters,  $\Delta G^\ddagger=66 \pm 1$  kJmol $^{-1}$  (at 298.15 K),  $\Delta H^\ddagger=70 \pm 1$  kJmol $^{-1}$  and  $\Delta S^\ddagger=15 \pm 1$  Jmol $^{-1}\text{K}^{-1}$ .

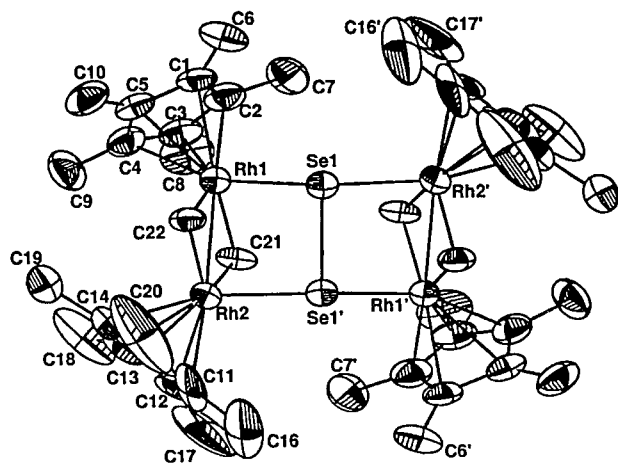
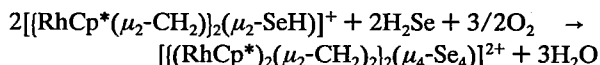


Figure 1. The ORTEP drawing of cationic part of  $[(\text{RhCp}^*)_2(\mu_2\text{-CH}_2)_2(\mu_4\text{-Se}_2)](\text{BPh}_4)_2$ .

#### V-E-5 Tetranuclear $[(\text{RhCp}^*)_2(\mu_2\text{-CH}_2)_2(\mu_4\text{-Se}_4)]^{2+}$ ( $\text{Cp}^*=\text{C}_5\text{Me}_5$ ) Cationic Complex with a Rectangular $D_{2h}$ Structure of a $\text{Se}_4$ Unit

Hiroshi SHIMOMURA and Kiyoshi ISOBE

In V-E-3 we described the formation of  $[(\text{RhCp}^*)_2(\mu_2\text{-CH}_2)_2(\mu_4\text{-Se}_2)](\text{BPh}_4)_2$  (**1**) from the oxidation of  $[(\text{RhCp}^*)(\mu_2\text{-CH}_2)_2(\mu_2\text{-SeH})](\text{BPh}_4)$  in  $\text{CH}_3\text{CN}$ . The oxidation in the presence of an excess amount of  $\text{H}_2\text{Se}$  gave another new tetranuclear complex  $[(\text{RhCp}^*)_2(\mu_2\text{-CH}_2)_2(\mu_4\text{-Se}_4)](\text{BPh}_4)_2$  (**2**), quantitatively. The reaction probably involves a three electron process in which the  $\mu_2\text{-SeH}$  complex is oxidized to **2**, yielding water as the conjugate reduced product (eq. 1).



The use of excess  $\text{H}_2\text{Se}$  may play an important role in formation of the  $\text{Rh}(\text{SeH}_2)$  species containing a framework such as  $[\text{Rh}(\text{SeH})\text{-Rh}(\text{SeH}_2)]^+$ , in which the  $\text{H}_2\text{Se}$  function attached to the cationic complex is most likely oxidized at first.

Complex **2** crystallized in space group  $P2_1/a$  (monoclinic)  $a=15.544(4)$ ,  $b=17.371(7)$ ,  $c=15.922(3)$  Å,  $\beta=104.82(2)^\circ$ ,  $V=4156.2(20)$  Å $^3$ , and  $Z=2$ . The ORTEP drawing of the cationic part of **1** is shown in Figure 1. The framework of complex **2** involves a rectangular  $D_{2h}$

structure of a  $\text{Se}_4$  unit bridging two Rh-Rh bonds (Rh-Rh=2.648(2) Å). Two of the four Se-Se distances (Se1-Se2 and Se1'-Se2'; 2.558(3) Å) are substantially shorter than the other two distances (Se1-Se2' and Se1'-Se2; 2.716(3) Å). The bond orders of these bonds are less than 1, but the lengths are significantly shorter than the sum of the van der Waals radii of these atoms (4.00 Å).<sup>1)</sup>

#### Reference

- 1) *Lange's Handbook of Chemistry*, 13th ed.; Dean, J. A., Ed.; McGraw-hill: New York, 1985; pp.3-125.

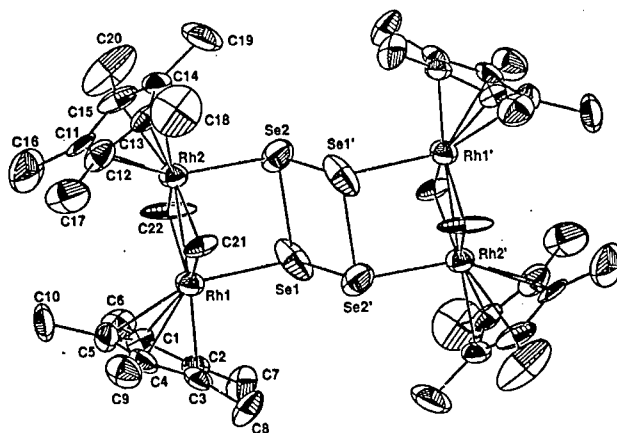


Figure 1. The ORTEP drawing of cationic part of  $[(\text{RhCp}^*)_2(\mu_2\text{-CH}_2)_2(\mu_4\text{-Se}_4)](\text{BPh}_4)_2$ .

#### V-E-6 Synthesis and Property of Pentanuclear ( $\text{Cu}^{\text{I}}\text{-Rh}^{\text{III}}_2\text{-W}^{\text{VI}}_2$ ) Heterometallic Sulfide Cluster Ion Predicted by Fast Atom Bombardment Mass Spectrometry

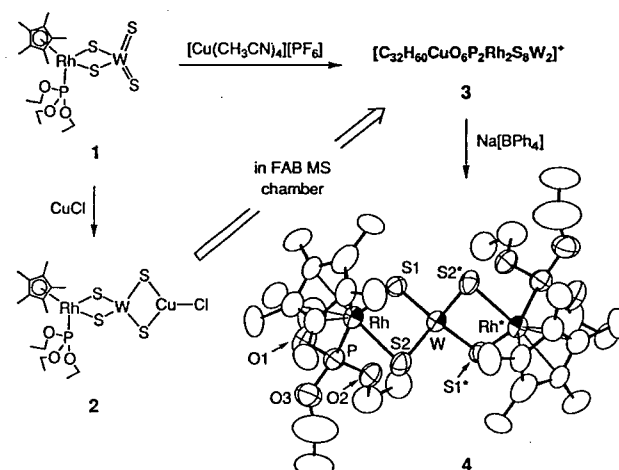
Seiji OGO (*Grad. Univ. for Adv. Studies and IMS*), Sachiyo NOMURA, Takayoshi SUZUKI, and Kiyoshi ISOBE

While the analysis of a single-crystal X-ray diffraction method provides structural information in detail, the technique is limited when a suitable crystal for the analysis can be isolated. For non-crystalline compounds fast atom bombardment (FAB) mass spectrometry (MS) can take the place of it by analyzing the fragmentation patterns. However, it should be remarkable that in FAB MS spectra some peaks at higher mass numbers than the molecular ion are often observed under certain conditions because of recombination of fragment ions in FAB MS chamber. We are investigating whether the FAB MS can predict the actual synthesis in the case of transition metal sulfide cluster compounds.

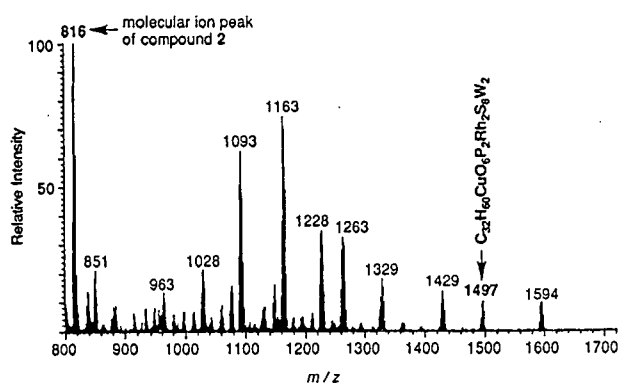
A FAB MS spectrum of a neutral compound,  $[\text{RhCp}^*\text{P}(\text{OEt})_3(\mu\text{-WS}_4)\text{CuCl}]$  (**2**), showed many isotopic distribution patterns at the higher mass region than at that of the isotopic molecular ion peaks of **2** (the most abundant peak: 816) as shown in Figure. 1. Fortunately, All of their distribution patterns are assigned to certain ions. One of them corresponds to an isotopic pattern (the most abundant peak: 1497) of a pentanuclear cluster formulated by  $\text{C}_{32}\text{H}_{60}\text{CuO}_6\text{P}_2\text{Rh}_2\text{S}_8\text{W}_2$  (**3**). We tried to prepare **3** by the reaction between  $[\text{RhCp}^*\text{P}(\text{OEt})_3\text{WS}_4]$  (**1**) and

$[\text{Cu}(\text{CH}_3\text{CN})_4][\text{PF}_6]$  in acetonitrile at room temperature (Scheme 1). The reaction gave small red needle crystals which have the same composition as that of **3** and show the most abundant peak at 1497 in the highest  $m/z$  value region. The isotopic distribution pattern is also close to that observed in **2**. Hence these are the same species with each other. In order to obtain a crystal suitable for a single-crystal X-ray analysis, an acetonitrile solution of **3** and  $\text{Na}[\text{BPh}_4]$  was kept in a few weeks at room temperature. Red crystals were obtained, however, the highest mass ion of the compound in the FAB MS spectrum agrees with the molecular ion of divalent complex,  $[(\text{RhCp}^*\text{P}(\text{OEt})_3)_2(\mu\text{-WS}_4)]^{2+}$  (**4**), and the structure of the complex is confirmed by X-ray analysis (Scheme 1).

Now we are going to perform EXAFS analysis to establish the metal ion sequence in compound **3**.



**Scheme 1.** Synthesis of  $[\text{C}_{32}\text{H}_{60}\text{CuO}_6\text{P}_2\text{Rh}_2\text{S}_8\text{W}_2]^+$  (**3**) and structure of  $[(\text{RhCp}^*\text{P}(\text{OEt})_3)_2(\mu\text{-WS}_4)]^{2+}$  (**4**).



**Figure 1.** FAB MS spectrum of **2**.

**Analysis conditions;** The Mass spectrum was obtained with a Shimadzu / Kratos CONCEPT 1S mass spectrometer operating at an accelerating potential of 8 kV. Xenon was used to generate the primary ionizing beam. The FAB gun was operated at 7–8 kV and a current of 1mA. The metal complex was dissolved in *m*-nitrobenzylalcohol (NBA) and placed on a stainless steel FAB probe tip. The analytical mass range was generally from  $m/z$  100 to 1700 with a scan time of 3 s/decade. The MS system was tuned and calibrated with perfluoroalkyl phosphazine (Ultramark).

## V—F Transition Metal Complexes with Di- or Tridentate Methyl-Substituted Phosphines

Phosphine complexes of transition metals have been studied extensively, because some of the complexes, for example the Wilkinson's complex, the binap-Ru complexes and so on, have important catalytic abilities for organic syntheses and activation of small inactive molecules. Further, since the electronic and steric properties of tertiary phosphines ( $\text{PR}_3$ ) can be altered in a systematic and predictable way over a wide range by varying R, the phosphines are suitable for the detailed investigation of the molecular and electronic structure and the reactivity of the complexes in terms of the properties of the ligands contained. A methyl group on the phosphorus atom makes the phosphine ligand less steric bulky and highly electro-donating, and therefore, compared to the well-studied phenyl-substituted phosphines, the methyl derivatives are expected to give the complexes having highly reactive metal centers. However, owing to the difficulties of preparation and handlings of the methyl-substituted phosphines, the transition metal complexes with those are not well-studied yet.

We have prepared several rhodium(III) complexes with di- or tridentate methyl-substituted phosphines, and are now investigating the structures and reactivities of the complexes. The reason why multidentate phosphine ligands are used in our study is that the ligands can give restrictions for the geometrical structure of the complexes obtained.

### V-F-1 Rhodium(III) Complexes with 1,1,1-Tris(dimethylphosphinomethyl)ethane (tmpme); Preparation and Structures

Takayoshi SUZUKI, Kiyoshi ISOBE, Kazuo KASHIWABARA (Nagoya Univ.), and Junnosuke FUJITA (ICU)

The tripod-type ligand, 1,1,1-tris(dimethylphosphinomethyl)ethane (tmpme) can coordinate three sites at an

octahedral metal center in a *facial* fashion, and the strong *trans* influence of the dimethylphosphino group makes other ligands at the *trans* positions labile. Therefore, the transition metal complexes of tmpme are expected as highly reactive catalysts, in which the reaction site can be controlled by the multidentate ligand. Although a large number of transition metal complexes with the diphenylphosphino derivative, 1,1,1-tris(diphenylphosphinomethyl)ethane (tppme), have been reported previously, the tmpme complexes are limited in the first-row transition metals, for example Cr, Fe and Co. In this study we have prepared and characterized the tmpme complexes of rhodium(III), which are interesting in the reactivity and the catalytic abilities.

The molecular structure of  $[\text{RhCl}_3(\text{tmpme})]$ , which was obtained by a reaction of *trans*- $[\text{RhCl}_2(\text{py})_4]\text{Cl}$  and tmpme in pyridine, is depicted in Figure 1. The complex is very soluble in water, but the UV-vis and NMR spectra of an aqueous solution of the complex show a concentration dependence. From the analysis of the  $^{31}\text{P}$  NMR spectrum the dependence are caused by release of chloride ligands from the Rh centers and dimerization of the coordinatively unsaturated species. On addition of  $\text{HBF}_4$  aq. or  $\text{HClO}_4$  aq. to the aqueous solution, white crystals of  $[(\text{Rh}(\text{tmpme}))_2(\mu\text{-Cl})_3](\text{BF}_4 \text{ or } \text{ClO}_4)_3$  was obtained (Figure 2). The bridging chloride ligands of the dimeric complex are difficult to remove in an acidic or neutral solution, but in a reaction of  $[\text{RhCl}_3(\text{tmpme})]$  and  $\text{Ag}_2\text{O}$  in water all chloride ligands are removed as a precipitate of  $\text{AgCl}$  and  $[(\text{Rh}(\text{tmpme}))_2(\mu\text{-OH})_3]^{3+}$  is obtained, which was crystallized and analyzed by X-ray as  $\text{BF}_4$  salt (Figure 3). Moreover, acidification of the aqueous solution with  $\text{HBF}_4$  aq. gives an aqueous solution containing  $[\text{Rh}(\text{tmpme})(\text{H}_2\text{O})_3]^{3+}$ , which could be a good starting material for the further studies of the related complexes.

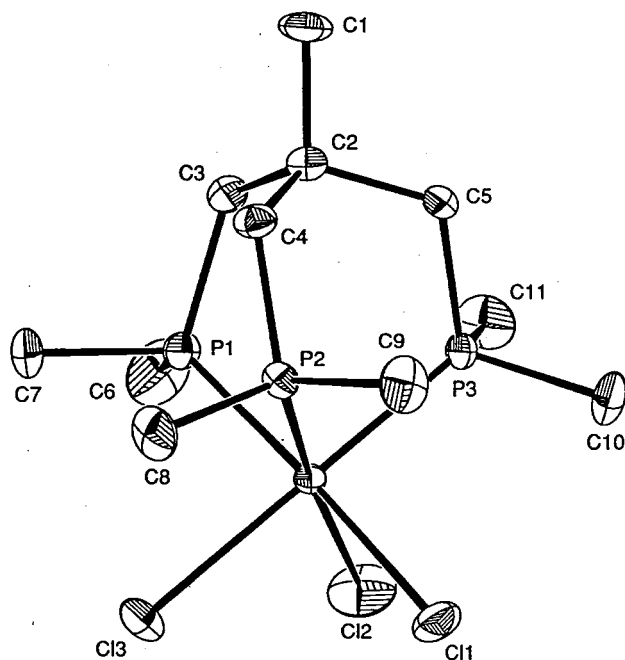


Figure 1. Molecular structure of  $[\text{RhCl}_3(\text{tmpme})]$ .

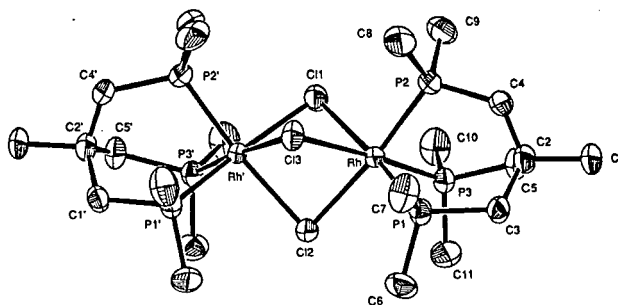


Figure 2. Perspective view of the complex cation in  $[(\text{Rh}(\text{tmpme}))_2(\mu\text{-Cl})_3](\text{BF}_4)_3$ .

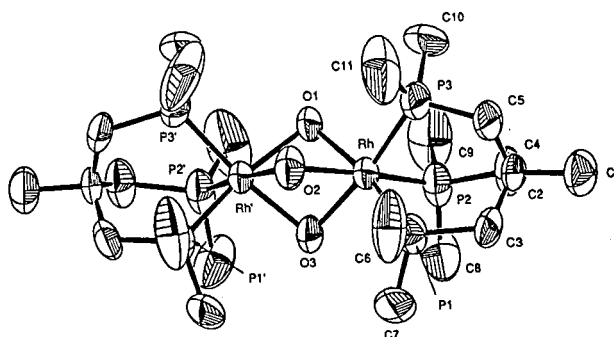


Figure 3. Perspective view of the cationic part of  $[(\text{Rh}(\text{tmpme}))_2(\mu\text{-OH})_3](\text{BF}_4)_3$ .

## V-F-2 Preparation and Structure of a Rhodium(III) Hydride Complex with 1,1,1-Tris(dimethylphosphinomethyl)ethane (tmpme)

Takayoshi SUZUKI and Kiyoshi ISOBE

A number of rhodium hydride complexes have been reported previously, since they are of interest in not only their various geometrical structures but also their reactivity as the intermediate of complex catalyst. As we mentioned above that the tmpme complexes are expected to be highly reactive, the hydride complexes are one of the most attractive species to investigate the structure and reactivity. Here, we will make a brief report of preparation and structure of the rhodium(III) hydride complex, in which the tridentate ligand, tmpme, binds to an octahedral rhodium(III) ion in a *facial* configuration.

Addition of  $\text{NaBH}_4$  to the suspension of  $[\text{RhCl}_3(\text{tmpme})]$  in methanol gave an orange solution, from which orange crystals were obtained by addition of a saturated methanol solution of  $\text{NH}_4\text{BF}_4$  (or  $\text{NH}_4\text{PF}_6$ ). The crystal structure of the complexes is confirmed by X-ray analysis (Figure 1) to be a *syn*-type dimeric structure with two bridging hydride ligands and two terminal ones. It is noteworthy that the rhodium centers are not reduced in this reaction, although in the tppme case one of rhodium centers is reduced to form  $[(\text{Rh}^{\text{III}}(\text{tppme}))(\mu\text{-H})_3(\text{Rh}^{\text{II}}(\text{tppme}))]^{2+}$ . In solution, there is a dynamic behavior due to rapid exchange of the bridging and terminal hydrides at room temperature, which is observed by the  $^1\text{H}$  and  $^{31}\text{P}$  NMR spectra. This exchange process suggests that further reactions of this hydride complex are possible.

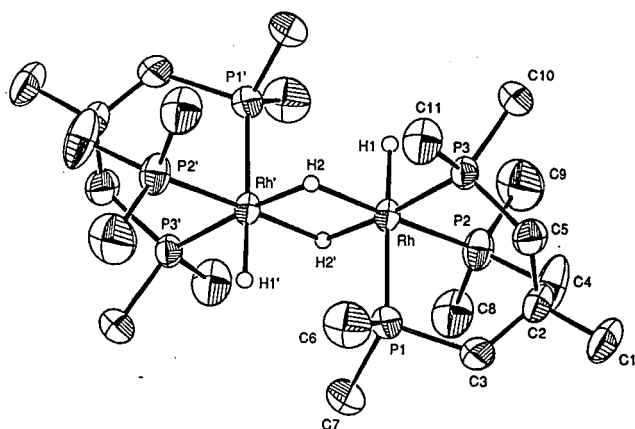


Figure 1. Perspective view of the cationic part of  $[[\text{Rh}(\text{dmpe})\text{H}]_2(\mu\text{-H})_2](\text{BF}_4)_2$ .

### V-F-3 Reinvestigation of *cis*- and *trans*- $[\text{RhCl}_2(\text{dmpe or dmpp})_2]^+$ ( $\text{dmpe}$ =1,2-bis(dimethylphosphino)ethane; $\text{dmpp}$ =1,3-bis(dimethylphosphino)propane)

Takayoshi SUZUKI, Kiyoshi ISOBE, and Kazuo KASHIWABARA (*Nagoya Univ.*)

A pair of geometrical isomers, *cis*- and *trans*- $[\text{RhCl}_2(\text{dmpe})_2]^+$ , is one of the most fundamental complexes in rhodium(III)-phosphine complexes, of which many derivatives are now utilizing as complex catalysts. The pair of complexes was first prepared by Butter and Chatt in 1970, and their work is quoted in many papers and reviews. However, they did not give the structural and spectrochemical properties of the complexes in detail, moreover,

we can not follow their experiments to prepare the *cis*-isomer. Here, we describe a new method to prepare the title complexes and their properties.

A reaction of *trans*- $[\text{RhCl}_2(\text{py})_4]\text{Cl}$  and dmpe or dmpp in acetonitrile formed a white precipitation of *cis*- $[\text{RhCl}_2(\text{dmpe or dmpp})_2]\text{Cl}$  and a yellow filtrate containing the *trans*-isomer mainly. Both isomers are possible to isolate and purify as  $\text{PF}_6^-$  salts and characterized by elemental analyses,  $^1\text{H}$ ,  $^{13}\text{C}$ , and  $^{31}\text{P}$  NMR spectra, and X-ray crystallographic analyses for the dmpp complexes (Figure 1). From measurements of the UV-vis spectra of these complexes, it is found that *cis*- $[\text{RhCl}_2(\text{dmpe})_2]^+$  is isomerized to the *trans*-isomer by radiation of UV-light, but the other complex is stable thermodynamically and photochemically. This isomerization process may be the reason why the spectral data of *cis*- $[\text{RhCl}_2(\text{dmpe})_2]^+$  reported by Butter and Chatt are incorrect and the purification of the complex is rather difficult.

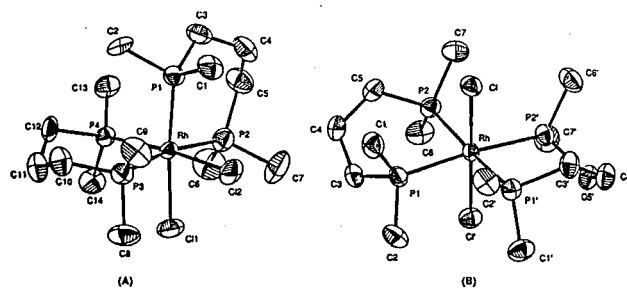


Figure 1. Perspective views of the complex cations in *cis*- $[\text{RhCl}_2(\text{dmpp})_2]\text{PF}_6$  (A) and *trans*- $[\text{RhCl}_2(\text{dmpp})_2]\text{PF}_6$  (B).

## V—G Modeling Reaction of Metalloenzyme Active Center

Metalloenzymes play key roles in various chemical conversions in biological systems. Most of them have not been well characterized structurally and/or mechanistically due to their instability. To build new reaction systems and to obtain further insight into the natural systems, artificial models of several important metalloenzyme active centers were prepared and were used to simulate the natural enzymatic reactions. In this research project, four metalloenzymes were studied by means of the construction of the corresponding artificial models. Cytochrome  $c_3$  has an array of four hemes, which mediate efficient electron transport through membranes. We prepared iron(III) porphyrin dimers linked by a suitable linker molecule and found their imidazole/imidazolate complexes have relatively large interaction between two metals. In the functional modeling of water oxidation enzymes, we found manganese porphyrin dimers with a suitable Mn-Mn separation could oxidize water and evolve oxygen. The relating manganese porphyrin dimers can mediate disproportionation of hydrogen peroxide and they are new models of Mn catalases. We determined the reaction mechanism of by kinetic study with use of the high valent manganese complex. Finally, we attained the synthesis of the active center model of cytochrome P-450, which will be used for the study of oxygen activation mechanism.

### V-G-1 Imidazolate-mediated Antiferromagnetic Coupling between Fe(III) Ions in Rigidly-linked Porphyrin Dimers and Trimers

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[*Chem. Lett.*, 1713 (1994)]

Electronic and magnetic interactions between transition metal ions have been interesting from theoretical and experimental stand points to design high conducting or paramagnetic materials. 1,8-Anthracene- and *o*-phenylene-

linked iron(III) porphyrin dimers and the trimer were synthesized to determine the electronic and magnetic interactions between metal ions through an imidazolate ligand. Their Fe(III) ions were ligated by imidazolate(s) in their molecular cleft to form the corresponding low-spin complexes. From the X-ray crystallographic analysis of the anthracene-linked dimer (Figure 1), the Fe-N<sub>ax</sub>(im) distance (im=imidazolate) and the Fe-N<sub>ax</sub>(Him) (Him=imidazole) were determined to be 1.95–1.96 and 2.01–2.02 Å, respectively. The former separation is very small in comparison with the analogous complex (2.18 Å). In these iron complexes, relatively large imidazolate-mediated antiferro-



magnetic exchange coupling ( $-J=15.3-29.4\text{ cm}^{-1}$ ) between the Fe(III) ions is observed by means of their esr and magnetic susceptibility measurements. These complexes are considered to be good functional models of cytochrome  $c_3$ , a biological electron carrier.

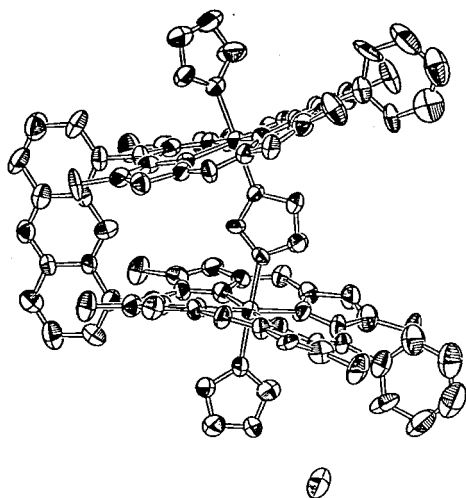


Figure 1. ORTEP drawing for the  $(\text{Him})_2(\text{im})$  complex of anthracene-linked iron(III) porphyrin dimer.

#### V-G-2 Oxygen Evolution by Water Oxidation with Manganese Porphyrin Dimers

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(<sup>a</sup>Kyoto University)

[*Angew. Chem.*, 106, 1964 (1994)]

Photosystem II in plant RC contains a tetranuclear manganese complex, which catalyzes evolution of oxygen by oxidation of water. We found that manganese porphyrin dimers linked by an *o*-phenylene bridge were good functional model compounds and evolved oxygen in an aqueous media by means of anodic oxidation. We prepared other manganese porphyrin dimers bearing various linker molecules. Their Mn-Mn separations were estimated to be 4.5–10.0 Å by MM+. Catalytic oxidation of these complexes under the same conditions showed that they were less effective than the *o*-phenylene-linked ones. We concluded that the separation required for efficient oxidation of water was around 4 Å.

#### V-G-3 Modeling Reaction of Manganese Catalase with Dimanganese Complexes of Porphyrin Dimers

Y. NARUTA and M. SASAYAMA<sup>a</sup> (<sup>a</sup>Kyoto University)

[*J. Chem. Soc., Chem. Commun.*, in press]

[*J. Am. Chem. Soc.*, submitted]

Manganese catalases contain dimanganese complexes in their active center and they mediate the disproportionation of hydrogen peroxide to oxygen and water. In the modeling reaction, we showed manganese porphyrin dimers having appropriate metal-metal separations were good functional models. These Mn porphyrin dimers also exhibit high catalase activity in the presence of a nitrogen base. Two roles of this bases in the development of catalase activity have been

separately estimated by their kinetic study. In this reaction, the corresponding Mn(IV)-Mn(IV) complex is supposed to be a reactive intermediate, which could form by the reaction with the initial  $\text{H}_2\text{O}_2$  molecule and can oxidize the second  $\text{H}_2\text{O}_2$  to evolve oxygen. The first stage of this reaction is considered to be the rate determining step from the mechanistic study. Thus, we prepared the Mn(IV)-Mn(IV) complex by chemical oxidation at low temperature and determined the reaction rate with  $\text{H}_2\text{O}_2$  and its activation parameters. The rate of the disappearance of the high valent complex was much larger than the overall rate of the oxygen evolution and this evidence support our proposed mechanism.

#### V-G-4 Synthesis of an Active Center Model of Cytochrome P-450 for the Study on the Oxygen Activation Mechanism

Yoshinori NARUTA, Mari ICHIMURA<sup>a</sup>, and Fumito TANP<sup>a</sup> (<sup>a</sup>Kyoto University)

The detailed reaction mechanism of oxygen activation in cytochrome P-450 is not fully clarified. Especially, the dioxygen complex of a heme in the presence of a thiolate ligand has never been observed in model complexes, because of the easy reductive dissociation of  $\text{O}_2$  by the single-electron transfer from a thiolate ligand, an extremely strong base. In cyt. P-450<sub>cam</sub>, Thr-259 is supposed to stabilize the coordinated oxygen atom and to assist the protonation to the terminal oxygen through a proton relay system. We designed an accurate reaction center model complex, which have a thiolate residue and hydroxyl groups at the appropriate position in the different cavities over the central metal (Figure 2). The synthesis of this complex has attained in 5 steps from the corresponding 'twin-coronet' porphyrin, whose synthesis we have already established. The formation of the corresponding oxy compound and its characterization is now under way.

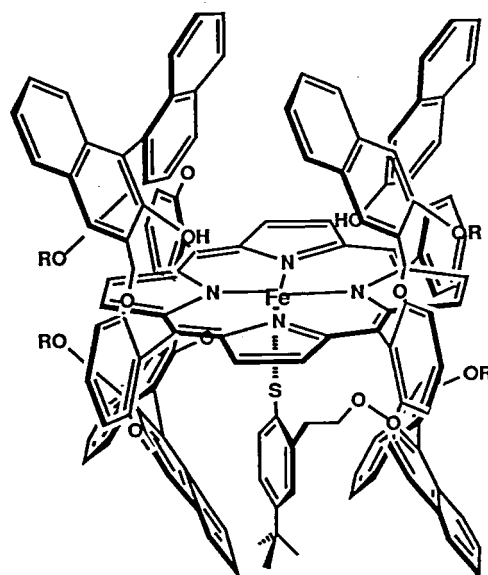


Figure 2. Structure of the synthesized model complex of cytochrome P-450 active center.

## V—H Design, Properties and Reactivity of New Organometallic Compounds

A variety of carbon-metal bonds can produce unconventional reactivities and properties of the organometallic compounds. At present, development of new organometallic reagents for organic chemical transformations especially asymmetric reactions is one of our important projects. The other is synthesis of new organometallic compounds, especially organoboron compounds having strong affinity to cancer cells for Neutron Capture Therapy.

### V-H-1 Transition Metal Catalyzed Addition of Certain Nucleophiles to Imines

Yoshinori YAMAMOTO<sup>a</sup>, Yasufumi KUBOTA<sup>a</sup>, Yoshihiro HONDA<sup>a</sup>, Hiroyuki FUKUI<sup>a</sup>, Naoki ASAO, and Hisao NEMOTO (<sup>a</sup>Tohoku Univ.)

[*J. Am. Chem. Soc.*, **116**, 3161 (1994)]

Transition metal catalyzed nucleophilic addition to activated imines (**1**) with active methyne compounds bearing cyano group (**2**) has been accomplished.

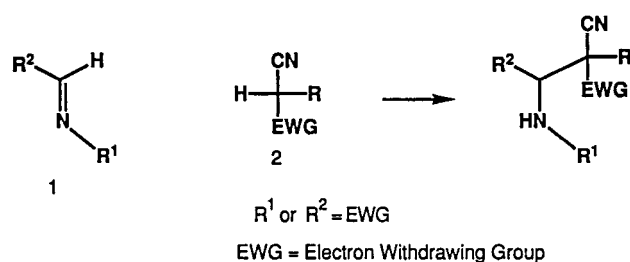


Figure 1.

### V-H-2 Synthesis of a Water-soluble o-Carborane Bearing Uracil Moiety via Palladium Catalyzed Reaction under Essentially Neutral Condition

Hisao NEMOTO, Jianping CAI, and Yoshinori YAMAMOTO<sup>a</sup> (<sup>a</sup>Tohoku Univ.)

[*J. Chem. Soc., Chem. Commun.*, 577 (1994)]

A water-soluble o-carborane bearing a uracil moiety **3** has been synthesized via a palladium-catalysed reaction under essentially neutral conditions.

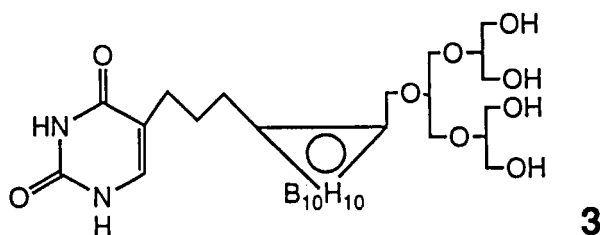


Figure 2.

### V-H-3 A New Alkenyl Ether Giving Acetal with Stereospecific Manner

Hisao NEMOTO

[*Tetrahedron Lett.*, in press]

A new alkenyl ether, bicyclo[3.3.0]-2-oxa-5-(2-propenyl)-1-octene, (**4**) can stereospecifically produce cis-

fused bicyclo[3.3.0]-2-oxa-(2-pentenyl)-1-alkoxyoctane (**5**) and the stereochemistry was proved by X-ray analysis.

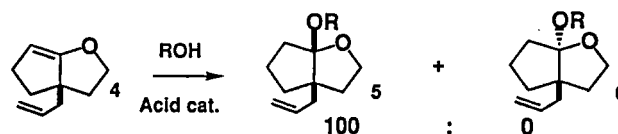


Figure 3.

### V-H-4 Palladium Catalyzed Addition of Masked Formyl Cyanide ROCH(CN)<sub>2</sub> to Aldehydes

Hisao NEMOTO, Yasufumi KUBOTA<sup>a</sup>, and Yoshinori YAMAMOTO<sup>a</sup> (<sup>a</sup>Tohoku Univ.)

[*J. Chem. Soc., Chem. Commun.*, 1665 (1994)]

The palladium catalysed reactions of masked formyl cyanides **7** with aldehydes **8**, followed by treatment with either diketene or acetic anhydride-pyridine, afford the addition products protected with acetylacetate **9** or acetyl group **10**, respectively.

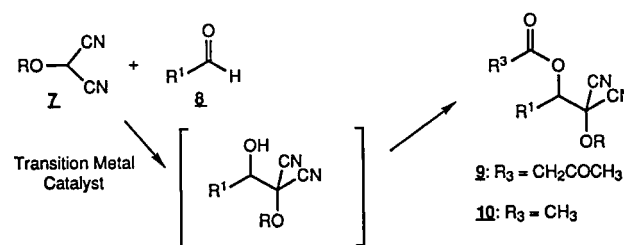


Figure 4.

### V-H-5 Zinc Chloride as a Radical Initiator as well as Chelating Agent

Yoshinori YAMAMOTO<sup>a</sup>, Setsuko ONUKI<sup>a</sup>, Masatoshi YUMOTO<sup>a</sup>, and Naoki ASAO (<sup>a</sup>Tohoku Univ.)

[*J. Am. Chem. Soc.*, **116**, 421 (1994)]

The reaction of allyltributyltin with methyl 2-[N-((4S)-4-(1-methylethyl)-2-oxazolidinone-3-carbamoyl)amino]-2-bromoacetate **12** was accelerated at -50°C in the presence of AIBN and stopped in the presence of galvinoxyl, indicating that the reaction proceeds through radical mechanism. The reaction was accelerated dramatically at -78°C in the presence of ZnCl<sub>2</sub> · OEt<sub>2</sub>, and the ZnCl<sub>2</sub>-mediated reaction was stopped in the presence of galvinoxyl. In the presence of 2 equiv ZnCl<sub>2</sub> · OEt<sub>2</sub>, the reaction afforded methyl (2R)-2-[N-((4S)-4-(1-methylethyl)-2-oxazolidinone-3-carbamoyl)amino]-4-pentenoate **13(R)** with high diastereoselectivity (93:7). Taken together, ZnCl<sub>2</sub> · OEt<sub>2</sub> works as a

radical initiator as well as chelating agent. The  $\text{ZnCl}_2 \cdot \text{OEt}_2$  mediated reaction of 1,1-diphenylmethyl bromide with allyltributyltin gave 4,4-diphenyl-but-1-ene in high yield. On the other hand, no reaction took place between 1,1-diphenylmethyl bromide and  $\text{BF}_3 \cdot \text{OEt}_2$ . The  $\text{ZnCl}_2$  mediated allylation proceeded in high yields in cases of tertiary-, allylic-,  $\alpha$ -alkoxy, and sec-benzyl-halides, whereas the reaction did not occur with primary and secondary halides. It is proved that the  $\text{ZnCl}_2$  mediated allylation of bromomalonate and related compounds proceeds via a radical pathway.

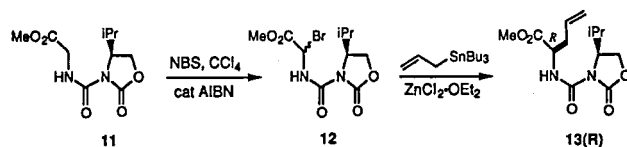


Figure 5.

#### V-H-6 Intramolecular Michael Addition of $\gamma$ -Alkylsulfonyloxy- $\alpha,\beta$ -Unsaturated Esters by Using Higher Order Cyano Copper or Silver Amides as a Base

Naoki ASAO, Masaki MEGURO<sup>a</sup>, and Yoshinori YAMAMOTO<sup>a</sup> (<sup>a</sup>Tohoku Univ.)

[*Synlett*, 185 (1994)]

Treatment of  $\gamma$ -alkylsulfonyloxy- $\alpha,\beta$ -unsaturated esters **14** with higher order cyano copper or silver amides gave  $\gamma$ -sultones **15** stereoselectively in good to high yields. Furthermore, the ring cleavage of sultones produces a carbon chain **16** having a new chiral center adjacent to the original asymmetric carbon, and this 1,2-asymmetric induction takes place in nearly 100%de.

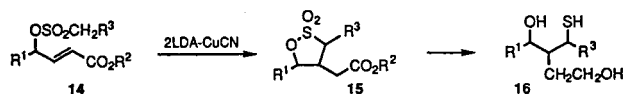


Figure 6.

#### V-H-7 Palladium Catalyzed Addition of Activated Methylene and Methyne Compounds to Allenes

Yoshinori YAMAMOTO<sup>a</sup>, Mohammad Al-MASUM<sup>a</sup>, and Naoki ASAO (<sup>a</sup>Tohoku Univ.)

[*J. Am. Chem. Soc.*, **116**, 6019 (1994)]

The addition of activated methylene and methyne compounds **17** to allenenes **18** takes place in the presence of catalytic amounts of  $\text{Pd}_2(\text{dba})_3 \cdot \text{CHCl}_3$  in THF under reflux, giving the internal alkenes **19** and **20**. The nucleophile always attacks the terminal carbon of allenenes. With monosubstituted allenenes **18a-c**, the trans alkenes **20** are normally produced with high stereoselectivity. With disubstituted allenenes **18d-g**, a mixture of the trans and cis alkenes (**19** and **20**) is afforded in general.

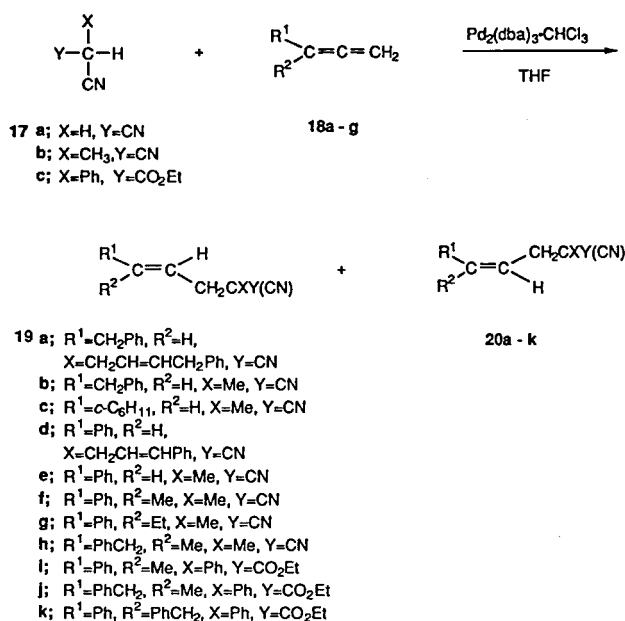


Figure 7.

#### V-H-8 Ytterbium Triflate Catalyzed Ring Opening of Aziridines with Amines

Masaki MEGURO<sup>a</sup>, Naoki ASAO, Yoshinori YAMAMOTO<sup>a</sup> (<sup>a</sup>Tohoku Univ.)

[*Tetrahedron Lett.*, **35**, 7395 (1994)]

Ring Opening of aziridines with amines takes place readily in the presence of catalytic amount of ytterbium triflate, giving the corresponding 1,2-diamines in good to high yields.

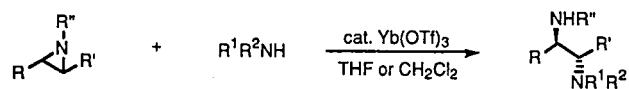


Figure 8.

#### V-H-9 Ytterbium Triflate and High Pressure Mediated Ring Opening of Epoxides with Amines

Masaki MEGURO<sup>a</sup>, Naoki ASAO, and Yoshinori YAMAMOTO<sup>a</sup> (<sup>a</sup>Tohoku Univ.)

[*J. Chem. Soc., Perkin Trans. I*, 2597 (1994)]

Ring opening of epoxides with amines in THF takes place very readily in the presence of catalytic amounts of ytterbium triflate, giving the corresponding  $\beta$ -amino alcohols in good to high yields. With mono- and di-substituted epoxides, the use of an equivalent amount of amines is enough to obtain the desired amino alcohols in high yields. With tri- and tetra-substituted epoxides, the use of excess amounts (2~3 equiv) of amines is needed. The  $\text{Yb}(\text{OTf})_3$  catalyzed reaction of epoxides with amines in  $\text{CH}_2\text{Cl}_2$  is rather complicated; the yield of amino alcohols is generally lower and depends upon the addition order of the catalyst, amine, and epoxide. The ring opening is accomplished also under high pressures in the absence of  $\text{Yb}(\text{OTf})_3$ . The ring opening via the combination of  $\text{Yb}(\text{OTf})_3$  and high pressures in  $\text{CH}_2\text{Cl}_2$  is more effective than the independent use

of the  $\text{Yb}(\text{OTf})_3$  or high pressure method itself. Oxetane and a  $\beta$ -lactone undergo the ring opening reaction in the presence of  $\text{Yb}(\text{OTf})_3$ .

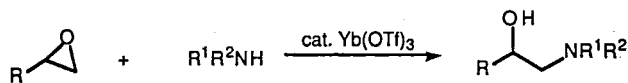
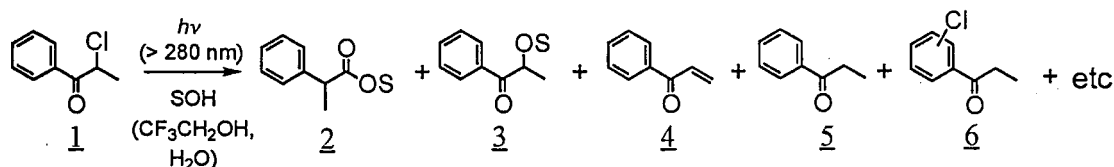


Figure 9.

## V—I Mechanistic Investigations of the Photosolvolyses Involving Carbocationic Intermediates

The mechanistic investigations of photosolvolyses are of great interest because photosolvolysis has proven to be a convenient method for the generation of carbocations that are not readily available in the thermal solvolyses. Ketones possessing a heteroatom substituent (X) at the  $\alpha$ -carbons are known to undergo photochemical C-X bond heterolysis with the formations of unstable  $\alpha$ -ketocarbonium ions through the interaction between the  $\pi^*$ -orbital of carbonyl double bond and the  $\sigma^*$ -orbital of the C-X bond. This project focuses on the photoinduced bond heterolysis of 2-chloropropiophenone that exhibits competing phenyl-rearrangement and nucleophilic substitution reactions (Scheme 1). The photosolvolysis mechanisms in aqueous 2,2,2-trifluoroethanol were investigated by the aid of substituent effect, solvent effect, and effect of nucleophiles on the product distributions.



Scheme 1.

### V-I-1 Substituent Effects on the Photosolvolyses of 2-Chloropropiophenones in 2,2,2-Trifluoroethanol

Satoshi USUI, Jyunji NISHIMOTO<sup>a</sup>, and Katsutoshi OHKUBO<sup>b</sup> (<sup>a</sup>Kumamoto University, <sup>b</sup>Kyushu University)

Substituent effects on the product distributions of the photosolvolyses of 2-chloropropiophenones (**1**) were investigated in 2,2,2-trifluoroethanol (TFE). The photosolvolysis of **1** in TFE afforded five products without the thermal solvolysis of **1** (Scheme 1). According to the product distributions in Table 1, the photosolvolyses of all substituted derivatives showed excellent material balances of over 95%, and the photosolvolyses products were mainly C-Cl bond heterolysis ones (**2**–**4**); the *m*-OMe and *m*-Me derivatives resulted in the considerable amount of the C-Cl bond homolysis products (**5** and **6**). Among the heterolysis products (**2**, to **4**), the product distribution between a phenyl-rearrangement product (**2**) and nucleophilic substitution ones (**3** and **4**) apparently suffered from the substituent effect of **1**. The increase in the electron-donating ability of the substituent resulted in the decrease in the product distributions of **2**, that is contrary to the substituent effects observed for the thermal acetolysis.<sup>1)</sup> It is also apparent from Table 1 that strong  $\pi$ -donating methoxy substituents facilitated the nucleophilic substitution pathway. The methoxy substituent at the *p*-position resulted in the almost same amount of **2** and **3**, and notably, the *m*-OMe derivative appreciably exalted the nucleophilic substitution pathway. This novel substituent effect may be reflected to the *meta* electron transmission effect.<sup>2)</sup>

2) H. E. Zimmerman and V. R. Sandel, *J. Am. Chem. Soc.*, **85**, 915 (1963).

Table 1. Substituent Dependencies of the Product Distributions (%) of the Photosolvolysis **1** in TFE- $\text{d}_3$ <sup>a)</sup>

Substituent	<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b> and <b>6</b>
<i>p</i> -OMe	66.4	14.0	12.9	5.2	1.0
<i>p</i> -OMe- <i>m</i> -Cl	57.2	12.4	16.9	12.1	1.4
<i>p</i> -OMe- <i>m</i> -Br	39.7	16.2	20.3	20.9	2.4
<i>p</i> -OMe- <i>m</i> -CN	62.9	12.7	11.4	10.6	0.2
<i>m</i> -OMe <sup>b)</sup>	30.0	1.8	21.3	11.9	29.8
<i>p</i> -Me	67.3	22.6	7.3	2.8	0.0
<i>m</i> -Me	49.7	26.8	5.8	5.0	11.1
H	54.7	36.1	3.3	4.2	1.7
<i>p</i> -Cl	45.6	42.1	5.8	6.5	0.0
<i>m</i> -Cl	45.1	49.6	3.6	4.1	2.0
<i>m</i> -CN	51.2	27.6	1.8	12.7	3.3
<i>m</i> -CF <sub>3</sub>	49.1	35.2	0.0	10.9	2.2

a) After 1 h irradiation, unless otherwise noted. b) After 0.5 h irradiation.

### V-I-2 Solvent Effect on the Photosolvolysis of 2-Chloropropiophenone

Satoshi USUI, Jyunji NISHIMOTO<sup>a</sup>, and Katsutoshi OHKUBO<sup>b</sup> (<sup>a</sup>Kumamoto University, <sup>b</sup>Kyushu University)

Solvent effect on the product distributions of the photosolvolysis of 2-chloropropiophenone (**1**) was investigated in aqueous 2,2,2-trifluoroethanol (TFE). The photosolvolysis of **1** in 50% (w/w) aqueous TFE (50T) mainly gave bond heterolysis products similar to the photosolvolysis in absolute TFE. Among the heterolysis products, a phenyl-rearranged (**2**) and a nucleophilically substituted ones (**3**), were obtained as  $\text{H}_2\text{O}$  adducts ( $\text{S}=\text{OH}$  in Scheme 1), and no TFE adducts were obtained. The product distributions of

### References

- 1) H. C. Brown, C. J. Kim, C. J. Lancelot, and P. v. R. Schelyer, *J. Am. Chem. Soc.*, **92**, 5244 (1970).

the 2 and 3, were suffered from solvent effect, and the increase of the water content decreased the product distribution of 2 while that of 3 increased (Table 2). Furthermore, the product distribution of elimination product 4, showed a similar solvent dependency to that of 3. These results suggest that increase of the solvent polarity prevails the  $\alpha$ -ketocarbocation formation over the phenyl-rearrangement pathway. It is also noticeable in Table 2 that the rate of photosolvolysis, which was given in first-order rate constant, was less influenced by the solvent polarity. The current solvent effect examination concludes that the present photosolvolysis proceeds via rate-determining intermediate formation (either radical or carbocationic) followed by product formation through carbocationic intermediate.

**Table 2.** Product Distributions<sup>a)</sup> and Observed First-Order Rate Constants ( $k_{\text{Obs}}/10^{-5} \text{ s}^{-1}$ ) of the Photo-solvolysis of 1 in Aqueous 2,2,2-Trifluoroethanol

Solvent <sup>b)</sup>	<u>2</u>	<u>3</u>	<u>4</u>	<u>5</u> and <u>6</u>	$k_{\text{Obs}}$
100T	79.7%	7.3%	9.1%	3.8%	23.5
50T	36.0%	10.5%	14.1%	5.5%	25.9

a) 1h after irradiation. b) Figures denote the weight % of 2,2,2-trifluoroethanol.

### V-I-3 Effects of Nucleophiles on the Photosolvolysis of 2-Chloropropiophenone in Aqueous 2,2,2-Trifluoroethanol

Satoshi USUI, Junji NISHIMOTO<sup>a</sup>, and Katsutoshi OHKUBO<sup>b</sup> (<sup>a</sup>Kumamoto University, <sup>b</sup>Kyushu University)

The effects of nucleophiles (Nu) on the photosolvolysis of 2-chloropropiophenone (1) were investigated in aqueous 2,2,2-trifluoroethanol (TFE). As summarized in Table 3, the addition of weak nucleophiles such as  $\text{Cl}^-$  or  $\text{Br}^-$  had little effects on the product distributions of the photosolvolysis. The addition of  $\text{N}_3^-$  or  $\text{SO}_3^{2-}$ , on the other hand, decreased the distribution of the bond-heterolysis product 2, 3, and 4; instead, corresponding nucleophilically substituted products (2,  $\text{S}=\text{Nu}$ ) were obtained. Although the effects of the two nucleophiles are seemingly interpreted as the trapping of  $\alpha$ -ketocarbocation by the  $\text{N}_3^-$  or  $\text{SO}_3^{2-}$ , they were differentiated by the fact that addition of  $\text{SO}_3^{2-}$  apparently increased the observed photosolvolysis rates ( $k_{\text{Obs}}$ ) while that of  $\text{N}_3^-$  did not. Furthermore, a characteristic feature of the effect of  $\text{SO}_3^{2-}$  was the suppression of every other by-products including 5 and 6. These results suggest that the present photosolvolysis proceeds through plural intermediates, and  $\text{N}_3^-$  or  $\text{SO}_3^{2-}$  reacts with different one.

**Table 3.** Effects of Nucleophiles on the Product Distributions and Observed First-Order Rate Constants ( $k_{\text{Obs}}/10^{-5} \text{ s}^{-1}$ ) of the Photosolvolysis of 1 in 50% (w/w) Aqueous 2,2,2-Trifluoroethanol

Nu	Conc./M	<u>2</u>	<u>3</u>	<u>4</u>	$k_{\text{Obs}}$
None		36.0%	10.5%	14.1%	25.9
$\text{Cl}^-$	0.01	39.4%	11.7%	15.0%	25.2
	0.1	29.7%	9.8%	13.4%	25.4
$\text{Br}^-$	0.1	27.6%	8.8%	17.2%	24.1
	0.01	14.0%	8.5%	9.7%	27.9
$\text{N}_3^-$	0.1	6.9%	4.9%	4.4%	25.6
	0.01	23.1%	7.6%	1.0%	42.4

# RESEARCH ACTIVITIES VI

## Department of Vacuum UV Photoscience

### VI—A Electronic Structure and Decay Mechanism of Inner-shell Excited Molecules

This project is being carried out in collaboration with Photon Factory, National Laboratory for High-Energy Physics (KEK-PF) and with McMaster University, Canada. We observed vibrational enhancement through Rydberg-valence mixings in the high-resolution O K-edge spectra of  $O_2$ , and discussed potential energy curves, avoided curve crossings, and atomic Auger decay [See, A. Yagishita, E. Shigemasa, N. Kosugi, *Phys. Rev. Lett.* **72**, 3961 (1994)]. This year we have extended our approaches to some linear triatomic molecules and spin-forbidden inner-shell excited molecules. Mr. Jun-ichi Adachi from KEK-PF has joined the Kosugi group in April 1994 as a technical associate in this field.

#### VI-A-1 Angular Distribution of Fragment Ions Following $C\ 1s \rightarrow \pi^*$ Photoexcitation of $CS_2$ , $OCS$ , and $CO_2$

Jun-ichi ADACHI, Nobuhiro KOSUGI, Eiji SHIGEMASA\* and Akira YAGISHITA\* (\*KEK-PF)

The angle-resolved ion-yield spectra following the  $C\ 1s \rightarrow \pi^*$  photoexcitation of  $CS_2$ ,  $OCS$ , and  $CO_2$  have been measured using linearly polarized soft x-ray, as shown in figure 1. The widths of the  $\pi^*$  resonance peaks of  $CS_2$ ,  $OCS$ , and  $CO_2$  are 170 meV, 390 meV, and 630 meV

in FWHM, respectively. In contrast to the case for diatomic molecules, the fragment ions following the  $C\ 1s \rightarrow \pi^*$  photoexcitation of these molecules are emitted not only in the perpendicular direction ( $I_{90}$ ) to the electric vector of the incident light but also in the parallel direction ( $I_0$ ). It is also found that the amount of the fragments emitted in the parallel direction ( $I_0$ ) depends on the widths of the  $\pi^*$  resonance peaks. These present observation is investigated in terms of the Renner-Teller effect.

#### VI-A-2 Renner-Teller Effect and Rydberg-valence Mixing in the N K-edge Absorption Spectra of $N_2O$

Jun-ichi ADACHI, Nobuhiro KOSUGI, Eiji SHIGEMASA\* and Akira YAGISHITA\* (\*KEK-PF)

Figure 1 shows the N K-shell high-resolution angle-resolved ion-yield spectrum of  $N_2O$  ( $N_t-N_c-O$ ). The FWHM of the  $N_c\ 1s \rightarrow \pi^*$  peak (1.05 eV) is larger than that of the  $N_t\ 1s \rightarrow \pi^*$  peak (0.74 eV). A preliminary result of the SCF calculation shows that the  $N_c$  and  $N_t\ 1s \rightarrow \pi^*$  excited states have bent equilibrium structures with  $N_t-N_c-O$  angles of about  $126^\circ$  and  $136^\circ$ , respectively, due to the Renner-Teller effect. The  $N_c\ 1s \rightarrow \pi^*$  excited state has a stronger bending mode excitation than in the  $N_t\ 1s \rightarrow \pi^*$  resonance state. It is also found that the peak intensities of the  $N_t\ 1s \rightarrow ns\sigma$  Rydberg series are anomalous; the  $4s\sigma$  Rydberg peak is more intense than  $3s\sigma$  and  $5s\sigma$ . This is because the  $N_2O$  molecule has a vacant  $\sigma^*$  orbital below the ionization threshold and the  $\sigma^*$ -Rydberg mixing takes place near the  $4s\sigma$  Rydberg state.

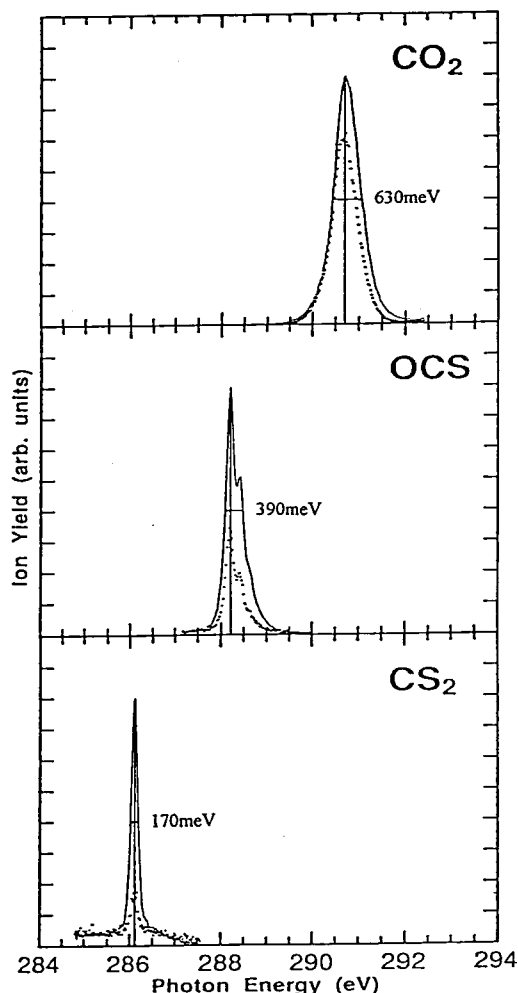


Figure 1. C K-edge ion-yield spectra of  $CS_2$ ,  $OCS$ , and  $CO_2$ . The solid line shows the ion-yield in the  $90^\circ$  to the electric vector of the incident light and dotted line shows one in the  $0^\circ$ .

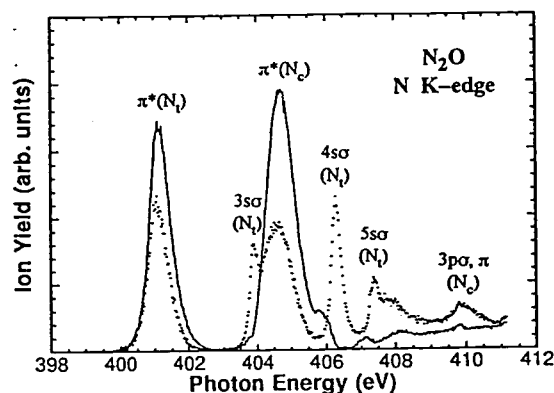


Figure 1. N K-edge ion-yield spectrum of  $N_2O$ . The solid line shows the ion-yield in the  $90^\circ$  to the electric vector of the incident light and dotted line shows one in the  $0^\circ$ .

### VI-A-3 Vibronic Coupling in the C 1s ( $\sigma_g$ ) $\rightarrow$ $3s\sigma_g$ Rydberg Excited State of CO<sub>2</sub>

Jun-ichi ADACHI, Nobuhiro KOSUGI, Eiji SHIGEMASA\* and Akira YAGISHITA\* (\*KEK-PF)

The C K-shell excited Rydberg states of CO<sub>2</sub> molecules have been investigated with the high-resolution angle-resolved ion-yield spectroscopy. It is found that the fragment ions emitted following the C 1s ( $\sigma_g$ )  $\rightarrow$   $3s\sigma_g$  Rydberg excitation are mainly observed in the 90° direction to the electric vector of the incident light. This is because the  $3s\sigma_g$  Rydberg state is mainly coupled with the bending mode. It is also found that the  $3p\sigma_u$  and the  $3p\pi_u$  Rydberg states have different vibrational spacings and can be differentiated though these electronic states are almost degenerate.

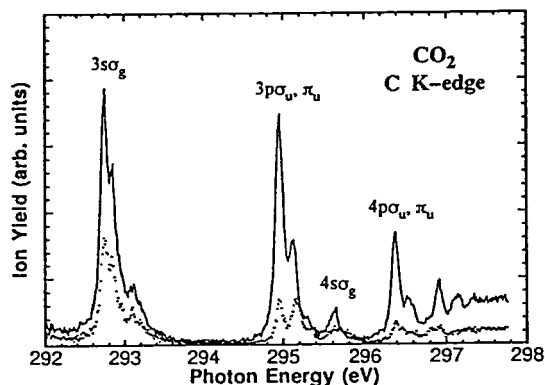


Figure 1. C K-edge ion-yield spectrum of CO<sub>2</sub>. The solid line shows the ion-yield in the 90° to the electric vector of the incident light and dotted line shows one in the 0°.

### VI-A-4 C 1s $\rightarrow$ $\pi^*$ Triplet Excited State of CO: Momentum Transfer Dependence and Vibrational Structure

James T. FRANCIS\*, Nobuhiro KOSUGI, and Adam P. HITCHCOCK\* (\*McMaster Univ.)

[*J. Chem. Phys.*, in press]

The intensity of the C 1s  $\rightarrow$   $\pi^*$  ( $X^1\Sigma^+ \rightarrow ^3\Pi$ ) spin-forbidden inner-shell excitation of CO has been measured by electron energy loss spectroscopy using a range of scattering angles (0–45°) and impact energies (376 to 1806 eV) in order to investigate the momentum transfer dependence. Based on experimental and theoretical Franck-Condon analysis of the vibrational structure we have qualified differences in the potential energy curves of the singlet and triplet C 1s  $\rightarrow$   $\pi^*$  excited states and the doublet C 1s ionized state.

## VI-B Soft X-ray Photoelectron-Photoabsorption Spectroscopy and Electronic Structure of Transition Metal Compounds

This is a new project we just started in November 1993, when Dr. Yasutaka Takata from KEK-PF joined the Kosugi group as research associate. In addition to him, Dr. Motohiko Nakamura has joined as an IMS fellow from Science University of Tokyo in August 1994. The experiments are done using a high-performance electron energy analyzer connected to the soft X-ray double crystal monochromator of the beamline BL1A at the UVSOR facility.

### VI-B-1 Construction of a New UHV Apparatus for Soft X-ray Photoelectron-Photoabsorption Spectroscopy

Yasutaka TAKATA, Motohiko NAKAMURA, and Nobuhiro KOSUGI

In order to investigate the electronic structure of transition metal compounds by soft x-ray photoelectron-photoabsorption spectroscopy, we have constructed a new UHV apparatus. It consists of three vacuum chambers; an analysis chamber, a preparation chamber, and an air-lock chamber. Top view of the whole system is shown in Figure 1. The main component of the analysis chamber is an electron energy analyzer (SES-200) manufactured by SCIENTA, Uppsala, Sweden. It features high energy resolution and high detection efficiency by use of a large 200 mm hemispherical analyzer and an electron lens, respectively. The typical resolving power ( $E_p/\Delta E$ ) is more

than 1300 and the ultimate resolution ( $\Delta E$ ) is 5 meV. Absorption spectra can be measured in Auger electron yield. The ultimate base pressure of the system will be less than  $5 \times 10^{-11}$  Torr.

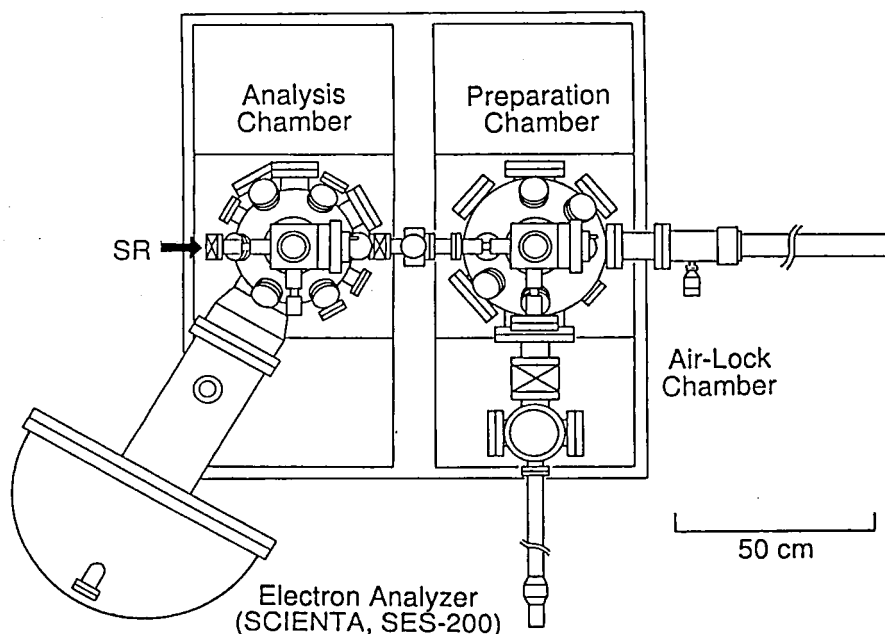


Figure 1. Top view of a newly constructed UHV apparatus for soft x-ray photoelectron-photoabsorption spectroscopy.

## VI—C Synchrotron Radiation Stimulated Surface Reactions

Study of synchrotron radiation (SR) stimulated surface reaction is attractive for fundamental scientists, because dynamical processes induced by photostimulated electron excitations on surfaces are scarcely explored so far. This field is important also in applied science, since fundamental study is expected to develop new techniques for semiconductor processing such as SR stimulated etching and SR stimulated epitaxial growth.

### VI-C-1 Molecular Orientation and Photochemical Reaction of Organoaluminum Compounds Investigated by Buried Metal Layer Infrared Reflection Absorption Spectroscopy

Tsuneo URISU, Yanping ZHANG (*Sumitomo Heavy Industries, Ltd.*), Mitsuru NAGASONO, Akitaka YOSHIGOE, Yoshiaki IMAIZUMI, and Shinri SATO (*Hokkaido University*)

The structure and synchrotron radiation (SR) irradiation effects for the low temperature condensed layer of several organoaluminum compounds on the  $\text{SiO}_2$  surface were investigated by infrared reflection absorption spectroscopy using the buried metal layer substrate (BML-IRAS). Trimethylaluminum is a dimer at less than 180 K, and a photoproduct having the methyl group is produced by SR irradiations. The condensed layer of dimethylethylamine alane (DMEAA) as deposited at temperatures less than 140 K is consisted of randomly oriented dimer molecules, and it changes to more orderly orientation of monomer molecules by increasing temperatures higher than 140 K. Concerning the SR irradiations, the results are different between different molecular orientations. By SR irradiations to the orderly orientation layer, a new broad vibration band possibly assigned to the gathering of inhomogeneous AlH stretching vibrations appears. On the other hand, in the case of the random orientations of the dimer molecules, such the new broad band does not appear, and only intensity decrease is observed for all bands.

### VI-C-2 Development of Infrared Reflection Absorption Spectroscopy Using a Buried Metal Layer Substrate for *in-situ* Observation of Surface Photochemical Reactions Stimulated by Synchrotron Radiation

Akitaka YOSHIGOE, Mitsuru NAGASONO, Kazuhiko MASE, and Tsuneo URISU

Infrared reflection absorption spectroscopy (IRAS) is usually used to evaluate metal surfaces, but can also be applied for semiconductor or insulator surfaces by using buried metal layer (BML) substrates, in which a metal thin film is buried under a semiconductor or an insulator film (buffer layer). In this work, IRAS sensitivity was quantitatively evaluated for Langmuir-Blodgett films on a BML substrate of  $\text{SiO}_2/\text{Al}/\text{Si}(100)$ . The root mean square value of the surface roughness of the BML substrate was estimated to be 1 nm with atomic force microscopy (AFM). The measured IRAS signal intensity is consistent with the value calculated assuming the ideal multilayer structure for the BML substrate.

### VI-C-3 Infrared Reflection Absorption Spectroscopy System for Study of Surface Photochemical Reactions Induced by Synchrotron Radiation

Mitsuru NAGASONO, Akitaka YOSHIGOE, Kazuhiko MASE, and Tsuneo URISU

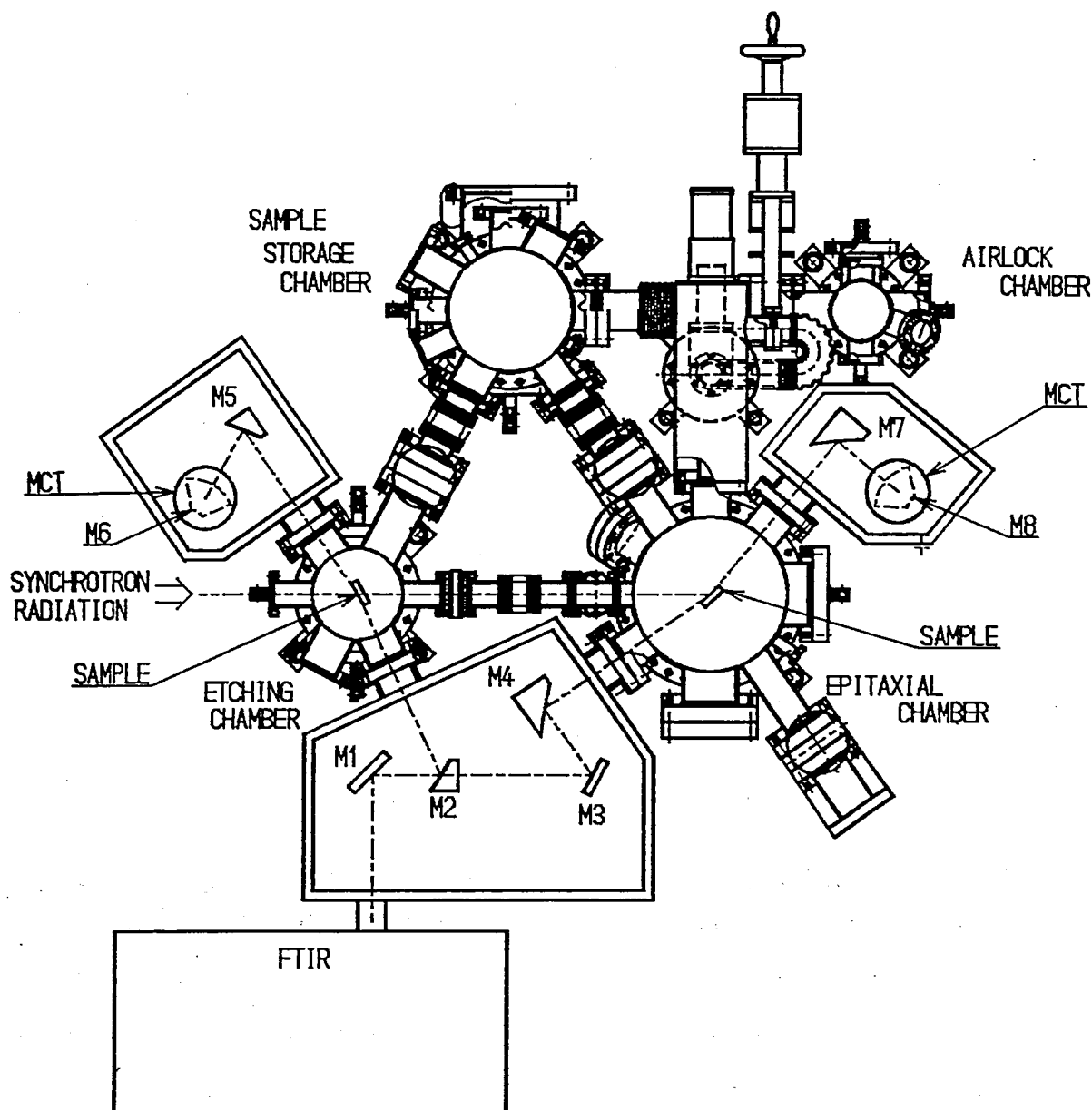
The aim of the present study is to measure the change of the adsorbed species and/or the adsorption states under



reactions induced by synchrotron radiation (SR) using infrared reflection absorption spectroscopy (IRAS), and to clarify the reaction mechanisms. An advantage of IRAS is high resolution as compared with electron energy loss spectroscopy, and feasibility to measure under presence of gas in a reaction chamber, i.e., *in situ*.

The IRAS system shown in figure 1 is composed of a Fourier transform infrared spectrometer (FTIR: JEOL JIR-7000), three mirror systems, and a mercury cadmium telluride (MCT) detector, and can measure the reactions in an etching and an epitaxial growth chamber. Optical paths are purged with dry air without CO<sub>2</sub>. For measurements in the etching chamber, a collimated parallel infrared beam interfered by the FTIR is focused on the sample at an

incident angle of 85° by an off-axis paraboloidal mirror M2 (diameter, D = 50 mm, effective focal length, F = 320 mm, diverting angle,  $\alpha = 65^\circ$ ) through a ZnSe window. A reflected beam is collimated again by a mirror M5 (D = 50 mm, F = 320 mm,  $\alpha = 65^\circ$ ), and is focused on the MCT detector by mirror M6 (D = 50 mm, F = 45 mm,  $\alpha = 90^\circ$ ). For measurements in the epitaxial growth chamber, the IR beam is focused at incident angle of 82.5° by a mirror M4 (D = 50 mm, F = 320 mm,  $\alpha = 90^\circ$ ) through a ZnSe window. The reflected beam is collimated by a mirror M7 (D = 50 mm, F = 320 mm,  $\alpha = 90^\circ$ ), and is focused on the MCT detector by mirror M8 (D = 50 mm, F = 45 mm,  $\alpha = 90^\circ$ ). The signals from the MCT detector are amplified, and give IR spectra after fast FT calculation.



**Figure 1.** Reaction chambers and an IRAS system for study of SR excited surface photochemical reactions. For measurements in the etching chamber, IR beam passes through M1, M2, Sample, M5, and M6. For measurements in the epitaxial growth chamber, IR beam passes through M1, M3, M4, Sample, M7, and M8.

## VI—D Study of Ion-Pair Formation in the Vacuum Ultraviolet Region Using Positive Ion-Negative Ion Coincidence Spectroscopy (PINICO)

Photoexcitation of molecules to highly excited states is often accompanied by dissociation into a pair of positive and negative ions in the photon energy range of 10–50 eV. Detecting the negative ions thus formed provides a sensitive probe to investigate the properties of superexcited states lying in the vacuum ultraviolet. For full understanding of the ion-pair formation, we recently developed a novel coincidence technique, PINICO, utilizing the flight-time correlation of a pair of positive and negative ions produced by single photon excitation. This year PINICO method is applied to the studies of the ion-pair formation from  $\text{N}_2\text{O}^{**}$  and  $\text{CO}_2^{**}$  in doubly excited Rydberg states lying above 20 eV.

### VI-D-1 Observation of Doubly Excited Rydberg States of $\text{N}_2\text{O}$ by Positive Ion-Negative Ion Coincidence Spectroscopy

Hiroaki YOSHIDA and Koichiro MITSUKE

[*J. Chem. Phys.* **100**, 8817 (1994)]

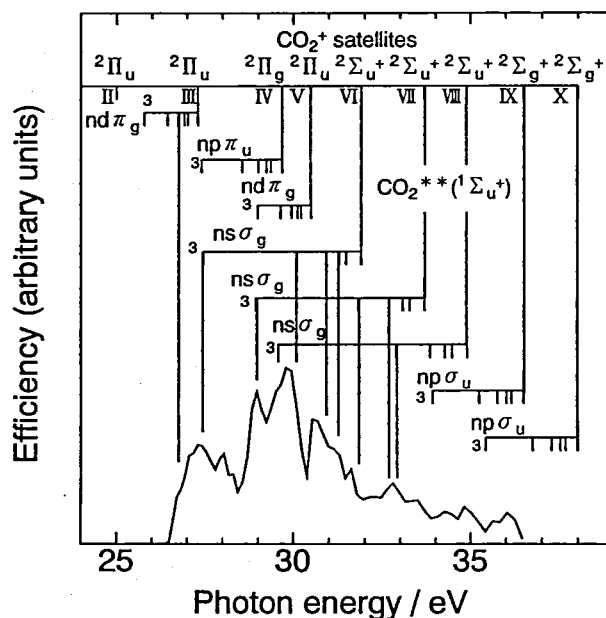
Ion-pair formation processes of  $\text{N}_2\text{O}$  are studied in detail using synchrotron radiation in the 24–34 eV photon energy range. Positive ion-negative ion coincidence (PINICO) spectroscopy makes it possible to distinguish dissociation into three bodies,  $\text{O}^- + \text{N}^+ + \text{N}$ , from dissociation into two bodies,  $\text{O}^- + \text{N}_2^+$ . The photodissociation efficiency curves for the two processes are measured. The three-body dissociation is found to be dominant over the two-body dissociation above 25.3 eV. An analysis of the shape of coincidence signals shows that the three-body dissociation results from photoexcitations to superexcited states with  $^1\Sigma^+$  symmetry followed by conversion to ion-pair states correlating with  $\text{O}^-(^2\text{P}_u) + \text{N}^+(^3\text{P}_g) + \text{N}(^4\text{S}_u)$ . The positive charge in the ion-pair states is considered to reside in the central N atom. Several resonance-like peaks observed in the efficiency curves are assigned to doubly excited Rydberg states  $\text{N}_2\text{O}^{**}(^1\Sigma^+)$  lying in the energy range of 24–34 eV.

## VI-D-2 Observation of Doubly Excited Rydberg States of CO<sub>2</sub> by Positive Ion-Negative Ion Coincidence Spectroscopy

Hiroaki YOSHIDA and Koichiro MITSUKE

Doubly excited Rydberg states of CO<sub>2</sub> lying in the energy range of 25–36 eV are investigated by PINICO spectroscopy. Photodissociation efficiency curves are

measured for dissociation into three bodies,  $\text{O}^- + \text{C}^+ + \text{O}$ , and that into two bodies,  $\text{O}^- + \text{CO}^+$ . Figure 1 shows the curve for the three-body dissociation. Several resonance-like peaks in the curve are assigned to doubly excited Rydberg states  $\text{CO}_2^{**}(\Sigma_u^+)$  converging to satellite states of  $\text{CO}_2^+$ . The shape of time-of-flight coincidence signals is interpreted in terms of the kinematics in predissociation and the angular distribution of fragment ions with respect to the electric vector direction of the incident light.



**Figure 1.** Photodissociation efficiency curve for the ion-pair formation  $\text{CO}_2 + h\nu \rightarrow \text{O}^- + \text{C}^+ + \text{O}$ , as a function of the photon energy. Several peaks are assigned to doubly excited Rydberg states  $\text{CO}_2^{**}(1\Sigma_u^+)$  converging to satellite states of  $\text{CO}_2^+$ .

## VI—E Photoionization Dynamics Studied by Electron Spectroscopy Combined with a Continuous Synchrotron Radiation Source

Molecular photoionization is a major phenomenon in vacuum UV excitation and provides a large amount of information on fundamental electron-core interactions in molecules. Especially, autoionizing and shape resonances become of main interest, since they often give rise to conspicuous behaviors in photoionization cross section, vibrational branching ratio, and photoelectron angular distributions. In order to elucidate dynamical aspects of photoionization, we have constructed a versatile machine for photoelectron spectroscopy. This year, autoionizing resonance in photoionization from the  $1\pi_u$  level of acetylene is discussed in terms of strong excitation in a single vibrational mode which is by no means excited through direct ionization.

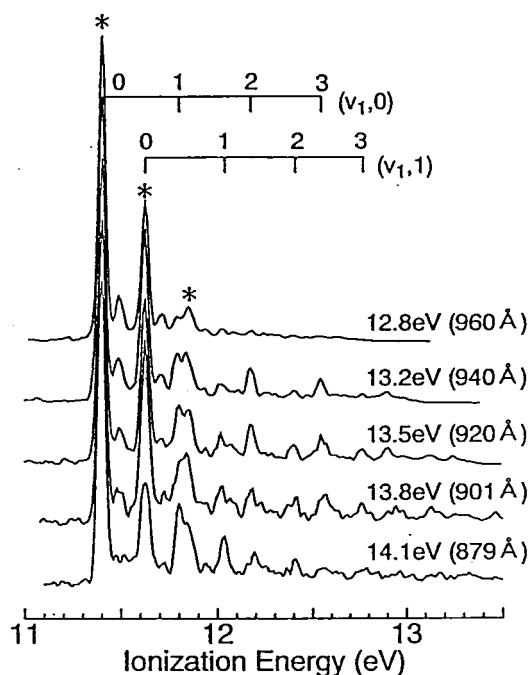
We modify the experimental setup in several points to get a higher electron count rate and better spectral resolution. Great improvement in detection efficiency has been realized by introducing a position-sensitive detector.

## VI-E-1 Autoionization of an Excited Valence State of $C_2H_2$

Hideo HATTORI and Koichiro MITSUKE

Autoionization process of  $C_2H_2$  is studied by photoelectron spectroscopy using synchrotron radiation in the energy region from 12.5 to 14.4 eV.

Figure 1 shows photoelectron spectra of  $C_2H_2$  measured at several excitation energies. All features in the spectra can be assigned to vibrational states of the electronic ground state of  $C_2H_2^+$ . Asterisks denote peaks due to a vibrational ground state and  $v_2=1$  and 2 states of the C-C stretching mode  $\nu_2$  (the vibrational spacing = 0.22 eV), which peaks are also observed in a HeI photoelectron spectrum. In addition, we can observe a progression with average spacings of 0.37 eV in an entire photon energy region from 12.8 to 14.1 eV. This pronounced vibrational excitation is considered to arise from autoionizing resonance which contributes to an anomalously broad maximum over the same energy region in the photoionization cross section curve of  $C_2H_2$ .<sup>1)</sup> We assign this progression to a C-H stretching mode  $\nu_1$  by comparing average spacings between  $C_2H_2$  and  $C_2D_2$ . Undoubtedly, autoionization occurs via a super-excited state with equilibrium C-H distances much different from those of the ground state  $C_2H_2$  and  $C_2H_2^+$ . Thus, the maximum in the photoionization cross section curve is attributed to an excited valence state  $3\sigma_g \rightarrow 3\sigma_u$ , since this state is expected from calculation of Hayaishi *et al.*<sup>1)</sup> to lie at about 14 eV and to have longer C-H bond length. Our results indicate that  $C_2H_2$  in this excited valence state has a lifetime long enough to change the geometry before autoionization.



**Figure 1.** Photoelectron spectra of  $C_2H_2$  at the excitation energy from 12.8 eV to 14.1 eV. Two progressions of the C-H stretching mode are observed. The  $(v_1, v_2)$  mark denotes the vibrational state in which  $v_1$  and  $v_2$  quanta of the C-H and C-C stretching modes, respectively, are simultaneously excited. The asterisk indicates the peaks observed by HeI photoelectron spectroscopy. The overall resolution is 60 meV (FWHM).

## Reference

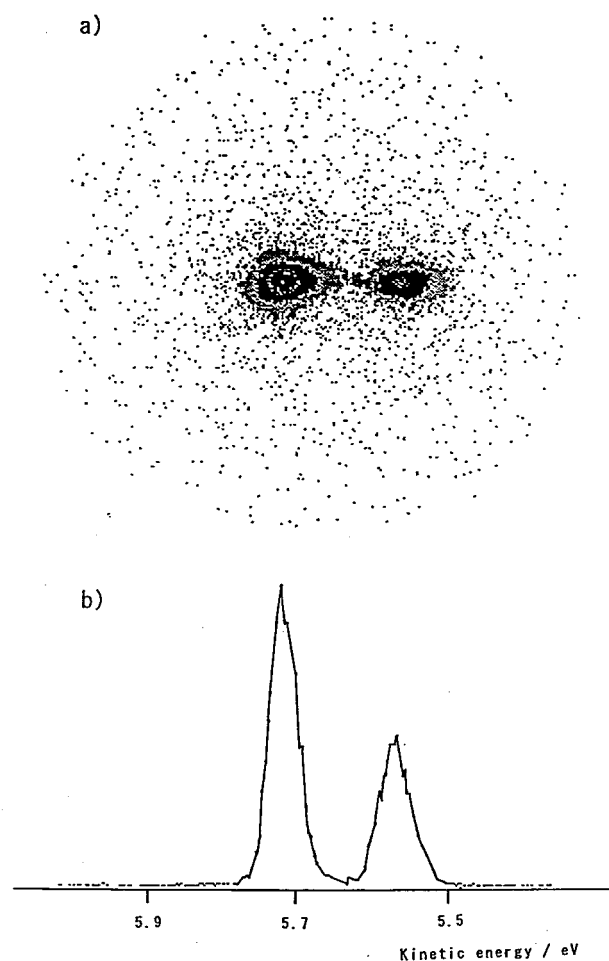
- 1) T. Hayaishi, S. Iwata, M. Sasanuma, E. Ishiguro, Y. Morioka, Y. Iida, and M. Nakamura, *J. Phys. B* 15, 79 (1982).

## VI-E-2 Development of a Position-Sensitive Detection System for Photoelectron Spectroscopy

Yasumasa HIKOSAKA\*, Hideo HATTORI, Takumi HIKIDA\*, and Koichiro MITSUKE (\*Tokyo Inst. of Tech.)

A new detection system for photoelectron spectroscopy has been developed on the beamline BL3B at UVSOR. The crux of this system is a position-sensitive detector (PSD) composed of dual microchannel plates and a resistive anode encoder. We first removed an exit slit and a single channel detector from a spherical electron energy analyzer and then fitted PSD on the end of the analyzer. Great improvement in detection efficiency has been realized by this alteration as described below.

The former single channel detector collects the electrons whose kinetic energy falls within a narrow energy range determined from the size of the exit slit. In contrast, PSD can simultaneously detect all of the energy-dispersed electrons that can pass through between the two spherical sector surfaces; the range of the electron kinetic energy is



**Figure 1.** Photoelectron spectrum for  $Ar^+(^2P_{3/2}, ^2P_{1/2})$  at the energy resolution of 40 meV FWHM. a) Image on the position-sensitive detector, and b) a one-dimensional spectrum obtained by conversion of the image data.

about 10% of a transmission energy for the analyzer. Moreover, the spatial resolution of PSD is 10 times as high as that of the single channel detector. Consequently, a photoelectron spectrum with much better resolution can be obtained in a short period by using the new detection system. At the energy resolution of 70 meV FWHM, for example, the accumulation time is reduced to 1/50 of that required in a previous measurement, as far as other conditions are the same. When the energy resolution of the incident light is set to about 28 meV, the highest overall

resolution is estimated to be 30 meV. The photoelectron spectrum obtained at the resolution of 40 meV is shown in Figure 1a). The electron energy is dispersed in the horizontal direction of this image. Summing all signal counts at a given horizontal position over a range of vertical axis leads to a one-dimensional spectrum in Figure 1b). We are now studying autoionizing and shape resonances in molecular photoionization processes and the properties of involved superexcited states.

## VI—F Desorption Induced by Electronic Transitions on the Solid Surface of Condensed Gases

Photon-stimulated desorption (PSD) of molecules or ions from condensed gases is a direct probe of surface reactions induced by electronic excitation of adsorbed molecules and diverse relaxation processes which subsequently occur. It is proposed by several authors that surface and bulk excitons play important roles in PSD of rare gas solids. In this study, we measure angular and kinetic energy distributions of desorbed Ne metastable atoms from a Ne surface. Using monochromatized synchrotron radiation allows us to discuss the detailed mechanism of excitation and decay of excitons.

### VI-F-1 Angular and Kinetic Energy Distributions for Desorption of Neon Metastable Atoms Induced by Excitons at the Surface of Solid Neon

Daniel E. WEIBEL\*, Toshiki NAGAI\*, Takato HIRAYAMA\*, Ichiro ARAKAWA\*, Minoru KANNO, Koichiro MITSUKE, and Makoto SAKURAI\*\* (\*Gaku-shuin Univ., \*\*Kobe Univ.)

Photon-stimulated desorption of neon metastable atoms  $\text{Ne}^*$  from the surface of a solid Ne is studied using monochromatized synchrotron radiation at the beam line BL5B of UVSOR. The sample is prepared by gas deposition on a Pt(111) substrate at a temperature of 6 K. The thickness of the sample is a few hundreds atomic layers. The experiments are performed in an ultrahigh vacuum chamber with a base pressure of  $1 \times 10^{-8}$  Pa. Desorbed  $\text{Ne}^*$  atoms are detected by a microchannel plate detector equipped with a two-dimensional position sensitive anode. Electron charge pulses from 4 corner collection electrodes of the anode are sent to a position analyzer which performs a fast computation of the incident position of  $\text{Ne}^*$  using the sums and ratios of the four charges. A time-of-flight (TOF) technique is utilized to measure the kinetic energy distribution. Moreover, applying a time gate to the position analyzer enables us to obtain the angular distribution of  $\text{Ne}^*$  at a specific kinetic energy.

Two mechanisms are widely accepted for  $\text{Ne}^*$  desorption induced directly by excitons: cavity ejection (CE) and excimer dissociation (ED). In a TOF spectrum, pronounced peaks at kinetic energies of ca. 0.18 and 1.4 eV are attributed to CE and ED, respectively. When the first-order surface exciton (S1) is excited at the photon energy  $E_{h\nu}$  of 17.2 eV,  $\text{Ne}^*$  desorption through the CE mechanism results in a very sharp angular distribution along the surface normal (see Figure 1). This distribution is found to be fitted into a function form of  $(\cos \theta)^{15}$ , where  $\theta$  is a polar angle. This remarkable sharpness is consistent with the CE mechanism in which an excited atom is ejected by repulsion between a self-trapped exciton and surrounding atoms. On

the other hand, a much broader angular distribution is obtained for  $\text{Ne}^*$  desorption through the ED mechanism at  $E_{h\nu}=19.0$  eV (excitation of the second-order exciton S'). This observation may be explained as that an excited dimer ejected by the CE mechanism dissociates into  $\text{Ne}^* + \text{Ne}$  in vacuum rather than on the surface.

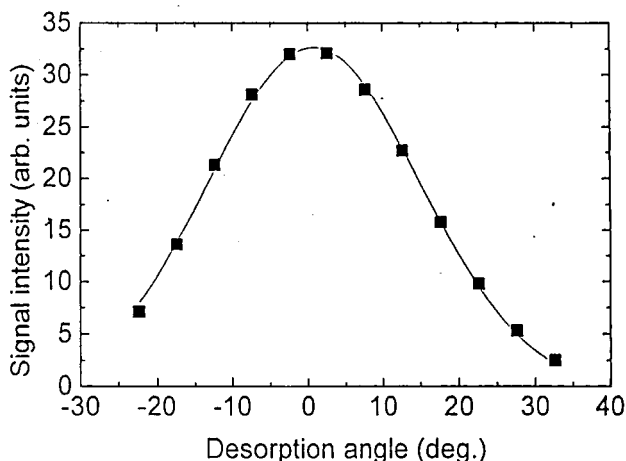


Figure 1. The angular distribution for desorption of  $\text{Ne}^*$  atoms through the cavity ejection mechanism induced by the first-order surface exciton. Data points are fitted with a Lorentzian function.

## VI—G Identification of Defects in SiO<sub>2</sub> Related Materials and Their Photoresponse

Pure and doped silica glasses are widely used as an optical material. A wide variety of defect species are formed during the preparation processes. The concentration of the defects is less than 10ppm, but they affect strongly the optoelectronic functions. In this Project, identification of the defect species, elucidation of their formation mechanism, and their optoelectronic properties are studied.

### VI-G-1 Precursor to Paramagnetic Centers in Gamma-Irradiated Doped Silica Glasses

Koichi AWAZU\* (*\*Electrotech. Lab.*), Hiroshi KAWAZOE, Koushi HARADA\*\* (*\*\*Tokyo Inst. Tech.*), Kazuhiro KIDO\*\*\* (*\*\*\*Nikon Corp.*), and Satoru INOUE\*\*\*\* (*\*\*\*\*Nat'l. Inst. Res. Inorg. Mat.*)

[*J. Appl. Phys.* 73, 1644 (1993)]

Silica glasses having various concentrations of chlorine, fluorine, hydrogen(SiO<sub>2-x</sub>), and oxygen(SiO<sub>2+x</sub>) were prepared to examine the precursors of paramagnetic centers induced by  $\gamma$  rays. In the case of glasses sintered under chlorine and hydrogen ambients, the concentration of the E'center induced by  $\gamma$ -ray irradiation scaled with the partial pressure of chlorine and hydrogen. In contrast, the E'center was suppressed in glasses doped with fluorine. Stress in the glasses was also found to enhance formation of the E'center. Planar ring structures in the glass are influenced by stress and are proposed as precursors to the E'center.

### VI-G-2 Formation Mechanism of Hydrogen-Associated Defect with an 11.9 mT Doublet in Electron Spin Resonance and Red Luminescence in 9SiO<sub>2</sub>:GeO<sub>2</sub> Fibers

Koichi AWAZU\* (*\*Electrotech. Lab.*), Ken-ichi MUTA\*\* (*\*\*Showa Electric Wire & Cable Co.*), and Hiroshi KAWAZOE

[*J. Appl. Phys.* 74, 2237 (1993)]

The nature and the origin of the 11.9mT hyperfine doublets in electron spin resonance spectrum observed in 9SiO<sub>2</sub>:GeO<sub>2</sub> fibers were examined. When fibers were heated in hydrogen atmosphere at 100°C, the intensity of the doublet increased. When gamma-ray irradiated, the intensity drastically increased. The photoluminescence bands peaking at 1.91eV (650nm) and 1.83eV (680nm) excited with Ar ion laser operated at 488nm were also observed in the treated fibers with the doublets. The relation between the photoluminescence and the doublets was found. The intensities of the photoluminescence peaking at 1.91eV was tentatively proposed as the small silicon particles with hydrogen impurities generated in the fibers.

### VI-G-3 Study of Oxygen-Deficient Centers in SiO<sub>2</sub> Films Using Photoluminescence Spectra

Koichi AWAZU\* (*\*Electrotech. Lab.*), Kikuo WATANABE\*\* (*\*\*Hitachi Ltd.*), and Hiroshi KAWAZOE

[*Jpn. J. Appl. Phys.* 32, 2746 (1993)]

Amorphous silicon dioxide(a-SiO<sub>2</sub>) films prepared with thermal oxidation of silicon substrates are studied using

photoluminescence. Two luminescence bands at 4.2eV (290nm) and 2.14eV (582nm) are observed in a-SiO<sub>2</sub> film. Peak positions of both the photoluminescence bands are the same as for the B<sub>2a</sub> band in bulk silica.

### VI-G-4 Preferred Concentration Enhancement of Photobleachable Defects Responsible for 5eV Optical Absorption Band in SiO<sub>2</sub>:GeO<sub>2</sub> Glass Preform by Heating in a H<sub>2</sub> Atmosphere

Hideo HOSONO\* (*\*Tokyo Inst. Tech.*), Hiroshi KAWAZOE, and Ken-ichi MUTA\*\* (*\*\*Showa Electric Wire & Cable Co. Ltd.*)

[*Appl. Phys. Lett.* 63, 479 (1993)]

Heat treatment of a 5GeO<sub>2</sub>-95SiO<sub>2</sub> glass preform in a H<sub>2</sub> atmosphere at 500° for 70h increased intensities of an absorption band centered at 5eV by a factor of ~3. Intensities of photobleachable component, which is the precursor of UV-induced Ge E'centers and assigned to a neutral oxygen monovacancy, of the 5-eV band were enhanced by a factor of ~8 by heating. This increment is ~3 times as large as that of UV-unbleachable component, which is assigned to Ge<sup>2+</sup> centers coordinated by two oxygens (neutral oxygen divacancy).

### VI-G-5 Radiation-Induced Coloring and Paramagnetic Centers in Synthetic SiO<sub>2</sub>:Al Glasses

Hideo HOSONO\* (*\*Tokyo Inst. Tech.*) and Hiroshi KAWAZOE

[*Nucl. Instr. Meth. Phys. Res. B*91, 395 (1994)]

Optical absorption bands and paramagnetic centers induced with  $\gamma$ -rays or excimer laser light were examined in high purity synthetic SiO<sub>2</sub>:Al glasses prepared by VAD method. Gamma-induced absorptions ranging 1.5–6.2eV were deconvoluted into 5-Gaussian components peaking at 2.3, 3.2, 4.1, 4.9 and 5.8eV. Two paramagnetic EPR centers associated with an Al ion were observed, Al-OHC and Al E'center and both centers are close to each other. These results indicate that a hole and an electron generated by irradiation are predominantly trapped at an oxygen bridging an Al and a Si and an Al coordinated with only three oxygens, respectively. A close correlation between 5-optical bands except the 5.8-eV band and Al-OHC/Al E'center was obtained. It was assigned from a consideration of the nature of these Al-related centers that a band peaking at 2.3eV-band are due to Al-OHC and Al E'centers give a band peaking at 4.1eV. Oscillator strength was evaluated to be 0.06 for the 2.3eV-band or 0.2 for the 4.1eV-band. Although no coloring and paramagnetic centers were noted for XeCl laser light (304nm), the results for ArF laser light

(193nm) were almost the same as the case of  $\gamma$ -irradiation. This difference suggests that a pair generation of Al-OHC and Al E'-center occurs via two photon process.

#### VI-G-6 Characteristics of 5-eV Absorption Band in Sputter Deposited $\text{GeO}_2$ - $\text{SiO}_2$ Thin Glass Films

Junji NISHII\* (\*Osaka Nat'l. Res. Inst.), Hideo HOSONO\*\* (\*\*Tokyo Inst. Tech.), and Hiroshi KAWAZOE

[*Appl. Phys. Lett.* 64, 282 (1994)]

Thin films (4.6  $\mu\text{m}$  thick) of  $5\text{GeO}_2$ - $95\text{SiO}_2$  and  $55\text{GeO}_2$ - $45\text{SiO}_2$  (mol %) glasses were prepared by rf sputtering method in an  $\text{Ar-O}_2$  atmosphere. An intense absorp-

tion band at around 5eV was distinctly observed in both films after the as-deposited films were annealed at  $350^\circ$  for 30min in a vacuum. A part of this 5-eV band was gradually decreased by UV irradiation. Saturated absorptivity changes ( $-\Delta\alpha_\infty$ ) of the UV bleached component, which is considered to be the origin of Hill gratings [K.O. Hill, Y. Fujii, and B.S. Kawasaki, *Appl. Phys. Lett.* 32, 647 (1978)] and second harmonic generation in  $\text{SiO}_2$  glass fibers doped with  $\text{GeO}_2$ , after a prolonged irradiation were  $50\text{cm}^{-1}$  for  $5\text{GeO}_2$ - $95\text{SiO}_2$  films and  $400\text{cm}^{-1}$  for  $55\text{GeO}_2$ - $45\text{SiO}_2$  films. These values are greater by one or two orders of magnitude than those ( $\sim 2\text{cm}^{-1}$ ) of bulk germanosilicate glasses prepared by the vapor axial deposition method.

### VI—H Effects of Substitution of Bi with Pb in $\text{BaBi}_{1-x}\text{Pb}_x\text{O}_3$ on Crystal Structure and Conduction Behavior

$\text{BaBi}_{1-x}\text{Pb}_x\text{O}_3$  system has been known to show superconductivity in  $0.65 < x < 0.9$ . Crystal structures are modulated upon the substitution due to the twisting of  $\text{Bi(Pb)O}_6$  octahedra. The modulation in crystal structure gives rise to a marked changes in electronic structures of the materials. In this project, effects of the substitution on the chemical state of Bi and on the electrical conduction behavior are studied.

#### VI-H-1 Effect of Oxygen-Deficiency on the Structure and Conduction Behavior of $\text{BaPb}_{0.75}\text{Bi}_{0.25}\text{O}_{3-\delta}$

Takuya HASHIMOTO and Hiroshi KAWAZOE

[*Solid State Commun.* 87, 251 (1993)]

Oxygen-deficiency ( $\delta$ ) and its effect on the structure and electrical properties of  $\text{BaPb}_{0.75}\text{Bi}_{0.25}\text{O}_{3-\delta}$  were studied.  $\delta$  could be controlled from 0.00 to 0.15. It was observed that the crystal symmetry varied with increasing  $\delta$  from orthorhombic ( $\delta = 0.00 \pm 0.005$ ) through tetragonal ( $\delta = 0.09 \pm 0.005$ ,  $0.11 \pm 0.005$ ) and to cubic ( $\delta = 0.15 \pm 0.005$ ). Electrical resistivity increased by increasing  $\delta$ . The superconducting transition was observed at 13K and 10K in the specimens of  $\delta = 0.00 \pm 0.005$  and  $\delta = 0.09 \pm 0.005$ , respectively. In the specimens of  $\delta = 0.11 \pm 0.005$  and  $0.15 \pm 0.005$ , superconductivity was not observed.

#### VI-H-2 Effects of Substitution of Bi with Pb in $\text{BaBi}_{1-x}\text{Pb}_x\text{O}_3$ on Crystal Structure and Conduction Behavior

Takuya HASHIMOTO, Hiroshi KAWAZOE, and Harunari SHIMAMURA\* (\*Tokyo Inst. Tech.)

[*Physica C* 223, 131 (1994)]

The crystal structure of  $\text{BaBi}_{1-x}\text{Pb}_x\text{O}_3$  was investigated by X-ray diffraction. In the range  $0.0 < x < 0.5$ , monoclinic symmetry containing two Bi sites was clearly observed. This suggests that Bi exhibits disproportionation to two different chemical states,  $3+$  and  $5+$ , supplying no electron carrier in the range  $0.0 < x < 0.5$ . As  $x$  increases above 0.5, a continuous phase variation from monoclinic to orthorhombic was observed, which showed good agreement with a continuous variation of the temperature dependence of resistivity and a qualitatively similar X-ray absorption near-edge structure on composition  $x$ . This continuous effect of the substitution of Bi with Pb in  $\text{BaBi}_{1-x}\text{Pb}_x\text{O}_3$  contrasted with the case of the substitution of Ba with K in  $\text{Ba}_{1-x}\text{K}_x\text{BiO}_3$ , which shows a distinct phase transition involving electron carrier generation at  $x \sim 0.35$ .

### VI—I Modulation of Electronic Structure of Complex Oxides of Transition Metallic Ions with Superstructure of Perovskite by Introduction of Excess Positive Holes or Electrons

All of the first transition metallic ions constitute the identical superstructure of perovskite. Number of the filled d electrons on the respective cations changes according to a kind of the cations, and the electronic structure and electrical properties are greatly modulated on going from Sc to Cu. Some of the perovskites are known to show a metal-insulator transition. In this project, studied are changes in electronic structures and electromagnetic properties of complex oxides of the transition metallic cations upon the introduction of excess positive holes or electrons.

#### VI-I-1 Preparation of Oxygen Excess $\text{SrLaFeO}_{4+\delta}$ and Its Electrical and Magnetic Properties

Takahisa OMATA\* (\*Tokyo Inst. Tech.), Kazushige

UEDA\*, Naoyuki UEDA, Motomi KATADA\*\* (\*\*Tokyo Metro. Univ.), Satoru FUJITSU\*\*\* (\*\*Shonan Inst. Tech.), Takuya HASHIMOTO, and Hiroshi KAWAZOE

[*Solid State Commun.* 88, 807 (1993)]

Oxygen excess  $\text{SrLaFeO}_{4+\delta}$  was prepared and the electrical and magnetic properties were measured. Electrical resistivities at room temperature were  $3 \times 10^3$  and  $5 \times 10^1 \Omega\text{-cm}$  for the  $\text{N}_2$ -annealed sample and the sample annealed under oxygen pressure of 20 bar, respectively. The antiferromagnetic Neel Temperature decreased from 355K for the  $\text{N}_2$ -annealed sample to 334K for the sample annealed under oxygen pressure of 20 bar. Fe Mossbauer spectra showed that iron is in the high spin and trivalent state even in the strongly oxidized  $\text{SrLaFeO}_{4+\delta}$ . Discussed are the characteristics of the positive holes introduced by excess oxygens and the effects of the holes on resistivities.

#### VI-I-2 Electrical and Magnetic Properties of Hole-Doped $\text{Sr}_{1+x}\text{La}_{1-x}\text{FeO}_4$

**Takahisa OMATA\*** (*\*Tokyo Inst. Tech.*), **Kazushige UEDA\***, **Hideo HOSONO\***, **Motomi KATADA\*\*** (*\*\*Tokyo Metro. Univ.*), **Naoyuki UEDA**, and **Hiroshi KAWAZOE**

[*Phys. Rev. B* 49, 10194 (1994)]

Hole-doped  $\text{Sr}_{1+x}\text{La}_{1-x}\text{FeO}_4$  ( $0 < x < 0.3$ ) samples were prepared, and electrical transport, magnetic properties and  $^{57}\text{Fe}$  Mossbauer spectra of the resulting materials were measured. The results were compared with those of hole-doped charge-transfer (CT) insulators. The antiferromagnetic Neel temperature decreased from  $\sim 350$  to  $\sim 150\text{K}$  on going from  $x=0.3$ , and dc electrical resistivity at room temperature decreased from  $\sim 10^3 \Omega\text{cm}$  for  $x=0$  to  $\sim 10^0 \Omega\text{cm}$  for  $x=0.3$ . The heavily doped samples showed semiconducting behavior even for  $x=0.3$ . Seebeck voltage measurements demonstrated that all the samples were p-type semiconductors. Although the changes in the mag-

netic properties upon doping were qualitatively similar to the CT insulators such as  $(\text{La,Sr})_2\text{CuO}_4$  and  $(\text{La,Sr})_2\text{NiO}_4$ , the changes in the electrical-transport properties upon doping differed from those in the CT insulators. The origin of these differences is discussed with reference to the changes in the electronic structure upon doping.

#### VI-I-3 Electronic Structure of Hole-Doped $\text{Sr}_{1+x}\text{La}_{1-x}\text{FeO}_4$ Studied by UPS and XAS

**Takahisa OMATA\*** (*\*Tokyo Inst. Tech.*), **Kazushige UEDA\***, **Hideo HOSONO\***, **Takafumi MIYAZAKI**, **Shinji HASEGAWA**, **Naoyuki UEDA**, and **Hiroshi KAWAZOE**

[*Phys. Rev. B* 49, 10200 (1994)]

The electronic structure of  $\text{K}_2\text{NiF}_4$ -type  $\text{Sr}_{1+x}\text{La}_{1-x}\text{FeO}_4$  compounds ( $0 < x < 0.3$ ) was studied by ultraviolet-photoemission and X-ray absorption spectroscopies. Resonant photoemission measurements demonstrated that although the satellite feature of  $\text{Sr}_{1-x}\text{La}_{1-x}\text{FeO}_4$  was attributed to  $d^4$  final states, the whole valence band was constructed from well mixed Fe 3d and O 2p states. It was suggested that the parent  $\text{Sr}_{1+x}\text{La}_{1-x}\text{FeO}_4$  compound is intermediate between Mott-Hubbard and charge-transfer insulators. O K-edge and Fe K-edge X-ray-absorption measurements showed that hole bands composed of O 2p and Fe 3d character were formed in the original conduction-band edge upon hole doping, while the valence-band structure obtained by nonresonant photoemission measurements did not change. Changes in the electrical and magnetic properties were correlated with those in electronic structure.

## VI—J Electronic Structure Design of Wide Gap Conductors and Control of Their Conduction Behavior

Wide gap conductors are interesting materials from scientific viewpoint: the conductivity of the material reaches  $1 \times 10_4 \text{ S} \cdot \text{cm}^{-1}$  irrespective of their wide band gap ( $> 3.1\text{eV}$ ). These are also technologically important as transparent electrodes, gas sensors and semiconductor-catalysts. In the present study it is aimed to give a firm basis of the materials design of wide gap conductors. The ongoing study includes proposition of a working hypothesis for searching new materials, preparation of materials, estimation of physical properties, characterization of electronic structures by spectroscopic methods using synchrotron radiation and energy band calculations.

#### VI-J-1 New Oxide Phase $\text{Cd}_{2(1-x)}\text{Y}_{2x}\text{Sb}_2\text{O}_7$ Pyrochlore with a Wide Band Gap and High Electrical Conductivity

**Kazuhiko YANAGAWA\*** (*\*Waseda Univ.*), **Yoshimichi OHKI\***, **Takahisa OMATA\*\*** (*\*\*Tokyo Inst. Tech.*), **Hideo HOSONO\*\***, **Naoyuki UEDA**, and **Hiroshi KAWAZOE**

[*Jpn. J. Appl. Phys.* 33, L238 (1994)]

It was found for the first time that the electrical conductivity of Y-substituted  $\text{Cd}_2\text{Sb}_2\text{O}_7$ ,  $\text{Cd}_{2(1-x)}\text{Y}_{2x}\text{Sb}_2\text{O}_7$ , with the pyrochlore structure, is larger than at least  $3.3 \times 10^0 \text{ Scm}^{-1}$  and the optical band gap of the sample is wider than 3.5eV. These values indicate that  $\text{Cd}_{2(1-x)}$

$\text{Y}_{2x}\text{Sb}_2\text{O}_7$  pyrochlore has a characteristic of a transparent conductor.

#### VI-J-2 New Oxide Phase $\text{Cd}_{1-x}\text{Y}_2\text{Sb}_2\text{O}_6$ with a Wide Band Gap and High Electrical Conductivity

**Kazuhiko YANAGAWA\*** (*\*Waseda Univ.*), **Yoshimichi OHKI\***, **Naoyuki UEDA**, **Takahisa OMATA\*\*** (*\*\*Tokyo Inst. Tech.*), **Takuya HASHIMOTO**, and **Hiroshi KAWAZOE**

[*Appl. Phys. Lett.* 63, 3335 (1993)]

It was initially found that  $\text{CdSb}_2\text{O}_6$ , in which a small part of the Cd ions was substituted with Y ions, has characteristics of a transparent conductor. The electrical conductivity

at room temperature of the Y-substituted  $\text{CdSb}_2\text{O}_6$  ceramic was larger, by at least  $1 \times 10^0 \text{Scm}^{-1}$ , while that of the non-substituted  $\text{CdSb}_2\text{O}_6$  was smaller than  $10^{-5} \text{Scm}^{-1}$ . The optical band gap of  $\text{CdSb}_2\text{O}_6$  was found to be larger than 4.1eV by the measurements of diffuse reflectance spectra.

### VI-J-3 New Ultraviolet-Transport Electroconductive Oxide, $\text{ZnGa}_2\text{O}_4$ Spinel

Takahisa OMATA\* (\*Tokyo Inst. Tech.), Naoyuki UEDA, Kazushige UEDA\*, and Hiroshi KAWAZOE

[*Appl. Phys. Lett.* 64, 1077 (1994)]

$\text{ZnGa}_2\text{O}_4$  having the spinel structure was prepared, and optical and electrical properties of the material were measured. By the measurements of diffuse reflectance spectra,  $\text{ZnGa}_2\text{O}_4$  was found to have a wider band gap ( $\sim 5\text{eV}$ ) than ITO (indium tin oxide). Electrical conductivity of the  $\text{H}_2$ -annealed ceramic of  $\text{ZnGa}_2\text{O}_4$  was  $3 \times 10^1 \text{Scm}^{-1}$ . Thus the  $\text{ZnGa}_2\text{O}_4$  spinel was found to be a new UV-transparent electronic conductor.

### VI-J-4 Preparation of $\text{Cd}_{1-x}\text{Y}_x\text{Sb}_2\text{O}_6$ Thin Film of Glass Substrate by Radio Frequency Sputtering

Kazuhiko YANAGAWA\* (\*Waseda Univ.), Yoshimichi OHKI\*, Takahisa OMATA\*\* (\*\*Tokyo Inst. Tech.), Hideo HOSONO\*\*, Naoyuki UEDA, and Hiroshi KAWAZOE

[*Appl. Phys. Lett.* 65, 406 (1994)]

$\text{Cd}_{1-x}\text{Y}_x\text{Sb}_2\text{O}_6$  thin films were deposited onto a silica glass substrate by rf sputtering method. The highest conductivity observed for  $\text{Cd}_{0.95}\text{Y}_{0.05}\text{Sb}_2\text{O}_6$  thin films was  $4.1 \times 10^0 \text{Scm}^{-1}$ , with a carrier concentration of  $1.3 \times 10^{20} \text{cm}^{-3}$  and a Hall mobility of  $1.9 \text{cm}^2\text{V}^{-1}\text{S}^{-1}$ . No distinct optical absorption band was observed from the visible to the infrared region. These observations show that  $\text{Cd}_{1-x}\text{Y}_x\text{Sb}_2\text{O}_6$  thin films have characteristics of a transparent conductor, whose transparent wavelength region covers the near infrared.

## VI—K Growth and Characterization of II-VI Compound Semiconductor Thin Film Using Metalorganic Sources

Epitaxial growth at low temperature is essential to control the electrical and optical properties of the layer in the fields of II-VI materials. We believe that photon-assisted growth using metalorganic sources is useful as a low temperature growth technique of these materials. Especially, we consider that a use of synchrotron radiation as a light source for the growth is valuable for the low temperature growth. We have investigated the growth characteristics and the characterization of the grown layer in order to clarify the growth process in the photon-assisted growth.

### VI-K-1 Growth of Low-Resistivity n-Type ZnTe by Metalorganic Vapor Phase Epitaxy

Hiroshi OGAWA\*, Syed Irfan GHEYAS\*, Hitoshi NAKAYAMA\*, Mitsuhiro NISHIO, and Akira YOSHIDA\*\* (\*Saga University, \*\*Toyahashi Univ. of Tech.)

[*Jpn. J. Appl. Phys.* 33, L980 (1994)]

Doping of ZnTe has been carried out by metalorganic vapor phase epitaxy using triethylaluminum as the dopant source. N-type ZnTe layers with a carrier concentration of  $(1-4) \times 10^{17} \text{cm}^{-3}$  and a resistivity as low as 0.1–0.3  $\Omega\text{cm}$  have been obtained. It has been indicated by the photoluminescence measurement that Al is incorporated effectively into the epitaxial layer.

### VI-K-2 Low Temperature Growth of ZnTe by Synchrotron Radiation Using Metalorganic Sources

Makoto IKEJIRI\*, Toshihiro OGATA\*, Hiroshi OGAWA\*, Mitsuhiro NISHIO, and Akira YOSHIDA\*\* (\*Saga University, \*\*Toyahashi Univ. of Tech.)

[*J. Vac. Sci. & Technol.* A12, 278 (1994)]

The use of synchrotron radiation to convert diethylzinc and diethyltelluride molecules into ZnTe has been employed for ZnTe growth. The formation of ZnTe epitaxial layer on (100) oriented GaAs substrate at room temperature is experimentally demonstrated. It is shown by x-ray

photoelectron spectroscopy that no carbon is included in the film.

### VI-K-3 Photoluminescence Properties of ZnTe Layers Grown by Photo-assisted Metalorganic Vapor Phase Epitaxy

Syed Irfan GHEYAS\*, Makoto IKEJIRI\*, Toshihiro OGATA\*, Hiroshi OGAWA\*, and Mitsuhiro NISHIO (\*Saga University)

[*J. Crystal Growth*, in press]

Previous studies on metalorganic vapor phase epitaxy have already demonstrated that lowering the growth temperature unilaterally without facilitating the efficient pyrolysis of source materials only deteriorates the crystal quality and enhances residual impurity inclusion. Photo-assisted metalorganic vapor phase epitaxy allows smoother decomposition of the precursor sources at a relatively low temperature. It is thus expected that this growth procedure has a large effect on the crystalline quality of the epitaxial layers. In this study, effects of light illumination on the photoluminescence properties of ZnTe has been investigated by using epitaxial layers grown with different carrier gases, transport rate of source materials and light sources or by introducing triethylaluminum as a dopant. Free exciton emission can be observed in only the epitaxial layers grown with illumination under  $\text{H}_2$  atmosphere, implying that the illumination is effective for the growth of good quality



ZnTe layers. The illumination strengthens the transition due to exciton bound to donor impurities, namely Cl which is substituted into Te lattice site, at low temperature. These effects are closely related to the use of photons having an energy higher than the bandgap of ZnTe. It seems that the photo-assisted metalorganic vapor phase epitaxy technique also brings about the effective formation of Al donor by suppressing the generation of the complex of Al and Zn-vacancy in the ZnTe epitaxial layer.

#### **VI-K-4 Synchrotron Radiation Excited Growth of ZnTe Using Metalorganic Sources**

**Toshihiro OGATA\***, **Syed Irfan GHEYAS\***, **Makoto IKEJIRI\***, **Hiroshi OGAWA\***, and **Mitsuhiro NISHIO** (\*Saga University)

[*J. Crystal Growth*, in press]

Photons contained in the synchrotron radiation beam have enough energy for the excitation of virtually any metalorganic molecules to valence or even core electronic excitation level. Furthermore, the photon irradiation is expected to enhance the surface migration of adsorbed species and lead to epitaxial growth at low temperature. In this study, the photon-excited growth of ZnTe on the (100) GaAs substrate has been studied at very low pressure of  $\sim 10^{-5}$  Torr using synchrotron radiation as a light source. Diethylzinc and diethyltelluride were used as source materials, while hydrogen was employed as a carrier gas. The epitaxial layer of ZnTe can be obtained even at room temperature. It is expected that the surface excitation plays an

important role in the growth, whereas thermal or gas phase excitation does not contribute. The quantum yield for forming ZnTe molecules by photons is estimated to be higher than 0.7%.

#### **VI-K-5 Construction of the System for a Novel Low-temperature Growth of II-VI Compound Semiconductors Using Synchrotron Radiation**

**Toshihiro OGATA\***, **Makoto IKEJIRI\***, **Syed Irfan GHEYAS\***, **Hiroshi OGAWA\***, and **Mitsuhiro NISHIO** (\*Saga University)

[*Rev. Sci. Instrum.*, in press]

We have demonstrated for the first time the possibility of achieving epitaxial growth of ZnTe even at room temperature using metalorganic reagents. There have been only a few reports on the synchrotron radiation excited growth using metalorganic sources. Thus, it is very valuable to design and build the growth system that allows the systematic investigation of the synchrotron radiation excited growth using metalorganic sources. As a novel application of synchrotron radiation, in this study, we investigate a growth technique for the II-VI compound semiconductors. The growth system suitable for synchrotron radiation excited deposition has been designed and constructed in the beam line, BL4A, at the UVSOR facility. It has been confirmed by using the constructed growth system that this method is useful as a low-temperature growth technique of II-VI compounds.

# RESEARCH ACTIVITIES VII

## Coordination Chemistry Laboratories

Prof. Yutaka Fukuda and Prof. Kiyoshi Sawada and Drs. Hikaru Ichida and Keiichi Sato finished their term in March 1994 in the Laboratory of Synthetic Coordination Chemistry and returned to Ochanomizu Women's University, Niigata University, University of Tokyo, and Niigata University respectively. Their effort during their term is gratefully acknowledged. Prof. Yuzo Yoshikawa and Prof. Hiroshi Nakazawa and Drs. Yasushige Kuroda and Tamotsu Mizuta took the position of Synthetic Coordination Chemistry from April 1994. Prof. Kazuko Matsumoto and Prof. Akira Nagasawa continued their position as Adjunct Prof. of the Laboratory of Coordination Bond. Prof. Yutaka Fukuda and Prof. Jun-ichiro Setsune took the position of Adjunct Prof. of the Laboratory of Complex Catalysis from April 1994.

### VII—A Stereochemistry of Coordination Compounds and Adsorption Phenomena of Various Gases on Inorganic Solids

#### VII-A-1 Stereochemistry of Six-coordinated Silicon Complexes

Yasuharu OHMORI, Yasushige KURODA, Masaaki KOJIMA\*, Hong Ling LIU, Motoyuki TASAKA, and Yuzo YOSHIKAWA (\*Okayama Univ.)

Six-coordinated complexes of silicon(IV) are not very familiar as compared to four-coordinated tetrahedral compounds, a huge amount of which have been already synthesized and investigated in inorganic-, organic-, and industrial-chemistry fields.

We reported the complete optical resolution of  $[\text{Si}(\text{phen})_3]^{4+}$ . The optical resolution of  $[\text{Si}(\text{bpy})_3]^{4+}$  is currently in progress in our laboratory.

The resolution of  $[\text{Si}(\text{phen})_3]^{4+}$  was performed by a chromatographic method using an SP-Sephadex C-25 column. Each enantiomer is so stable in an aqueous solution that the absorption spectrum and optical rotation were unchanged after a month at room temperature. This is the first example of stable (in water) enantiomers of six-coordinated octahedral silicon(IV) complexes. The circular dichroism (CD) spectra were measured. According to exciton theory, the absolute configuration of the faster-moving (+)<sub>589</sub> isomer can be assigned to  $\Lambda$ . Though we have already attempted the resolution of  $[\text{Si}(\text{bpy})_3]^{4+}$  by a chromatographic method, the effective resolution was not attained under the same conditions.

The elution order ( $\Lambda$  moves faster than  $\Delta$ ) of the enantiomers for both the bpy and phen complexes is the same as that observed for the corresponding cobalt(III) complexes.

In general, the chromatographic elution of ionic species largely depends on the degree of electric neutralization, such as the formation of ion pairs. In the present case, it is considered that the formation of the higher ion pairs may play a dominant role in the electric neutralization. Now we are carrying out the force-field calculation of these ion-pair systems in order to elucidate the chromatographic elution mechanism.

This section will be developed to syntheses and stereochemistry of higher-coordinated (5 and/or 6) silicon compounds.

#### VII-A-2 Force Field Calculation of Metal Complex Systems Including Antitumor Platinum(II) Complexes

Toshihito YOSHII\*, Masaaki KOJIMA\*, Koji YUTO, Yuri MIZUNO, and Yuzo YOSHIKAWA (\*Okayama Univ.)

Strain-energy minimization of adducts of the platinum(II) complexes containing 1,2-cyclohexanediamine (DACH) with the sequence  $d(\text{pCpGpAp}) \cdot d(\text{pGpCpTp})$  of a synthetic B-DNA were carried out by using a modification of the MM2 program. (C, G, A, T, and p denote cytidine, guanosine, adenosine, thymidine, and phosphate, respectively.) In result, the *trans*-DACH complex adducts are about 8 kcal/mol more stable than the *cis* one in their total energies.

Platinum complex adducts of 2-(aminomethyl)cyclohexylamine abbreviated as AMCHA were also estimated. In calculations, their *trans*-AMCHA complex adducts are about 3 to 6 kcal/mol more stable than the *cis* ones. The differences are less than that between the DACH ones. In addition, this relaxation of strain was made by the six-membered ring in view of strain energies.

This strain-energy minimization technique will be developed to a series of propeller-type complexes (*trans*-dihalogentetrakis(substituted pyridine)metal(III) ion), photochemical products of tris(L-cysteinesulfinate)cobaltate(III) ion, and dinuclear chromium(III) complexes containing amino acids.

#### VII-A-3 Steric Effect of Phenyl Substituents of Redox Potentials of Cobalt(II) Schiff Base Complexes

Masakazu HIROTSU, Kiyohiko NAKAJIMA\*\*, Masaaki KOJIMA\*, Setsuo KASHINO\*, and Yuzo YOSHIKAWA (\*Okayama Univ., \*\*Aichi Univ. of Educ.)

Cobalt(II) complexes containing tetradentate Schiff base ligands with phenyl substituents were prepared, and the steric effect of the phenyl substituents on the redox potentials was studied. The structure of  $[\text{Co}(\text{7-Phsal}-(\text{rac})\text{-stien})]$  ( $\text{H}_2\text{7-Phsal}-(\text{rac})\text{-stien}$  was derived from 2-hydroxybenzophenone and *rac*-1,2-diphenylethylenedimine) was determined by the X-ray method to show that the phenyl groups

in the N-N chelate moiety are in axial positions. The redox potential of the cobalt(II/III) couple in CH<sub>3</sub>CN for the complex is changed to a more positive value by *ca.* 300 mV than that for [Co(salen)]. The shift is ascribed to the steric effect of the axial phenyl groups.

#### VII-A-4 Dielectric Behaviors in the Inorganic Solid-H<sub>2</sub>O Systems

Yasushige KURODA, Daisuke KATAOKA, Yuzo YOSHIKAWA, Setsuo MORIMOTO,\*\* and Mahiko NAGAO\* (\*Okayama Univ., \*\*Okayama Univ. Science)

Dielectric properties, permittivities, and losses, of the SrF<sub>2</sub>-H<sub>2</sub>O system in which the two dimensional condensation of water occurs were investigated as a function of surface coverage at 298, 273, and 230 K and in the frequency range from 0.1 Hz to 5 MHz. In this system large dielectric dispersions were observed at 298 K, near 0.3 and 15 Hz and in the coverages of 0.13 and 1.26, respectively. Some experiments were planned to elucidate these relaxations. As a result, it is concluded from a heterogeneity of the system, *i.e.*, Maxwell-Wagner type, and not to the orientational polarization of the adsorbed water, namely Debye type. The former polarization is explained by the two-layer model based on the difference in conductances between the electrode-particle and the particle-particle. The conductance varies with the amounts of adsorbed water, and the mechanism of conduction in the adsorbed layer is interpreted in terms of Grotthus' mechanism for the adsorbed water. Moreover, it is found that the two-dimensionally condensed water causes a small change in the conductance. The adsorbed state of water on the SrF<sub>2</sub> surface is discussed on the basis of experimental data.

Similar measurements were also performed at low temperatures (77 to 298 K). The analysis of the dielectric loss curves suggests that the rotation of the water molecules condensed two-dimensionally is expected to be more hindered than that of bulk ligand water, though not so much as in ice.

Such dielectric behavior is also observed in the Cu<sub>2</sub>O-H<sub>2</sub>O and Ag<sub>2</sub>O-H<sub>2</sub>O systems.

#### VII-A-5 Specific Feature of Copper-Ion-Exchanged Mordenite for Dinitrogen Adsorption at Room Temperature

Yasushige KURODA, Yuzo YOSHIKAWA, Shin-ichi KONNO\*, Hideaki HAMANO\*, Hironobu MAEDA\*, Ryotaro KUMASHIRO\*, and Mahiko NAGAO\* (\*Okayama Univ.)

Adsorption properties of copper-ion-exchanged mordenite (CuM) for dinitrogen molecules (N<sub>2</sub>) were examined at 298 K. The intensive IR absorption band observed at 2299 cm<sup>-1</sup> was attributed to the N<sub>2</sub> species strongly adsorbed on CuM. The interaction of N<sub>2</sub> with CuM is explored using adsorption calorimetry, X-ray absorption fine structure (XAFS), and photoemission spectroscopy. The differential heat and entropy of adsorption for N<sub>2</sub> on CuM were 60 kJmol<sup>-1</sup> and 60 JK<sup>-1</sup>mol<sup>-1</sup> at the initial stage of adsorption, respectively, and those for N<sub>2</sub> on NaM (non-copper-mordenite) gave the values of 32 kJmol<sup>-1</sup> and 130 JK<sup>-1</sup>mol<sup>-1</sup>, which revealed that the N<sub>2</sub> molecules interact strongly with CuM to rest in the localized state. The monolayer capacity is estimated to be 4.12 cm<sup>3</sup>g<sup>-1</sup> for N<sub>2</sub> on CuM-150 that gives a value of 0.22 for the N<sub>2</sub>/Cu ratio. XAFS and emission data for CuM degassed at 873 K exhibit a couple of bands at 8.983 and 8.994 keV, and 18700 and 20800 cm<sup>-1</sup>, respectively. The former bands are assigned to the 1s-4p transition and the latter bands to the 3d<sup>9</sup>4s<sup>1</sup>-3d<sup>10</sup> transition. These spectral data are reasonably explained by assuming the presence of Cu(I) species in mordenite. It is proved from the emission data that the adsorption site including Cu(I) species easily formed by heat treatment at 873 K *in vacuo* is effective for N<sub>2</sub> adsorption. Such easy conversion of Cu(II) to Cu(I) may be due to the spatial distribution of ion-exchanged sites on mordenite. The appearance of IR and at 2299 cm<sup>-1</sup> is due to the adsorption of N<sub>2</sub> on the Cu(I) species and to the induction of a transition moment by the strong field of this site. Although a rather high value of heat of adsorption might suggest a chemisorption, it is made plausible that this type of N<sub>2</sub> adsorption is physisorption.

### VII-B Creation of Complexes Containing New Types of Bonds between a Transition Metal and a Phosphorus

The most typical bond fashion between a transition metal and a phosphorus is a dative bond, where the lone-pair electrons on a phosphorus atom are donated to a vacant d orbital of a transition metal. We focus on rather new types of bond fashion between a transition metal and a phosphorus, such as a covalent bond, a multiple bond, and a hypervalent bond. New preparative methods of complexes containing such bonds have been developed and the reactivities of these complexes have been examined.

#### VII-B-1 Reaction of Iron Phosphonate Complexes Containing a Covalent Bond between Iron and Phosphorus and Their Reactivity

Hiroshi NAKAZAWA and Katsuhiko MIYOSHI\* (\*Hiroshima University)

[Council Sci. Inform., in press (1994)]

Reaction of iron and ruthenium chloride complexes with

amino-substituted phosphites are described. Cp(CO)<sub>2</sub>MCl (Cp=η<sup>5</sup>-C<sub>5</sub>H<sub>5</sub>; M=Fe, Ru) reacts with a series of P(NR<sub>2</sub>)<sub>n</sub>(OR)<sub>3-n</sub> in benzene at room temperature to give [Cp(CO)<sub>2</sub>M{P(NR<sub>2</sub>)<sub>n</sub>(OR)<sub>3-n</sub>}]Cl, which is converted into Cp(CO)<sub>2</sub>M{P(O)(NR<sub>2</sub>)<sub>n</sub>(OR)<sub>2-n</sub>} by a thermal reaction. The isolation of the cationic complexes corresponding to the intermediate in the ionic mechanism of the Arbuzov-like dealkylation reaction is achieved by introduction of amino

group(s) on a phosphorus atom. A comparison of spectroscopic data reveals that  $\text{Ru}^{\delta+}\text{-P}^{\delta-}$  polarization is greater than  $\text{Fe}^{\delta+}\text{-P}^{\delta-}$  polarization for both  $\text{M-P}(\text{phosphite})$  and  $\text{M-P}(\text{phosphonate})$  bonds.

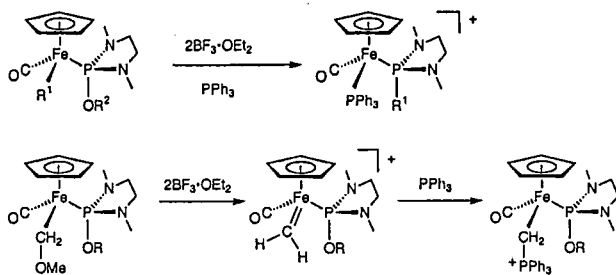
Reaction of  $[\text{CpL}_2\text{Fe}\{\text{P}(\text{NC}_4\text{H}_8)_n(\text{OMe})_{3-n}\}]^+$  with  $\text{KOH}$  gives a phosphonate complex,  $\text{CpL}_2\text{Fe}\{\text{P}(\text{O})(\text{NC}_4\text{H}_8)_{n-1}(\text{OMe})_{3-n}\}$ , with selective deamination. The mechanism proposed is that an  $\text{OH}^-$  nucleophilically attacks the phosphite phosphorus to form a metallaphosphorane complex, followed by the formation of a phosphoryl group with releasing an amino group.

Electronic and steric effect on the Arbuzov-like dealkylation reaction is discussed. Reactivity of iron phosphonate complexes is also described.

### VII-B-2 Migratory Insertion of Phosphorus Ligand into a Transition Metal-Alkyl Bond

Hiroshi NAKAZAWA, Yoshitaka YAMAGUCHI, Tsutomu MIZUTA, Satoshi ICHIMURA\*, and Katsuhiko MIYOSHI\* (\*Hiroshima University)

Treatment of  $\text{Cp}(\text{CO})(\text{R}^1)\text{Fe}\{\text{PN}(\text{Me})\text{CH}_2\text{CH}_2\text{NMe}(\text{OR}^2)\}$  ( $\text{R}^1=\text{Me}$ ,  $\text{CH}_2\text{Ph}$ ;  $\text{R}^2=\text{Me}$ ,  $\text{Et}$ ) with  $\text{BF}_3 \cdot \text{OEt}_2$  and then  $\text{PPh}_3$  yields  $[\text{Cp}(\text{CO})(\text{PPh}_3)\text{Fe}\{\text{PN}(\text{Me})\text{CH}_2\text{CH}_2\text{NMe}(\text{R}^1)\}]^+$ . The reaction proceeds via the formation of cationic phosphonium complex  $[\text{Cp}(\text{CO})(\text{R}^1)\text{Fe}\{\text{PN}(\text{Me})\text{CH}_2\text{CH}_2\text{NMe}\}]^+$ , then a migratory insertion of the phosphonium ligand into the iron-alkyl bond. The reaction of  $\text{Cp}(\text{CO})(\text{CH}_2\text{OMe})\text{Fe}\{\text{PN}(\text{Me})\text{CH}_2\text{CH}_2\text{NMe}(\text{OR})\}$  ( $\text{R}=\text{Me}$ ,  $\text{Et}$ ) with  $\text{BF}_3 \cdot \text{OEt}_2$  proves that the  $\text{OMe}$  on the  $\text{CH}_2\text{OMe}$  ligand is selectively abstracted to give the methylenidene complex. The complex is trapped with  $\text{PPh}_3$  to give a phosphine ylide complex  $[\text{Cp}(\text{CO})(\text{CH}_2\text{PPh}_3)\text{Fe}\{\text{PN}(\text{Me})\text{CH}_2\text{CH}_2\text{NMe}(\text{OR})\}]^+$  which is characterized by X-ray analysis.

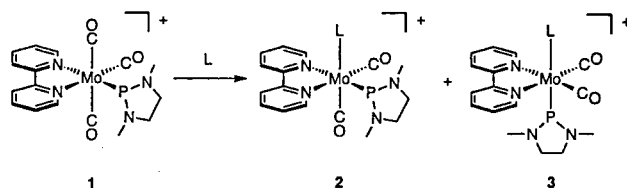


### VII-B-3 Reactivity of Cationic Phosphenium Complexes toward Trivalent Phosphorus Compounds (L). Formation of $[(\text{bpy})(\text{CO})_2\text{M}(\text{phosphenium})\text{L}]^+$ ( $\text{M}=\text{Cr}$ , $\text{Mo}$ , $\text{W}$ ) by the $\text{CO}/\text{L}$ Exchange Reaction, the Geometrical Isomerization, and the X-ray Structure Analyses

Hiroshi NAKAZAWA, Yoshitaka YAMAGUCHI, Tsutomu MIZUTA, and Katsuhiko MIYOSHI\* (\*Hiroshima University)

Cationic phosphenium complexes of group 6 transition metals (**1**) react with trivalent phosphorus compounds ( $\text{L}$ ), such as diamino-substituted phosphite  $\{\text{PN}(\text{Me})\text{CH}_2\text{CH}_2\text{NMe}(\text{OR})\}$  or phosphine ( $\text{PPh}_3$ ) to afford two geometrical

isomers **2** and **3**. The cis isomer (**2**) is an electronically stable complex and the trans isomer (**3**) is a sterically stable complex. The X-ray analysis of  $\text{trans}-[(\text{bpy})(\text{CO})_2\text{-}\{\text{PN}(\text{Me})\text{CH}_2\text{CH}_2\text{NMe}(\text{OMe})\}\text{Mo}\{\text{PN}(\text{Me})\text{CH}_2\text{CH}_2\text{NMe}\}]\cdot\text{OTf}$  showed the existence of double bond character between  $\text{Mo}$  and the phosphenium phosphorus.



### VII-B-4 A Valence Expansion Reaction on a Trivalent Phosphorus Atom in Iron Complexes

Hiroshi NAKAZAWA, Kazuyuki KUBO\*, and Katsuhiko MIYOSHI\* (\*Hiroshima University)

A novel synthetic route for metallaphosphoranes was developed;  $[\text{Cp}(\text{CO})_2\text{Fe}\{\text{P}(\text{OPh})_3\}]\text{PF}_6$  was allowed to react with  $\text{Li}(\text{o-OC}_6\text{H}_4\text{NH}_2)$  to give  $\text{Cp}(\text{CO})_2\text{FeP}(\text{OC}_6\text{H}_4\text{NH}_2)_2$  in good yield (69%). This reaction involves nucleophilic attack of  $\text{OR}$  and  $\text{NH}_2\text{R}$  groups in  $\text{Li}(\text{o-OC}_6\text{H}_4\text{NH}_2)$  on the phosphorus atom followed by release of  $\text{OPh}$  groups. Some other ironphosphoranes are prepared in the similar manner. Berry pseudorotation around the metallaphosphorane phosphorus is discussed.

### VII-B-5 Migration Reaction of a Transition-metal Fragment from a Transition Metal Having a Cp Ring to the Cp Ring

Hiroshi NAKAZAWA, Michiyo KOKKA, and Katsuhiko MIYOSHI\* (\*Hiroshima University)

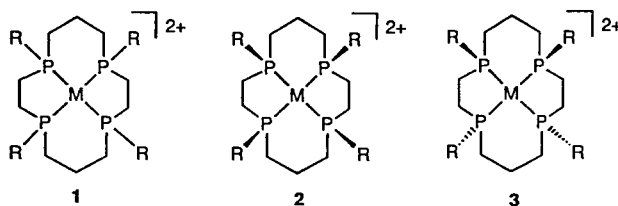
Treatment of dinuclear transition-metal complexes  $[\text{Cp}_2\text{M}_2(\text{CO})_{2n}](\text{M}=\text{Fe}, \text{Ru}, n=2; \text{M}=\text{Mo}, \text{W}, n=3)$  with  $\text{LiN}(\text{i-Pr})_2$  and then  $\text{MeI}$  in THF afforded  $(\eta^5\text{-C}_5\text{H}_4[\text{M}(\text{CO})_n\text{Cp}]\text{M}(\text{CO})_n\text{Me})$ . The reaction indicates that an  $\text{M}(\text{CO})_n\text{Cp}$  fragment migrates from a transition metal to the Cp ring on the metal. The migration reaction was observed not only for homodinuclear complexes but also for heterodinuclear complexes;  $[\text{Cp}(\text{CO})\text{Fe}(\mu\text{-CO})_2\text{Ru}(\text{CO})\text{-Cp}]$ ,  $[\text{Cp}(\text{CO})_2\text{Fe-Mo}(\text{CO})_3\text{Cp}]$ , and  $[\text{Cp}(\text{CO})_n\text{M-Mn}(\text{CO})_5]$  ( $\text{M}=\text{Fe}$ ,  $n=2$ ;  $\text{M}=\text{Mo}$ ,  $\text{W}$ ,  $n=3$ ). For  $\text{Cp}(\text{CO})_2\text{Fe-Mo}(\text{CO})_3\text{Cp}$ , the selective migration of the  $\text{Mo}(\text{CO})_3\text{Cp}$  fragment was observed.

### VII-B-6 Synthesis and Stereochemistry of Transition-metal Complexes with Tetrakisphosphamacrocyclic

Tsutomu MIZUTA, Tsutomu SASAKI\*, Tomoaki YAMASAKI, Hiroshi NAKAZAWA, and Katsuhiko MIYOSHI\* (\*Hiroshima University)

The chemistry of transition-metal complexes with

phosphamacrocyclic still remains to be exploited compared with that of azamacrocyclic metal complexes. We recently succeeded to obtain the complex **1** where R=Me, Et, and M=Ni, Pd, Pt, in the reaction of  $[M(\text{PHR-PHR})_2]^{2+}$  (PHR-PHR=1,2-bis(alkylphosphino)ethane) with 1,3-dibromopropane in the presence of weak base,  $\text{K}_2\text{HPO}_4$ . The employment of the transition-metal template reaction resulted in the selective formation of 2 isomers out of 5 diastereomers. The isomers thus obtained were estimated to have cis (**2**) and trans (**3**) geometry.



## VII—C Structures and Thermodynamics of Ionic and Molecular Ensembles in Solution

The interaction of ion-ion and ion-molecule in solution has been studied for various kinds of systems of complex formation and solvents. The weak interactions such as ion-pair, intermolecular, and solvation, play an important role to determine the general feature of thermodynamics and structures of complexes in solution. The studies of transfer phenomena between two phases are also important to understand the reactions in homogeneous solution.

### VII-C-1 Crystal and Molecular Structures of Pyridine Base Complexes of Cadmium(II) Chloride

Keiichi SATOH, Toshio SUZUKI\*, and Kiyoshi SAWADA (\*Niigata Univ.)

[*Inorg. Chim. Acta*, in press]

Crystal and molecular structures of dichlorobis(pyridine)cadmium(II) (**1**), dichlorobis(3-methylpyridine)cadmium(II) (**2**), dichlorobis(4-methylpyridine)cadmium(II) (**3**), and dichloromono(4-methylpyridine)cadmium(II) (**4**) were determined by means of single-crystal X-ray diffraction method. All crystal were monoclinic. Cadmium atoms of **1**, **2**, and **3** are octahedrally coordinated with four chloride ions in di- $\mu$ -chloro polymeric linear chain and two nitrogen atoms of pyridine base in trans configuration. In **4** cadmium atom is coordinated with five chloride ions in which four chloride ions form di- $\mu$ -chloro polymeric chain and one belongs another polymeric chain and with one nitrogen atom of 4-methylpyridine. The crystal structures indicate the absence of peculiar interaction between polymeric chains such as hydrogen bond.

### VII-C-2 Preconcentration of Cadmium by Column Extraction with Trioctylmethylammonium Chloride and Determination by Graphite Furnace Atomic Absorption Spectroscopy

Kiyoshi SAWADA, Satoshi OHGAKE\*, Michiko KOBAYASHI\*, and Toshio SUZUKI\* (\*Niigata Univ.)

[*Bunseki Kagaku*, **42**, 741 (1993)]

The solvent-extraction system of cadmium with trioctylmethylammonium chloride ( $\text{TOMA} \cdot \text{Cl}$ ) from a chloride solution was applied to the column-extraction preconcentration of cadmium. After elution from the column, the cadmium ion was determined by atomic absorption spectroscopy. The optimum conditions for column extraction were investigated.

One percent of a  $\text{TOMA} \cdot \text{Cl}$  xylene solution was supported on an inorganic resin, the surface of which was

coated by lipophilic silicone. The cadmium ion was collected on the resin supporting the organic phase from sea water, or a 0.5 M NaCl solution acidified by 0.1 M HCl. The cadmium ion was quantitatively collected on one gram of resin from 200 ml of sample solution at a flow rate of 6 ml min<sup>-1</sup>. Most of the diverse ions were separated by this procedure. The loaded cadmium ion was eluted by 1 ml of 0.1 M  $\text{HClO}_4$ . The concentration of cadmium was determined by graphite furnace atomic absorption spectroscopy. A two-hundred-fold concentration of cadmium and separation from diverse ions were achieved much more conveniently compared with batch extraction or by using a chelating resin column. The detection limit of cadmium was obtained as 5 ppt. The method was applied to sea-water samples.

### VII-C-3 Hydration of Poly(oxyethylene) Derivative Complexes of Alkali Metal Ions and Barium Ion in 1,2-Dichloroethane

Yoichi KIKUCHI\*, Mitsuru KUBOTA\*\*, Toshio SUZUKI\*\*, and Kiyoshi SAWADA (\*Iwate Univ., \*\*Niigata Univ.)

[*Bull. Chem. Soc. Jpn*, in press]

The water molecules were coextracted into 1,2-dichloroethane (1,2-DCE) with the ion pairs of various poly(oxyethylene) derivative (POE compound) complexes of alkali metal ions and barium ion with picrate ion. The mean number of water attached to POE compounds,  $X_{\text{H}_2\text{O},\text{S}}$ , and its complex,  $X_{\text{H}_2\text{O},\text{comp}}$ , in water saturated 1,2-DCE was determined by means of aquametry. The value of  $X_{\text{H}_2\text{O},\text{S}}$  increases with the increase in the number of the oxyethylene unit (EO unit) of the POE compound. The value of  $X_{\text{H}_2\text{O},\text{comp}}$  decreases in the order  $\text{Li}^+ > \text{Na}^+ > \text{K}^+ = \text{Rb}^+ = \text{Cs}^+$  in any POE compound systems, and increases with the increase in the number of EO units of the POE compounds for a given metal ion. The water molecules attached to the complex are interpreted by the hydration of the central metal ion, and the hydrated metal ion is surrounded by the EO chain in the complex. The large

number of water molecules are coordinating to the lithium ion complexes and bring about a serious distortion in the structure.

#### VII-C-4 Thermal Stability of Substituted Pyridine Complexes of Cadmium Chloride

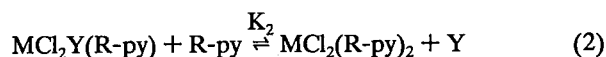
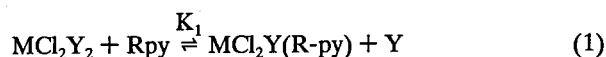
Kiyoshi SAWADA, Keiichi SATOH, Yoshio MASUDA\*, Yasuhiro SHIRAKURA\*, and Toshio SUZUKI\* (\*Niigata Univ.)

The heats of decomposition,  $\Delta H_{\text{dec}}$  of a series of pyridine base (R-py) complexes of cadmium chloride were determined by means of differential scanning calorimetry, where the complexes were bis(pyridine base) complexes,  $\text{CdCl}_2(\text{R-py})_2$ , for pyridine and 4-methyl-, 3-methyl-, 4-acetyl- and 4-cyano-pyridines and mono(pyridine base) complexes,  $\text{CdCl}_2(\text{R-py})$ , for 2-methyl- and 2-ethyl-pyridine. The heats of dissolution of the complexes  $\text{CdCl}_2(\text{R-py})_2$ , cadmium chloride  $\text{CdCl}_2$ , and pyridine bases R-py in non-polar aprotic solvent 1,2-dichloroethane containing tetrabutyl ammonium were determined by a calorimetry. The stability of the crystalline complexes was interpreted by using the heat of dissolution and reaction of the complexes in solution. The increase in the stability of the complexes, i.e.,  $\Delta H_{\text{dec}}$  of the complex, is predominantly attributed to the intermolecular interaction of complex or pyridine base.

#### VII-C-5 Formation and Thermal Decomposition of Mixed-ligand Complexes of Transition Metal Chlorides Containing Substituted Pyridines

Kiyoshi SAWADA, Chikako HONDA, and Keiichi SATOH

The formation of mixed-ligand complexes of divalent first row transition metal chlorides with substituted pyridines has been investigated by the methods of spectrophotometry and solvent extraction in 1,2-dichloroethane as solvent at 25.0°C. The substitution equilibria of tetrahedral metal chlorides with pyridine bases are written as follows:



where M is  $\text{Co}^{2+}$ ,  $\text{Cu}^{2+}$ ,  $\text{Zn}^{2+}$ , or  $\text{Cd}^{2+}$  and R-py is the substituted Pyridine (4-methyl-, 3-methyl-, 2-methyl-, 2-ethyl-, 4-acetyl- and 4-cyanopyridines and pyridine). Y refers to the chloride ion or neutral ligand (TOPO: trioctylphosphine oxide). The values of  $\log K_1$  and  $\log K_2$  show linear correlations with the pKa of the conjugate acids of the substituted pyridines.

Thermal decomposition of the crystals of the substituted pyridine adducts of cadmium chloride,  $\text{CdCl}_2(\text{R-py})_n$ , has been investigated by the methods of TG, DTA, DSC and XRD. The decomposition reaction is written as:  $\text{CdCl}_2(\text{R-py})_4 \rightarrow \text{CdCl}_2(\text{R-py})_2 \rightarrow \text{CdCl}_2(\text{R-py}) \rightarrow \text{CdCl}_2(\text{R-py})_{2/3} \rightarrow \text{CdCl}_2$ .

This scheme was confirmed by the elemental analysis of the compounds decomposed at constant temperatures. The

XRD profiles of the complexes thermally decomposed are essentially same as those of the complexes crystallized from ethanolic solution. The patterns of TG and DTA curves of former complexes is almost the same as those of latter one. Because of the decomposition of the pyridine bases, the copper(II) complexes,  $\text{CuCl}_2(\text{R-py})_n$ , show very complicated thermal decomposition reactions.

#### VII-C-6 Ion-pair of Tetraalkylammonium Picrates

Kiyoshi SAWADA, Fumie CHIGIRA\*, and Keiichi SATOH (\*Niigata Univ.)

Tetraalkylammonium salts have been used as the most common electrolytes for the non-aqueous solvents. The thermodynamic properties of the salts such as partition equilibria, solubility, ion-pair formation etc. have been studied in a variety of solvents. The detailed equilibria or structure of ion-pair, however, are not fully elucidated. The ion-pair formation of symmetric ( $\text{TAA}^n$ ;  $(\text{C}_n\text{H}_{2n+1})^n\text{N}^+$ ,  $n=5-8$ ) and asymmetric ( $\text{TOMA}^+$ ;  $(\text{C}_8\text{H}_{17})_3(\text{CH}_3)\text{N}^+$ ,  $\text{CTMA}$ ;  $(\text{C}_{16}\text{H}_{33})(\text{CH}_3)_3\text{N}^+$ ) tetraalkylammonium ions,  $\text{R}^+$ , with picrate ion ( $\text{Pic}^-$ ) in 1,2-dichloroethane was studied at 25°C by means of conductivity method. Limiting molar conductivity of ions,  $\Lambda_0$ , and ion-pair formation constants,  $K_{\text{ip}}$ , were obtained by the analysis of the conductometric data with Fuoss-Justice Equation. Stokes radius,  $r_s$ , of the tetraalkylammonium ions was estimated from the limiting molar conductivity. The value of  $r_s$  almost linearly correlates with the number of carbon atoms of  $\text{R}^+$ ,  $N_C \cdot r_s$  estimated for asymmetric  $\text{R}^+$  is comparable to that of symmetric  $\text{R}^+$  having a corresponding number of carbon atoms. The closest approach,  $a$ , between the cation and anion of the ion-pair was estimated from the ion-pair formation constant.  $a$  increases steeply by the increase in the number of carbon atoms until  $(\text{C}_3\text{H}_7)_4\text{N}^+$ . The value of  $a$  of higher  $\text{R}^+$  scarcely increases by the increase in the number  $N_C$ . The closest approach of the asymmetric  $\text{R}^+$  is much smaller than that predicted from the number of carbon atoms. By taking into consideration the results of partition equilibria and electronic spectra, the structures of ions and ion-pairs were discussed.

#### VII-C-7 Partition and Dimerization of Ion-pair of Tetraalkylammonium Salts in Xylene

Kiyoshi SAWADA, Tsuyoshi SOHARA\*, and Yoichi KIKUCHI\*\* (\*Niigata Univ., \*\*Iwate Univ.)

The partition equilibria of symmetric ( $\text{TAA}^n$ ;  $(\text{C}_n\text{H}_{2n+1})_4\text{N}^+$ ,  $n=5-8$ ) and asymmetric ( $\text{TOMA}^+$ ;  $(\text{C}_8\text{H}_{17})_3(\text{CH}_3)\text{N}^+$ ) tetraalkylammonium ions,  $\text{R}^+$ , with inorganic anions  $\text{X}^-$  ( $=\text{Cl}^-$ ,  $\text{Br}^-$ ,  $\text{BF}_4^-$ ,  $\text{ClO}_4^-$ ,  $\text{NO}_3^-$ ,  $\text{SCN}^-$ ) into xylene was studied at 25.0°C. The tetraalkylammonium ion in xylene presents in a form of ion-pair,  $\text{R}^+ \cdot \text{X}^-$ , and the ion-pair is partially dimerized as  $(\text{R}^+ \cdot \text{X}^-)_2$ . The distribution constants,  $K_{\text{dist}}$ , and dimerization constants,  $K_{\text{dim}}$ , of the ion-pairs in xylene were determined. By taking into consideration the ion-pair formation constant in organic solvent,  $K_{\text{ip,org}}$ , the distribution constant of ion-pair was interpreted by the partition of dissociated ions of cation and anion. The logarithmic distribution constants corrected for

ion-pair formation,  $\log K_{\text{dist}} - \log K_{\text{ip,org}}$  of each tetraalkylammonium ion linearly correlate to a reciprocal of ionic radius of anion except for  $\text{NO}_3^-$  and  $\text{SCN}^-$ . The values of  $\log K_{\text{dist}} - \log K_{\text{ip,org}}$  of symmetric cation  $\text{TAA}^+$  show good linear correlations with the number of carbon atoms of  $\text{TAA}^+$  except for  $\text{TOMA}^+$  system. The distribution constants of asymmetric ion  $\text{TOMA}^+$  system show considerably large values compared with those of  $\text{TAA}^+$  having a

comparable number of carbon atoms. On the other hand, the dimerization constants of ion-pairs of  $\text{TOMA}^+$  system are considerably smaller than those of  $\text{TAA}^+$ . These peculiar behaviors of ion-pair of asymmetric cation  $\text{TOMA}^+$  were explained by the relatively large ion-pair formation constant caused by the shorter closest approach between  $\text{TOMA}^+$  and anion.

## VII—D Syntheses, Structures and Functions of Chromotropic Complexes

### VII-D-1 Studies on Mixed Chelates. Five Coordinate Cooper(II) Chelates with $\text{N}_2\text{N}_2\text{N}'\text{N}'$ -Pentamethyldiethylenetriamine and $\beta$ -Diketonates

Noriko SHINTANI, Etsuko NUKUI, Kozo SONE (*Ochanomizu Univ.*), Hiroshi MIYAMAE (*Josai Univ.*), and Yutaka FUKUDA (*Ochanomizu Univ. and IMS*)

[*Bull. Chem. Soc. Jpn.*, **67**, 1828 (1994)]

Mixed Cu(II) chelates with the titled ligand (pmdt) and five  $\beta$ -diketonate(dike) ligands, i.e., dipivaloylmethanate(dipm), acetylacetonate(acac), pivaloyltrifluoroacetate(pfac), trifluoro- and hexafluoroacetylacetonate (tfac and hfac, respectively), were prepared and studied. Their general formula,  $[\text{Cu}(\text{dike})(\text{pmdt})]\text{ClO}_4$ , suggests that they are five coordinated; X-ray crystal analyses confirmed that the chelates with acac and tfac are distorted square pyramidal, with the axial site occupied by one of the O atoms of dike. Similar structures are presumed for the remaining chelates on the bases of spectral similarities. In solutions, these five-coordinated chelates are solvated to various extents, showing a characteristic solvatochromism.

### VII-D-2 Synthesis and Properties of the Dinuclear Copper(II) Complexes Containing Dinucleating Ligands with Imidazole Nitrogen and Two Exogenous

#### Bridging Ligands

Yasuko NAKAO, Makoto OONISHI, Tomoko UZU, Hiroko KASHIHARA (*Okayama Univ.*), Shinichiro SUZUKI (*Osaka Univ.*), Masahiro SAKAI, and Yutaka FUKUDA (*IMS*)

[*Bull. Chem. Soc. Jpn.*, **67**, 2586 (1994)]

Dinuclear copper(II) complexes,  $[\text{Cu}_2(\text{nR})\text{X}_2](\text{ClO}_4)_2$  ( $\text{X} = \text{N}_3^-$ ,  $\text{NCS}^-$ , and  $\text{OAc}^-$ ) have been synthesized and characterized, where  $\text{nR} = \text{N}_2\text{N}_2\text{N}'\text{N}'$ -tetrakis[1(1-ethyl-2-benzimidazolyl)methyl]-1,3-diaminopropane(3Me),  $\text{N}_2\text{N}_2\text{N}'\text{N}'$ -tetrakis[(1-ethyl-2-benzimidazolyl)methyl]-1,3-diaminopropane(3Et),  $\text{N}_2\text{N}_2\text{N}'\text{N}'$ -tetrakis[1-methyl-2-benzimidazolyl)methyl]-1,4-diaminobutane (4Me), and  $\text{N}_2\text{N}_2\text{N}'\text{N}'$ -tetrakis[1-ethyl-2-benzimidazolyl)methyl]-1,4-diaminobutane(4Et). Weak antiferromagnetic interactions were found for  $[\text{Cu}_2(3\text{Me})(\text{NCS})_2](\text{ClO}_4)_2 \cdot 3\text{H}_2\text{O}$  (coupling constant  $J = -2.1 \text{ cm}^{-1}$ ,  $H = -2JS_1 \cdot S_2$ ) and  $[\text{Cu}_2(3\text{Me})(\text{OAc})_2](\text{ClO}_4)_2 \cdot \text{H}_2\text{O}$  ( $J = -2.0 \text{ cm}^{-1}$ ), but no exchange interaction has been observed to 4.2 K for  $[\text{Cu}_2(3\text{Me})(\text{N}_3)_2](\text{ClO}_4)_2 \cdot \text{H}_2\text{O}$ . The electrochemistry of these complexes in  $\text{N,N}$ -dimethylformamide showed two electron reductions at +210 — -30 mV vs Ag-AgCl ( $\text{Cu}^{2+} - \text{Cu}^{2+} \rightarrow \text{Cu}^+ - \text{Cu}^+$ ).

## VII—E New Organometallic Catalysts

### VII-E-1 Polymerization of Ethylene Catalyzed by the System, $\text{Ta}(\text{C}_5\text{Me}_5)(\text{diene})(\text{CH}_3)_2/\text{MAO}$ : An Isoelectronic Analogue for a Group 4 Metallocene Catalyst

Kazushi MASHIMA\*, Shinjiro FUJIKAWA\*, and Akira NAKAMURA (\**Osaka Univ.*)

[*J. Am. Chem. Soc.*, **115**, 10990 (1993)]

Utilizing the concept of 14-electron isolobal analogy, novel olefin polymerization catalyst systems involving Group 5 transition metals were found. The basic strategy

here is to generate a 14-electron species from the organometallic complexes containing a cyclopentadienyl and a 1,3-diene ligands, e.g.  $\text{Cp}(\text{diene})\text{MX}_2$ ,  $\text{M} = \text{Nb}$ ,  $\text{Ta}$ . These complexes are 16-electron species which can generate the 14-electron species by abstraction of the anionic ligand X by suitable means. Actually, living polymerization of ethylene was realized for the first time by the catalysis of a system,  $(\text{C}_5\text{Me}_5)(\text{C}_4\text{H}_6)\text{TaCl}_2/\text{Methylaluminoxane}$  in toluene at  $-20^\circ\text{C}$  to give a polymer with very narrow molecular weight distribution,  $M_w/M_n = 1.08$  with  $M_n = 2.55 \times 10^4$ .

## VII—F Straight Linear Metal-Metal Bonded Complexes

### VII-F-1 New Synthetic Strategy for a Straight Linear Metal-Metal Bonded Tetranuclear Com-

plex, the Pd-Mo-Mo-Pd System Supported by Four Tridentate 6-(Diphenylphosphine)-2-pyridonate

## Ligands

Kazushi MASHIMA\*, Hiroshi NAKANO\*, and Akira NAKAMURA (\*Osaka Univ.)

[*J. Am. Chem. Soc.*, **115**, 11632 (1993)]

Simultaneous addition of later transition metal atoms such as Pt and Pd at the both terminal axial sites of the Mo=Mo quadruply-bonded complex, Mo<sub>2</sub>(pyphos)<sub>4</sub>, **1**, was

found to give completely straight linear heterometal complexes. Reduction of the metal-metal bond order at the Mo-Mo part is evidenced by the elongation of the Mo-Mo bond by 0.03 Å upon the addition of two Pt atoms. In contrast to the observed simultaneous addition, an addition of one equivalent of Pd(II) ion on one of the axial site resulted in formation of a trinuclear complex without Mo-Pd bonding.

## VII—G Carbon Dioxide Activation on Metal Complexes and Chemical Simulation of Nitrogen Cycle

Reduction of carbon dioxide has been extensively studied. Although a variety of metal complexes have proven to catalyze electro- and photochemical CO<sub>2</sub> reductions, the products in those reductions are limited to formic acid and carbon monoxide. Development of multi-electron reduction of CO<sub>2</sub> accompanied by carbon-carbon bond formation by homogeneous catalysts is expected to contribute for the utilization of CO<sub>2</sub> as a possible C1 resources for preparations of various chemicals. Assimilatory and dissimilatory reductions of NO<sub>3</sub><sup>-</sup> and NO<sub>2</sub><sup>-</sup> are the key reactions in the nitrogen cycle, which regulates the amounts of inorganic nitrogen compounds on earth. There are long-standing arguments for the precursors to NO evolution during the turnover and N<sub>2</sub>O in the pathway from NO<sub>2</sub><sup>-</sup> to N<sub>2</sub> by nitrite reductases containing iron heme or copper proteins. Nitrite coordinates on Cu with either oxygen (nitrito) or nitrogen (nitro). The catalytic reduction of NO<sub>2</sub><sup>-</sup> via nitrito and nitro-copper complexes may, therefore, afford an fundamental information on enzymatic nitrite reductases. The purpose of this project is to elucidate the mechanisms for the dissimilatory reduction of NO<sub>2</sub><sup>-</sup> and to develop a new catalytic system for the multi-electron reduction of CO<sub>2</sub>.

### VII-G-1 Successive Reduction of CO<sub>2</sub> on a Ruthenium Complex

Kiyotuna TOYOHARA, Hirotaka NAGAO, and Koji TANAKA

Reduction of CO<sub>2</sub> on electrodes takes place at potentials more negative than -2.0 V vs SCE. The redox potential of CO<sub>2</sub>, on the other hand, undergoes anodic shift when protons participate in the CO<sub>2</sub> reduction. The more electrons and protons are involved in the CO<sub>2</sub> reduction, the more the redox potential shift to positive potentials. Thus, the multi-electron reduction of CO<sub>2</sub> is much more favorable thermodynamically compared with two-electron reduction of CO<sub>2</sub>. The multi-electron reduction of CO<sub>2</sub> on metal complexes would compose of several reaction steps. The possible precursors for HCOOH, CO, HCHO, CH<sub>3</sub>OH and CH<sub>4</sub> as the 2, 4, 6, and 8 electron reduction of CO<sub>2</sub> are M-C(O)OH, M-CO, M-CHO, M-CH<sub>2</sub>OH, and M-CH<sub>3</sub> complexes. The molecular structures of the series of metal complexes responsible for those highly reduced products may afford the information on the metal-carbon bonds strength for each step. Along this line, we have determined the molecular structures and ν(Ru-C) bands of a series of Ru metal complexes (Figure 1).

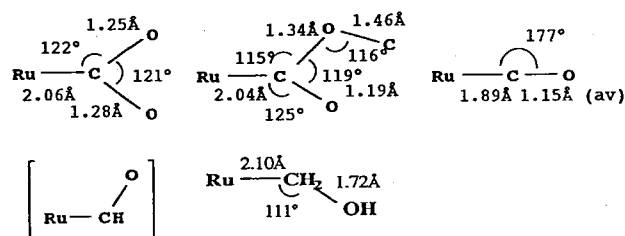


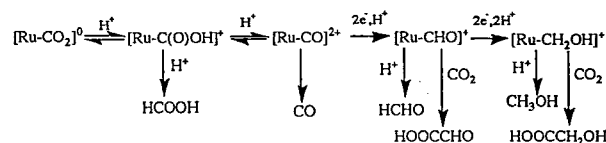
Figure 1. Successive reduction of CO<sub>2</sub> to CH<sub>2</sub>OH on the [Ru(bpy)<sub>2</sub>(CO)]<sup>n+</sup> (n=0, 1) moiety.

### VII-G-2 Carbon-Carbon Bond Formation in Electrochemical Reduction of Carbon Dioxide by a Ruthenium Complex

Hirotaka NAGAO, Tetsunori MIZUKAWA, and Koji TANAKA

[*Inorg. Chem.*, **33**, 3415 (1994)]

A carbonyl ligand of [Ru(bpy)<sub>2</sub>(CO)<sub>2</sub>](PF<sub>6</sub>)<sub>2</sub> (**1**) (bpy=2,2'-bipyridine) and [Ru(bpy)(trpy)(CO)](PF<sub>6</sub>)<sub>2</sub> (**2**) (trpy=2,2':6'2''-terpyridine) is reversibly converted to hydroxycarbonyl and η<sup>1</sup>-CO<sub>2</sub> moieties by treatment with OH<sup>-</sup>. **1** and **2** also react with NaBH<sub>4</sub> to afford CH<sub>3</sub>OH via formyl and hydroxymethyl complexes, and the molecular structure of [Ru(bpy)<sub>2</sub>(CO)(CH<sub>2</sub>OH)]PF<sub>6</sub> (**3**) was determined by X-ray structure analysis. Crystal Data for **3**, monoclinic, space group C2/c, a=34.683(3) Å, b=124.68(1) Å, V=4736(1) Å<sup>3</sup>, Z=8, and R=0.059 (R<sub>w</sub>=0.070) for 2880 data with F<sub>0</sub>>3σ(F<sub>0</sub>). The controlled potential electrolysis of **2** at -1.75 V vs. Ag|Ag<sup>+</sup> in CO<sub>2</sub>-saturated C<sub>2</sub>H<sub>5</sub>OH/H<sub>2</sub>O (8:2 v/v) at -20°C produced HC(O)H, CH<sub>3</sub>OH, H(O)CCOOH, and HOCH<sub>2</sub>COOH together with CO and HCOOH, while the similar electrochemical CO<sub>2</sub> reduction in the presence of **1** gave only CO and HCOOH. The achievement of multi-electron reduction of CO<sub>2</sub> by **2** is ascribed to [Ru(bpy)(trpy)(CHO)]<sup>+</sup> formed by the two-electron reduction of **2** in protic media.

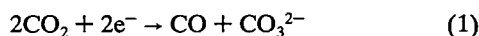




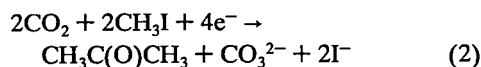
### VII-G-3 Catalytic Formation of Ketones by Double Alkylation of Carbon Monoxide Formed by Reductive Disproportionation of Carbon Dioxide on a Ruthenium Complex

Hiroshi NAKAJIMA, Hirotaka NAGAO, Tetsunori MIZUKAWA, and Koji TANAKA

Electrochemical CO<sub>2</sub> reduction by [Ru(bpy)<sub>2</sub>(L)(CO)]<sup>2+</sup> (L=quinoline derivatives) produces CO and HCOOH in protic conditions. On the other hand, reductive disproportionation reaction of CO<sub>2</sub> affording CO and CO<sub>3</sub><sup>2-</sup> smoothly takes place in the absence of proton source under similar electrochemical CO<sub>2</sub> reduction. (eq 1). Further-



more, the similar electrolysis in the presence of methyl iodide results in the formation of ketones and β-keto acids together with concomitant evolution of ethane. The formation of ketones results from double alkylation of CO formed in the reductive disproportionation of CO<sub>2</sub> (eq 1). The reaction (2) is explained by an acyl intermediate in the catalytic cycle of the CO<sub>2</sub> reduction.

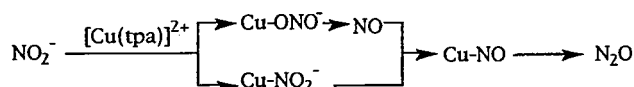


### VII-G-4 Molecular Structure of Copper Nitrito Complexes as the Reaction Intermediate of Dissimilatory Reduction of NO<sub>2</sub><sup>-</sup>

Nobutoshi KOMEDA\*, Hirotaka NAGAO, Gin-ya ADACHI\*, Masatatsu SUZUKI\*\*, Akira UEHARA\*\*, and Koji TANAKA (\*Osaka Univ. \*\*Kanazawa Univ.)

[Chem. Lett., 1521 (1933)]

A controlled potential electrolysis of an aqueous solution (pH 7.0) containing [Cu(tpa)(H<sub>2</sub>O)](ClO<sub>4</sub>)<sub>2</sub> (tpa=tris[2-pyridyl)methyl]amine) and NaNO<sub>2</sub> at -0.40 V (vs. Ag/AgCl) catalytically produced NO and N<sub>2</sub>O. The fact that [Cu(tpa)(ONO)]<sup>+</sup> and [Cu(tpa)(NO<sub>2</sub>)]<sup>+</sup> exist as equilibrium mixtures in solutions indicates that electrochemical reduction of NO<sub>2</sub><sup>-</sup> proceeds via [Cu(tpa)(ONO)]<sup>+</sup> and [Cu(tpa)(NO<sub>2</sub>)]<sup>+</sup>. Removal of the bound- or terminal-oxygen from the Cu-ONO moiety by irreversible protonation upon the electrochemical reduction of the complex probably result in NO dissociation due to the Cu-O bond cleavage or the labile Cu-ON bond. Free NO thus formed will form a nitrogen-bound nitrosyl adduct, [Cu(tpa)(NO)]<sup>+</sup>, which also may be formed in the reduction of [Cu(tpa)(NO<sub>2</sub>)]<sup>+</sup> in H<sub>2</sub>O without the Cu-NO bond cleavage because of the removal of the terminal-oxygen of the nitro ligand. Thus, dissimilatory reduction of NO<sub>2</sub><sup>-</sup> via [Cu(tpa)(ONO)]<sup>+</sup> reasonably explains NO evolution (Scheme 1).



### VII-G-5 Structural Changes in Tris[(2-pyridyl)methyl]amine)-Copper(II) Derivatives by Successive Introduction of Methyl Substituent at the Ortho Position of the Pyridyl Moiety

Nobutoshi KOMEDA\*, Hirotaka NAGAO, Gin-ya ADACHI\*, Masatatsu SUZUKI\*\*, Akira UEHARA\*\*, and Koji TANAKA (\*Osaka Univ., \*\*Kanazawa Univl.)

The reaction of [Cu(tpa)Cl]<sup>+</sup> (tpa=tris[2-pyridyl)methyl]amine) with NO<sub>2</sub><sup>-</sup> produces [Cu(tpa)(ONO)]<sup>+</sup> and [Cu(tpa)(NO<sub>2</sub>)]<sup>+</sup>, and [Cu(tpa)Cl]<sup>+</sup> (tpa=tris[2-pyridyl)methyl]amine effectively catalyzes the reduction of NO<sub>2</sub><sup>-</sup> to N<sub>2</sub>O under the controlled potential electrolysis at -0.40 V (vs Ag/AgCl) in H<sub>2</sub>O (pH 7.0). Successive introduction of methyl group at the ortho position of pyridyl moiety of [Cu(tpa)Cl]<sup>+</sup> causes about by 100 mV positive potential shifts of the redox potentials of the complexes. Such an anodic shift of the redox potentials of the [Cu(Me<sub>n</sub>tpa)Cl]<sup>+ / 0</sup> couple (n=0-3) results in the decrease in the catalytic activity of the reduction of NO<sub>2</sub><sup>-</sup> in the following order: [Cu(tpa)Cl]<sup>+</sup> > [Cu(Me<sub>1</sub>tpa)Cl]<sup>+</sup> > [Cu(Me<sub>2</sub>tpa)Cl]<sup>+</sup> >> [Cu(Me<sub>3</sub>tpa)Cl]<sup>+</sup> ≈ 0. Unexpected anodic shift caused by the introduction of electron donating methyl group into the pyridyl ligand of [Cu(tpa)Cl]<sup>+</sup> may be associated with the changes in the binding modes of the Me<sub>n</sub>tpa ligand in those complexes. Crystal structures of [Cu(tpa)Cl]<sup>+</sup> and [Cu(Me<sub>n</sub>tpa)Cl]<sup>+</sup> (n=1, 2, 3) showed trigonal bipyramidal and square pyramidal structures, respectively. The gradual anodic shift of the redox potentials of square planar [Cu(Me<sub>n</sub>tpa)Cl]<sup>+</sup> (n=1, 2, 3) may correlate with lengthening the bond distances between equatorial N(1-3) and Cu rather than shortening the bond distances between axial N4 and Cu (Figure 1).

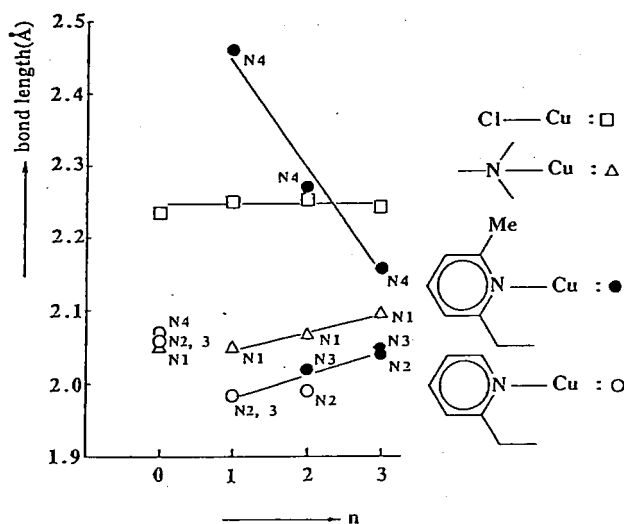


Figure 1. Relevant bond length of [Cu(Me<sub>n</sub>tpa)Cl]<sup>+</sup> (n=0-3)

### VII-G-6 Oxalate Formation in Electrochemical CO<sub>2</sub> Reduction Catalyzed by a Rhodium-Sulfur Cluster

Yoshinori KUSHI, Hirotaka NAGAO, Takanori NISHIOKA, Kiyoshi ISOBE, and Koji TANAKA

A variety of transition metal complexes have been

shown to catalyze electrochemical CO<sub>2</sub> reduction affording CO and/or HCOOH, where metal- $\eta^1$ -CO<sub>2</sub> intermediates are generally believed as the precursors for those products. In this connection, molecular structures of Rh-, Co-, and Ru- $\eta^1$ -CO<sub>2</sub> complexes have been elucidated so far. On the other hand, a CO<sub>2</sub> adduct bonded on bridging sulfur of [Mo<sub>2</sub>Fe<sub>6</sub>S<sub>8</sub>(SEt)<sub>9</sub>]<sup>5-</sup> is proposed as a reaction intermediate in CO<sub>2</sub> fixation to thioesters affording  $\alpha$ -keto-acids. Thus,

not only low valent metals but also electron rich sulfur ligands are the possible sites for the activation of CO<sub>2</sub> by metal complexes. We have found the first successful oxalate generation in a high yield in electrochemical CO<sub>2</sub> reduction catalyzed by [(Cp\*Rh)<sub>3</sub>( $\mu^3$ -S)<sub>2</sub>](BPh<sub>4</sub>)<sub>2</sub> as a homogenous catalyst. A solution IR spectrum evidenced formation of the 1:2 adduct of [(RhCp\*)<sub>2</sub>( $\mu^3$ -S)<sub>2</sub>]<sup>0</sup> with CO<sub>2</sub> as the possible precursor for the oxalate formation.

## VII—H Development of Highly Selective Reactions Using Early Transition Metal Complexes

Highly selective bond formation reactions have been investigated using early transition metal complexes, especially zirconium complexes. Zirconocene alkene or alkyne complexes, zirconacyclopentane, zirconacyclopentene, zirconacyclopentadienes could be used for selective C-C bond formation or selective functionalization.

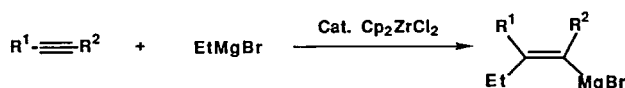
### VII-H-1 Zirconium Catalyzed C-C Bond Formation Reactions of Diynes with EtMgBr

Tamotsu TAKAHASHI, Koichiro AOYAGI, Victor DENISOV, Noriyuki SUZUKI, Danièle CHOUEIRI\*, and Ei-ichi NEGISHI\* (\*Purdue Univ.)

[*Tetrahedron Lett.*, 34, 8301 (1993)]

Carbon-carbon bond formation reactions of diynes with EtMgBr were catalyzed by Cp<sub>2</sub>ZrCl<sub>2</sub>. Carbon-carbon bond formation occurred at C1 carbon of diynes and magnesium-carbon bond was formed at C2 carbon of diynes. This is in sharp contrast to the carbometallation reaction using the Me<sub>3</sub>Al/Cat. Cp<sub>2</sub>ZrCl<sub>2</sub> system which provided carbon-carbon bond at C2 carbon and carbon-aluminum bond at C1 carbon of diynes. The final products, magnesiated enynes easily stereochemically isomerize to give a mixture of (E) and (Z) isomers.

Stoichiometric reactions of diynes with zirconium-ethylene complex gave zirconacyclopentenes with alkynyl substituents at a position with very high regioselectivity. Diynes did not dimerize on "zirconocene equivalent" even at higher temperature in the presence of an excess of diynes. Transmetalation reaction of the zirconacyclopentene compounds with EtMgBr selectively proceeded at alkynyl carbon bonded to zirconium.



### VII-H-2 First Isolation and Characterization of a Zirconocene(methyl)-(ethylene) Complex

Tamotsu TAKAHASHI, Kayoko KASAI, Noriyuki SUZUKI, and Kiyohiko NAKAJIMA\* (\*Aichi Univ. of Education) Ei-ichi NEGISHI\*\* (\*\*Purdue Univ.)

[*Organometallics*, 13, 3413 (1994)]

The first example of a zirconocene(alkyl)(olefin) complex, i.e., (Cp<sub>2</sub>ZrMe)<sub>2</sub>(CH<sub>2</sub>CH<sub>2</sub>), was prepared by the reaction of Cp<sub>2</sub>ZrMe<sub>2</sub> with a zirconocene-ethylene complex, [Cp<sub>2</sub>ZrEt(CH<sub>2</sub>=CH<sub>2</sub>)]MgBr, and the structure of this complex was determined by single-crystal X-ray diffraction

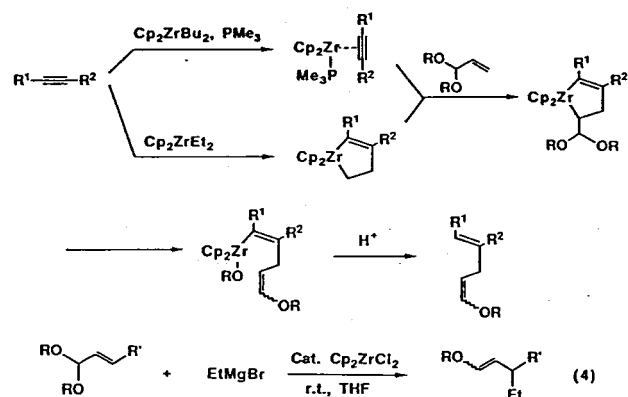
study. The structure of this complex showed one methyl group on each zirconium and an ethylene ligand simultaneously coordinating to the two zirconocene moieties.

### VII-H-3 Zirconium Catalyzed or Mediated Regioselective C-C Bond Formation Reactions of $\alpha,\beta$ -Unsaturated Acetals

Tamotsu TAKAHASHI, Denis Y. KONDAKOV, and Noriyuki SUZUKI

[*Chem. Lett.*, 259 (1994)]

Zirconium-alkyne complexes or zirconacyclopentenes, which were easily prepared in situ from alkynes, reacted with acrolein diethylacetal to afford vinyl ether derivatives. The C-C bond formation proceeded exclusively at  $\beta$ -position of the  $\alpha,\beta$ -unsaturated acetal. Zirconium catalyzed C-C bond formation reactions of  $\alpha,\beta$ -unsaturated acetals with EtMgBr also proceeded at  $\beta$ -position exclusively.



### VII-H-4 Reaction of 3-Zircona-1-cyclopentenes and Zirconacyclopentanes with Aldehydes. A Selective and Convenient Synthesis of 4-Penten-1-ols, (Z)-5-Iodo-4-penten-1-ols, and Related Alkanols

Christophe COPERET\*, Eiichi NEGISHI\*, Zhenfeng XI, and Tamotsu TAKAHASHI (\*Purdue Univ.)

[*Tetrahedron Lett.*, 35, 695 (1994)]

3-Zircona-1-cyclopentenes and zirconacyclopentanes

react with aldehydes at or below 25°C to give the corresponding carbonyl addition products, i.e., 7-membered oxazirconacycles, which can be readily converted to the corresponding alcohols via protonolysis and 5-iodoalcohols via iodinolysis; the latter product can be further converted to 7-membered lactones via Pd-catalyzed carbonylation.

#### VII-H-5 A Reagent-Dependent Highly Chemoselective Halogenation Reaction of Zirconacyclopentenes

Tamotsu TAKAHASHI\*, Koichiro AOYAGI, and Denis Y. KONDAKOV

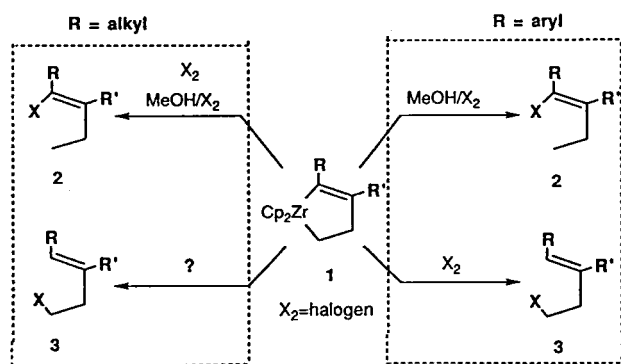
[*J. Chem. Soc., Commun.*, 747 (1994)]

Recently we have reported that the chemoselectivity of a monohalogenation reaction of zirconacyclopentene compounds **1** with  $I_2$  was selective but dependent on their substituents. To the contrary, an excess of MeOH/ $I_2$  method was not dependent on the substituents. This method always afforded trisubstituted alkenyl iodides **2** with a high isomeric purity. Especially the use of an excess of methanol was important to avoid the formation of diiodide as a by-product.

As shown in Scheme 1, when R is aryl group, alkenyl halides **2** are provided by MeOH/ $X_2$  ( $X_2=I_2$  or  $Br_2$ ) and homoallyl halides **3** are obtained by treatment with  $X_2$ . However, when R is alkyl group, both methods,  $X_2$  and MeOH/ $X_2$  give alkenyl halides **2** selectively. Therefore there is no method to prepare homoallylic halides **3** from zirconacyclopentene **1** when R is alkyl. This situation prompted us to develop a new halogenation reagent with an opposite chemoselectivity to  $X_2$ .

In this paper we reported that  $CCl_3Br$  or  $CBr_4$  is a new bromination reagent for some organozirconocene compounds and that this reagent gave a highly chemoselective monobromination product of zirconacyclopentenes (R=alkyl) with an opposite chemoselectivity to NBS or  $Br_2$  which are the usual bromination reagent of organozirconium compounds.

Chemoselective monobromination reactions of 2,3-dialkylzirconacyclopentenes were highly dependent on the reagents; NBS or  $Br_2$  gave alkenyl halides selectively, whereas  $CCl_3Br$  or  $CBr_4$  gave homoallylic halides with a high chemoselectivity.



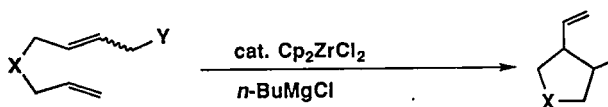
Scheme 1.

#### VII-H-6 Zirconium Catalyzed or Promoted Novel Type of Cyclization Reaction

Tamotsu TAKAHASHI, Denis Y. KONDAKOV, and Noriyuki SUZUKI

[*Organometallics*, **13**, 3411 (1994)]

Intramolecular cyclization reactions of  $YCH_2CH=CHCH_2XCH_2CH=CH_2$  ( $X=CH_2$ , NPr or NPh;  $Y=PhO$  or MeO), which have a terminal double bond and allylic ether moiety, were catalyzed by zirconocene dichloride (10–20 mol%) in the presence of *n*-BuMgCl. Cyclization products, 2-methyl-1-vinylcyclopentane, 4-methyl-1-propyl-3-vinylpyrrolidine and 4-methyl-1-phenyl-3-vinylpyrrolidine were obtained in 60–80% yields. Stoichiometric cyclization with  $Cp_2ZrBu_2$  or  $(C_5H_5Me)_2ZrBu_2$  at room temperature gave the same products in high yields after hydrolysis. When an excess of  $Cp_2ZrBu_2$  was used, the stereoisomerization of *cis* product to *trans* isomer was observed.



#### VII-H-7 Chemoselective Functionalization of Zirconacyclopentenes

Koichiro AOYAGI, Kayoko KASAI, Denis Y. KONDAKOV, Ryuichiro HARA, Noriyuki SUZUKI, and Tamotsu TAKAHASHI

[*Inorg. Chim. Acta.*, **220**, 319 (1994)]

Zirconacyclopentenes, which were readily prepared by the reaction of  $Cp_2ZrEt_2$  with alkynes or by the reaction of vinylsilane with alkynes in the presence of  $Cp_2ZrBu_2$  (Negishi reagent), reacted with iodine to give either stereo-defined alkenyl iodides or homoallylic iodides selectively after hydrolysis. The chemoselectivity of this reaction was strongly dependent on the substituent R group of C2-carbon attached to zirconium. When R was phenyl group, homoallylic iodides were selectively formed. On the other hand, alkyl substituted zirconacyclopentenes reacted with iodine to afford alkenyl iodides selectively. A small amount of diiodides were produced as by-products.

Reactions of zirconacyclopentenes with an excess of MeOH and iodine in this order gave only alkenyl iodides with an excellent selectivities. The formation of diiodides was not detected. This monohalogenation procedure using an excess of MeOH/ $I_2$  was not substituent-dependent in the system used here.

Treatment of alkylsubstituted zirconacyclopentenes with  $CBr_4$  or  $CCl_3Br$  yielded only homoallylic bromides, after hydrolysis, with >99% chemoselectivity. It is in sharp contrast to the reaction with usual bromination reagents such as  $Br_2$  and NBS which led to the selective formation of alkenyl bromides. A sequential treatment of zirconacyclopentenes with  $CBr_4$  and  $I_2$  in this order, a mixed dihalogenation product was selectively formed. Reaction with  $Me_3SnCl$  was not substituent-dependent. The  $sp^3$  carbon attached to Zr selectively reacted with  $Me_3SnCl$  to give homoallyltin

compounds.

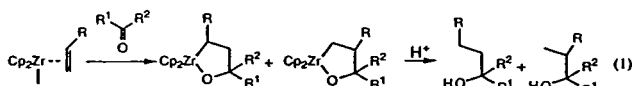
Insertion reaction of isonitrile in the Zr-carbon bond of zirconacyclopentenes were chemoselective but neither substituent-dependent nor reagent-dependent in the system used here.

### VII-H-8 Highly Regioselective Reaction of Zirconocene-alkene Complexes with Aldehydes or Ketones

Noriyuki SUZUKI, Christophe J. ROUSSET, Koichiro AOYAGI, Martin KOTORA, Tamotsu TAKAHASHI, Maki HASEGAWA, Yu NITTO, and Masahiko SABURI

[*J. Organomet. Chem.*, 473, 117 (1994)]

Reactions of zirconocene-alkene complexes  $\text{Cp}_2\text{Zr}(\text{RCH}=\text{CH}_2)(\text{PR}'_3)$  ( $\text{R}=\text{H}$ , alkyl, silyl or aryl) with aldehydes or ketones were investigated. Zirconocene-ethylene, -propylene or 1-butene complexes reacted with aldehydes or ketones at terminal carbons of alkenes to give the corresponding alcohols after hydrolysis with a high regioselectivities. Similar type of reaction product was also obtained by a reaction of a zirconacyclopentane with aldehyde. This reaction proceeded via  $\beta$ - $\beta'$  carbon-carbon bond cleavage of zirconacyclopentanes. Zirconocene-vinylsilane complexes reacted with ketones to give 2,2-di(cyclopentadienyl)-1-oxa-2- zirconacyclopentanes **1** with an excellent regioselectivity. Carbon-carbon bond formation occurred exclusively at terminal carbons of vinylsilanes. Corresponding  $\gamma$ -silylalcohols were obtained after hydrolysis of **1**. Products showed that vinylsilanes reacted with carbonyl compounds at the  $\beta$ -carbon to silyl group. It is in sharp contrast to the conventional reactions of vinylsilanes which normally attacked electrophile at the  $\alpha$ -carbon. The reactions of styrene and its derivatives with pentan-3-one on zirconium gave a mixture of two regioisomers. Substituents of alkenes tend to be in  $\alpha$ -position to Zr in **1**. This orientation showed a different aspect of the formation of **1** from the alkene-alkene coupling reaction on zirconium. The regioselectivity of the reaction with carbonyl compounds decreases in this order;  $\text{R}=\text{alkyl} > \text{silyl} > \text{aryl}$ . Factors which governed regioselectivities were discussed. These reactions proceeded via  $\beta$ - $\beta'$  carbon-carbon bond cleavage of zirconacyclopentanes.



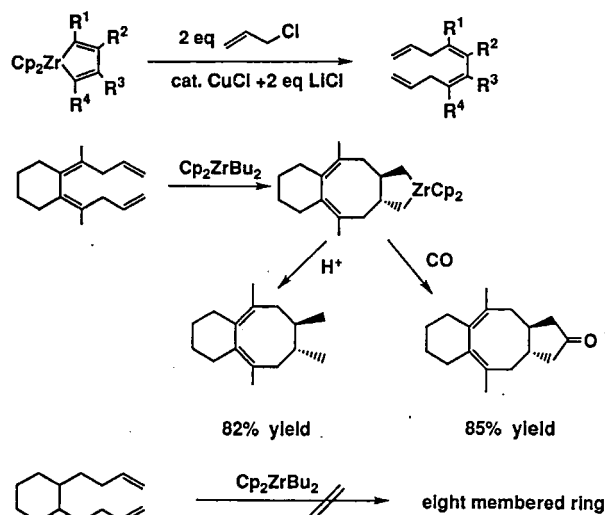
### VII-H-9 Novel Syntheses of Eight-five Fused Ring Compounds from Zirconacyclopentadienes

Tamotsu TAKAHASHI, Martin KOTORA, Kayoko KASAI, Noriyuki SUZUKI, and Kiyohiko NAKAJIMA\* (\*Aichi Univ. of Education)

[*Organoemtallics*, in press]

Zirconacyclopentadienes reacted with 2 equiv of allyl chloride in the presence of either catalytic or stoichiometric amount of  $\text{CuCl}$  and  $\text{LiCl}$  salt (2 eq) to give stereodefined 1,4,6,9-decatetraenes in 66–96% yields. Reaction of

1,4,6,9-decatetraenes obtained here such as 4,5,6,7-tetraethyldeca-1,4,6,9-tetraene with 1 equiv of Negishi reagent ( $\text{Cp}_2\text{ZrCl}_2 + 2 \text{ n-BuLi}$ ) in THF at room temperature for 3 h and the subsequent carbonylation at  $0^\circ\text{C}$  gave eight-five fused ketones such as 3,4,5,6-tetraethylbicyclo[6.3.0]undeca-3,5-dien-10-one in 76% yield.

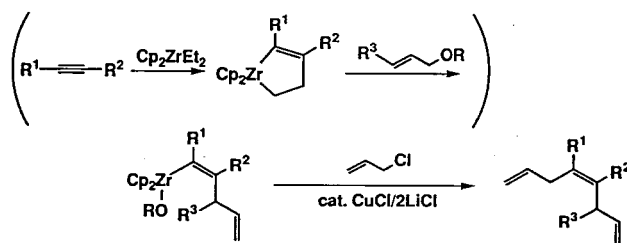


### VII-H-10 Copper Catalyzed C-C Bond Formation Reaction of Allylzirconation Products of Alkynes

Tamotsu TAKAHASHI, Martin KOTORA, Kayoko KASAI, and Noriyuki SUZUKI

[*Tetrahedron Lett.*, 35, 5685 (1994)]

Reaction of allylzirconation products of alkynes with allyl chloride was catalyzed by copper salts to give stereo-defined 1,4,7-trienes.



### VII-H-11 Polar Paths for Reactions of Alkene-Zirconocene Complexes

Ei-ichi NEGISHI\*, Danièle CHOUÉIRY\*, Thinh B. NGUYEN\*, Douglas R. SWANSON\*, Noriyuki SUZUKI, and Tamotsu TAKAHASHI (\*Purdue Univ.)

[*J. Am. Chem. Soc.*, 116, 9751 (1994)]

Although the reactions of alkene-zirconocene derivatives can, in some cases, proceed stereospecifically, they can also undergo stereoisomerization leading to nonstereospecific but highly stereoselective processes, which most likely proceeds via novel dipolar zirconate species. Specifically, (E)- and (Z)-1-phenyl-1,6-heptadienes react with  $(\text{n-Bu})_2\text{ZrCp}_2$  in a stereospecific manner to give the corresponding trans-fused 3-zirconacyclo[3.3.0]octanes. Upon

standing, however, the more stable isomer in which the benzylic H and the adjacent bridgehead H are trans to each other dominates. A much faster stereoisomerization process was observed in the reaction of (Z)- $\beta$ -methylstyrene with  $\text{Et}_2\text{ZrCp}_2$  to give trans-1,1-bis(cyclopentadienyl)-2-phenyl-3-methylzirconacyclopentane. Furthermore, various (Z)-stilbenes, (Z)- $\beta$ -methylstyrene, (Z)- $\beta$ -silylstyrene, and (E)-cyclooctene undergo stereoisomerization catalyzed by  $n\text{-Bu}_2\text{ZrCp}_2$ . This isomerization reaction is an associative process, which is first order in alkenes and second order in

alkene-zirconocene complexes which act as catalysts. Those zirconocene derivatives that cannot be readily converted to alkene-zirconocenes, e.g.  $\text{Me}_2\text{ZrCp}_2$ , do not serve as catalysts. Isomerization of (Z)-PhCD=CHMe gives only its E isomer indicating that the reaction simply involves cleavage of the  $\pi$ -bond. Electron-withdrawing para substituents in stilbenes retard the stereoisomerization process, indicating the involvement of benzyl cationic species and zirconate anions. These polar processes represent yet another novel reaction pattern that  $\text{ZrCp}_2$  derivatives display.

# RESEARCH ACTIVITIES VIII

## Computer Center

### VIII—A Theoretical Studies of Highly Excited Vibrational States in Polyatomic Molecules

Research activities of this year include : (a) semiclassical study of avoided crossing for nonintegrable systems, (b) electronic structure and dynamics of small polyatomic molecules, and (c) development of parallel computational environment for large scale electronic structure calculations. Dr. Takami joined as research associate in Feb. 1993.

#### VIII-A-1 Semiclassical Study of Avoided Crossing

Toshiya TAKAMI

[*Phys. Rev. E*, submitted]

The origin of avoided crossings in nonintegrable systems is investigated in detail by semiclassical methods. It is well known that avoided crossings in nearly integrable systems occur around resonances between tori, i.e., the origin of them is the tunneling trajectory with imaginary action. In the nonintegrable systems like highly excited molecules, however, the origin will be due to real trajectories rather than imaginary ones because the existence of the real one is always guaranteed by the ergodicity.

In order to study the relation between avoided crossings and real trajectories in nonintegrable systems, the trace formula for the stadium billiard system is analyzed quantitatively through Fourier analysis. We introduce a diabatic transformation around avoided crossings and show that the spectral density after the transformation become higher for several short periodic orbits. Further, we carry out the periodic-orbit quantization to study semiclassical reproduction of avoided crossings. From these analysis, we conclude that the origin of avoided crossings in nonintegrable systems is the long and complicated periodic orbits around short and simple ones.

#### VIII-A-2 Rotation Induced Vibrational Mixing in Highly Excited Vibrational States of Formaldehyde II

Mutsumi AOYAGI

The effects of both anharmonic and Coriolis interactions on the intramolecular dynamics in Formaldehyde are investigated quantum mechanically. Variational calculations including all of the vibrational modes, and the rotational modes are performed using the ab initio SDCI potential energy surface. Both time-dependent and time-independent analyses are made on the calculated eigenstates (up to  $12000\text{ cm}^{-1}$ ) to elucidate the role of Coriolis interaction in highly excited vibrational states. We also carried out the analyses of curvature distribution with respect to Hamiltonian parameters both on  $\text{H}_2\text{CO}$  and model Hamiltonian systems; the stadium billiard and the kicked rotator systems. We found that the anharmonic couplings assisted by

b- and c-type Coriolis interactions and extensive K-mixings play a crucial role in the intramolecular dynamics at high energy region ( $E > 7500\text{ cm}^{-1}$ ). We also found that curvature distribution obtained from the  $\text{H}_2\text{CO}$  results shows similar characteristics with one from the stadium billiard system.

#### VIII-A-3 Theoretical Study of the Potential Energy Surfaces and Dynamics of Low-Lying Electronic States of Formyl Radical HCO

Shinkoh NANBU and Mutsumi AOYAGI

Multireference configuration-interaction(MR-CI) calculation for numerous geometrical configurations of HCO and COH are carried out to obtain the global potential energy surfaces for electronic ground and excited states including Rydberg s and p states. The Franck-Condon factors for several electronic transitions are obtained by solving the Schrodinger equation describing the motion of nuclei on the full dimensional potential energy surfaces. Furthermore, theoretical analyses of highly excited vibrational states of HCO and COH are also made by using 3-dimensional DVR method.

#### VIII-A-4 Development of Parallel Direct SCF Program and Applications to Large Scale Molecular Orbital Calculations on Loosely Coupled Networks of Workstations

Satoshi MINAMINO, Shinkoh NANBU, and Mutsumi AOYAGI

We are developing a parallel code of a direct SCF program on loosely coupled networks of workstations, and are applying it to large scale molecular orbital calculations. This program system can be used on several distributed computing tools, including PVM and TCGMSG. Benchmark calculations using 10 CPU of HP9000/735 workstations are carried out to investigate the scalability of this problem. We observed that almost linear scalability was obtained for this problem, and that the load unbalance appeared when some of CPU nodes were used for other jobs. To enhance the total throughput, we are now improving our code by using algorithm called "spool of tasks".

# Chemical Materials Center

## VIII—B Preparation and Properties of Novel Heterocyclic Compounds

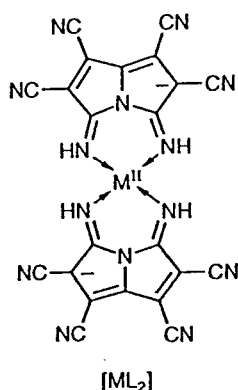
Heterocycles containing sulfur or nitrogen atoms are useful as components of organic conductors since heteroatoms in their rings are helpful to stabilize ions or ion-radical species, and extended  $\pi$ -conjugation reduces Coulombic repulsion. In addition, intermolecular interactions caused by heteroatom contacts can be expected to form unique molecular assemblies. In this project novel electron acceptors and donors based on heterocycles such as 1,2,5-thiadiazole and 1,3-dithiole were synthesized and their properties including those of the charge-transfer complexes or ion-radical salts were investigated. Thiophene derivatives were also prepared and led to new types of polythiophenes by electrochemical oxidation.

### VIII-B-1 Interaction of Bis(1,2,6,7-tetracyano-3,5-dihydro-3,5-diiminopyrrolizinide) Metal Complexes with Phenazine and Derivatives. Crystal Structures of Addition Compounds of the Nickel(II) Complex with Phenazine and 5,10-Dimethyl-5,10-dihydrophenazine

Mario BONAMICO\*, Vincenzo FARES\*, Alberto FLAMINI\*, Nicola POLI\*, Yoshiro YAMASHITA, and Kenichi IMAEDA (\*CNR, Italy)

[*J. Chem. Soc., Dalton Trans.*, 3463 (1993)]

The charge-transfer compounds of the electron-acceptor moiety  $[\text{NiL}_2]$  ( $\text{L}=1,2,6,7\text{-tetracyano-3,5-dihydro-3,5-diiminopyrrolizinide}$ ) and the electron-donating phenazine derivatives [phenazine (phz), 5,10-dimethyl-5,10-dihydrophenazine (dmphz) and N-methylphenazinium cation (mphz)] have been synthesized and characterized. The X-ray crystal structures of  $[\text{NiL}_2] \cdot 2\text{phz} \cdot 2\text{MeCN}$  and  $[\text{NiL}_2] \cdot \text{dmphz} \cdot 2\text{MeCN}$  have been determined. Both compounds are monoclinic, mixed-stacked compounds with planar acceptor and donor moieties. The latter compound showed a semiconductive behavior ( $\sigma=3 \times 10^{-4} \text{ S cm}^{-1}$ ,  $E_a=0.28 \text{ eV}$ ). The cobalt derivative  $[\text{CoL}_2] \cdot \text{dmphz} \cdot 2\text{MeCN}$  has also been synthesized and characterized, which is isomorphous and isostructural with the nickel analogue.

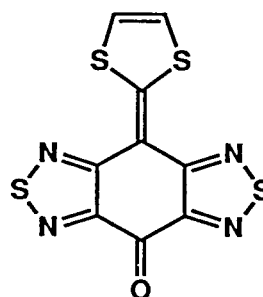


### VIII-B-2 Dithio-derivatives of p-Quinodimethanes Fused with 1,2,5-Thiadiazoles: a Novel Type of $\pi$ -Donor-Acceptor System

Yoshiro YAMASHITA, Katsuhiko ONO, Shoji TANAKA, Kenichi IMAEDA, Hiroo INOKUCHI, and Mizuka SANO\* (\*International Christian Univ.)

[*J. Chem. Soc., Chem. Commun.*, 1803 (1993)]

4H,8H-(1,3-Dithiol-2-ylidene)benzo[1,2-c:4,5-c']bis[1,2,5]thiadiazole-4-one (BTQT) was prepared by a Wittig-Horner reaction of the corresponding dione. The longest absorption maximum was observed at 620 nm (sh) in a KBr disk. The X-ray analysis revealed that the planar molecules are uniformly stacked and the overlap mode is in accord with an effective interaction between the HOMO and LUMO, suggesting that an intermolecular charge-transfer interaction is possible in the crystal. Short interheteroatom contacts result in a novel three-dimensional structure. BTQT showed unusual behavior on measurement of the resistivity of single crystals. The correlation of the current with voltage ( $E$ ) fails to obey Ohm's law. The current increases approximately in proportion to  $E^3$ .



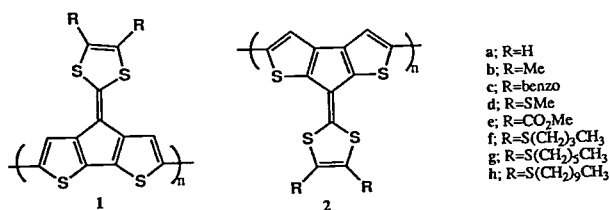
BTQT

### VIII-B-3 Preparation and Properties of Novel Polythiophenes Containing 1,3-Dithiol-2-ylidene Moieties

Masatoshi KOZAKI, Shoji TANAKA, and Yoshiro YAMASHITA

[*J. Org. Chem.*, 59, 442 (1994)]

Novel polythiophenes **1** and **2** were prepared by electrochemical oxidation of the corresponding monomers which were obtained using Wittig-Horner or Wittig reactions. Electrochemically dedoped films of **1** and **2** have interband absorptions at 610–690 nm and 420–590 nm, respectively. Some films exhibited high electrical conductivities with doping, for instance, **1c**  $\cdot (\text{PF}_6^-)_x$ ;  $33 \text{ S cm}^{-1}$ , **1e**  $\cdot (\text{BF}_4^-)_x$ ;  $52 \text{ S cm}^{-1}$ . Derivatives **1f-h**, containing linear alkyl chains, were found to be somewhat soluble in THF and chloroform. MNDO-PM3 calculations showed that the torsion angles between the neighbor monomer fragments in the dimers and trimers are about  $30^\circ$ .

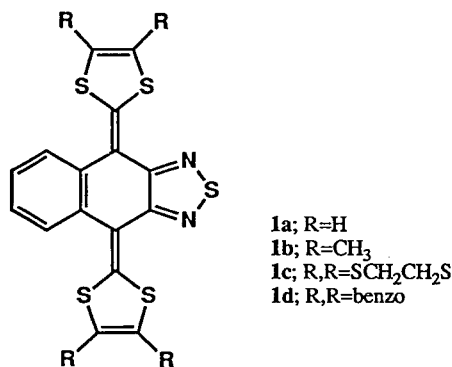


#### VIII-B-4 Nonplanar Bis(1,3-dithiole) Donors Affording Novel Cation Radical Salts

Yoshiro YAMASHITA, Katsuhiko ONO, Shoji TANAKA, Kenichi IMAEDA, and Hiroo INOKUCHI

[*Adv. Materials*, 4, 295 (1994)]

Although a lot of donors and acceptors giving organic conductors are known, most of them are planar molecules. In contrast, bis(1,3-dithiole) compounds **1** are butterfly-shaped molecules owing to steric interactions between the peri-hydrogens and the S atoms. The X-ray structural analysis of the neutral molecule **1d** revealed that the deformed molecules are uniformly stacked with the good overlap between molecules. The cyclic voltammogram of **1** showed one-stage two-electron oxidation waves. This fact indicates that the cation radicals are thermodynamically unstable and undergo disproportionation very easily in solution. The dibenzo derivative **1d** gave the PF<sub>6</sub> and ClO<sub>4</sub> salts of the cation radical as single crystals on electrochemical oxidation. The electrical conductivity of the PF<sub>6</sub> salt at room temperature was fairly high (8.3 S cm<sup>-1</sup>).



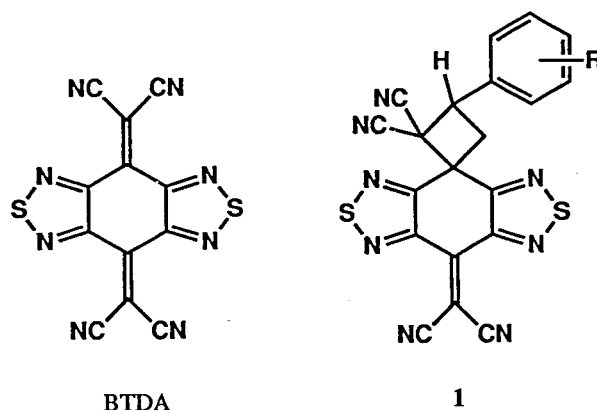
#### VIII-B-5 An Absolute Asymmetric Synthesis of the [2+2] Cycloadduct via Single Crystal-to-Single Crystal Transformation by Charge-Transfer Excitation of Solid-State Molecular Complexes Composed of Vinylbenzenes and Bis[1,2,5]thiadiazolotetracyanoquinodimethane

Takanori SUZUKI\*, Takanori FUKUSHIMA\*, Yoshiro YAMASHITA, and Tsutomu MIYASHI\* (\*Tohoku Univ.)

[*J. Amer. Chem. Soc.*, 116, 2793 (1994)]

The title electron acceptor, BTDA, formed weak electron donor-acceptor (EDA) complexes with aryl olefins such as styrene (ST) and divinylbenzenes (DVs). Upon charge-transfer (CT) excitation of these complexes in MeCN, the [2+2]-type cycloadducts **1** were formed via a

single electron transfer. Similar cycloaddition reactions were efficiently induced when the solid-state molecular complexes of BTDA and aryl olefins were irradiated. The reactivity of the o-DV·BTDA crystal was much higher than that in solution and the adduct was formed via the single crystal-to-single crystal transformation. Because of the asymmetric crystal structure of the complex, the optically pure product with 95% ee was obtained from the achiral components without any external chiral source.

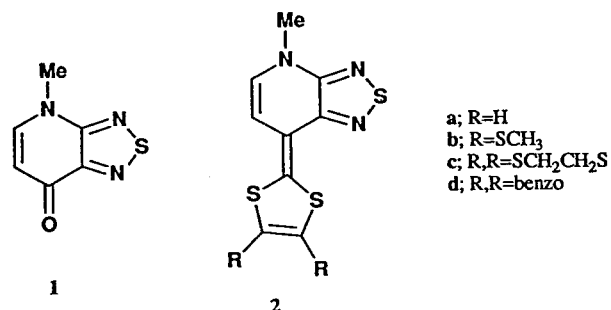


#### VIII-B-6 Preparation and Properties of 7-(1,3-Dithiol-2-ylidene)-4-methyl-4,7-dihydro[1,2,5]thiadiazolo[3,4-b]pyridines: Novel Donor Molecules Containing a 1,2,5-Thiadiazole Unit

Katsuhiko ONO, Shoji TANAKA, Kenichi IMAEDA, and Yoshiro YAMASHITA

[*J. Chem. Soc., Chem. Commun.*, 899 (1994)]

A pyridone derivative **1** was obtained by methylation of the corresponding chloropyridine followed by hydrolysis. New donors **2** were prepared by using a Wittig-Horner reaction in 27–55% yields. The absorption maxima were observed around 510 and 490 nm, which are regarded as intramolecular CT bands. The parent compound **2a** was sublimed to give a single crystals as red needles. X-Ray structure analysis reveals that the crystal structure is constructed of a set of four columns which interact with each other by S---S contacts. The oxidation potentials of the donors are lower than that of TTF and they formed highly conducting complexes with TCNQ.



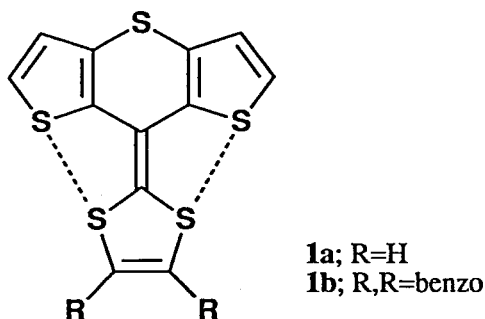


### VIII-B-7 2-(Thiopyran-4'-yliden)-1,3-dithioles Fused with Thiophene Units: Intramolecular S---S Interaction Affecting the Redox Properties and Molecular Geometries

Takanori SUZUKI\*, Tomoo SAKIMURA\*, Shoji TANAKA, Yoshiro YAMASHITA, Hiroaki SHIOHARA\*, and Tsutomu MIYASHI\* (\*Tohoku Univ.)

[*J. Chem. Soc., Chem. Commun.* 1431 (1994)]

Cyclic voltammograms of the title compounds **1a,b** showed two pairs of reversible waves corresponding to the two-stage one-electron oxidation. Although the first oxidation potential is almost the same as that of TTF, the difference between the first and second oxidation potentials is very small, indicating the reduced Coulombic repulsion. The X-ray structure analysis of **1b** shows the nonplanar geometry due to the repulsive contacts between S atoms (av. 3.06 Å). In contrast, the cation radical is endowed with the molecular planarity in spite of the shorter S---S contacts (av. 2.87 Å). Ab initio calculations indicate a considerable increase in atomic charge on thiophene S atoms in the cation radical state, suggesting that the positive charge is delocalized through the short S---S contacts.

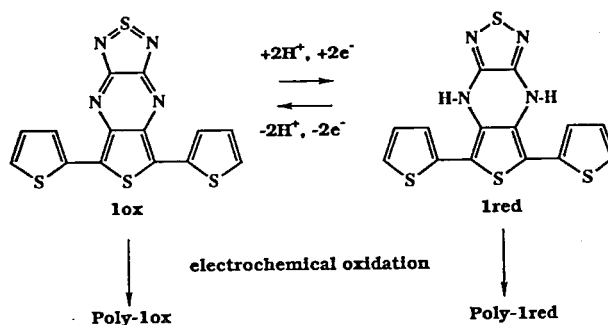


### VIII-B-8 Novel Heterocyclic $\pi$ -Conjugated Polymers Possessing Dihydropyrazine-pyrazine Multi-stage Redox System

Shoji TANAKA, Masaaki TOMURA, and Yoshiro YAMASHITA

Heterocyclic  $\pi$ -conjugated polymers changing band structure upon redox reactions have a large potential for application as molecular electric wire with switching function. As a model of such 'switching' polymers, we have synthesized novel mixed oligomers **1ox**, **1red** and their polymers possessing dihydropyrazine/pyrazine redox center. In order to clarify the switching behavior of this system, the electronic properties of the oxidized and reduced forms have been investigated. The AM1 calculations for the oligomers suggested that the oxidized form **1ox** has a remarkably small HOMO-LUMO gap compared to the reduced form **1red** due to the more efficient  $\pi$ -electron delocalization over the ring system. The spectral and electrochemical data support this prediction. The lowest energy absorption maxima of **1ox** and **1red** appear at 990 nm and 350 nm, respectively. The cyclic voltammograms revealed that the oligomer **1ox** has a highly amphoteric redox property ( $E_{p,a}$  of

+0.87 V and  $E_{p,c}$  of -0.19 V vs. SCE), while **1red** exhibits only oxidation peaks ( $E_{p,a}$  of +0.80 V vs. SCE). As in the case of the oligomers, the electronic features of the corresponding polymers strongly depend on the redox states of the pyrazine part. The electronic spectrum of **poly-1ox** in the dedoped state exhibits an interband absorption in near IR region and the onset is below ~0.5 eV (the absorption edge can not be determined clearly because of the intense absorption of the ITO electrode itself), making it one of the lowest bandgap polymers reported to date. The cyclic voltammograms of **poly-1ox** show both oxidation and reduction waves; the electrochemical band gap determined from the thresholds for p-type and n-type doping is about 0.3 eV. In contrast **poly-1red** was electrochemically active only in the p-doping region. These findings suggest that this system can be a promising candidate for redox switching materials.



### VIII-B-9 Synthesis, Structure, and Properties of Unsymmetrical Tetrathiafulvalene Derivatives Fused with 1,2,5-Thiadiazole Ring

Masaaki TOMURA, Shoji TANAKA, and Yoshiro YAMASHITA

In order to enhance intermolecular interactions in organic conducting solid by S...N and S...S heteroatom contacts, unsymmetrical tetrathiafulvalene (TTF) derivatives fused with a 1,2,5-thiadiazole ring were synthesized by a reaction of a 1,3-dithiol-2-one derivative fused with a 1,2,5-thiadiazole ring with various 1,3-dithiole-2-thione derivatives in the presence of trimethyl phosphite in toluene. The first oxidation potentials of TDA-TTF, DMTTDA-TTF, and EDTTDA-TTF (vs. SCE, in acetonitrile) were +0.69, +0.73, and +0.74 V, respectively. Electrolytic oxidation of EDTTDA-TTF with *n*-Bu<sub>4</sub>NClO<sub>4</sub> as an electrolyte in tetrahydrofuran gave (EDTTDA-TTF)ClO<sub>4</sub> as a black crystal. Electrical conductivity of the salt at room temperature was 1.6×10<sup>-3</sup> S cm<sup>-1</sup>. The X-ray crystallographic analysis of DMTTDA-TTF indicated that a coplanar two-dimensional network was formed by short S...N and S...S contacts in the crystal, as shown in Figure 1. Crystal data for DMTTDA-TTF are: monoclinic, *P*2<sub>1</sub>/*n*, *a*=7.923(5), *b*=12.690(3), *c*=13.366(3) Å,  $\beta$ =93.86(3)°, *V*=1340.8(8) Å<sup>3</sup>, and *Z*=4.

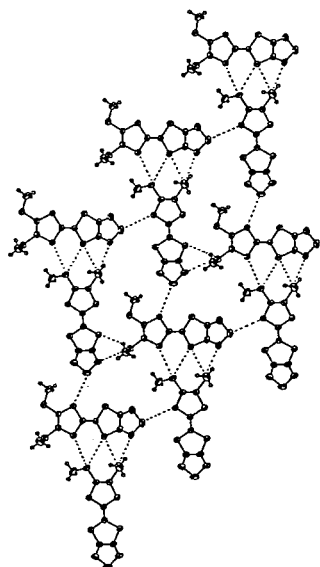
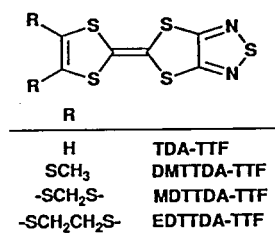


Figure 1. The two-dimensional network in the crystal of DMTTDA-TTF. The dotted lines show short intermolecular S...N and S...S contacts.

## Instrument Center

### VIII—C Studies of Solvated Metal Clusters

Solvated metal ions and metal cluster ions afford a particularly interesting collection of systems for study because they bridge the gap between bare, isolated ions and ionic solids and electrolyte solutions. From the point of view of cluster chemistry, the questions of charge delocalization, the formation of solvation shells, and the interaction of solvent with metal surfaces appear especially attractive.

In this project we investigate the photodissociation and photoelectron spectroscopies of various kinds of solvated metal atom (ion) clusters to unveil the microscopic aspect of solvation.

#### VIII-C-1 Photodissociation Process of $\text{Ca}^+(\text{H}_2\text{O})_n$ ( $n=1-6$ )

Masaomi SANEKATA, Fuminori MISAIKU, and Kiyokazu FUKU

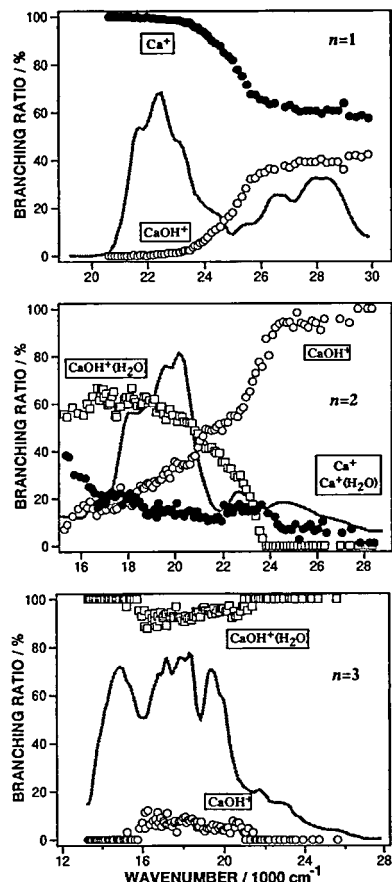
Photodissociation processes of size-selected  $\text{Ca}^+(\text{H}_2\text{O})_n$  ( $n=1-6$ ) were examined in the wavelength region from 335 nm to 1440 nm using a reflectron mass spectrometer. The dissociation process of  $\text{Ca}^+(\text{H}_2\text{O})_n$  was found to be not only the simple evaporation of water molecules but also the photoinduced intracuster reaction including the hydrogen atom elimination. The dissociation spectra obtained by plotting the total yield of fragment ions as a function of wavelength exhibit large redshift as large as  $18000\text{ cm}^{-1}$  for  $n=6$ . The spectra are assigned to the  $^2P-^2S$  transition mainly localized on the metal ion. In contrast to  $\text{Mg}^+(\text{H}_2\text{O})_n$ ,<sup>1)</sup> the amount of redshifts increases monotonically in the range

from  $n=1$  to 6. These results seem to be consistent with the prediction of the Monte Carlo calculations, which suggests that the first shell around the  $\text{Ca}^+$  ion closes with five and/or six water molecules.<sup>2)</sup> Figure 1 shows the branching ratio of the  $\text{Ca}^+(\text{H}_2\text{O})_n$  ( $n=1-3$ ) photodissociation as a function of the photolysis energy. As for  $n=1$ , the evaporation process is predominant in the lower excited states ( $\Pi$ -type), while the reactive fraction becomes substantially large in the higher excited state ( $\Sigma$ -type). These features in reactivity are marked contrast with those for  $\text{Mg}^+(\text{H}_2\text{O})$ ; in the latter case, the reaction to produce  $\text{MgOH}^+$  is the dominant process exhibiting no excitation energy dependence. For  $n=2$  and larger clusters, the reaction becomes the predominant process in the whole energy region examined. The large difference in the photodissociation processes of hydrated  $\text{Mg}^+$  and  $\text{Ca}^+$  ions are ascribed to the difference in the electronic structures of these ions; the  $^2D$  state of  $\text{Ca}^+$  lies between the

$^2P$  and  $^2S$  states and is deeply involved in the photodissociation process.

#### References

- 1) F. Misaizu, M. Sanekata, K. Fuke, and S. Iwata, *J. Chem. Phys.* **100**, 1161 (1994).
- 2) E. Kochanski and E. Constantin, *J. Chem. Phys.* **87**, 1661 (1987).



**Figure 1.** Branching ratios of the evaporation and reaction products in the photodissociation of  $\text{Ca}^+(\text{H}_2\text{O})_n$  ( $n=1-3$ ) plotted as a function of photon energy. No evaporation product is detected for the  $n=3$  photodissociation. The solid lines show the photodissociation spectra of each clusters obtained by plotting the total yield of the fragment ions.

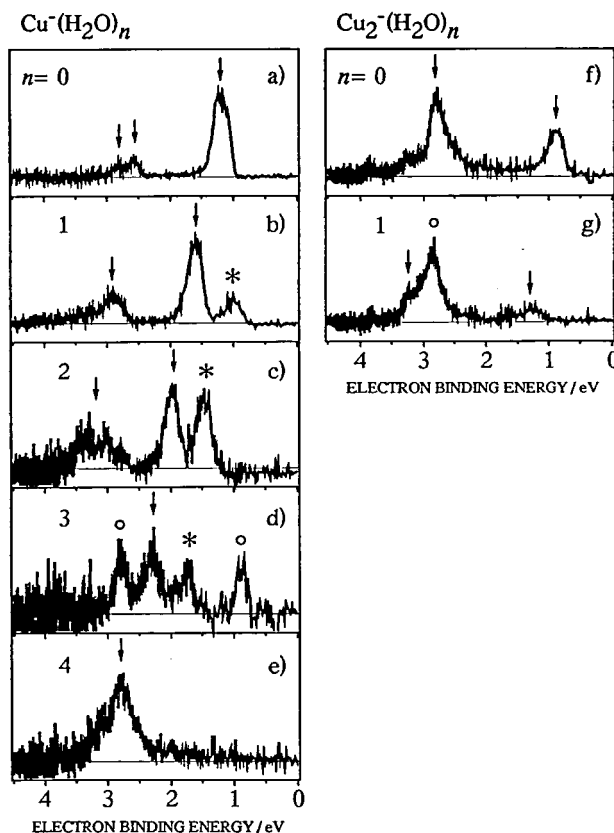
#### VIII-C-2 Photoelectron Spectroscopy of Mass-Selected Copper-Water Cluster Negative Ions

Fuminori MISAIZU, Keizo TSUKAMOTO, Masaomi SANEKATA, and Kiyokazu FUKU

[*Laser Chem.*, in press]

Negative-ion photoelectron spectroscopy has been applied in order to obtain size dependent information about the electronic structures of clusters of metal atoms solvated with polar molecules. In the present work we have investigated the photoelectron spectra of  $\text{Cu}^-(\text{H}_2\text{O})_n$  cluster ions with  $n=0-4$  and also those of  $\text{Cu}_2^-(\text{H}_2\text{O})_n$  with  $n=0$  and 1. Cluster negative ions were directly produced by laser vaporization and were mass selected in a time-of-flight (TOF) mass spectrometer. After decelerating the ions by a potential switching method, the negative ions were irradiated with a detachment laser: the fourth harmonic of a Nd:YAG

laser, 266 nm, was used for the detachment. Detached electrons were collected by a magnetic bottle photoelectron spectrometer and the photoelectron spectra were obtained by the TOF measurement of the electrons. Figure 1 shows the photoelectron spectra of  $\text{Cu}^-(\text{H}_2\text{O})_n$  and  $\text{Cu}_2^-(\text{H}_2\text{O})_n$ . The ground state of the  $\text{Cu}^-$  ion is in the  $^1S(3d^{10}4s^2)$  state and thus the  $s$  and  $d$  electron detachments to produce  $^2S(3d^{10}4s^1)$  and  $^2D(3d^94s^2)$  states of the Cu atom were observed at 1.23 and 2.7 eV in the photoelectron spectrum, respectively. In the spectra of  $\text{Cu}^-(\text{H}_2\text{O})_n$ , the lowest energy bands were assigned to the electron detachment from the  $\text{CuOH}^-(\text{H}_2\text{O})_{n-1}$  which were produced in the source together with the above cluster ions. The observed bands for  $\text{Cu}^-(\text{H}_2\text{O})_n$  were all assigned to the transitions to the states originating in the ground  $^2S$  and first excited  $^2D$  states of the Cu atom. From the peak positions observed in the photoelectron spectra we have determined the hydration energies for the  $\text{Cu}^-(\text{H}_2\text{O})_n$  ions. The hydration energies were found to be almost constant at 0.5–0.6 eV for  $n=1-4$ . These values are almost consistent with those of hydrated halide negative ions by considering the electrostatic interaction between the atomic negative ion and the permanent dipole of water. As for the hydrated  $\text{Cu}_2^-$  ion, we have found that the hydration energy (ca. 0.4 eV) is slightly larger than that for  $\text{Cu}^-(\text{H}_2\text{O})$  (0.35 eV). This difference is considered to be mainly due to the stabilization of the dimer negative ions as a result of polarization.



**Figure 1.** Photoelectron spectra of  $\text{Cu}^-(\text{H}_2\text{O})_n$  ( $n=0-4$ ) and  $\text{Cu}_2^-(\text{H}_2\text{O})_n$  ( $n=0$  and 1) with detachment wavelength at 266 nm (4.66 eV). Asterisks in b)–d) show the bands from the  $\text{CuOH}^-(\text{H}_2\text{O})_n$  ions. For the spectra of  $\text{Cu}^-(\text{H}_2\text{O})_3$  (d) and  $\text{Cu}_2^-(\text{H}_2\text{O})$  (g), the bands from coexisting ions which were not discriminated by pulsed mass gate are shown by circles.

### VIII-C-3 Photoelectron Spectroscopy of Mass-Selected $\text{Na}^-(\text{H}_2\text{O})_n$ Ions

Fuminori MISAIZU, Keizo TSUKAMOTO, Masaomi SANEKATA, and Kiyokazu FUKE

[*Surface Rev. and Lett.*, in press]

The clusters consisting of an alkali metal atom solvated by polar molecules are considered as a model of bulk solvated electron systems. Recently, Hertel et al. and our group were measured the ionization potentials of such clusters and obtained the results indicating that the ion-pair state which is a counterpart to the bulk hydrated electrons may be stabilized at fairly small number of solvent molecules for the  $\text{Na}(\text{H}_2\text{O})_n$  and  $\text{Cs}(\text{H}_2\text{O})_n$  clusters.<sup>1,2)</sup> In order to examine the electronic structure of the clusters more directly and explicitly, photoelectron spectroscopy of the  $\text{Na}^-(\text{H}_2\text{O})_n$  ions is investigated in the present study.

Figure 1 shows photoelectron spectra of  $\text{Na}^-(\text{H}_2\text{O})_n$  cluster ions obtained with detachment wavelength at 355 nm for  $n=0$  and 1, and at 266 nm for  $2 \leq n \leq 7$ . The spectrum of  $\text{Na}^-$  (Fig. 1(a)) consists of two bands peaked at 0.55 and 2.65 eV. These bands correspond to the transitions of  $\text{Na}(^2S) \leftarrow \text{Na}^-(^1S)$  and  $\text{Na}(^2P) \leftarrow \text{Na}^-(^1S)$ , respectively. The photoelectron spectra of  $\text{Na}^-(\text{H}_2\text{O})_n$  with  $n$  up to 7 all exhibit two-band features analogous to that of  $\text{Na}^-$ , though the electron binding energies at the peaks, i.e., vertical detachment energies (VDEs), increase gradually with increasing  $n$ . This observation implies that the valence electron of the sodium atom in the clusters is still localized on the atom and that the detachment energy simply increases as a result of hydration. As for the electronic states of the neutral  $\text{Na}(\text{H}_2\text{O})_n$ , we detected the excited states which originate from  $\text{Na}(^2P)$  and no other state assignable to the ion-pair state like  $\text{Na}^+(\text{H}_2\text{O})_n^-$ , which is expected to correspond to the solvated electron in the bulk solution. In contrast, the VDEs plot vs.  $(n+1)^{-1/3}$  (the variable is inversely proportional to the cluster radius) shows that the VDEs linearly increase with decreasing  $(n+1)^{-1/3}$  and can be extrapolated

to the value of 3.2 eV at  $(n+1)^{-1/3}=0$ , i.e.,  $n=\infty$ . This value corresponds to the photoelectric threshold for bulk hydrated electrons. This observation implies that ground electronic states of the  $\text{Na}^-(\text{H}_2\text{O})_n$  negative ion clusters with  $n$  more than a few water molecules may already have a nature of bulk hydrated electrons.

#### References

- 1) I. V. Hertel, C. Huglin, C. Nitsch and C. P. Schulz, *Phys. Rev. Lett.*, **67**, 1767 (1991).
- 2) F. Misaizu, K. Tsukamoto, M. Sanekata and K. Fuke. *Chem. Phys. Lett.*, **188**, 241 (1992).

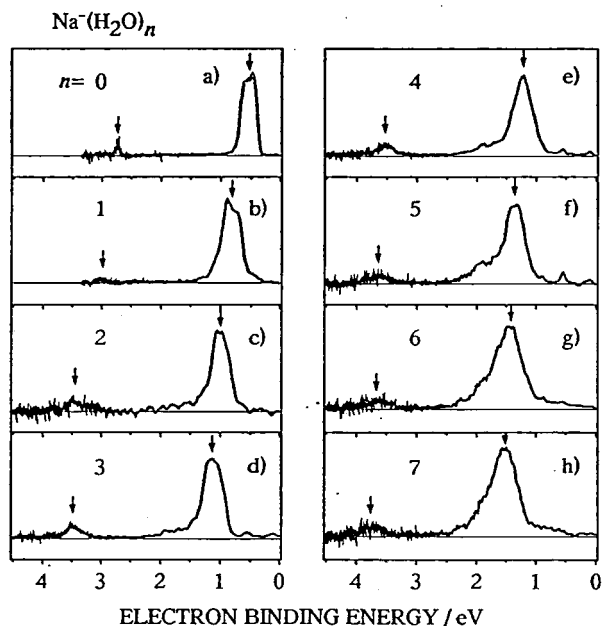


Figure 1. Photoelectron Spectra of  $\text{Na}^-(\text{H}_2\text{O})_n$  obtained with detachment wavelengths at 355 nm (3.49 eV) for  $n=0$  and 1 and at 266 nm (4.66 eV) for  $n=2-7$ . Peak positions of the lower energy band in each spectrum for  $n \geq 2$  are reproduced within an error of 0.10 eV in the spectra obtained with detachment wavelength at 355 nm.

## VIII—D Studies on Hypervalent Molecular Clusters

Electron delocalization in finite clusters has been the subject of intensive studies. Various clusters such as negatively-charged cluster ions, clusters containing neutral alkali atoms, etc., have been investigated in relation to this topic. Recently, we extended these studies to the clusters containing a Rydberg radical such as  $\text{NH}_4$ . This radical is isoelectronic with an alkali atom, and thus its solvated clusters may serve as a new model to get further insight into the fundamental interaction of electrons with polar solvent molecules.

### VIII-D-1 Electronic Structure and Stability of $\text{NH}_4(\text{NH}_3)_n$ Clusters

Kiyokazu FUKE, Ryozi TAKASU, and Fuminori MISAIZU

[*Chem. Phys. Lett.*, **229**, 597 (1994)]

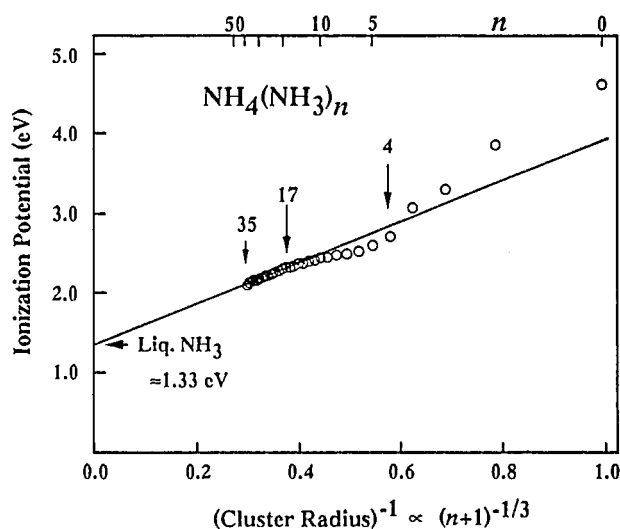
In the present work, the electronic structure and stability of  $\text{NH}_4(\text{NH}_3)_n$  were studied by one-photon ionization and time-of-flight (TOF) mass spectroscopy.  $\text{NH}_4(\text{NH}_3)_n$  were formed by the photolysis of jet-cooled ammonia clusters with an ArF excimer laser at 193 nm. Clusters were photo-ionized by a dye laser and mass-analyzed by a reflectron

TOF mass spectrometer. The lifetimes of these clusters were estimated by measuring the intensity of  $\text{NH}_4^+(\text{NH}_3)_n$  as a function of the pump-probe time delay. The photoionization thresholds for  $\text{NH}_4(\text{NH}_3)_n$  ( $n=0-35$ ) were determined by recording the mass spectra produced with various photon energies; 4.76–2.07 eV (260–600 nm). The results of IPs are summarized in Figure 1. From the results on IP as well as the successive binding energies of  $\text{NH}_4^+(\text{NH}_3)_n$  determined by high-pressure mass spectroscopy,<sup>1)</sup> we estimated the binding energies of  $\text{NH}_4(\text{NH}_3)_n$  for  $n$  up to 6. These results show that the clusters have much larger binding energies than that for ammonia itself and their bonding

character is to some extent ionic. The lifetime ( $\tau$ ) of  $\text{NH}_4$  is reported to be on the order of 10 ps, but those for clusters are found to be elongated extensively;  $1 \mu\text{s} < \tau < 10 \mu\text{s}$  for  $n \leq 4$  and  $\tau > 130 \mu\text{s}$  for  $n \geq 5$ . The enhanced stability for the large clusters may be ascribed to the increasing ionic character in the ground state. As seen in Fig. 1, the IPs for  $\text{NH}_4(\text{NH}_3)_n$  decrease more or less monotonically with decreasing  $(n+1)^{-1/3}$  to a limit coincides with the estimated IP of an excess electron solvated in bulk ammonia (ca. 1.4 eV). This trend in IPs is similar to that found previously for the Cs-ammonia system.<sup>2)</sup> These results are discussed in relation to the electron localization modes in these clusters.

#### References

- 1) M. Meot-Ner (Mautner) and C. V. Speller, *J. Phys. Chem.* **90**, 6616 (1986).
- 2) F. Misaizu, K. Tsukamoto, M. Sanekata and K. Fuke, *Chem. Phys. Lett.* **188**, 241 (1992).



**Figure 1.** Ionization potentials of  $\text{NH}_4(\text{NH}_3)_n$  ( $n=0-35$ ) plotted versus  $(n+1)^{-1/3}$ . The estimated errors for the present IPs are  $\pm 0.03$  eV. The result of the least-squares fitting for  $n=15-35$  is also shown. The extrapolated value to  $(n+1)^{-1/3}=0$  ( $n \rightarrow \infty$ ) is 1.33 eV. The correlation coefficient of the fitted line,  $r$ , is 0.987.

#### VIII-D-2 Photoionization Study of $\text{NH}_4(\text{NH}_3)_m(\text{H}_2\text{O})_n$

Ryozo TAKASU, Kiyokazu FUKU, and Fuminori MISAIZU

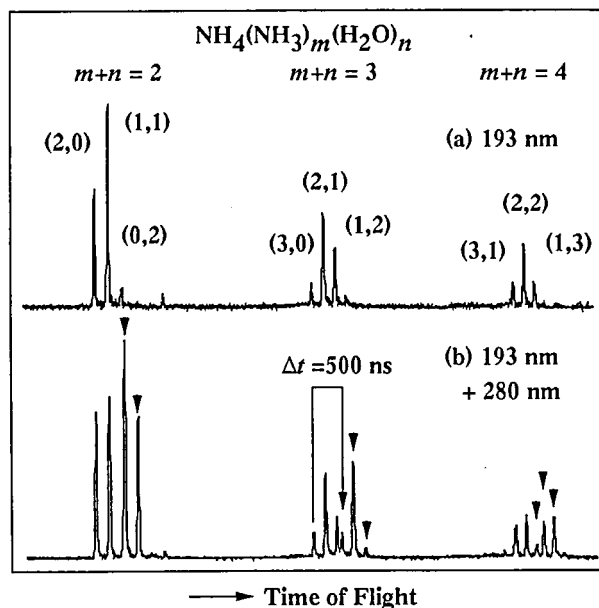
[*Surface Rev. and Lett.*, in press]

$\text{NH}_4(\text{NH}_3)_m(\text{H}_2\text{O})_n$  was studied by one-photon ionization and time-of-flight (TOF) mass spectroscopy. The clusters were produced by an ArF excimer laser photolysis of ammonia-water binary clusters formed by expanding the

He gas bubbled through a heated liquid ammonia-water. Figure 1a shows the photoionization mass spectrum of  $\text{NH}_4(\text{NH}_3)_m(\text{H}_2\text{O})_n$  produced by the sequential absorption of two 193-nm photons. On the other hand, Fig. 1b shows the mass spectrum obtained with the 280-nm photon as the ionization light source; the delay time between the photolysis and ionization lasers is 500 ns. These results indicate that  $\text{NH}_4$  radical is also stabilized in the ammonia-water binary clusters. The IPs of these clusters were determined by measuring the photoionization efficiency curves. As for  $\text{NH}_4\text{-H}_2\text{O}$ , the IP is estimated to be 4.07 eV and is higher than that for  $\text{NH}_4\text{-NH}_3$  (3.88 eV). Using the binding energy of  $\text{NH}_4^+\text{-H}_2\text{O}$  determined by high-pressure mass spectroscopy (0.89 eV<sup>1)</sup>) as well as the IP of  $\text{NH}_4$ , the binding energy of  $\text{NH}_4\text{-H}_2\text{O}$  is estimated to be 0.34 eV. The IPs of  $\text{NH}_4(\text{NH}_3)_m(\text{H}_2\text{O})_n$  show a clear trend; the clusters with the same solvation number  $k$  ( $k=m+n$ ), the IPs decrease monotonically with increasing number of  $\text{NH}_3$  molecules. This trend is ascribed to the large binding energy of  $\text{NH}_4^+\text{-NH}_3$  (1.08 eV<sup>1)</sup>) comparing with that for  $\text{NH}_4^+\text{-H}_2\text{O}$ . The trend in IPs within the same  $k$  seems to indicate that  $\text{NH}_3$  binds directly to  $\text{NH}_4$ .

#### Reference

- 1) M. Meot-Ner (Mautner) and C. V. Speller, *J. Phys. Chem.* **90**, 6616 (1986).



**Figure 1.** (a) Typical TOF mass spectrum of protonated ammonia-water binary clusters obtained by two-photon of ArF excimer laser at 193 nm. (b) TOF mass spectrum at delay time of 500 ns between the pump (193 nm) and the probe (280 nm) lasers.

### VIII—E Collisional Relaxation and Chemical Reaction Dynamics of the Excited Group IIB Metal Atoms

The collisional quenching and chemical reaction of electronically excited metal atoms have been the subject of extensive studies because of its importance in photophysics and photochemistry. In the present project we seek to obtain more detailed information about the reaction dynamics of the excited group IIB metal atoms.

### VIII-E-1 Nascent Internal State Distributions of $\text{ZnH}(X^2\Sigma^+)$ Produced in the Reactions of $\text{Zn}(4^1P_1)$ with Simple Alkane Hydrocarbons

Hironobu UMEMOTO, Shigeru TSUNASHIMA, Hiroyuki IKEDA, Kazuto TAKANO, Kazuya KUWAHARA, Kei SATO (*Tokyo Inst. of Tech.*), Keiichi YOKOYAMA (*J. Atomic Energy Res. Inst.*), Fuminori MISAIZU, and Kiyokazu FUKU

[*J. Chem. Phys.* **101**, 4803 (1994)]

The reactions of  $\text{Zn}(4^1P_1)$  with  $\text{CH}_4$ ,  $\text{C}_2\text{H}_6$ ,  $\text{C}_3\text{H}_8$ , and  $\text{C}(\text{CH}_3)_4$  were studied by employing a laser pump and probe technique. The nascent rotational and vibrational state distributions of  $\text{ZnH}(X^2\Sigma^+)$  produced in the reaction of  $\text{Zn}(4^1P_1)$  and alkane hydrocarbons were determined. The rotational distributions of  $\text{ZnH}(X^2\Sigma^+, v'=0)$  could be approximated by Boltzmann distributions. The corresponding Boltzmann temperatures decreases with the size of the hydrocarbons.  $\text{ZnH}(X^2\Sigma^+)$  was also vibrationally excited. As summarized in Table 1, the distributions for the complex alkanes such as  $\text{C}(\text{CH}_3)_4$  resembled to the statistical ones, while those for simple alkanes such as  $\text{CH}_4$  were a little hotter than the statistical ones. These results suggest that the reaction proceeds via relatively long-lived insertive com-

plexes. The quantum yield of  $\text{ZnH}$  was the largest for  $\text{CH}_4$ . This suggests the presence of C-C bond cleavage for heavier alkanes.

Table 1. Vibrational state distributions of  $\text{ZnH}(X^2\Sigma^+)$  produced in the reactions of  $\text{Zn}(4^1P_1)$  with alkanes

Alkane		$v'=0$	$v'=1$	$v'=2$	$v'=3$
$\text{CH}_4$	experimental	1.0	0.8	0.4	0.12
	prior	1.00	0.57	0.32	0.17
	RRKM	1.00	0.53	0.27	0.13
$\text{C}_2\text{H}_6$	experimental	1.0	0.6	0.3	0.10
	prior	1.00	0.38	0.14	0.05
	RRKM	1.00	0.39	0.15	0.05
$\text{C}_3\text{H}_8$	experimental	1.0	0.5	0.2	0.07
	prior	1.00	0.3	0.08	0.02
	RRKM	1.00	0.31	0.09	0.02
$\text{C}(\text{CH}_3)_4$	experimental	1.0	0.3	0.1	0.03
	prior	1.00	0.22	0.05	0.01
	RRKM	1.00	0.19	0.03	0.01

## VIII—F Studies of Ultrafine Particles

To study the size effect of electron spin ordering and transportation properties, various kinds of ultrafine particles are produced by a newly developed Laser pyrolysis technique. Furthermore, the synthesis mechanism of ultrafine particles by the Laser pyrolysis and the catalytic activity of Mo-based nanoparticles are studied.

### VIII-F-1 Properties of Crystalline $\text{CoS}_2$ Nanoparticles Produced by $\text{CO}_2$ Laser Pyrolysis

S. BANDOW, W.-T. LEE\*, R. OCHOA\*, M. HOLDEN\*, Xiang-Xin BI\*\*, Y. MARUYAMA and P. C. EKLUND\* (\*Center for Applied Energy Research, University of Kentucky, \*\*Massachusetts Institute of Technology)

$\text{CoS}_2$  nanoparticles were produced by the laser driven pyrolysis of  $\text{C}_5\text{H}_5\text{Co}(\text{CO})_2$  with  $\text{H}_2\text{S}$ . The production rate was only 50 mg/hr, because of the low vapor pressure of this precursor. Other Co-carbonyl precursors were found to be too reactive, spontaneously reacting with the  $\text{H}_2\text{S}$  without laser assistance. X-ray diffraction indicated the particles were primarily  $\text{CoS}_2$  with a very small admixture of  $\beta\text{-Co}$ . Transmission electron microscopy showed that the particles were indeed single crystals with an average diameter of 5 nm. Bulk  $\text{CoS}_2$  is ferromagnetic with a Curie temperature of 122 K. To study the magnetism in these nanoparticles, we have measured the heat capacity by an adiabatic method between 60 and 180 K, and no sign of the magnetic transition was detected.

### VIII-F-2 Investigation of the Intercalation of Rb into $\text{MoS}_2$ Nanoparticles

S. BANDOW, W.-T. LEE\*, R. OCHOA\*, M. HOLDEN\*, Y. MARUYAMA and P. C. EKLUND\* (\*Center for Applied Energy Research, University of

Kentucky)

$\text{MoS}_2$  nanoparticles (ca. 8 nm in diameter) were first produced by the laser driven pyrolysis of vapors of  $\text{Mo}(\text{CO})_6$  with  $\text{H}_2\text{S}$ .  $\text{C}_2\text{H}_4$  was admixed with these reactants for use as a passive power absorbing gas to couple the  $\text{CO}_2$  laser radiation into the reactant gas stream. The as-synthesized  $\text{MoS}_2$  nanopowder was then intercalated with Rb by placing these components in opposite ends of a sealed and evacuated glass ampoule which was then heated in a furnace. X-ray diffraction and TEM showed that the host  $\text{MoS}_2$  was indeed single crystal nanoparticles, although many particles exhibited stacking faults along the  $c$ -axis. Raman scattering and conduction electron spin resonance CESR were used ex situ to monitor particle sintering and the Rb-intercalation process. The latter proceeds rapidly at low temperatures (ca. 140 C) due to the high crystallinity and nanoscale size of the host particles. Superconductivity has been observed in bulk  $\text{Rb}_{0.3}\text{MoS}_2$  at 9K. Experiments to determine the superconducting properties of our material are in progress.

### VIII-F-3 Correlation of the Reaction Pressure and Crystalline Phase of Fe-Carbides Produced by $\text{CO}_2$ Laser Pyrolysis

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FeC<sub>x</sub> nanoparticles were produced by the CO<sub>2</sub> laser driven pyrolysis of Fe(CO)<sub>5</sub> with C<sub>2</sub>H<sub>4</sub>. Maintaining all other process parameters fixed (e.g., nozzle dia. 1mm, laser power 100 W, C<sub>2</sub>H<sub>4</sub> flow at 80 sccm), the chamber pressure was increased systematically from 200 to 500 Torr. Under these conditions, the nanoparticles so produced were observed to evolve from  $\alpha$ -Fe at low pressure to Fe<sub>3</sub>C at intermediate pressures, and finally to Fe<sub>7</sub>C<sub>3</sub> at the highest pressures. These results can be explained in terms of the Fe-C phase diagram and the relative rates of diffusion of the Fe-carbonyl and ethylene out of the reaction zone.

#### VIII-F-4 Relative Activity and Selectivity of Nanoscale Mo<sub>2</sub>N, Mo<sub>2</sub>C and MoS<sub>2</sub> Catalysts Prepared by Laser Pyrolysis

R. OCHOA\*, G.T. HAGER\*, W.-T. LEE\*, S. BANDOW, Y. MARUYAMA, P.C. EKLUND\*, F. DERBYSHIRE\* and E. GIVENS\* (\*Center for Applied Energy Research, University of Kentucky)

Nanocrystalline Mo<sub>2</sub>N, Mo<sub>2</sub>C and MoS<sub>2</sub> catalysts with relatively high surface area (63, 75 and 84 m<sup>2</sup>/g, respectively) have been synthesized by Laser Pyrolysis from the reaction of an organometallic precursor (Mo(Co)<sub>6</sub>) with a gaseous reactant (NH<sub>3</sub>, H<sub>2</sub>S and C<sub>2</sub>H<sub>4</sub>). Characterization of these particles by X-ray diffraction and transmission electron microscopy showed them to be monophasic with a narrow particle size distribution (average particle size is 4 nm). The crystalline phases have been identified as 2H-MoS<sub>2</sub>, cubic Mo<sub>2</sub>C and  $\gamma$ -Mo<sub>2</sub>N.

The catalytic activity of these nanoparticles has been investigated for various model compound reactions representative of the main reaction processes occurring during coal liquefaction: aromatic hydrogenation, bond cleavage and heteroatom removal (O, S, N). The results of the activity and selectivity of these catalysts compared to those from the reactions over a molybdenum-promoted sulfated hematite, indicate that the ultrafine particles possess high activity for heteroatom removal with selectivity toward production of aromatics and poor activity for bond cleavage.

### VIII—G Studies of Nanoscale Carbons

Arc-derived nanoscale carbons, single- and multi-wall carbon nanotubes, are synthesized and characterized by Raman spectroscopy and powder X-ray diffraction. Carbon nanocapsules including Sc-carbide are also studied by transmission electron microscopy and powder X-ray diffraction.

#### VIII-G-1 Raman Scattering from Nanoscale Carbons Generated in a Co-Catalyzed Carbon Plasma

J.M. HOLDEN\*, Ping ZHOU\*, Xiang-Xin BI\*, P.C. EKLUND\*, Shunji BANDOW, R.A. JISHI\*\*, K.Das CHOWDHURY\*\*\*, G. DRESSELHAUSE\* and M.S. DRESSELHAUSE\*\* (\*University of Kentucky, Center for Applied Energy Research, \*\*California State University, \*\*\*Massachusetts Institute of Technology)

[*Chem. Phys. Lett.*, 220, 186 (1994)]

Carbonaceous material including nanoscale soot, carbon-coated nanoscale Co particles and nanotubes have been generated from a dc arc discharge between carbon electrodes. Sharp first- and second-order lines are observed in the Raman scattering spectra of the arc-derived carbons only when Co metal is present in the core of the anode. The sharp lines in the Raman spectrum of the Co-catalyzed, arc-derived carbons have not been observed previously in carbonaceous materials and are tentatively assigned to carbon nanotubes on the basis of a zone-folded model for the vibrational spectra of armchair tubules.

#### VIII-G-2 Interlayer Thermal Expansion of Carbon Nanotubes

Shunji BANDOW

The morphological properties of arc derived carbon nanotubes are studied by powder X-ray diffraction. Small interlayer thermal expansion coefficient  $\alpha_c$  compared with that of HOPG (highly oriented pyrolytic graphite) is obtained for carbon nanotubes. The values of  $\alpha_c$  for carbon

nanotube, turbostratic graphite and HOPG at 220 K are  $1.71 \pm 0.04 \times 10^{-5}$ ,  $2.04 \pm 0.06 \times 10^{-5}$  and  $2.58 \times 10^{-5}$  K<sup>-1</sup>, respectively. These values and temperature dependence of  $\alpha_c$  for carbon nanotubes are explained by the turbostratic nature of graphite: Multi-wall carbon nanotubes do not have concentric cylindrical structure but have highly defective rolled paper structure.

#### VIII-G-3 Synthesis of Sc<sub>15</sub>C<sub>19</sub> Crystallites Encapsulated in Carbon Nanocapsules by Arc Evaporation of Sc-C Composite

Yahachi SAITO\*, Mitsumasa OKUDA\*, Tadanobu YOSHIKAWA\*, Shunji BANDOW, Saeki YAMAMURO\*\*, Kimio WAKOH\*\*, Kenji SUMIYAMA\*\* and Kenji SUZUKI\*\* (Mi'e University, \*\*Tohoku University)

[*Jpn. J. Appl. Phys.*, 33, L186 (1994)]

Crystalline of scandium carbides nesting in multilayered, polyhedral graphitic cages (nanocapsules) were produced by evaporating a scandium-graphite composite rod by electric arc discharge in helium gas. The composite particles were characterized by analytical electron microscopy and X-ray diffraction. The encapsulated scandium carbide was identified to be Sc<sub>15</sub>C<sub>19</sub>, instead of dicarbide RC<sub>2</sub> (R represents rare-earth elements) which was the form of carbide commonly found for other rare-earth elements entrapped in nanocapsules. The size of the capsules ranged from about 10 to 100 nm. Morphological features of the other graphitic carbon, multilayered and polyhedral, were quite similar to those previously discovered for the capsules protecting RC<sub>2</sub> (R = Y, La, Ce, ..., Lu).

## VIII—H Solid State NMR Study of Inclusion Compound

### VIII-H-1 $^{13}\text{C}$ Solid-state NMR Study on Populations, Conformations, and Molecular Motions of $\gamma$ -Valerolactone Enantiomers Enclathrated in the Chiral Cholic Acid Host

Fumio Imashiro\*, Daisuke Kuwahara and Takehiko Terao\* (\*Kyoto Univ.)

[*J. Chem. Soc. Perkin Trans. 2*, 1759 (1993)]

$^{13}\text{C}$  Solid-state NMR were measured for  $\gamma$ -valerolactone in the cholic-acid host which exhibits efficient optical resolution. Both the more-favored (S)-(-)-enantiomer [(S)-1] and the less-favored (R)-(+)-enantiomer [(R)-1] of  $\gamma$ -valerolactone were found to coexist microscopically in the cholic-acid channels by two-dimensional exchange NMR. Their populations were non-destructively determined from the intensities of their methyl-carbon signals. The principle values of the  $^{13}\text{C}$  chemical-shift tensors for the methyl car-

bons were determined by the one dimensional switching-angle sample spinning(1D-SASS) NMR method. By comparing the  $^{13}\text{C}$  chemical-shift tensors with those calculated by the ab initio GIAO method, the conformation of each enantiomer was determined as follows: (S)-1 has the methyl group in the equatorial direction to the five-membered ring of  $\gamma$ -valerolactone, while (R)-1 has it in the axial direction. The optical resolution of  $\gamma$ -valerolactone by the inclusion method using the cholic-acid host mainly ascribed to the energy difference in the conformations of  $\gamma$ -valerolactone. Temperature variation of the  $^{13}\text{C}$  chemical-shift power pattern for the methyl carbons of both enantiomers revealed the presence of an overall reorientation among a finite number of isotropically distributed sites accompanying slow rotational diffusion. The rate of the reorientation for (S)-1 is higher than that for (R)-1; moreover, the corresponding barrier for (S)-1 is notably lower than that for (R)-1.

## Equipment Development Center

### VIII—I Activities of Division of "IMS Machines"

Equipment Development Center has the division for construction of so-called "IMS Machines", which are designed on the basis of ideas and/or proposals from the members of the Institute for Molecular Science. New technologies required for their construction are developed by the staff of this division with an introduction of recently developed engineering and in collaboration with researchers of other institutes, universities and facilities of industries. The main aim of these activities is to expand technical capability of this center, intending a development of future technology of the molecular science.

In this fiscal year, 1993, the project themes listed below were adopted as IMS Machines. Their construction is now under way.

#### 1. Broad-Band Infra-Red Window for Ultra-High-Vacuum Apparatus

(Tsunao URISU and Norio OKADA, cooperated by Takao NANBA (Kobe University) and Sumitomo Electric Industries)

#### 2. Time-of-Flight-Type Mass-Spectrometer for High-Mass Materials

(Mitsukazu SUZUI, Hisashi YOSHIDA, Tadaaki MITANI(JAIST), and Shimadzu Corporation).

For fiscal year of 1994, three themes listed below were newly selected as IMS Machines, and one theme as survey project.

#### 1. High Precision Slit Driver for Ultra-High-Vacuum Monochromator

(proposed by Masao KAMATA)

#### 2. Electron-Stimulated-Desorption-Ion-Angular-Distribution Detector with Time-of-Flight Type Mass Separator

(proposed by Kyoichi SAWABE)

#### 3. Light Beam Path Stabilizer

(proposed by Shuji ASAKA)

#### 4. ESR Resonator for High-Pressure and Low-Temperature Sample (survey project)

(proposed by Kyuya YAKUSHI)

Application of patents is promoted in this division. For fiscal year of 1993, two titles listed below were applied.

#### 1. Valve Driver for Low Temperature Liquid Transfer Tube

#### 2. Time-of-Flight Type Mass-Spectrometer

### VIII-I-1 Broad-Band Infra-Red Window for Ultra-High-Vacuum Apparatus

The aim of this project is the development of an infra-red window for ultra-high-vacuum apparatus by utilizing a diamond plate. High purity diamond has the following advantages as an optical window material: a wide optical

transmission range extending from ultra-violet to sub-millimeter region except the intrinsic absorption around  $2000\text{ cm}^{-1}$ , no deliquescence, and a high mechanical strength. The recently developed diamond synthesis by a vapor phase deposition has enabled us to obtain a diamond plate with a very high purity and a large area.

We have tested our method of fixing the window



material to a metal frame on a sample supplied by Sumitomo Electric Industries, and found that the adhesion strength is sufficient. Optical transmission property has also been investigated under a cooperation of Prof. Namba (Kobe University). As seen in Figure 1, it showed a good transmission characteristics.

Manufacturing of diamond plates for this project is now under way.

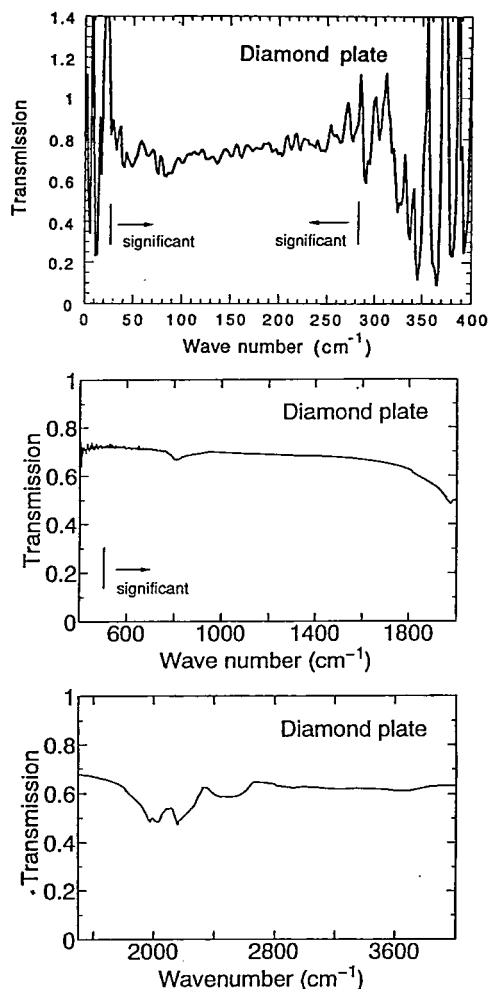


Figure 1. Optical transmission of CVD diamond plate of thickness 0.25mm

## VIII-I-2 Time-of-Flight-Type Mass-Spectrometer for High-Mass Materials

This project is aimed at developing a mass spectrometer for analyzing fullerenes with carbon atoms over 100. The project "mass spectrometer for separating fullerenes" was selected as IMS machine survey theme in 1992, and after one year of feasibility survey the project in the title has been selected as a subgoal to the final target.

The under-development mass spectrometer is a time-of-flight type analyzer with an ion stream chopper (Figure 1). We have designed it to obtain a mass resolution of 200, and to be usable in negative CI and laser ionization modes. After a detailed ion trajectory analysis under a cooperation of Shimadzu Corporation a new design has been adopted, which enables a high resolution relatively easily. A prototype of the analyzer is now under construction.

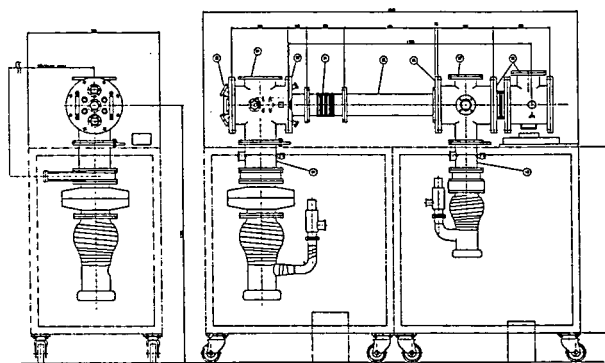


Figure 1. Outline design of time-of-flight-type mass-spectrometer for high-mass materials

## VIII—J Development of Experimental Devices

### VIII-J-1 Fullerene Generator

Masaaki NAGATA, Shinji KATO, Koichi UCHIYAMA, and Tadaaki MITANI (JAIST)

A fullerene generator has been newly developed aiming at the investigation of fullerene generation process (Figure 1). Improvements in design compared to the last developed one (IMS Annual Review, 141 (1992)) are as the following. Carbon electrode feeders have been designed so that they can be electrically motor-driven. Water cooling of the whole generator device has been enabled. Thus the device has become quite compact, and its operation and maintenance procedure has been simplified. Under planning now is the connected operation of the present generator and the

TOF mass spectrometer, which is being constructed as an IMS machine.

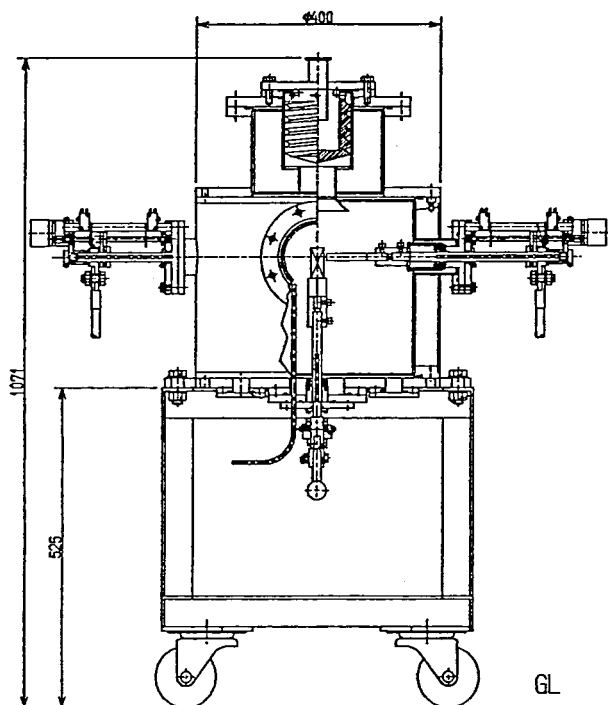


Figure 1. Newly developed fullerene generator

## VIII—K Site-Selective Fluorescence Spectroscopy on Dye Molecules in Amorphous Matrices

Recently, we have developed a technique to measure the laser-induced fluorescence spectrum, including the narrow resonance fluorescence line appearing at the same wavelength as the exciting light, for localized centers with short fluorescent decay times in condensed matter. A method to obtain the fluorescence spectrum of dye molecules in a single site in disordered host matrices by the use of saturation effect of the laser-induced fluorescence has also been devised [see, J. S. AHN et al., *J. Lumin.* 48/49, 405 (1991); *Phys. Rev. B* 48, 9058 (1993)]. These methods give us valuable information on guest-host interactions and host dynamics.

### VIII-K-1 Determination of Weighted Density of States of Vibrational Modes in Zn-substituted Myoglobin

Jeung Sun AHN, Yasuo KANEMATSU\*, Makoto ENOMOTO\*, and Takashi KUSHIDA\* (\*Osaka Univ.)

[*Chem. Phys. Lett.*, 215, 336 (1993)]

Site-selective fluorescence spectroscopy has been performed for Zn-substituted myoglobin in the lowest optical absorption band at 4K. The single-site fluorescence spectrum as well as the distribution of the zero-phonon transition energy have been obtained. Furthermore, the density of states of vibrational modes of myoglobin weighted by the coupling strength between the chromophore and the polypeptide chain has been determined from the analysis of the obtained spectrum. This is the first case in which the weighted density of states of low-frequency vibrational modes has been determined in biological materials without employing any model shape functions. The results are compared with those for dye-doped polymers.

### VIII-K-2 Site-Selective Fluorescence Spectroscopy of Metal Porphyrins in Noncrystalline Materials

Jeung Sun AHN, Yasuo KANEMATSU\*, Yoshito NISHIKAWA\*, and Takashi KUSHIDA\* (\*Osaka Univ.)

[*Synthetic Metals*, in press]

The absorption spectra and excitation-frequency dependence of the resonance fluorescence spectra have been measured and calculated for centro-symmetric metal porphyrins doped in polymer films (MgOEP-doped PS and MgOEP-doped PMMA) and naturally occurring dye-polymer system (Zn-substituted myoglobin) in the lowest optical absorption band at 4 K. In the calculation, we used the experimentally determined site-distribution function and single-site fluorescence spectrum, and assumed that the mirror-symmetry relation holds between the absorption and fluorescence spectra. The fitting curve reproduces the experimental curve quite well in ZnMb. In the case of MgOEP-doped PMMA, the difference between the fitting and experimental absorption curves is quite large and the resonance fluorescence spectra for the case of excitation at the higher-energy region of the Q(0,0) band are not reproduced well. The closed circles in Figure 1(a) denote the distribution of the integrated intensity of the difference between the calculated and measured resonance fluorescence spectra as a function of the wavenumber of the exciting

laser light. These circles reproduce the dash-dotted line quite well. Similar results were also obtained for MgOEP-doped PS. These results indicate that the observed Q(0,0) absorption bands of MgOEP-doped PMMA and MgOEP-doped PS consist of two components. A part of the excitation into the higher excited state may result in the fluorescence from the lower excited state through the fast intramolecular relaxation. The disagreement for the case of excitation at the higher-energy region of the Q(0,0) absorption band is attributed to such additional fluorescence. The splitting of the doubly degenerate excited state corresponding to the Q(0,0) absorption band is considered to be caused by the interaction between the porphyrin molecule and its environment. If so, the lifted state must be also observed in ZnMb. The broad fluorescence spectrum has been obtained at 4 K under the excitation at the high-energy region of the Q(0,0) absorption band of ZnMb. This indicates that the absorption band shown in the high-energy region of Figure 1(b) corresponds to the higher excited states.

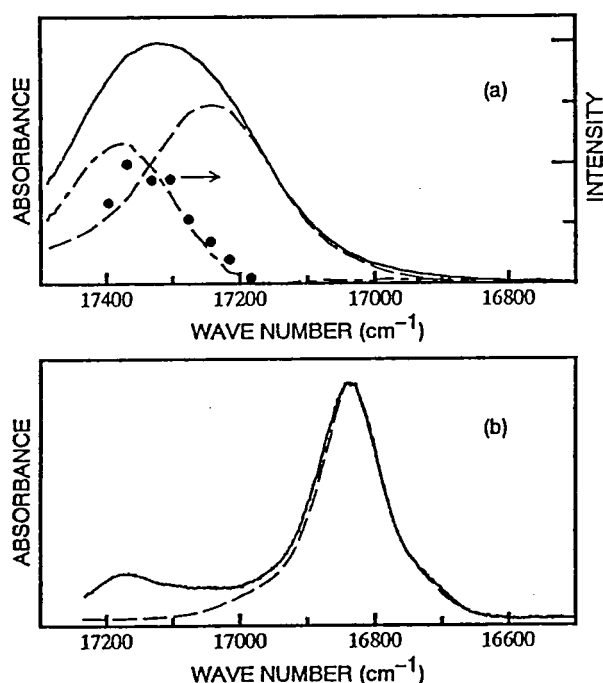


Figure 1. (a) The dash-dotted curve is the difference between the absorption spectrum (solid line) of MgOEP-doped PMMA at 4 K and a fitting curve (dashed line). (b) The absorption spectrum (solid line) of ZnMb at 4 K and a fitting curve (dashed line).

### VIII-K-3 Glass Transition of Zn-substituted Myoglobin Probed by Absorption and Site-Selective Fluorescence Spectroscopies

Jeung Sun AHN, Teizo KITAGAWA, Yasuo KANE-MATSU\*, Yoshito NISHIKAWA\*, and Takashi KUSHIDA\* (\*Osaka Univ.)

It is believed that a protein molecule has a large number of conformational substates because of its complexity and flexibility<sup>1)</sup>. At physiological temperatures, a protein molecule fluctuates among many substates, and it is said to be fluid-like. At sufficiently low temperatures, on the other hand, each protein molecule freezes in some substates, and it becomes glass-like. To confirm this, we studied the

temperature dependence of absorption and resonance fluorescence spectra of ZnMb in the temperature range between 4 and 300 K. If we employ linear approximation of the electron-phonon coupling, we can calculate the homogeneous fluorescence profile at various temperatures using the experimentally determined weighted density of states of low-frequency vibrational modes (WDOS). Furthermore, under the assumption that the mirror-symmetry relation holds between the absorption and fluorescence spectra, we can also calculate the temperature dependence of the absorption and resonance fluorescence spectra using the calculated homogeneous fluorescence profile and experimentally determined site-distribution function  $G_T(\omega')$ . The measured absorption and fluorescence spectra are well reproduced by the calculation below  $\sim 180$  K. The electronic ground state of ZnMb is in thermal equilibrium above the glass transition temperature  $T_g$ . Thus, we calculated  $G_T(\omega')$  in this temperature region assuming the Boltzmann distribution in the ground state by the use of  $G_T(\omega')$  which was experimentally determined at 4 K. The dash-dotted curves in Figure 1 show the calculated  $G_T(\omega')$  at each temperature for  $T_g=180$  K. The absorption spectra observed at higher temperatures than 180 K are reproduced well by the calculation, in which we used the calculated  $G_T(\omega')$  at each temperature instead of experimentally determined one at 4 K. Thus we conclude that ZnMb undergoes a liquid-glass transition around 180 K.

#### Reference

- 1) H. Frauenfelder, F. Parak and R. D. Young, *Ann. Rev. Biophys. Biophys. Chem.* **17**, 451(1988).

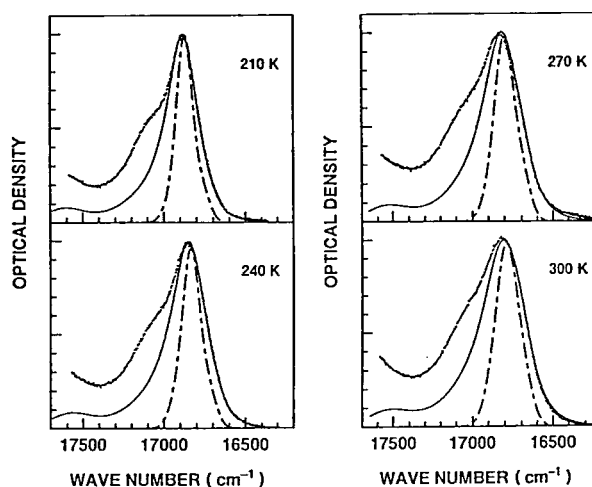


Figure 1. Absorption spectra of ZnMb in a glycerol-water (3:1) mixture at higher temperatures than 180 K. The solid lines are theoretical curves obtained from the calculated site-energy distribution function (dash-dotted line) for each temperature.

# Ultraviolet Synchrotron Orbital Radiation Facility

## VIII—L Development of the UVSOR Light Source

### VIII-L-1 Observation of Micro-Macro Temporal Structure and Saturation Mechanism on the UVSOR-FEL

Hiroyuki HAMA, Jun-ichiro YAMAZAKI, Toshio KINOSHITA, Kazuhiko KIMURA, and Goro ISOYAMA\* (*\*Osaka Univ.*)

Dynamical study of a free electron laser on the UVSOR storage ring has been performed using a high resolution streak camera. It is well known phenomenon that the laser macro-temporal structure strongly depends on the detuning condition, which is one of important characteristics of the storage ring FEL dynamics. In the UVSOR-FEL, quasi-periodical pulse lasings are seen under the best synchronization between the electron bunch and the optical bunch in the cavity, and cw-like continuous lasing appears as the detuning is increased. Such two different structures have been observed on other storage rings. We recently succeeded to observe both temporal structures of laser micro- and macro-temporal structure by applying a two dimensional double-sweep technique for the streak camera. It was found out that the continuum structure is consisted of piles of many micropulse evolutions which are drifting from top to edge of the electron bunch because of the detuned condition. In this lasing case, the bunch length is a bit longer than the ordinal length and almost constant. While the best synchronized lasing, the macropulse is evolved on near the top of the bunch and the bunch length is oscillating.

To investigate the laser saturation mechanism and the bunch-heating phenomenon, the micro-macro structure was also measured with the gain-switching mode. The time dependent variation of the effective gain was calculated from the shape of the macropulse detected by a photodiode. The initial gain agreed well with the theoretical prediction

as reported previous issue. The bunch length became longer as growing the laser micropulse, and after passing the maximum point of the laser power, shortening of the bunch length began due to radiation damping. Assuming the bunch length is changed by variations of the energy spread, the relative gain evolution was deduced from the peak density of electrons in the bunch and the energy spread obtained from the bunch length. As shown in Figure 1, the deduced gain evolution was in good agreement with the effective gain obtained from the shape of the macro pulse. As far as the lasing in the gain-switching mode, we can conclude that saturation mechanism of the laser power is interpreted by gain reduction due to the bunch-heating in the laser oscillation.

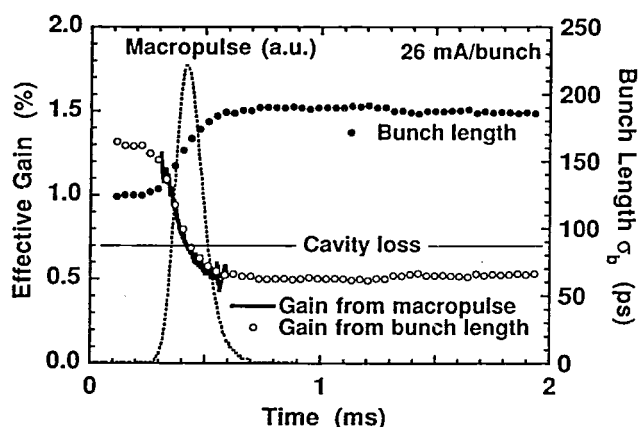


Figure 1. Evolutions of the bunch length and the effective gain in the gain-switching mode. Solid and open circles denote the bunch length and the effective gain derived from the dual-sweep spectrum of the electron bunch, respectively. Solid line shows the gain evolution derived from the laser macropulse measured by the photodiode.

## VIII—M Development of Beam Lines and Equipment for UVSOR

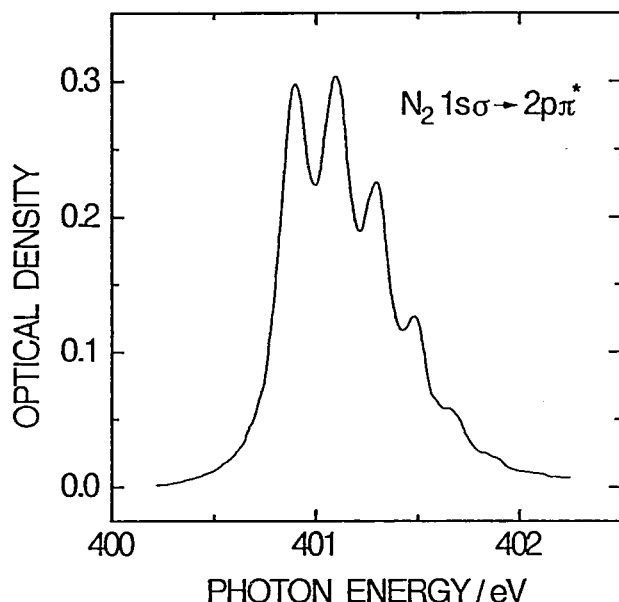
### VIII-M-1 Construction of Constant-Length Spherical Grating Monochromator

Atsunari HIRAYA, Eiken NAKAMURA, Masami HASUMOTO, Toshio KINOSHITA, Kusuo SAKAI, Eiji ISHIGURO\*, and Makoto WATANABE\*\* (*\*Osaka City Univ., \*\*RISM, Tohoku Univ., and IMS*)

A constant-length spherical grating monochromator (CL-SGM) for VUV to Soft X-ray was constructed at the bending-magnet beam line 8B1 of the UVSOR. The monochromator has a simple scanning mechanism with fixed entrance and exit slits, as well as fixed directions of incident and exit photon beams. The monochromator was designed to cover the photon energy of 31–620 eV with three interchangeable gratings. All gratings are master gratings with laminar amplitude fabricated on a synthetic quartz and coated with Au. The resolving power evaluated by ray-tracing at the lowest energy of each grating with 10  $\mu\text{m}$  slit widths is  $\sim 4400$  for two high energy gratings and  $\sim 7000$

for a low energy grating.

In the preliminary performance check, it was found that the output spectrum of the monochromator extends from 30 eV to 1 keV with intensity of  $10^8 \sim 10^{10}$  photons/sec. Figure 1 shows the nitrogen K-edge absorption spectrum in the  $1\sigma \rightarrow 2p\pi^*$  region of gaseous  $\text{N}_2$  molecules measured with using the highest energy grating and 10  $\mu\text{m}$  slit width. The spectrum exhibits clearly resolved vibrational structure. The resolving power at 400 eV was estimated to be  $\sim 4000$ , that is in good agreement with the designed value.



**Figure 1.** Nitrogen K-edge absorption spectrum in the  $1s\sigma \rightarrow 2p\pi^*$  region of gaseous  $N_2$  molecules measured with using the highest energy grating and 10  $\mu\text{m}$  slits.

## VIII—N Researches by the Use of UVSOR

### VIII-N-1 Time Response of Photon-Stimulated Desorption of Excited-State Sodium Atoms from Sodium Halides

Sayumi HIROSE and Masao KAMADA

[*Phys. Rev. B* 48, 17641 (1993)]

The time response of excited-state sodium desorption from NaF and NaCl has been investigated with synchrotron-radiation pulses. The decay curve of the Na D-line intensity from a desorbed excited-state sodium atom is found to consist of a fast component in the ns range and a slow component between 178 ns and 3 ms. This successful observation of the time response of excited-state alkali desorption suggests there are two types of desorption mechanism, a slow one originating from the thermal instability of surface defects and a fast one due to the lattice instability induced by an electronic transition in the surface layer.

### VIII-N-2 Accumulated Photon Echoes Generated by Synchrotron Radiation

Hiroshi ITOH\*, Synsuke NAKANISHI\*, Masaya KAWASE\*, Hitoshi FUKUDA\*\*, Hiroki NAKATSUKA\*\*, and Masao KAMADA (\*Kagawa Univ., \*\*Univ. Tsukuba)

[To be published in *Phys. Rev. A*]

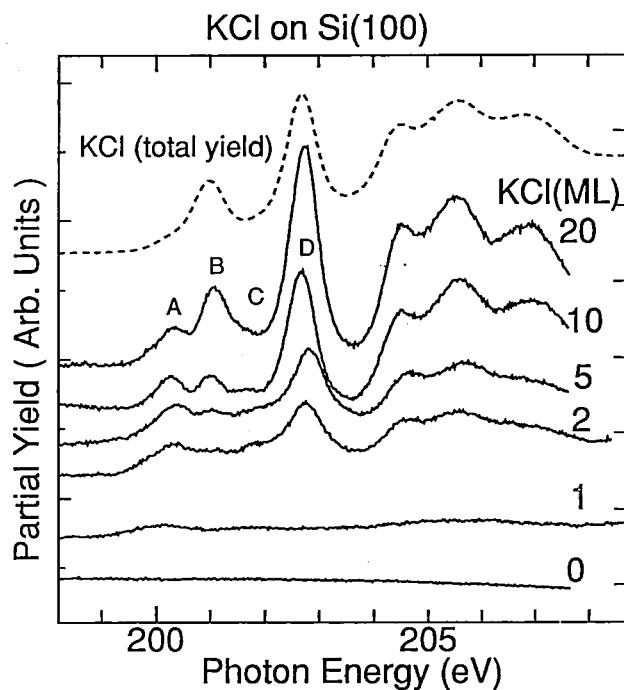
We demonstrate that the accumulated photon echoes can be generated by synchrotron radiation. We believe this is the first experiment of nonlinear optical effect carried out by using radiation from an electron storage ring. A dyedoped polymer film was excited by a visible light from the storage ring and the accumulated photon echoes from the sample were observed by employing a phase modulation technique. The use of synchrotron radiation facilitates to

extend the spectral range where we can observe photon echoes with ultra-high time resolution.

### VIII-N-3 Observation of Surface Core-Excitons in Alkali Chlorides

Shin-ichiro TANAKA and Masao KAMADA

While the optical spectra of the alkali halides have been extensively studied, less are known about their surface property. We measured the  $\text{Cl-L}_{2,3}$  absorption spectra of alkali chlorides (KCl and NaCl) via Cl-LVV Auger electron yield, and observed the surface core-exciton. The experiments are carried out by using the grasshopper monochromator and a double-pass CMA at BL2B1 of UVSOR. KCl and NaCl were evaporated on the Si(100)(2×1) surfaces. Figure 1 shows the spectra of KCl/Si(100) as a function of thickness (indicated in ML) of the film at RT. Peaks A and C (spin-pair) are ascribed to surface-excitons, while peaks B and D are ascribed to bulk-excitons [similar peaks are observed in the total-yield spectrum (dotted line)] of the KCl film. The surface core ( $\text{Cl-L}_{2,3}$ )-excitons are located at photon energy of 0.7 eV below the bulk core-excitons. Similar results were observed for NaCl/Si(100). The photoelectron spectra were also measured, and have shown that there were no surface core-level shifts in Cl-2p level for these systems. The AES spectra, when excited by photons whose energies corresponding to the surface excitons and the bulk excitons, were investigated in detail.



**Figure 1.** The Cl-L<sub>2,3</sub> absorption spectra via Auger yield of KCl evaporated on the Si(100)(2×1) surface, as a function of thickness of the film. Indicated thickness (in ML; Mono Layer) were estimated using peak heights of K-LVV, Cl-LVV, and Si-LVV in AES. Total yield spectrum (dotted line) of the 20ML film are also shown.

#### VIII-N-4 Optical Observation of the Interaction between Low Frequency Plasma and Magnetic Field in La<sub>2-x</sub>Sr<sub>x</sub>CuO<sub>4</sub> (x=0.1)

Shin-ichi KIMURA, Mikihiro IKEZAWA\*, Hironao KOJIMA\*\*, and Masashi TACHIKI\* (\*Tohoku Univ., \*\*Yamanashi Univ.)

Reflectivity spectra of La<sub>2-x</sub>Sr<sub>x</sub>CuO<sub>4</sub> (x=0.1) were measured with the c-axis polarization light in the wave number range between 10 and 40 cm<sup>-1</sup> at 14 K under magnetic fields up to 5 tesla which was applied perpendicularly to the c-axis. It was observed for the first time that the behavior of the low energy plasma changes by magnetic field. The reflectivity below the plasma edge decreases as the magnetic field increases. The phenomenon is quantitatively consistent with the theoretical expectation. The origin is considered to be due to the vortex motion driven by the applied electric field.

# RESEARCH FACILITIES

For the sake of brevity the present issue includes only the newly installed facilities and the activities since September 1993. Concerning the activities and facilities before September 1993, please refer to older IMS Annual Review issues (1978 ~ 1993).

## Computer Center

The main computers at the Center are a supercomputer NEC SX-3/34R and a main-frame computer HITAC M-680. The computers are linked to international networks through Tokyo University International Science Network (TISN). About 40% of the computer time is used by the research staff at IMS, and the remaining 60% is given out as research grants to scientists outside the institute in molecular science and related field. As of March 1994, the number of project group was 187, consisting of 645 users.

In January, 1995, the HITAC M-680 is to be replaced by IBM SP2 (48 nodes) and NEC HSP (High Speed Scalor Processor). Total CPU performance of these system is about 4 GFLOPS, and will provide a powerful computational environment for molecular science.

The library programs of the Center amount to 805. Among them, about 200 programs can be executed immediately. Recent additions include GAUSSIAN 92. The Quantum Chemistry Literature Database (QCLDB) has been developed by the Center in collaboration with the QCLDB group. The database CMQCA, IR2, STERIC, and QCBDB are also available at the center.

## Chemical Materials Center

The Chemical Materials Center plays an important role in the synthesis and purification of chemical substances in IMS. The scientists and technical associates of this facility support other people in IMS to carry out the above works. Upon request, technicians carry out elemental and mass spectrometric analyses of new compounds prepared at IMS. They also carry out their own researches on synthesis of new interesting compounds, developments of new selective chemical transformations, elucidation of reaction mechanism, and application of new methodologies developed in IMS to the analysis of chemical substances and reactions.

## Instrument Center

For the efficient use of instruments, the center is equipped with various types of instruments for general use.<sup>1)</sup>

### Reference

1) *List of Instruments*, No.9, IMS Instrument Center (1994).

## Low-Temperature Center

The main must of Low-Temperature Center is a steady supply of liquid helium and liquid nitrogen to the users in the Institute. The total amounts of liquid helium and liquid nitrogen supplied in 1993 were 37,695 l and 71,963 l, respectively.

Newly equipped machines of a dilution refrigerator (KELVINOX 36115, Oxford Instruments) and a SQUID magnetometer (MPMS 2, Quantum Design) are now available for general users.

## Equipment Development Center

A number of research instruments have been designed and constructed by making use of the mechanical, electric and glass-blowing technologies at this Facility. Representative instruments developed during this fiscal year of 1993 are listed below.

- Cryostat for Liquid Helium Temperature
- Electron Beam Position Monitor
- Secondary Electron Detector for Measuring a Metastable Atomic Beam
- Liquid-Nitrogen-Cooled Discharge Metastable Atomic Beam Source
- Cryostat for Optical Measurement at Liquid Helium Temperature
- Variable Height Miniature Slit
- Compact Z-Stage with High Load Capacity
- UHV Sample Transfer System
- Fullerene Generator
- Fast Rising High Voltage Pulse Generator
- Deflector Voltage Controller
- Powder Trap for Distilling Unit
- Quartz Furnace Tube for Sublimation

## **Division of IMS Machines**

Equipment Development Center has the division of IMS Machines. Activities of this division is described in detail in the research activities section.

### **Ultraviolet Synchrotron Orbital Radiation Facility**

The UVSOR light source is usually operated at an electron energy of 750 MeV with an initial current of 200 mA. A higher harmonic PF system has been installed in order to suppress longitudinal coupled bunch instability with a double RF system. The instability was successfully suppressed in machine study and the system is routinely used for user experiments in multi-bunch operation. Another advantage of the double RF system is that the beam lifetime increases from 200 to 350 min. in multi-bunch operation at 200 mA because the bunch length becomes 2-3 time longer. The superconducting Wiggler with a magnetic field of 4 T is operated to provide soft x-rays up to 1.5 A. At BL8B1 a 15-m Constant Deviation Grazing Incidence monochromator has been constructed for soft x-ray spectroscopy and a high resolving power of 4,000 is achieved at 400 eV. A new monochromator named SGM-TRAIN has been designed for experiments using circularly polarized radiation with a spin resolved photoelectron spectrometer, and is now under construction at BL5A.



# SPECIAL RESEARCH PROJECTS

IMS has special research projects supported by national funds. Three projects presently in progress are:

- (1) Development and evaluation of molecular synergistic systems and their application to chemical energy conversion (1990—).
- (2) Materials science on molecular devices (1990—).
- (3) Material control in multi-reaction centers (1993—).

These projects are being carried out with close collaboration between research divisions and facilities. Collaborators from outside also make important contributions. Research fellows join these projects. The results in 1993 are reviewed in this report.

## (1) Development and Evaluation of Molecular Synergistic Systems and their Application to Chemical Energy Conversion

### Development of High-Sensitivity Submillimeter-Wave to Far Infrared Spectroscopic System for the Study of Transient Molecules

Shuji SAITO and Hiroyuki OZEKI

Spectroscopy in the submillimeter-wave to far infrared region has been less exploited when compared with that in other regions, because high-spectral purity and tunable radiation sources have not been available. However, recent development of radio astronomy has given much interest to this wave-length region with which warmer physical phenomena such as star-forming activity are concerned. This urges us to develop a high-sensitivity and high-resolution spectroscopic method in this region where many spectral lines of atomic fine-structure and various fundamental light molecules have not been measured.

We develop a frequency-tunable sideband far-infrared spectrometer suitable for the study of transient species. Spectroscopy of transient species needs much power so that we employ a combination of a far-infrared laser and microwave sources with a nonlinear mixer element. We installed a stable high-power CO<sub>2</sub> laser and an optically pumped far infrared laser which generates several fixed frequency lines between 600 to 3000 GHz. We designed and constructed a mixer mounting with non-linear element of GaAs Schottky diode, which is mounted in a Martin-Puplett diplexer separating a tunable sideband radiation from the fundamental laser beam.

### Studies on Laser Cooling and Trapping of Neutral Atoms

Norio MORITA, Mitsutaka KUMAKURA, and Yoshiaki MORIWAKI

We have constructed a gas-circulation system with a purification mechanism for helium atoms, and combined this system with the laser cooling/trapping apparatus so far used. This combined apparatus is mainly used for the laser cooling/trapping of <sup>3</sup>He atoms. For laser cooling/trapping of helium atom, it is unavoidable to use an atomic beam as a source of atoms to be cooled and trapped, because this atom is so light that it requires a long distance to decelerate

the atomic velocity sufficiently. Although it is usual in ordinary atomic and molecular beam experiments to throw away the used gas carried in a beam, the <sup>3</sup>He gas is so expensive that we cannot discard it through vacuum pumping. This is why we need such a gas-circulation system. The system consists of a helium-tight vacuum pump and compressor, gas reservoirs, oil mist filters, active charcoal filters cooled by liquid N<sub>2</sub>, and many valves and gauges to control the path of gas. With this system, we can get back more than 99.97% of helium gas ejected in vacuum chambers, keeping impurities within 20 ppm.

We have also made an important improvement on the metastable helium source. For laser cooling/trapping of helium atom, the atom must be excited to the triplet metastable state. Although the electron bombardment, which we have so far used, is a useful method to produce slow metastable helium atoms, its efficiency is too low to generate a sufficient number of metastable atoms. On the other hand, the dc discharge is a very efficient method, but it significantly increases the atomic temperature to make the laser cooling almost impossible in a laboratory-size cooling distance. Therefore, we have designed a new discharge cell that can suppress the temperature increase. It is in brief a liqN<sub>2</sub>-cooled discharge cell, but is specially designed to get optimal cooling efficiency. With this metastable source, we have successfully achieved a great enhancement in the intensity of a metastable helium beam slow enough to be laser-cooled within a reasonable distance. As a result, 10<sup>7</sup> helium atoms have been laser-trapped at a temperature of 200 μK. This number is about three orders of magnitude larger than ever achieved for helium atoms. With the above circulation system and the latter metastable source, about the same number of <sup>3</sup>He atoms have also been trapped (see II-C-1).

### Mechanism of Oxygen Activation by Heme-Copper Terminal Oxidase Superfamily

Teizo KITAGAWA, Takashi OGURA, Shun HIROTA, Denis A. PROSHLYAKOV, Shinya YOSHIKAWA (*Himeji Inst. Tech.*), Tatsushi MOGI (*Tokyo Univ.*), Nobuhito SONE (*Kyushu Inst. Tech.*), and Evan H. APPELMAN (*Argonne Natl. Lab.*)

The terminal oxidase of respiratory chain is cytochrome *c* oxidase for aerobic organisms and quinol oxidase for *E. coli*. Both have two heme groups; one works for electron transfers and the other provides the catalytic site for dioxygen reduction. The heme iron at the catalytic site is antiferromagnetically coupled with a copper iron in the oxidized state. The dioxygen reduction is coupled with proton translocation through membrane, generating electrochemical potential which could be used for ATP synthesis. The final goal of this study is to elucidate the coupling mechanism between the electron- and proton transfers, but currently a mechanism of dioxygen reduction at the catalytic site is of spectroscopic concern. For bovine cytochrome *c* oxidase, we investigated reaction intermediates present in the time range between 0.1 and 5.4 ms following initiation of the reaction by using time-resolved resonance Raman spectroscopy combined with our original "artificial cardiovascular system for pursuit of enzymic reaction". The first intermediate gave the oxygen isotope-sensitive band at 571  $\text{cm}^{-1}$  for  $^{16}\text{O}_2$ . Since the corresponding band for  $^{16}\text{O}^{18}\text{O}$  split into two bands, it became confident that the binding of  $\text{O}_2$  is of an end-on type. We succeeded in resolving the subsequent oxygen-isotope-sensitive band at 788  $\text{cm}^{-1}$  into two components at 804 and 785  $\text{cm}^{-1}$  by using a monochromator with higher resolution. It became clear from the experiments at lower temperatures that the 804  $\text{cm}^{-1}$  species appears prior to the 785  $\text{cm}^{-1}$  species. Although the appearance of the 804  $\text{cm}^{-1}$  was of a similar rate between in  $\text{H}_2\text{O}$  and  $\text{D}_2\text{O}$ , that of the 785  $\text{cm}^{-1}$  band was significantly slower in  $\text{D}_2\text{O}$ , suggesting that the generation of 785  $\text{cm}^{-1}$  species from the 804  $\text{cm}^{-1}$  species is coupled with the proton translocation. The experiments with  $^{16}\text{O}^{18}\text{O}$  revealed that both bands should arise from the  $\text{Fe}^{\text{IV}}=\text{O}$  stretching but not from the  $\text{O}-\text{O}$  stretching. The reaction of oxidized enzyme with hydrogen peroxide also gave the 804  $\text{cm}^{-1}$  band for the species called "peroxo species". Consequently, it is likely that the 785  $\text{cm}^{-1}$  band arises from the ferryl-oxo species like compound II of peroxidases but the 804  $\text{cm}^{-1}$  band arises from ferryl-oxo porphyrin  $\pi$  cation radical or corresponding oxidation state. Resonance Raman experiments were also carried out with *E. coli* cytochrome *bo* and *Bacillus* PS3 cytochrome *cao*.

#### Laser Raman Beat Detection of Magnetic Resonance

Tatsuhisa KATO and Michio MATSUSHITA

Laser Raman beat detection is a coherent optical- RF double resonance technique where the optical and RF field induce coherence within a three level system and a resultant Raman beat signal is detected using heterodyne detection. This technique can be applied to the study of electron paramagnetic resonance and nuclear magnetic resonance not only in the ground state of a molecule but also in the electronic excited state.

There are some causes to hide the Raman beat signal, that is, the inhomogeneity of the circumstance of the molecule, the fluctuation of the applied field, and the interference by the crystal phonon. It is the key to success in the Raman beat detection to eliminate these causes of the incoherence. Then it is needed to prepare the sophisticated

single crystal sample, the highly stabilized magnetic field, and a cryostat of liquid helium. It has been completed to set up the apparatus, and the Raman beat detection is applied to the study on the triplet state of the fullerene crystal and the color center of a diamond.

#### Liquid Dynamics Studied by New Ultrafast Nonlinear Spectroscopies

Keisuke TOMINAGA, Yukito NAITOH, Tai Jong KANG (Taegu Univ.), Gary P. KEOGH (Imperial College of Science, Technology, and Medicine), and Keitaro YOSHIHARA

In the vibrational spectroscopies it is one of the key issues to distinguish homogeneous and inhomogeneous contributions to vibrational line broadening. Since the homogeneous and inhomogeneous contributions result from rapid perturbation to the oscillator and difference of the environment around the molecule of interest, respectively, it is possible to investigate microscopic liquid dynamics by distinguishing the two contributions. However, it is well known that frequency- or time-domain spectroscopies which are based on the third-order nonlinearity are incapable of distinguishing the homogeneous and inhomogeneous contributions unambiguously. On the other hand, echo-type experiments, which make use of temporal two-dimensionality, have been proved to be a powerful technique to determine relative importance of inhomogeneity of dephasing process as demonstrated in magnetic resonance. We have been working on vibrational echo-type experiments on liquids in order to distinguish homogeneous and inhomogeneous contributions to the linewidths. This technique requires the application of the two excitations at different times, 0 and  $\Delta t_1$ , and each excitation consists of two short pulses to create vibrational coherent state by Raman transition. Vibrational coherence is detected by observing anti-Stokes Raman scattering induced by a fifth pulse at  $\Delta t_1 + \Delta t_2$ . Two different experiments, Raman echo and five-pulse correlation experiments, have been performed to study dephasing processes of high frequency intramolecular and low frequency intermolecular vibrational modes, respectively. See Section III-B for detail.

#### Studies of Laser-Induced Photochemistry on Solid Surfaces

Yoshiyasu MATSUMOTO, Kyoichi SAWABE, Kazuo WATANABE, Hiroyuki KATO (Graduate Univ. for Advanced Studies), and Yuri A. GRUZDKOV

Light sources such as lasers and synchrotron radiation can be very useful for the various processes in the fabrication of microelectronics, including etching, chemical vapor deposition, atomic layer epitaxy. On the other hand, it has also been well recognized that there is an important class of catalytic reactions with the aid of photon irradiation. Although those applications of light are practically useful and important, fundamental understandings of these processes are still lacking. Therefore, this project is mainly aimed for investigating how the interaction of light and adsorbates and/or substrates promotes chemical reactions from the fundamental point of view.

We have utilized time-of-flight (TOF) spectroscopy of neutral species desorbed from the surface with sub-monolayer coverage together with the conventional techniques in surface science such as low energy electron diffraction, Auger electron spectroscopy, and temperature-programmed desorption. In this year, we have made a couple of modifications in the UHV apparatus: (1) X-ray photoelectron spectroscopy has been introduced. This reinforces our ability to clarify adsorbed states and serves to measure adsorbate coverage. (2) A closed-cycle He refrigerator is equipped with a sample manipulator. This improves our cooling capability; single crystals can be effectively cooled to  $\sim 40$  K.

We have investigated photodissociation dynamics of nitrous oxide adsorbed on semiconductor surfaces and found that photodissociation dynamics depends significantly on the adsorbed state of  $\text{N}_2\text{O}$ . Furthermore, we have uncovered that methane physisorbed on Pt(111) can be effectively dissociated with the irradiation of 193 nm-photons, yielding a chemisorbed  $\text{CH}_3$  radical and an adsorbed H atom as products. To our knowledge, this is the first observation of UV-photodissociation of methane on a well-defined surface and may open a new way to activate methane by the UV photons that can be readily obtained with a commercially available excimer laser.

### Self-Organization in Chemical Reactions

**Ichiro HANAZAKI, Yoshihito MORI, Noriaki OKAZAKI\*, Tetsuo SEKIGUCHI\*, Vladimir K. VANAG\*\*, and Gyula RÁBAI\*\*\*** (\*Graduate University for Advanced Studies, \*\*N.N.Semenov Inst. Chem. Phys. Russ. Acad. Sciences, Moscow, Russia, \*\*\*Inst. Phys. Chem., Kossuth Lajos Univ., Debrecen, Hungary)

The self-organizing process in chemical systems is known to occur as a result of nonlinear chemical events. The temporal (oscillatory) behavior and the possibility of spatial pattern formation in such systems have been interested in relation to the understanding of living systems and also to their possible application to sensing and memory-storage devices. The response of such systems to light illumination has been studied many times but not in a systematic manner. Use of light as an external parameter is important since the feasibility of controlling light in energy, intensity and the pulse characteristics should explore a rich variety of information on the nature of the systems. We have established the state diagram for the stationary-light illumination taking the illumination light intensity as one of the external parameters for the Belousov-Zhabotinsky system, the minimal bromate oscillator, the  $\text{Fe}(\text{CN})_6^{4-}/\text{H}_2\text{O}_2$  system, and the Briggs-Rauscher system. The wavelength dependence has also been measured to determine the cross section of photo-inhibition and -induction of oscillations. This enables us to determine the primary step caused by illumination. Now we have started to study the response of such systems to a pulsed-light illumination as a function of light intensity, pulse width and the phase in a single cycle of oscillations at which the pulsed perturbation is applied. It is particularly interesting to explore the phase relation and the nature of so called "excitability" of such systems.

### Gas Phase Reaction Dynamics Studied by Crossed Beam — Ion Imaging

**Toshinori SUZUKI, Kenichi TONOKURA, Nobuaki YONEKURA, and Lizla S. BONTUYAN**

Two dimensional ion imaging coupled with resonance-enhanced multiphoton ionization (REMPI) makes it possible to measure differential cross sections with complete internal state selection of the products. The goal of this project is to develop a crossed molecular beam machine with an ion imaging device and to elucidate the dynamics of atmospheric and interstellar chemical reactions by measuring state-resolved differential cross sections. As the first step towards this goal, a two-dimensional (2D) ion imaging apparatus was constructed, and its performance was tested by observing photodissociation events (III-M-1, 2). Analysis programs were developed to calculate speed and angular distributions of photofragments from the 2D projection of the 3D photofragment distribution. A new slicing technique using laser sheet ionization was developed to directly observe the section of the 3D distribution containing the center-of-mass, and was compared with the reconstruction using the inverse Abel transform (III-M-2). As the second step, a pulsed hydrogen beam for the study of inelastic and reactive scattering was tested. The hydrogen beam was generated by 193 nm photolysis of  $\text{H}_2\text{S}$  or 266 nm photolysis of HI in molecular beams, and the resulting hydrogen pulse ejected at the right angle to the molecular beam was detected by [2+1] REMPI of H atom via the 2s state. The time duration of the hydrogen pulse was found to be 35 ns. Experiments involving the imaging of inelastic H atoms scattered inelastically by some molecules are being undertaken. Based on these preliminary results, a new crossed beam machine was designed for reactive scattering experiments of atoms and free radicals.

### External Magnetic Field Effects upon Chemical Reactions

**Ryoichi NAKAGAKI (Kanazawa Univ. and IMS)**

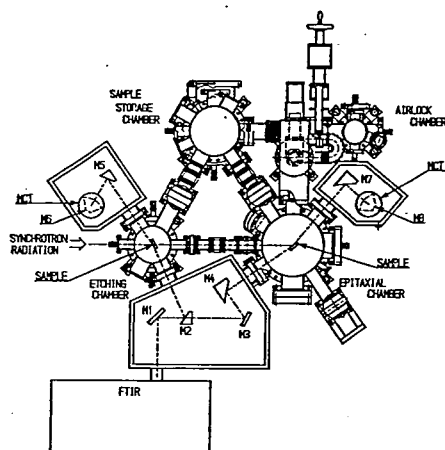
In this research project, we have studied magnetic field and magnetic isotope effects upon the lifetime of biradicals derived from methylene-linked bifunctional molecules consisting of benzophenone moiety and hydrogen donors. The photoinduced intramolecular hydrogen abstraction reaction takes place on excitation of the benzophenone chromophore. Since the benzophenone moiety in the excited triplet state abstracts a hydrogen from the donor, the resultant biradical intermediate is in the triplet state. The decay time of the triplet biradical was found to be lengthened in the presence of an external magnetic field. The observed results are due to the change in the intersystem crossing rate induced by hyperfine interaction between the electronic spin and nuclear magnetic moment. On substituting the hydrogen or carbon nucleus at the particular site of the hydrogen donor with deuterium (isotopic purity of ca. 98%) or heavy carbon (C-13 purity of ca. 99%), the decay profile of biradical intermediate produced by photoexcitation of the ketone chromophore is appreciably changed on application of the magnetic fields. Deuteration lengthened

the biradical lifetime, while heavy carbon substitution shortened the biradical lifetime in comparison with that of the species composed of naturally abundant hydrogen and carbon.

### The Synchrotron Radiation Excited Photochemical Process Chamber

Akitaka YOSHIGOE, Mitsuru NAGASONO, Kazuhiko MASE, and Tsuneo URISU

The reaction chamber shown in Figure 1 was constructed to study mainly the reaction mechanisms of synchrotron radiation (SR) excited photochemical processes, such as SR etching, CVD, epitaxial growth. The unique characteristic is that the samples can be transferred in the vacuum between the etching chamber and the epitaxial chamber each other. The reaction chamber consists of epitaxial chamber, etching chamber, sample storage chamber and airlock chamber. The epitaxial chamber pumped by the 500 l/s turbo molecular pump is designed as the gas source Si MBE apparatus. The base pressure is  $5 \times 10^{-10}$  Torr. The substrate holder is designed so that the Si wafer can be heated up to about 1200°C for cleaning by the thermal desorption of the surface oxide. The base pressure of the etching chamber is  $1 \times 10^{-9}$  Torr. The sample holder is designed for only room temperature experiments at present, but is going to be changed for the temperature controllable one for the range of 100–500 K. As the in situ observation method, infrared reflection absorption spectroscopy optical systems using FTIR and an RHEED system are equipped as shown in Figure 1. The samples are introduced from an air lock chamber whose base pressure is  $3 \times 10^{-9}$  Torr to a sample storage chamber having  $1 \times 10^{-9}$  Torr. 6 samples can be stored in the air lock chamber. Before introducing a sample into the reaction chamber, it is possible to do the thermal pre-treatment for samples in the sample storage chamber. This reaction chamber is connected to the SR beam line through the differential vacuum pumping systems with pressure difference of 4th order of magnitude.



**Figure 1.** Reaction chambers and an IRAS system for study of SR excited surface photochemical reactions. For measurements in the etching chamber, IR beam passes through M1, M2, Sample, M5, and M6. For measurements in the epitaxial growth chamber, IR beam passes through M1, M3, M4, Sample, M7, and M8.

### Development of High-Intensity Beam Source for Studies on Photoionization Mass Spectrometry and Photoelectron spectroscopy of Clusters

Koichiro MITSUKE, Hiroaki YOSHIDA, and Minoru KANNO

On the beamline BL2B2 of UVSOR we have developed two types of cluster source producing a supersonic neutral beam and a metal anion beam.

The central part of the former source is a three-stage cryogenic differential pumping system made of inner and outer cylinders and two stainless pipes blazed around each cylinder. A sample gas is expanded into the first stage from a conical nozzle of 100- $\mu$ m diameter at room temperature. A molecular beam is introduced through a conical skimmer with an entrance hole of 380- $\mu$ m diameter into the second stage and collimated by two slits of 2-mm diameter. The inner and outer cylinders are cooled by cold He and N<sub>2</sub> gases, respectively, flowing through the blazed pipes. The temperature of the wall of the inner cylinder is kept at about 30 K. We will investigate superexcited states of clusters, the number of constituent molecules of which ranges from 2 to several tens, by positive ion-negative ion coincidence spectroscopy (PINICO).

The second source has been developed for photodetachment spectroscopy of metal cluster anions to obtain information on the ground and electronically excited states of neutral clusters. Negative ions are produced at the surface of a sample metal which is placed in a xenon plasma confined by a multicusp magnetic field. The sputtered atomic ions are decelerated by collisions with flowing helium gas, and then cluster ions condensed out of the quenched vapor. Atomic and cluster ions extracted from the source are mass-separated by a magnetic mass spectrometer and allowed to intersect at 90° with the dispersed light from a VUV monochromator. The extraction voltage is set to 10–20 kV. In the first stage, we are planning to measure threshold photoelectron spectra of size-selected metal cluster anions.

## (2) Materials Science on Molecular Devices

### d- $\pi$ Interaction in Molecular Metals

Kyuya YAKUSHI, Ilias I. KHAIRULLIN, and Toshihiro HIEJIMA

We have undertaken a systematic study on the solid molecular systems in which transition metals are embedded in a  $\pi$ -conjugated system from the viewpoint of the future design of the superconducting material. The highly conductive phthalocyanine salts such as  $\text{CoPc}(\text{AsF}_6)_{0.5}$  and  $\text{NiPc}(\text{AsF}_6)_{0.5}$  are the prototype of the one-dimensional conductors in which the d- and  $\pi$ -orbitals form a double-chain (two-bands) system. This year we examined the pressure dependence of the absorption spectra of these conductive phthalocyanine salts, and found a pressure-induced charge transfer from the d-band to  $\pi$ -band. (see IV-A-1) This phenomenon is quite unique and implies that the pressure can control the carrier concentration of these two bands, which is usually very difficult in a organic conductor. Pressure dependence (0–8 GPa) of the electrical conductivity and magnetic susceptibility of these compounds will be very important and interesting, although these experiments are technically difficult.

We have studied the preparation and characterization of 2D-polymer of  $\text{CuPc}$  to extend the d- $\pi$  interacting materials, where local magnetic moments on the square lattice are embedded in a 2D Fermi sea. We systematically examined the magnetic properties of these materials by X- and Q-band ESR spectroscopy. (see IV-A-2) Since these materials are still not well-characterized, the interpretation of the magnetic properties is not straightforward. Phenomenologically, the materials prepared at lower ( $< 500^\circ\text{C}$ ) temperature have a high-concentration of conduction electrons and localized electrons on  $\text{Cu}^{2+}$ . These different type species are not interacting with each other contrary to our expectation. The materials prepared at higher ( $> 650^\circ\text{C}$ ) temperature shows that these two-types of signals merges into one, which means a strong interaction between the localized and delocalized electrons.

### Superconducting Properties of Na-N-C<sub>60</sub> Ternary Compound

Ilias I. KHAIRULLIN and Kyuya YAKUSHI

Subsequently to the last years discovery of the new superconducting Na-N-C<sub>60</sub> compound, we have conducted a systematic study of these compounds, *i.e.* improved the preparation method by changing the chemical composition between C<sub>60</sub> and  $\text{NaN}_3$  and performed the X-ray diffraction experiment using the well-characterized sample. Since we still do not succeed to prepare the homogeneous superconducting material, we determined the lattice constant of the superconducting phase by comparing the X-ray diffraction patterns of superconducting and non-superconducting materials. We determined the superconducting parameters such as coherent length and penetration depth, which does not depend upon the degree of homogeneity. (see IV-B-1) This is a joint research with Dr. K. Imaeda of Inokuchi group.

### Toward Comprehensive Understanding of Various Electronic Phases in Molecular Conductors

Kazushi KANODA, Kazuya MIYAGAWA, Atsushi KAWAMOTO (*Ochanomizu Univ.*), and Yasuhiro NAKAZAWA

Molecular solids form a distinctive field which has large structural anisotropy due to low symmetry of molecules and softness due to loose packing of molecules. Electronic system in such an unique field is expected to show novel properties and therefore has potential as forthcoming electronic devices. Indeed, various electronic phases have been uncovered in several types of organic conductors. In the  $\kappa$ -phase family of BEDT-TTF charge transfer complexes, insulating, superconducting and metallic phases appear, depending on anion or pressure applied in spite of structural similarity. On the other hand,  $(\text{DMe-DCNQI})_2\text{Cu}$ , a hybrid electronic system composed of  $\pi$ -electrons and d-electrons, exhibits metallic and insulating states, depending on partial deuteration (abbreviated by  $\text{d}_n$  with  $n$ , a number of substituted deuterium) of the methyl group in the DMe-DCNQI molecule or application of pressure to the system. There is a suggestion that these different states should be related to each other with electron correlation as a possible parameter. In order to get an unified picture of a rich variety of electronic phases, a comprehensive study to relate or compare the states is needed. However, most of the research so far were mainly devoted to problems within a specific phase. Nuclear magnetic resonance (NMR) can probe every type of electronic phase. In this project, the above two families of organic conductors have been investigated by  $^{13}\text{C}$ - and  $^{15}\text{N}$ -NMR. For this purpose, the central carbon sites in the BEDT-TTF molecule and one carbon and two nitrogen sites of  $=\text{N-CN}$  in the non- and partially deuterated DMe-DCNQI molecules were substituted into  $^{13}\text{C}$  and  $^{15}\text{N}$  isotopes. Nuclear spin lattice relaxation rate and Knight shift were measured. In the BEDT-TTF compounds, an insulator ( $\text{Cu}[\text{N}(\text{CN})_2]\text{Cl}$  salt) and superconductors ( $\text{Cu}(\text{NCS})_2$  and  $\text{Cu}[\text{N}(\text{CN})_2]\text{Br}$  salts) show strong magnetic fluctuations in a similar manner at higher temperatures. The fluctuations lead to freezing of antiferromagnetic order for the insulator but are suppressed for the superconductors at low temperatures. Thus, electron correlation is important for both phases and some mechanism of suppression of magnetic fluctuations at low temperatures seems to control the ground state. For  $(\text{DMe-DCNQI})_2\text{Cu}$  systems, an insulating phase ( $\text{d}_6$ -compound) exhibits paramagnetism of local  $\text{Cu}^{2+}$  spins and undergoes an antiferromagnetic transition at a lower temperature. In the metallic phases ( $\text{d}_0$ - and  $\text{d}_2$ -compounds), the magnetic fluctuations seen by the nuclear relaxation are considerably different from those in the insulating phase. It should be noted that the metallic state of the  $\text{d}_2$ -compound which is situated closer to the insulator shows the same magnetic behavior as the more stable metallic state of the  $\text{d}_0$ -compound, without any precursor of insulator. The mechanism of metal-insulator transition is different in these two families of materials. Now, measurements of specific heat, which characterizes

the electronic state from macroscopic viewpoint, is in progress.

### **Fabrication of Novel Organic Molecular Assemblies with the Use of the Molecular Beam Epitaxy Technique**

**Yusei MARUYAMA, Hajime HOSHI** (*Tokyo Institute of Technology*), **Keiichi KOHAMA** (*Toyota Motor Corp. and IMS*), and **Shaoli FANG**

In order to prepare new materials which could be useful for molecular devices elements, we have started to design and fabricate ultra-thin organic multi-layered systems. In the first place, we have prepared ultra-thin single component phthalocyanine thin films to investigate epitaxial growth conditions on alkali halide single crystals. Fairly well oriented, uni- or bi-directionally, crystalline films are obtainable on the alkali halide substrates. Based on this kind of mono-film, we are going to fabricate a multi-layered system.

The SHG and/or THG of the films are investigated from the view point of the molecular structure and the epitaxy or orientation of the films.

### **Study on the Solid State Properties of Fullerenes**

**Yusei MARUYAMA, Hironori OGATA, Roger WHITEHEAD** (*Durham Univ. and IMS*), **Toshiyasu SUZUKI, Takeshi ARAI, and Atsushi SUZUKI**

In order to clarify the nature of novel molecular systems, fullerenes, we have started to measure the electronic properties of fullerene solids, mainly concerning on the transport properties of pure and/or alkali metal-doped fullerene crystals.

Charge-carrier drift mobilities of pure C<sub>60</sub> single crystals were measured with the use of time-of-flight technique and the temperature dependence of the mobilities was also investigated. As for the alkali metal (K or Rb) doped C<sub>60</sub> crystals, the temperature dependences of the electrical conductivities and the thermoelectric power were observed, and the "metallic" nature of these substances was revealed. Through these measurements we are going to understand the electronic nature of this novel molecular system.

### **NMR Studies of Novel Condensed Matter Systems**

**Hironori OGATA, Osamu OISHI, and Seiichi MIYAJIMA**

To develop new functional materials based on molecular assemblies, synthetic and NMR studies have been conducted. In the last period our study centered on the structural, electronic, and dynamical properties of liquid crystals and low dimensional electrical conductors (reported in IV-J and IV-K, this issue). We are also developing the following new projects.

#### **(1) Solid state NMR studies on the electronic properties of the ternary compounds of graphite and of fullerenes.**

Graphite and C<sub>60</sub> become metallic or superconducting when reacted with some alkali metals. If another element can be added to this binary compound, the third element

has a possibility of controlling the density of states at the Fermi energy, and also of generating novel character related with guest-guest and guest-host interactions. We are conducting NMR experiments to analyze the nature of these ternary systems.

#### **(2) Construction of a new NMR spectrometer for the measurements of anisotropic self-diffusion**

For the selective measurement of translational motion of molecules, an apparatus for sharp and intense pulsed field gradient is being constructed. A rotatable quadrupole coil and a cryostat were designed to generate first rank partial derivatives,  $\partial B_z / \partial q$ , of the magnetic field with respect to any directions with maximum strength of 13 T m<sup>-1</sup>. The spectrometer equipped also with high RF power facility, versatile pulse sequence, and a fast signal processing will be used to measure anisotropic diffusion coefficient tensor in partially ordered systems.

### **Exploration of New Cooperative Proton-Electron Transfer (PET) Systems**

**Kazuhiro NAKASUJI, Jiro TOYODA, Yasushi MORITA, Makoto TADOKORO, Tetsuji ITOH, Minoru MITSUMI, Badruz ZAMAN, Kunio HATANAKA, and Koichi TAMAKI**

Search for new molecular materials based on the cooperative interactions between proton and electron has been actively continued. We are now developing a general strategy to explore new molecular materials. Cooperative proton-electron transfer (PET) in the hydrogen-bonded charge transfer (HBCT) systems might produce a molecular assembly of H-bonded neutral radicals. The solid state properties of such PET systems depend on the type and strength of the proton-electron cooperativity. Realization of a PET system under milder physical conditions can open an opportunity toward new molecular materials which possess interesting solid state properties. A molecular level stepwise consideration leads to two reasonable molecular design strategies: the exploration of new electronic systems having smaller intermolecular CT gap and an electronic modification to stabilize H-bonded neutral radical state. Our current approaches to synthesize new PET systems are as follows: (1) the donor and acceptor substituted quinhydrones, (2) the extended conjugated quinhydrones, and (3) the transition metal complexes having H-bonding networks. For example, as for the approach (1), we continue to modify the prototype benzoquinhydrone by introducing the electron donor and the acceptor substituents. As for (2), we have already reported the synthesis and solid state properties of naphtho-, biphen-, and stilben-quinhydrone as extended conjugated quinhydrones. In these quinhydrones, we found cooperative phenomena between H-bonding and CT interaction. In order to expand the PET systems, new approach (3) are now actively performed. In the H-bonded transition metal complexes, we can utilize the additional characteristics of redox properties of the metal atoms and the intermolecular interactions between the metal atoms or between the metal atom and the ligand. As a first step, we succeeded to construct a H-bonded dimer model of the biimidazole transition metal complex. We are now concen-

trated to construct new H-bonded molecular systems of biimidazole-type and pteridine-type transition metal complexes.

### Organic Conductors Based on Novel Heterocyclic Compounds

Yoshiro YAMASHITA, Shoji TANAKA, and Masaaki TOMURA

We have succeeded in preparing new electron donors giving organic conductors. 7-(1,3-Dithiol-2-ylidene)-4-methyl-4,7-dihydro[1,2,5]thiadiazolo[3,4-b]pyridines are strong electron donors and highly polarized. They gave highly conducting charge-transfer complexes with TCNQ. X-Ray analysis reveals intermolecular heteroatom contacts resulting in a unique three-dimensional network. Although planar molecules are usually used for organic conductors, butterfly-shaped bis(1,3-dithiole) donors containing a fused thiadiazole ring gave conductive cation radical salts whose composition is 1:1. The donor molecules show one-stage two-electron oxidation waves. In the crystal structures deformed donor molecules are uniformly stacked. A novel type of donor- $\pi$ -acceptor system was also prepared. The molecule named BTQT has heterocyclic donor and acceptor units in one molecule. This molecule forms a novel three-dimensional crystal by interheteroatom contacts and shows a non-ohmic behavior. Heterocyclic donor-acceptor systems were used to construct narrow bandgap polymers. Other organic conductors such as transition metal complexes and functionalized polythiophene derivatives were also prepared and the properties were investigated. Details of those works are described in VIII-B section.

### Electronic Structure and Reaction Dynamics of Solvated Metal Cluster Ions

Kiyokazu FUKE and Fuminori MISAIZU

Metal ions are intimately involved in chemistry and biochemistry and play a crucial role in many reactions. Although there has been extensive progress in the thermodynamic and kinetic studies of solvated metal ions, the study of microscopic aspect of solvation dynamics has been rather limited. Spectroscopic studies of the solvated metal ion clusters as a function of cluster size can provide detailed information on energetic and dynamics of solvation. The advent of mass spectrometer and metal cluster beam techniques in conjunction with laser probes now allow an attack on the problem for the solvation of metal ions and metal cluster ions through studies probing energy levels and dynamical processes occurring in solvated metal clusters.

In the present research project, we have investigated the photodissociation spectra and photoinduced hydrogen-atom elimination reaction of mass-selected  $\text{Ca}^+(\text{H}_2\text{O})_n$  using a reflectron-type TOF mass spectrometer (see VIII-C-1). Using the recently developed magnetic-bottle type photoelectron spectrometer, we have investigated the photoelectron spectra of  $\text{Cu}^-(\text{H}_2\text{O})_n$ ,  $\text{CuOH}^-(\text{H}_2\text{O})_n$ , and  $\text{Na}^-(\text{H}_2\text{O})_n$  (see VIII-C-2, 3). We have also studied the photoionization process of solvated Rydberg radicals such as  $\text{NH}_4(\text{NH}_3)_n$  and  $\text{NH}_4(\text{NH}_3)_m(\text{H}_2\text{O})_n$  in relation to the electron localization modes in clusters (see VII-D-1, 2).

## (3) Material Control in Multi-Reaction Centers

### Helicity vs. Chirality: Synthesis and Structures of Enantiomerically Pure Helical Polymers and Optically Inactive Dinuclear Complexes

Kiyoshi ISOBE, Takayoshi SUZUKI, and Hiyoshizo KOTSUKI (*Kochi Univ. and IMS*)

Enantiospecific synthesis of helicates which are polynuclear metal complexes having helical topology of the bridging ligands, is a current subject in coordination and organometallic chemistry. For this purpose, we have developed a powerful method to prepare optically active bridging ligands, 1,3-dioxolane derivatives. With this ligand or its enantiomer, enantiospecific synthesis of helicates has been performed in this study. Further, in order to understand the homo- and heterochiral molecular recognition process, preparation of a silver(I) complex with the racemic ligand has also been carried out.

A reaction of either *R,R*-*L* or its enantiomer (*S,S*-*L*) with  $\text{AgOTf}$  ( $\text{OTf} = \text{CF}_3\text{SO}_3^-$ ) or  $\text{AgPF}_6$  gives colorless crystals of  $[\{\text{Ag}(\text{R,R- or S,S-L})\}_n](\text{OTf or PF}_6)_n$ , which have an infinite helical chain structure of a polymeric silver(I) complex. The radius of the helical chain is dependent on the co-crystallized anions because of the weak interaction between the anions and silver centers. In contrast, a

reaction of  $\text{AgOTf}$  with a racemic mixture of the ligand (*rac-L*) yields an optically inactive dimeric complex,  $[\text{Ag}_2(\text{rac-L})_2](\text{OTf})_2$ , where heterochiral molecular recognition should be occurred. In the crystal the recognition may be caused by a weak hydrogen bond-like interaction between the intramolecular heterochiral ligands. In solution, however, there is no difference in  $^1\text{H}$ ,  $^{13}\text{C}$  NMR, and other spectroscopic results when the optical activities of the ligand used in complexization change. Hence the stereospecificity found in the X-ray analyses of these compounds appears on the crystallization from the solutions. The detailed analysis of the structures of these compounds in solution and the related complexes are now in progress.

### Dinuclear Mixed Ligand Complexes with Tetraketonate and Polyamines

Falideh JALILEHVAND, Masako URAGAMI (*Ochanomizu Univ.*), Wolfgang LINERT (*Technical Univ. Vienna*), and Yutaka FUKUDA (*Ochanomizu Univ. and IMS*)

In continuing the study of dinuclear mixed ligand complexes of  $\text{Cu(II)}$  or  $\text{Ni(II)}$  with tetraketonate ligand such as 1,1,2,2-tetraacetyethanate(taet) and N-alkylated ethylene-



diamine(tmen) of diethylenetriamine(pmdt), we have synthesized some new tetraketone ligands(L) which contain some substituent groups between two acetylacetonate moieties. The substituent groups are (o-, m- or p-)xylyl- and p-substituted benzyl-groups. We could obtain fine crystals of Cu(II),  $\text{Cu}_2(\text{L})(\text{tmen})_2\text{X}_2$ , where  $\text{X}=\text{ClO}_4^-$  or  $\text{NO}_3^-$ , which are very soluble in many organic solvent and show characteristic solvatochromism due to the donor strength of the solvent used.

### Structure and nmr Behavior of Cobalt(III) Polyamine Complexes of Nitrilotris(methylenephosphonate) in Aqueous Solution

Kiyoshi SAWADA, Keiichi SATOH, and Tomohide ICHIKAWA

The complex formation of nitrilotris(methylenephosphonate)(NTMP) with cobalt(III)-polyamine complexes has been investigated by means of NMR spectroscopy. P-31 NMR spectra of the reaction mixture of  $\text{Co}^{\text{III}}$  polyamine complex with NTMP were measured at 0°C as a function of pH. The analysis of the pH dependence of P-31 NMR signals revealed the two types of complexes were formed by the reaction of  $\text{cis}[\text{Co}(\text{en})_2\text{Cl}_2]_2^{2+}$  with NTMP: O- monodentate complexes and O,O-bidentate complexes having an eight membered chelate ring. The protonation equilibria and P-31 NMR chemical shifts of each complex were determined and the structures of these complexes were estimated. The results of the complex formation of the NTMP complexes of  $\text{cis}[\text{Co}(\text{en})_2(\text{NH}_3)\text{Cl}]^{2+}$  support the structure of O-monodentate complex. The reaction of  $\text{fac}[\text{Co}(\text{dien})(\text{H}_2\text{O})_3]^{3+}$  with NTMP forms the facial and meridional forms of O,N,O-tridentate complexes.

### Study on the Interaction Between Halogen Atom of the Halogeno Complexes and the Solvent Molecules. II

Fumio KAWAIZUMI

The halogeno complexes  $\text{K}_2[\text{MX}_4]$  and  $\text{K}_2[\text{MX}_6]$  attract our special attention: To how much extent does the interaction of the ligand halide ion  $\text{X}^-$  with solvent molecules differ from the case for free ions in solution? Previously, we determined the partial molar volumes  $V_2^0$  of  $\text{K}_2[\text{MX}_4]$  and  $\text{K}_2[\text{MX}_6]$  where M is Pt or Pd and X is Cl, Br, or SCN and found that the palladium and platinum complexes have quite similar  $V_2^0$  values.

The solute - solvent interactions of these complexes have further been investigated from the point of compressibility of solutions. The sound velocities in solutions were measured using the precision ultrasonic velocity-meter based on the sing-around techniques. The velocity data have been combined with the density data obtained in our previous work to give the adiabatic compressibilities. The infinite dilution values of the partial molar adiabatic compressibilities  $K_s^0$  have been determined. By subtracting the  $K_s^0$  ( $\text{K}^+$ ) values from those for the complexes, the values of  $K_s^0$  for the halogeno complexes  $\text{K}_s^0$  ( $[\text{MX}_4]^{2-}$ ) and  $\text{K}_s^0$  ( $[\text{MX}_6]^{2-}$ ) have been determined. The values for the two thiocyanato complex ions are positive, showing that the

interaction of the ligand  $\text{SCN}^-$  with the solvent water is very weak and that these complex ions have no incompressible region around them. While the volumetric behavior of the complex ions  $[\text{PtCl}_6]^{2-}$  and  $[\text{PdCl}_6]^{2-}$  differ only slightly, compressibility measurement has indicated that the ion  $[\text{PdCl}_6]^{2-}$  interacts more strongly than  $[\text{PtCl}_6]^{2-}$ .

### Carbon-Carbon Bond Formation in Multi-Electron Reduction of Carbon Dioxide by Homogeneous Catalysts

Koji TANAKA, Tetsunori MIZUKAWA, and Hirotaka NAGAO

Electrochemical  $\text{CO}_2$  reduction takes place at more negative potentials than -2.0 V (vs. SCE). To reduce the high overpotential energy for the  $\text{CO}_2$  reduction, much efforts have been paid to search for metal complexes to mediate  $\text{CO}_2$  reduction at less negative potentials. Although a variety of metal complexes have proven to catalyze electro- and photochemical  $\text{CO}_2$  reduction, the reduction products in those homogeneous reactions are limited to CO and  $\text{HCOOH}$  so far. From the viewpoint of Cl sources for other organic compounds, multi-electron reduction of  $\text{CO}_2$  accompanied by carbon-carbon bond formation is much more important than two-electron reduction of  $\text{CO}_2$ . The purpose of this project is to develop new catalytic systems capable of multi-electron reduction of  $\text{CO}_2$  accompanied by carbon-carbon bond formation. This problem may be solved by prevention of CO evolution from metal-carbonyl intermediate during the reduction of  $\text{CO}_2$ . Polypyridyl metal complexes having low energy  $\pi^*$  orbitals are useful candidates for the multi-electron reduction of  $\text{CO}_2$ , since electrons transferred to those complexes from electrodes and photosensitizer are expected to be accommodated into  $\pi^*$  orbitals of polypyridyl ligands. This may lead to reduction of the carbonyl group without fission of the metal-carbonyl bond. Along this line, we have found that  $[\text{Ru}(\text{bpy})(\text{trpy})(\text{CO})]^{2+}$  (bpy=bipyridine; trpy=terpyridine) can catalyze the multi-electron reduction of  $\text{CO}_2$  in aqueous conditions at -20°C to afford not only  $\text{HC}(\text{O})\text{H}$  and  $\text{CH}_3\text{OH}$  but also  $\text{HOCC}(\text{O})\text{H}$  and  $\text{HOCCCH}_2\text{OH}$  through the  $\text{Ru}-\eta^1\text{-CO}_2$ ,  $\text{Ru}-\text{C}(\text{O})\text{OH}$ ,  $\text{Ru}-\text{CO}$ ,  $\text{Ru}-\text{CHO}$ , and  $\text{Ru}-\text{CH}_2\text{OH}$  intermediates.

### Carbon-Carbon Bond Activation of Zirconacycles and Its Application for Selective Reactions

Tamotsu TAKAHASHI, Noriyuki SUZUKI, Koichiro AOYAGI, Ryuichiro HARA, Kayoko KASAI, Zhenfeng XI, Martin KOTORA, Toyohisa ISHIDA, and Yasushi NISHIHARA

Zirconacycles such as zirconacyclopentanes, zirconacyclopentenes and zirconacyclopentadienes are easily prepared from alkenes and/or alkynes. These compounds have very interesting properties. Their  $\beta,\gamma$ -carbon-carbon bond in the metallocycles can be cleaved. We investigated the cleavage reaction and found that replacement reaction of alkene or alkyne moiety by other unsaturated bonds such as  $\text{C}=\text{C}$ ,  $\text{C}=\text{N}$  and  $\text{C}=\text{O}$  gave different type of metallacycles such as oxazirconacycles and azazirconacycles. When the



unsaturated compounds have a leaving group at  $\beta$ -position, elimination reaction proceeds after forming zirconacycles. This method could be used for selective allylation reaction of alkynes, preparation of allylcyclopropanes and catalytic reaction of allylic ethers with EtMgBr. This reaction was very useful since elimination proceeded only when the leaving group was in the  $\beta$ -position of  $\alpha$ -substituent. For example, in the case of allylation reaction of alkynes, usual allylation using Mg, Zn, Li, B gives a mixture of regio

isomers. The isomers are formed due to its mechanism involving four centered and six centered intermediates. However, the method we developed here gave only one regio isomer. Carbon-carbon bond formation occurred exclusively at  $\gamma$ -position of allylic ethers because of its mechanism involving a five centered intermediate. Further investigation to develop new useful synthetic methods using carbon-carbon bond activation of zirconacycles are now in progress.

# OKAZAKI CONFERENCES

## The Forty-seventh Okazaki Conference

### Solvent Dynamic Effects on Relaxation Phenomena (October 5–7, 1993)

**Organizers:** K. YOSHIHARA (*IMS*) and T. OKADA (*Osaka Univ.*)

**Invited Overseas Speakers:** C. B. HARRIS (*Univ. of California, U.S.A.*), M. A. JOHNSON (*Yale Univ., U.S.A.*), K. DUPPEN (*Univ. of Groningen, Netherlands*), A. NITZAN (*Tel Aviv Univ., Israel*), M. MARONCELLI (*Pennsylvania State Univ., U.S.A.*), and M. R. TOPP (*Univ. of Pennsylvania, U.S.A.*)

Various kinds of relaxation phenomena including chemical reactions are closely related to the solvent dynamics. Recent progress in experiments (ultrafast laser spectroscopy, molecular beams, sensitive detection etc.) and theories (statistical and molecular dynamic methods, etc.) are

improving our understandings of relaxation phenomena. The conference was organized to discuss on the following subjects (1) Molecular mechanisms of solvation dynamics and chemical reactions (2) Chemical dynamics in clusters (3) Solvent dynamic effects on molecular spectroscopy and (4) Energy relaxation and dephasing process in liquids. The conference consisted of sixteen invited talks and was attended by 50 scientists including 15 scientists of IMS. No poster session was organized and the afternoon of the middle day was used for excursion to Mikawa Bay. There were plenty of time to discuss the subjects individually. The conference was successful in exchange of vast information among specialists during the session and spare time. The experimentalists and theorists exchanged ideas very well. The understandings on solvent dynamics and relaxation phenomena have improved greatly.





## The Forty-eighth Okazaki Conference

### "Phthalocyanines" —Design and Application for Molecular Devices— (January 26–28, 1994)

**Organizers:** M. HATANO (*Tohoku Univ.*), K. YAKUSHI (*IMS*), and Y. MARUYAMA (*IMS*)

**Invited Overseas Speakers:** A. J. CEULEMANS (*Kathol. Univ., Belgium*), M. J. STILLMAN (*Univ. Western Ontario, Canada*), C. C. LEZNOFF (*York Univ., Canada*), D. BRAUN (*Kathol. Univ., Belgium*), J. D. WRIGHT (*Univ. Kent, U.K.*), and M. HANACK (*Univ. Tübingen, Germany*)

As a typical material for basic study of molecular functionality devices, phthalocyanines are one of the most relevant molecular materials. In the first part of this conference, the syntheses, reactions, electronic states and properties of phthalocyanine molecules, phthalocyanine complexes and assemblies of such a small number of molecules as dimers were discussed, and then to the electronic properties and applications in the solid state of these molecular aggregates

the discussion was extended.

Phthalocyanine is a highly functional molecule as a building block for molecular aggregates. For example, its planar molecular shape is favorable for construction of layer structure so as to form dimer structures, liquid crystals or thin films. It can form even polymers through the bonding between center metal atoms in neighboring molecules. Substitution to the phthalocyanine-ring may control the electronic properties or steric effect of the molecules. Detailed theoretical analyses may lead to a design of novel molecules based on phthalocyanine.

From the view-points mentioned above, synthesis of new molecules, construction of new molecular assemblies and their properties were mainly discussed, and furthermore some novel aspects of phthalocyanine solids including applications were discussed. Such a rather sharp focusing of discussion in this conference was very effective to a substantial understanding on the direction for realizing molecular devices.





## The Forty-ninth Okazaki Conference

### The Structure and Dynamics of the Clusters Formed in Supercritical Fluids (March 16–18, 1994)

**Organizers:** O. KAJIMOTO (*Kyoto Univ.*), I. OHMINE (*IMS*), and K. FUKE (*IMS*)

**Invited Overseas Speakers:** J. TROE (*Göttingen Univ., Germany*), K. P. JOHNSTON (*Univ. Texas, U.S.A.*), F. B. BRIGHT (*State Univ. NY, U.S.A.*), S. HOWDLE (*Univ. Nottingham, U.K.*), and J. BRENNECKE (*Univ. Notre Dame, U.S.A.*)

Supercritical fluids have recently attracted much attention because of their superior properties as solvents for extraction, separation and chemical reactions. This medium also has essential importance in the basic research of condensed phase chemical reactions because it offers an

opportunity to analyze the microscopic feature of the interaction between the reactants and the environment. The clustering in supercritical solutions has been recognized as the basis of the characteristic behavior observed for the diffusion, solubility and reactivity of solute molecules in supercritical fluids. This conference was proposed for discussing the effects of clustering on various properties of supercritical solutions. We also intended in this conference to have a chance for the scientists both in basic science and chemical engineering altogether to discuss on these issues. In addition to five outstanding talks by the foreign invited speakers, fourteen Japanese scientists gave nice talks. The vivid discussions were made on the subjects such as the structure, spectroscopy and reaction dynamics of clusters in supercritical fluids, and the relation with the gas-phase clusters.



# JOINT STUDIES PROGRAMS

As one of the important functions of an inter-university research institution, IMS undertakes joint studies programs for which funds are available to cover research expenses as well as travel and living expenses of individuals. The proposals from domestic scientists are reviewed and controlled by the inter-university committee. The programs are carried out under one of five categories:

- 1) Joint Studies on special projects (a special project of significant relevance to the advancement of molecular science can be carried out by a team of several groups of scientists).
- 2) Research Symposia (on timely topics in collaboration with both outside and IMS scientists).
- 3) Cooperative Research (carried out in collaboration with both outside and IMS scientists).
- 4) Use of Facility (the Computer Center, Instrument Center and other research facilities at IMS are open to all researchers throughout the country).
- 5) Joint studies programs using UVSOR facilities.

a) Special Project, b) Cooperative Research, c) Use of UVSOR Facility.

In the fiscal year 1993, numbers of joint studies programs accepted amounted to 1, 9, 130, and 246 for categories 1)–4), respectively 2, 44 and 132 for 5a)–5c), respectively.

## 1) Special Projects

### Synthesis and Catalysis of Oxide Clusters

**Contributors:** Koji TANAKA, Kiyoshi ISOBE, Masaaki ABE, Masaru ICHIKAWA (*Hokkaido Univ.*), Takafumi SIDO (*Hokkaido Univ.*), Yusuke IZUMI (*Nagoya Univ.*), and Kazuo URABE (*Nagoya Univ.*)

This research project aims at synthesis of new types of oxide clusters that have active sites for chemical reactions, and at development of unique catalytic reactions for hydrocarbon transformation by using newly prepared oxide clusters.

### (1) Synthesis and Reactivity of Organometallic Oxide Clusters with Incomplete Cubic Structure and Valley Sites

Recently, we have directed our efforts primarily toward the synthesis of organometallic oxide clusters with incomplete cubic structure and valley sites, because such clusters are expected to show unique reactivity towards organic substrates from theoretical studies and to be potential models for inorganic solid surfaces to understand the chemistry on them.

The triple cubane-type cluster,  $[(\text{RhCp}^*)_4\text{Mo}_4\text{O}_{16}]$  ( $\text{Cp}^* = \eta^5\text{-C}_5\text{Me}_5$ ), shows a novel fragmentation of cube size in the framework to form an incomplete double cubane-type methoxide cluster  $[(\text{RhCp}^*)_2\text{Mo}_3\text{O}_9(\text{OMe})_4]$ . We are studying the reaction mechanism of the fragmentation and reactivities of the incomplete double cubane-type cluster.

Another oxide cluster,  $[(\text{RhCp}^*)_4\text{V}_6\text{O}_{19}]$ , has valley sites. We have found that the sites are able to interact with  $\text{CH}_3\text{CN}$  through the  $\text{CH}\cdots\text{O}-\text{V}$  hydrogen bonds. This suggests that inactive C-H bonds in organic molecules are activated by the valley sites in  $[(\text{RhCp}^*)_4\text{V}_6\text{O}_{19}]$ . We are now developing a new reaction by using the incomplete cubic structure and valley sites in  $[(\text{RhCp}^*)_2\text{Mo}_3\text{O}_9(\text{OMe})_4]$  and  $[(\text{RhCp}^*)_4\text{V}_6\text{O}_{19}]$ , respectively.

### (2) Characterization of Metal Oxide-Supported Organometallic Oxide Clusters and Catalysis for Transformation of Organic Molecules

The  $\text{SiO}_2$ -supported cubane-type molybdenum oxide cluster,  $[\text{RhCp}^*\text{MoO}_4]_4$ , was characterized by EXAFS and IR. When the attached clusters were reduced by CO under illumination, the bridge oxygen of  $\text{Mo}_4\text{O}_{16}$  cubane framework was removed (Figure 1) and the adjacent Mo was coordinated by CO which desorbed by the evacuation at 473 K. Propene metathesis reaction proceeded on the CO photoreduced catalyst. The coordinatively unsaturated Mo site may be active for the reaction.

$[(\text{RhCp}^*)_4\text{V}_6\text{O}_{19}]$  grafted on silica was also investigated by EXAFS and IR. The gas-phase oxidation and hydration of acetonitrile proceed on silica-grafted Rh-VO cluster at 300–423 K. EXAFS and IR data suggest that changes of the framework of the Rh-VO cluster are due to decrease in V-Rh, and V-V bonding contribution occurs with appearance of new V-O bond by the thermal evacuation over 473 K (Figure 2). Transform of the framework of the V-O bonds of Rh-VO cluster was reflected in the activity change for hydration of acetonitrile.

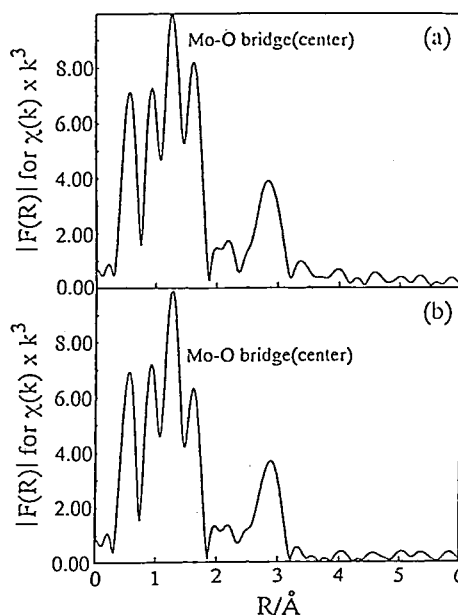


Figure 1. EXAFS spectra of  $[\text{RhCp}^*\text{MoO}_4]_4$ . (a): at room temperature (b): irradiation of the light (Hg-lamp) in the presence of CO.

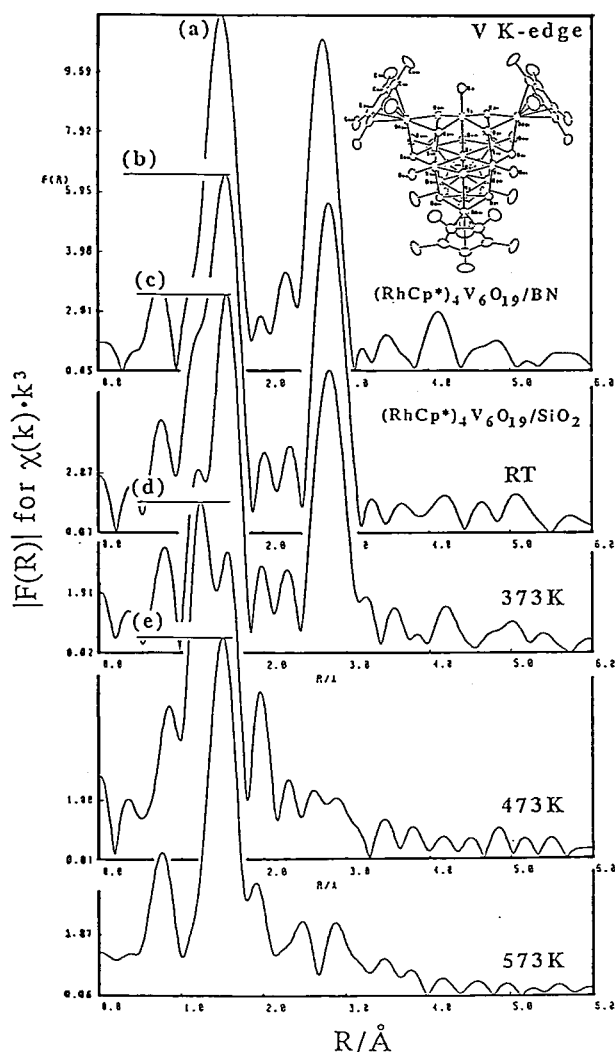


Figure 2. EXAFS characterization of  $\text{SiO}_2$ -grafted  $[(\text{RhCp}^*)_4\text{V}_6\text{O}_{19}]$ .

### (3) Hydrogenation of Alkynes and Alkenes by Using a Coupled System of Heteropoly Oxometalates and the Wilkinson Complex

In recent years the Keggin-type heteropoly acid (abbreviated as HPA) has been actively applied as catalyst to various organic reactions, because it possesses dual catalytic function of strong acidity and oxidizing ability. We have also studied the homogeneous catalyses of HPA in order to elucidate its catalytic features at a molecular level. We think now that these interesting catalytic behaviors by HPA are undoubtedly due to the intrinsic (electronic and structural) properties of heteropoly anion, or the conjugate base of HPA. We already reported that heteropoly anion revealed an excellent modifying effect on the Wilkinson's complex.

Our attention is now paid to understanding of this modifying effect or cooperative effect of the Rh-W system by comparison with the catalytic reaction using the organometallic oxide clusters such as  $[\text{RhCp}^*\text{MoO}_4]_4$ , and  $[(\text{RhCp}^*)_4\text{V}_6\text{O}_{19}]$ .

## 2) Research Symposia

1. New Horizon of Molecular Spectroscopy and Dissociation Dynamics by using Synchrotron Radiation

(November 11th–13th, 1993)

Organizer: N. Kosugi

2. Design and Control of Electronic Systems toward New Molecular Materials

(December 9th–10th, 1993)

Organizer: K. Nakasuji

3. Recent Progress and Future Perspective in Photo-induced Charge Transfer Process and its Related Problems

(December 14th–15th, 1993)

Organizer: K. Shobatake

4. Dynamics of Non-Equilibrium Material by Electronic Excitation

(January 24th–25th, 1994)

Organizer: Y. Shinozuka

5. Solid-State Chemistry of Fullerenes

(February 26th, 1994)

Organizer: K. Nasu

6. Symposium on Picosecond and Femtosecond Spectroscopy

(March 16th–17th, 1994)

Organizer: K. Yoshihara

7. Physics and Chemistry of Molecular Solids at High Pressure

(July 3rd–4th, 1994)

Organizer: K. Shiroya

8. Symposium on Physical Chemistry for Young Researchers in Molecular Science

(July 15th, 1994)

Organizer: Y. Nagano

9. Unified Understanding of the Motion of Finite Many-Electron System

(July 22th–24th, 1994)

Organizer: A. Ichimura

## 3) Cooperative Research

This is the most important programs IMS undertakes for conducting its own research of the common interest to both outside and IMS scientists by using the facilities at IMS. During the first half of fiscal year of 1993 ending on September 30, 60 outside scientists; and during the second half of the fiscal year, 63 outside scientists, the names and affiliations of those collaborators are found in the Research Activities.

## 4) Use of Facilities

The number of projects accepted for the Use of Facility Program of the Computer Center during the fiscal year of 1993 amounted to 225 (716 users) and the computer time spent for these projects is 16306 hours (converted to the HITAC M-680H time), and amounted to 76% of the total annual CPU time used.

Projects (230 users) were accepted for the Use of Facility Program of the Instrument Center during the fiscal year of 1993.

## 5) UVSOR

In the UVSOR Facility with the 750 MeV electron



storage ring, there are nineteen beam lines available for synchrotron radiation research (see "UVSOR ACTIVITY REPORT 1994"). The Experimental Facility of each beam line is described in the introductory pamphlet "OUTLINE OF UVSOR". The Japanese and English versions are available through UVSOR Facility. Under the following programs, a number of SR studies have been carried out by many users outside and inside IMS: a) the UVSOR Special Project, b) the UVSOR Cooperative Research Projects, c) the UVSOR Projects. Furthermore, the 10th anniversary of the UVSOR was celebrated on December 3, 1993 by many participants. The 13th UVSOR User's Symposium was held on December 3, 1993 as a satellite meeting combined with the ceremony.

### 5-a) UVSOR Special Project

#### Development of the Spin-Resolved Photoelectron Spectrometer System

Masao KAMADA, Kazumichi NAKAGAWA, Goro ISOYAMA, Atsunari HIRAYA, Shin-ichiro TANAKA, Hiroyuki HAMA, Shin-ichi KIMURA, Kusuo SAKAI, Osamu MATSUDO, Masami HASUMOTO, Eiken NAKAMURA, Yashuo FUJII,\* Eiji ISHIGURO,\* Kouichi ICHIKAWA,\*\* Kazuo SODA,\*\* Kazutoshi FUKUI,\*\*\* and Sigeo OHARA\*\*\*\* (\*Osaka City Univ., \*\*Univ. of Osaka Prefect., \*\*\*Fukui Univ., \*\*\*\*Nagoya Institute Tech.)

The "complete" experiment, where all of the energy, the momentum, and the spin polarization of photoelectrons can be observed, has been a long desire for scientists in the world. The present project consists of following three parts: (1) The development of a spin detector which can be installed to the angle-resolved photoelectron spectrometer. An analyzing chamber with ultra-high vacuum and an electron spectrometer have already been constructed. The spin detector is a type of low-energy scattering and is now under construction. The surface analysis of a GaAs emitter is also in progress. (2) A new type monochromator (SGM-TRAIN), which covers the photon energy range of 5–250 eV, is now under construction at beam line 5A. This monochromator consists of a constant-deviation mount and a normal-incident mount. The ray-trace calculation of the SGM-TRAIN promises the good resolution and circular polarization. (3) The insertion device which consists of periodic magnetic fields is useful to provide the circularly polarized synchrotron radiation. The calculation of the intensity and polarization from several undulator mounts has been carried out and so we have decided to apply the MPW-type undulator proposed by Prof. H. Kitamura of KEK to a 750-MeV storage ring at UVSOR.

#### Development of the Spectroscopic System at The Beam Line 6A1 at a Wide Energy Region

Takao NANBA,\* Makoto SAKURAI,\* Michihiro KOBAYASHI,\*\* Yasuhiro KONDOH,\*\*\* Masao KAMADA, Kyuya YAKUSHI, and Tsuneo URISU (\*Kobe Univ., \*\*Osaka Univ., \*\*\*Tohoku Univ.)

We are planning to expand the available spectroscopic region at the beam line 6A1 from a millimeter wave to a near infrared region. For this purpose, a new interferometer covering both near infrared and middle infrared regions will be installed in addition to a Martin-Puplett interferometer in the far infrared region. The new system will cover a very wide spectroscopic range of 15000–4 cm<sup>-1</sup> and make possible following subjects,

- (1) study of vibration and lattice modes in solids under high pressure up to 20 GPa,
- (2) study of magnetic properties of solids under extreme conditions such as high magnetic field and high pressure, and so on.

### 5-b) UVSOR Cooperative Research Projects

Under this joint-study program, many synchrotron radiation experiments have been carried out with the beam lines of in-house staff in cooperation with scientists who were invited from other institutions. The total number of the projects in this category was 37 in the fiscal year of 1993.

### 5-c) The UVSOR Invited Research Projects

Under this joint-study program, several scientists were invited from other institutions to help for construction of new beam lines and improvement of the UVSOR storage ring and others. The total number of the projects in this category was 9 in the fiscal year of 1993.

### 5-d) The Use-of-UVSOR Projects

Ten out of the total of nineteen UVSOR beam lines are available for general users outside and inside IMS for their synchrotron radiation studies in the field of molecular science. The total number of the projects in this category was 123 in the fiscal year of 1993.

# FOREIGN SCHOLARS

Visitors from abroad play an important role in research activities and always welcome at IMS. The following is the list of foreign scientist who visited IMS in the past year (Aug. 1993 – Jul. 1994). The sign \*<sup>1</sup> indicates an attendant to an Okazaki Conference, \*<sup>2</sup> an IMS or Japan Society for the Promotion of Science Invited Foreign Scholar, \*<sup>3</sup> an IMS councillor, \*<sup>4</sup> an IMS visiting scientist, and \*<sup>5</sup> an IMS adjunct professor or associate professor from abroad (period of stay from 9 to 12 months). Scientists who wish to visit IMS under program \*<sup>2</sup> and \*<sup>5</sup> are invited to make contact with an IMS faculty in a related field.

Prof. Sang K. Lee* <sup>2</sup>	Pusan National Univ.	(Korea)	- Aug.1993 Dec. 1993 - Feb. 1994
Prof. Jian-Min Yuan	Drexel Univ.	(U.S.A.)	Aug. 1993
Dr. A. A. Zakhidov	Uzbek Acad. Sci.	(Uzbekistan)	Aug. 1993 - Nov. 1994
Dr. V. K. Vanag* <sup>2</sup>	Semenov Inst. of Chemical Phys.	(Russia)	Sep. 1993 - Mar. 1994
Prof. M. Palma	Palermo Univ.	(Italy)	Sep. 1993
Dr. L. S. Bontuyan* <sup>4</sup>	Cornell Univ.	(Phil.)	Sep. 1993 -
Mr. J. Winkelhöfer	Embassy of the Czech Repub.	(Czech)	Sep. 1993
Mr. J. Brábník	Embassy of the Czech Repub.	(Czech)	Sep. 1993
Dr. E. Poetsch	MERCK	(Germany)	Sep. 1993
Prof. C. Schäffer	Univ. of Copenhagen	(Danmark)	Sep. - Oct. 1993
Prof. J. Kalinowski* <sup>2</sup>	Univ. of Gdansk	(Poland)	Sep. - Dec. 1993
Prof. J. Klafter	Tel-Aviv Univ.	(Israel)	Oct. 1993
Mr. Minhaeng Cho	Univ. of Chicago	(U.S.A.)	Oct. 1993 - Mar. 1994
Prof. V. Kamalov* <sup>1</sup>	Inst. of Chem. Phys.	(Russia)	Oct. 1993 -
Prof. C. B. Harris* <sup>1</sup>	Univ. of California, Berkeley	(U.S.A.)	Oct. 1993
Prof. M. A. Johnson* <sup>1</sup>	Yale Univ.	(U.S.A.)	Oct. 1993
Prof. A. Nitzan* <sup>1</sup>	Tel-Aviv Univ.	(Israel)	Oct. 1993
Prof. M. Maroncelli* <sup>1</sup>	Pennsylvania State Univ.	(U.S.A.)	Oct. 1993
Prof. M. R. Topp* <sup>1</sup>	Univ. of Pennsylvania	(U.S.A.)	Oct. 1993
Prof. K. Duppen* <sup>1</sup>	Univ. of Groningen	(Holland)	Oct. 1993
Mr. J. W-I Lin* <sup>1</sup>	Osaka Univ.	(U.S.A.)	Oct. 1993
Dr. H. Chosrowjan* <sup>1</sup>	Osaka Univ.	(Armenia)	Oct. 1993
Prof. R. Wortmann* <sup>1</sup>	Mainz Univ.	(German)	Oct. 1993
Mr. Y. Jia* <sup>4</sup>	Univ. of Chicago	(U.S.A.)	Oct. 1993
Dr. A. E. Johnson* <sup>1</sup>	Univ. of Rochester	(U.S.A.)	Oct. 1993
Prof. G. Rábai* <sup>5</sup>	Kossuth Lajos Univ.	(Hungary)	Oct. 1993 -
Prof. M. A. Marek	Prague Inst. of Chemical Tech.	(Czech)	Oct. 1993
Prof. K. Müllen* <sup>2</sup>	Max Plank Lab.	(Germany)	Oct. 1993
Prof. M. Herman* <sup>2</sup>	Univ. Libre de Bruxelles	(Belgium)	Oct. 1993
Dr. E. Sekreta* <sup>2</sup>	Kyoto Univ.	(U.S.A.)	Oct. 1993
Prof. M. Hanack	Tübingen Univ.	(Germany)	Oct. 1993
Dr. K. Agladze* <sup>2</sup>	Russian Acad. of Sci.	(Russia)	Nov. 1993
Prof. T. A. Miller	The Ohio State Univ.	(U.S.A.)	Nov. 1993
Prof. J. F. Hermans	Univ. of Leiden	(Holland)	Nov. 1993
Prof. V. A. Apkarian	Univ. of California, Irvine	(U.S.A.)	Nov. 1993
Prof. C. B. Moore	Univ. of California, Berkeley	(U.S.A.)	Nov. 1993
Prof. R. D. Levine* <sup>2</sup>	The Hebrew Univ. of Jerusalem	(Israel)	Nov. 1993
Dr. M. Terekhin* <sup>2</sup>	Kurchatov Inst.	(Russia)	Nov. 1993 -
Dr. N. Yu. Svechnikov* <sup>2</sup>	Kurchatov Inst.	(Russia)	Nov. 1993 -
Prof. W. Gudat* <sup>2</sup>	BESSY	(Germany)	Nov. 1993
Prof. D. Zhu* <sup>4</sup>	Inst. of Chem. Beijing	(China)	Nov. 1993
Prof. V. Saile	Louisiana State Univ.	(U.S.A.)	Nov. 1993
Prof. H. C. Wolf	Stuttgart Univ.	(Germany)	Nov. 1993
Dr. H. Helm	SRI Inst.	(U.S.A.)	Nov. 1993
Prof. G. Duxbury	Strathclyde Univ.	(U.K.)	Nov. 1993
Prof. Y. Berlin* <sup>5</sup>	Russian Acad. Sci.	(Russia)	Nov. 1993 -
Prof. Sungyul Lee	Kyunghee Univ.	(Korea)	Dec. 1993
Prof. M. Rögner	Inst. for Botany	(Germany)	Dec. 1993
Prof. Poh-Kun Tseng	Natl. Taiwan Univ.	(Taiwan)	Dec. 1993



Prof. Way-Faung Pong	Tamkang Univ.	(Taiwan)	Dec. 1993
Prof. Young S. Choi* <sup>2</sup>	Inha Univ.	(Korea)	Dec. 1993 - Feb. 1994
Mr. Taek Soo Kim	Inha Univ.	(Korea)	Dec. 1993 - Feb. 1994
Prof. Kang Young Kee* <sup>2</sup>	Chungbuk National Univ.	(Korea)	Dec. 1993 - Feb. 1994
Prof. W. Kiefer	Würzburg Univ.	(Germany)	Dec. 1993
Prof. D. G. Whitten	Univ. of Rochester	(U.S.A.)	Dec. 1993
Prof. J. Goodman	Univ. of Rochester	(U.S.A.)	Dec. 1993
Prof. G. L. McLendon	Univ. of Rochester	(U.S.A.)	Dec. 1993
Prof. A. B. Myers	Univ. of Rochester	(U.S.A.)	Dec. 1993
Prof. S. Mukamel	Univ. of Rochester	(U.S.A.)	Dec. 1993
Prof. J. M. Farrar	Univ. of Rochester	(U.S.A.)	Dec. 1993
Dr. E. Conwell	Xerox Webster Res. Center	(U.S.A.)	Dec. 1993
Dr. J. S. Facci	Xerox Corp.	(U.S.A.)	Dec. 1993
Dr. T. L. Penner	Eastman Kodak Co.	(U.S.A.)	Dec. 1993
Dr. I. Gould	Eastman Kodak Co.	(U.S.A.)	Dec. 1993
Dr. D.L. Mantell	Xerox Webster Res. Center	(U.S.A.)	Dec. 1993
Prof. M. A. El-Sayed	Univ. of California, Los Angeles	(U.S.A.)	Dec. 1993
Prof. H. D. Kasez	Univ. of California, Los Angeles	(U.S.A.)	Dec. 1993
Dr. I. Khairullin* <sup>2</sup>	Uzbek Acad. Sci.	(Uzbekistan)	- Jan. 1994
Ms. S. Tavender	Rutherford Appleton Lab.	(U.K.)	Jan. 1994
Prof. A. J. Ceulemans* <sup>1</sup>	Katholieke Univ.	(Belgium)	Jan. 1994
Prof. M. J. Stillman* <sup>1</sup>	Univ. of Western Ontario	(Canada)	Jan. 1994
Prof. C. C. Leznoff* <sup>1</sup>	York Univ.	(Canada)	Jan. 1994
Prof. D. Braun* <sup>1</sup>	Katholieke Univ.	(Belgium)	Jan. 1994
Dr. J. D. Wright* <sup>1</sup>	Univ. of Kent	(U.K.)	Jan. 1994
Prof. M. Hanack	Tübingen Univ.	(Germany)	Jan. 1994
Prof. I. H. Munro	Daresbury Lab.	(U.K.)	Jan., Feb. 1994
Dr. V. P. Suller	Daresbury Lab.	(U.K.)	Jan. 1994
Prof. Tei Jong Kang	Taegoo Univ.	(Korea)	Jan. - Feb. 1994
Prof. Yong Faung Li* <sup>4</sup>	Inst. of Chem. Academia Sinica	(China)	Jan. - Apr. 1994
Dr. Jianping Cai* <sup>4</sup>	Tokyo Chemical Industry Co. Ltd.	(China)	Feb. 1994 -
Mr. Guang-He Shi	Embassy of China	(China)	Feb. 1994
Mr. Dongxiang Li	Embassy of China	(China)	Feb. 1994
Dr. P. A. Hatherly	Univ. of Reading	(U.K.)	Feb. 1994
Prof. Yiqiu Wang	Beijing Univ.	(China)	Feb. 1994
Prof. Xiaojie Xu	Beijing Univ.	(China)	Feb. 1994
Prof. Zhongfan Liu	Beijing Univ.	(China)	Feb. 1994
Dr. D. M. P. Holland	Daresbury Lab.	(U.K.)	Feb. 1994
Dr. Y. A. Gruzdkov* <sup>2</sup>	Borskov Inst. of Catalysis	(Russia)	Feb. 1994 -
Prof. Tong-Nyong Lee* <sup>2</sup>	Pohang Accelerator Lab.	(Korea)	Feb. 1994 -
Dr. Hwang Chanyong* <sup>4</sup>	Korean Res. Inst.	(Korea)	Feb. 1994
Dr. Park Hyungho* <sup>4</sup>	Korean Res. Inst.	(Korea)	Feb. 1994
Dr. Lee Sunwoo* <sup>4</sup>	Korean Res. Inst.	(Korea)	Feb. 1994
Mr. Cheon in-Sang* <sup>4</sup>	Korea Inst. of Sci. and Tech.	(Korea)	Feb. 1994
Mr. An ki-seok* <sup>4</sup>	Sung Kyun Kwan Univ.	(Korea)	Feb. 1994
Mr. Kim jae-min* <sup>4</sup>	Sung Kyun Kwan Univ.	(Korea)	Feb. 1994
Dr. Hwang Chisun* <sup>4</sup>	Korean Res. Inst.	(Korea)	Feb. 1994
Prof. P. Day* <sup>3</sup>	Royal Inst.	(U.K.)	Feb. 1994
Prof. D. Steinborn	Martin Luther Univ.	(Germany)	Feb. 1994
Prof. M. S. Jhon	Korea Inst. of Sci. and Tech.	(Korea)	Feb. 1994
Dr. R. J. Whitehead* <sup>2</sup>	Darham Univ.	(U.K.)	- Mar. 1994
Prof. Y. N. Molin	Russian Acad. of Sci., Novosibirsk	(Russia)	Mar. 1994
Dr. M. A. Lipton	Purdue Univ.	(U.S.A.)	Mar. 1994
Prof. J. C. Polanyi* <sup>3</sup>	Univ. of Toronto	(Canada)	Mar. 1994
Dr. H. Pal* <sup>2</sup>	Bhabha Atomic Res. Center	(India)	Mar. 1994 -
Prof. J. Troe* <sup>1</sup>	Göttingen Univ.	(Germany)	Mar. 1994
Prof. K. P. Johnston* <sup>1</sup>	Univ. of Texas	(U.S.A.)	Mar. 1994
Prof. F. V. Bright* <sup>1</sup>	State Univ. of N.Y.	(U.S.A.)	Mar. 1994
Dr. E. Sekreta* <sup>1</sup>	Kyoto Univ.	(U.K.)	Mar. 1994
Prof. S. Howdle* <sup>1</sup>	Univ. of Nottingham	(U.K.)	Mar. 1994

Prof. J. Brennecke* <sup>1</sup>	Univ. of Notre Dame	(U.S.A.)	Mar. 1994
Prof. K. A. Nelson	MIT	(U.S.A.)	Mar. 1994
Prof. J. Klein	Weizmann Inst. of Sci.	(Israel)	Mar. 1994
Dr. U. Hillebrecht* <sup>2</sup>	Düsseldorf Univ.	(Germany)	Mar. 1994
Prof. P. Knochel	Philipps Univ.	(Germany)	Mar. 1994
Ms. S. Özer	Arizona State Univ.	(Turkey)	Apr. 1994
Mr. G. Keogh	Imperial Coll.	(U.K.)	Apr. 1994 -
Prof. A. Ehrenberg* <sup>2</sup>	Univ. of Stockholm	(Sweden)	Apr. 1994
Prof. R. J. Whitby	Southampton Univ.	(U.K.)	Apr. 1994
Prof. K. Janda	Scripps	(U.S.A.)	Apr. 1994
Mr. M. Atiyah	Royal Society	(U.K.)	Apr. 1994
Mr. G. Allen	Royal Society	(U.K.)	Apr. 1994
Dr. R. Sowden	Sci. Council	(U.K.)	Apr. 1994
Dr. A. McLaren	Royal Society	(U.K.)	Apr. 1994
Dr. A. H. Saleck* <sup>2</sup>	Köln Univ.	(Germany)	Apr. 1994 -
Prof. V. A. Ivanov* <sup>5</sup>	Russian Acad. of Sci., Kurnakov Inst.	(Russia)	Apr. 1994 -
Prof. B. Bagchi* <sup>2</sup>	Indian Inst. of Sci.	(India)	May 1994 -
Prof. P. X. Zhang	Chinese Academy of Sci.	(China)	May 1994
Prof. Tang Esheng	Inst. of High Energy Phys., Beijing	(China)	May 1994
Prof. Xian Dingchang	Inst. of High Energy Phys., Beijing	(China)	May 1994
Prof. M. Ikeda-Saito	Case Western Reserve Univ.	(U.S.A.)	May 1994
Prof. Kopin Liu	Academia Sinica	(Taiwan)	May 1994
Prof. G. Schatz	Northwestern Univ.	(U.S.A.)	May 1994
Dr. S. S. Ramamurthi* <sup>2</sup>	Center of Advanced Tech.	(India)	May 1994
Dr. R. Hahn* <sup>4</sup>	Tech. Univ. München	(Germany)	May 1994 -
Dr. L. S. Grigoryan* <sup>4</sup>	Armenia Acad. Sci.	(Armenia)	- Jun. 1994
Prof. Hee Kwon Chae* <sup>2</sup>	Hankuk Univ. of Foreign Studies	(Korea)	Jun. 1994 -
Dr. H. Chosrowjan	Osaka Univ.	(Armenia)	Jun. 1994
Dr. S. R. Meech* <sup>4</sup>	Univ. of East Anglia	(U.S.A.)	Jun. 1994
Prof. Chang-Guo Zhan	Central China Normal Univ.	(China)	Jun. - Aug. 1994
Prof. G. Walker	Univ. of Pittsburgh	(U.S.A.)	Jun. 1994
Prof. R. L. Christensen* <sup>4</sup>	Bowdoin Coll.	(U.S.A.)	Jul. 1994
Prof. Bongsoo Kim* <sup>4</sup>	Kyungpook National Univ.	(Korea)	Jul. 1994 -
Dr. S. H. R. Abdi* <sup>2</sup>	Central Salt and Marine Chem. Inst.	(India)	Jul. 1994 -
Prof. Seung C. Park* <sup>4</sup>	Kangwon National Univ.	(Korea)	Jul. - Aug. 1994
Prof. R. I. Hall	Univ. P et M Curie	(France)	Jul. 1994
Dr. S. R. Gandhi* <sup>2</sup>	MPI für Strömungsforschung	(U.S.A.)	Jul. 1994 -
Prof. Young S. Choi	Inha Univ.	(Korea)	Jul. 1994
Mr. Byung H. Kang	Kyungpook National Univ.	(Korea)	Jul. 1994
Prof. G. H. Lee* <sup>4</sup>	Seoul National Univ.	(Korea)	Jul. 1994
Prof. S. W. Rhee* <sup>4</sup>	Pohang Inst. of Sci. Tech.	(Korea)	Jul. 1994
Mr. Y. B. Park* <sup>4</sup>	Pohang Inst. of Sci. Tech.	(Korea)	Jul. 1994
Dr. C. G. Young* <sup>2</sup>	Univ. Melbourne	(Australia)	Jul. 1994
Prof. T. C. Steinle	Arizona State Univ.	(U.S.A.)	Jul. 1994

# AWARDS

## Professor Yoshihara's Scientific Achievements

Professor Keitaro Yoshihara was given the first DAIWA AWARD of the Daiwa Anglo-Japanese Foundation by the Lord Adrian FRS Trustee of the Foundation and Mr. Hiroshi Kitamura Ambassador of Japan in the United Kingdom on March 2, 1994 at the Royal Institution of Great Britain for his contribution to "Picosecond Laser Spectroscopy".

Many important chemical events such as various chemical reactions, intra- and intermolecular energy transfer, primary processes in vision and photosynthesis etc. occur at ultrafast time scale of picosecond ( $10^{-9}$  –  $10^{-12}$  second). Professor Yoshihara and his coworkers established various ultrafast laser spectroscopies and studied cis-trans isomerization in solution and gas phases with stilbenes and various simple polyenes, and uncovered the great details of electronic and vibrational structures and photochemical reaction dynamics. The Award was given for a team of UK and Japanese scientists for the collaborative efforts and was received by the teams of Dr. Jeremy G. Frey of the University of Southampton with Dr. Stephen R. Meech of the Heriot-Watt University and of Professor Keitaro Yoshihara of IMS with Dr. Andrew J. Bell (from Southampton), Dr. Keisuke Tominaga, and Mr. Shigeichi Kumazaki.

## Professor Akira Nakamura's Scientific Achievements

Prof. A. Nakamura of Coordination Chemistry Laboratories received the Award of the Chemical Society of Japan in 1994 for his contribution to "Studies on Reactive Metal Complexes with Carbon and Sulfur Ligands".

Many of the complexes coordinated by carbon ligands are reactive and some of them have been utilized as homogeneous catalysts. Prof. A. Nakamura has been interested in these coordination compounds for long time and synthesized many new complexes for selective homogeneous catalysis such as hydrogenation, oxygenation, polymerization, and carbenoid reaction. Later, he also investigated various model complexes for metalloenzymes especially redox-active ones and prepared many of such functional model complexes with sulfur ligands, in particular cysteine-containing oligopeptides as ligands. Some of these new complexes were found to have catalytic activity for redox reactions. His work is thus evaluated as creating fundamentally important aspects of coordination chemistry.

## Associate Professor Nemoto's Scientific Achievements

Associate Professor Hisao Nemoto of Department of Applied Molecular Science received 'Progress Award in Synthetic Organic Chemistry, Japan' in 1993 for his contributions to "Synthesis of Boron Carriers for Neutron Capture Therapy and Studies of Activated Imines". Neutron capture therapy (NCT) is one of the advanced methods for the cure of cancers using the nuclear energy caused by thermal neutron absorption at the local area where boron-10 isotope is concentrated. His contribution to NCT is the design and synthesis of organoboron compounds (boron carriers) which deliver sufficient amount of boron atoms at the target tumor cells. The details are as follows.

### 1. Development of general methods for the synthesis of boron carriers toward treatment of cancers by NCT

He developed variety of the methods for the synthesis of boron carriers as nucleoside derivatives or amino acid analogue. Chemistry of o-carborane, an icosahedral type of boron cluster, was studied from a viewpoint of organic chemistry. He efficiently utilized transition metal catalysts for the development of new reactions.

### 2. Synthesis of new boron carriers having more therapeutically efficiency than the ordinary clinically used boron carriers

*In vitro* examinations, his designed several boron carriers are more incorporated into tumor cells than the clinically used boron carriers at present.

### 3. Design and preparation of new water-solubilizing moiety, polyglycerols of cascade type.

His designed new polyglycerols of cascade type, generally make the boron carriers more water-soluble and less toxic than the original corresponding molecules. Furthermore, uptake ratio of a tumor cell against a normal cell *in vitro* is increased after the boron carriers are converted to one bearing the polyglycerols.

### 4. Studies of "Activated Imines"

He studied the imines bearing electron withdrawing group. Analysis of an acyliminium cation at low temperature by nuclear magnetic resonance, development of transition metal-catalyzed carbon-carbon bond formation reactions, and application to the synthesis of  $\alpha$ -amino acids were carried out. His new reagent,  $\text{ROCH}(\text{CN})_2$  as a masked formyl cyanide, played an important role for the synthesis of  $\alpha$ -amino acids.

## Associate Professor Suzuki's Scientific Achievements

Associate Professor Toshinori Suzuki of the Department of Electronic Structure received the Award of the Chemical Society of Japan for Young Chemists in 1994 for his contributions to "Reaction Dynamics via State-Selective Laser Excitation and Detection of Molecules".

Chemical reactions and energy relaxation processes are difficult to probe in real time. However, their detailed dynamics can be elucidated by measuring asymptotic properties, such as the final state distributions of products and their dependence on the initial quantum state of the reactants. Professor Suzuki has developed new laser spectroscopic methods to prepare and probe specific quantum states of molecules, which have been invaluable to the elucidation of the dynamics of intramolecular relaxation processes and bimolecular reactions. He has studied intramolecular vibrational redistribution (IVR) by stimulated emission ion dip spectroscopy, internal conversion by population labeling (hole burning) spectroscopy, and intersystem crossing by phosphorescence excitation spectroscopy. His spectroscopic studies on *trans*-stilbene revealed that the two phenyl rings have a large amplitude torsional motion (up to 50 degrees in dihedral angle), and that this motion accelerates IVR. He has also investigated photodissociation and bimolecular processes via the full characterization of product rovibrational and translational states using one-dimensional and two-dimensional (imaging) Doppler techniques. In a series of elegant experiments using one-dimensional Doppler spectroscopy and infrared diode laser spectroscopy, he has shown that the distributions for the umbrella mode of methyl radicals produced from the photodissociation of methyl iodide ( $\text{CH}_3\text{I}$ ) and the reaction between oxygen ( $\text{O } ^3\text{P}$ ,  $\text{O } ^1\text{D}$ ) and methane ( $\text{CH}_4$ ) are not inverted. These results corrected erroneous conclusions from previous studies, and clearly indicated the adiabatic nature of methyl deformation during these reactions.

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